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## NEUTRINO MASSES: RADIATIVE MODELS AND PHENOMENOLOGY

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A mi madre, padre y hermano.

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# Resumen

El Modelo Estándar de partículas describe las interacciones entre fermiones a nivel fundamental. Múltiples experimentos lo han puesto a prueba con éxito, haciendo predicciones para un gran número de propiedades. Sin embargo, la existencia de materia oscura, masas de neutrinos y asimetría de materia-antimateria en el universo, junto con la falta de una teoría verificada para dichos fenómenos, indica que el Modelo Estándar está incompleto. Esto motiva la búsqueda de nueva física, o física más allá del Modelo Estándar.

En este trabajo, nos enfocamos en el problema de las masas de neutrinos. Además de ser elusivas debido a su nula carga eléctrica, originalmente estas partículas eran consideradas sin masa en el Modelo Estándar. Con la observación de oscilaciones de neutrinos, se confirmó que éstos en realidad deberían ser masivos. Experimentos recientes de desintegración  $\beta$  han puesto una escala a la masa de neutrinos de 1 eV, menor a las partículas cargadas más ligeras del Modelo Estándar por más de seis órdenes de magnitud. Algunas preguntas que surgen son, ¿Por qué es esta masa tan pequeña? ¿Cómo adquieren masa los neutrinos? Por otra parte, los neutrinos son los únicos fermiones que podrían no ser de tipo Dirac, sino, de tipo Majorana. Esto añade otra incógnita al rompecabezas, siendo que cada posibilidad deriva en una fenomenología distinta, y experimentos actuales no han podido discernir entre ambas.

En la presente tesis, se abordan estas interrogantes desde dos perspectivas distintas. En el primer enfoque, se consideran neutrinos de Majorana y se proponen dos nuevos modelos radiativos de generación de masas. Estos consisten en una realización ultravioleta del operador efectivo de Weinberg. Dicha realización se logra extendiendo el Modelo Estándar con simetrías adicionales e incluyendo nuevos campos que a su vez podrían ser candidatos de materia oscura. En el primer modelo, se extiende el Modelo Estándar con una simetría  $Z_4$ , rompiéndose espontáneamente a una simetría  $Z_2$ . El campo más ligero con carga no trivial bajo  $Z_2$  es protegido por conservación de carga, convirtiéndose en un candidato de materia oscura. En este modelo, las masas de neutrinos se generan a un lazo. En el segundo modelo, se extiende el Modelo Estándar con una simetría discreta  $Z_3$ , permitiendo también la estabilidad de materia oscura y generando masas de neutrinos a dos lazos. La fenomenología de ambos modelos es estudiada, incluyendo análisis de procesos de violación de sabor y canales de aniquilación de materia oscura como contribución a la densidad de reliquia.

El segundo enfoque consiste en un estudio fenomenológico considerando masas de Dirac y utilizando ceros de textura. Las texturas se construyen haciendo cero ciertas entradas de la matriz de masas de los neutrinos, derivando correlaciones entre los parámetros de oscilación. Así, dada cierta textura, es posible verificar si ésta está en concordancia con datos experimentales recientes o si está descartada por los mismos. En el presente trabajo se estudia cuáles de estas texturas están permitidas y se discuten las correlaciones que surgen a partir de ellas. El trabajo realizado en esta tesis está basado en los siguientes proyectos de investigación:

- Two-zero textures for Dirac neutrinos Yessica Lenis\*, R. Martinez-Ramirez, Eduardo Peinado<sup>†</sup>, William A. Ponce\* arXiv:2311.06431. Enviado para publicación.
- Two-loop neutrino masses in a broken  $U(1)_{B-L}$  symmetry Leon M.G. de la Vega<sup>†‡</sup>, R. Martinez-Ramirez, Eduardo Peinado Trabajo en progreso
- Cannibal dark matter from a Z<sub>4</sub> symmetry Leon M.G. de la Vega, Patrick J. Fitzpatrick<sup>§</sup>, R. Martinez-Ramirez, Eduardo Peinado Trabajo en progreso

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## 1 Introduction

The Standard Model (SM) of particle physics [1–8] is the theory that describes the interactions between elementary particles. As one of the most validated theories in science of all time, numerous experiments have successfully tested the SM, providing precise predictions for a wide range of particle properties [9]. Despite this success, several open questions persist. These include the evidence of the existence of dark matter [10–13] where direct detection experiments have shown only negative results [14–17], baryon asymmetry in the universe [18–22], and neutrino masses. Each of these questions, lacking a probed theory behind them, suggests that the Standard Model is incomplete. This motivates the exploration of new physics, often referred as Beyond the Standard Model (BSM) physics.

Without any direct evidence for their mass at that time, the SM was originally built considering massless neutrinos. With the later observation of neutrino oscillations [23–34] it was confirmed that at least two of the three active neutrinos should carry a non-zero mass. Considering that oscillation phenomena are only sensitive to the squared mass differences between the three oscillating neutrinos, these experiments are unable to give an absolute mass scale.

In this respect, an upper limit for the sum of the three light neutrino masses can be obtained from cosmology. Assuming the  $\Lambda$ CDM cosmological model, the PLANCK collaboration in 2018 [12] obtained

$$\sum_{i} m_{\nu_i} \le 0.12 \text{ eV.}$$
(1.1)

A model-independent way to obtain an upper limit for the absolute mass scale is from the kinematics of  $\beta$  decays. The Karlsruhe Tritium Neutrino (KATRIN) experiment [35, 36] measures the energy spectrum of a single  $\beta$  decay of molecular tritium:

$$T_2 \to {}^{3}\text{He} + T^+ + e^- + \bar{\nu}_e,$$
 (1.2)

from which, the presence of neutrino masses results in a decrease in the maximum observed energy of the electron decay and a small distortion in the spectral shape near the kinematic endpoint of the  $\beta$ -spectrum. From this, a mass limit for neutrinos is obtained, with the last result yielding [36]

$$m_{\nu} \le 0.8 \text{ eV}.$$
 (1.3)

If we compare this result with the mass of the lightest charged fermion in the SM, the electron, given by

$$m_e \approx 0.511 \,\mathrm{MeV},$$
 (1.4)

we observe that  $m_e$  is at least 10<sup>6</sup> times greater than  $m_{\nu}$ , establishing neutrinos as the lightest massive particles in the SM.

On the other hand, another open question is whether neutrinos are Dirac particles, like the charged fermions, or Majorana particles, implying they are their own antiparticle. Neither neutrino oscillations nor  $\beta$ -decay experiments are sensitive to this difference. If neutrinos are Majorana particles, there is a possibility to measure the neutrinoless double beta decay process [37], which, at present, remains the only method to differentiate the nature of neutrinos. This process has never been observed despite experimental efforts [38–41], and the question remains unanswered.

These unknowns motivate the exploration of BSM physics, in which the SM can be treated as an effective theory describing particle interactions only within a specific energy range  $\Lambda$ . In this context, the SM can be extended to consider new physics, offering an explanation for the smallness of neutrino masses while introducing additional degrees of freedom in sectors or energy scales not yet explored by experiments. These additions to the SM may also address the problem of the nature of dark matter.

The first evidence for dark matter came from observation of galaxy rotations [11]. Nowadays, observations developed by PLANCK of gravitational effects, structure formation and the Cosmic Microwave Background (CMB) are in agreement with the existence of dark matter in the universe, providing a value for its relic density [12]:

$$\Omega_c h^2 = 0.120 \pm 0.0012 \text{ at } 68\% \text{ C.L.}$$
 (1.5)

A theoretical connection between neutrino masses and dark matter emerges when the SM is extended to consider BSM physics, with the extra degrees of freedom participating in the mass mechanism acting also as stable dark matter candidates, protected by an additional symmetry. Naturally, those new additions are constrained to reproduce phenomenology allowed by particle and cosmological observations.

A different method to study neutrino phenomenology involves model-independent assumptions subjected to experimental data. One example is the texture zeros approach, where specific entries of the neutrino mass matrix are set to zero, leading to correlations among oscillation parameters. While these textures should arise from a BSM theory, an analysis is possible without delving into these considerations, scrutinizing which of the textures is in good agreement with current observations.

Therefore, SM extensions are proposed, studied and tested against experimental constraints in order to provide a possible explanation to BSM puzzles, while model-independent and phenomenological approaches rely on assumptions related to data from observations. In this thesis, we explore both approaches, proposing new mass models for Majorana neutrinos, and using two-zero textures for Dirac neutrinos.

The thesis is organized as follows. In Section 2, we provide a brief overview of the general structure of the SM and the electroweak sector. Section 3 contains a short review of neutrino physics, considering massive neutrinos and their implications. Section 4 explores popular models for neutrino mass generation, both at tree-level and radiative. In Sections 5 and 6, we introduce two new radiative models based on a  $Z_4$  symmetry and a gauge  $U(1)_{B-L}$  symmetry, respectively. The first model generates Majorana neutrino masses at the one-loop level, while the second generates them at the two-loop level. These models contain dark matter candidates. In Section 7, we use the two-zero textures approach to study the phenomenology of Dirac neutrinos, finding which of the textures are allowed by current experimental data. Finally, Section 8 presents the conclusions of this thesis.

All Feynman diagrams were drawn using the FeynGame software [42, 43].

## 2 The Electroweak Standard Model

In this section, we briefly review the general structure of the SM and study the main features of the electroweak sector, establishing the key ideas to be used throughout the thesis. The discussion in this section is strongly inspired by the Giunti and Kim's book [44].

## 2.1 Standard Model Structure

The Standard Model (SM) describes the strong, weak and electromagnetic interactions of elementary particles within the framework of quantum field theory. It is a gauge theory based on the local symmetry group:

$$SU(3)_C \times SU(2)_L \times U(1)_Y.$$
(2.1)

Here, the subscripts C, L and Y represent color, left-handed chirality and weak hypercharge, respectively. The group SU(n) is the special unitary group of degree n, which is the Lie group of  $n \times n$  unitary matrices with determinant 1, and it has  $n^2 - 1$  generators. Each group uniquely defines the interactions, with its generators associated to vector gauge bosons. There are eight massless gluons that mediate strong interactions, corresponding to the eight generators of  $SU(3)_C$ . In Subsection 2.3, we will see that the electromagnetic and weak interactions must be treated together due to a mixing between the neutral gauge bosons of  $SU(2)_L$  and  $U(1)_Y$ . After spontaneous symmetry breaking, given by

$$SU(2)_L \times U(1)_Y \to U(1)_{EM}, \tag{2.2}$$

we end with four gauge bosons mediating electroweak interactions, from which three are massive  $(W^{\pm}, Z)$  and one is massless ( $\gamma$ , the photon). The breaking pattern of Eq. (2.2) will be discussed in Subsection 2.4.

Strong and electroweak interactions can be studied separately in the SM. The reason is that the symmetry  $SU(3)_C$  remains unbroken and does not mix with the  $SU(2)_L \times U(1)_Y$  groups. This section will focus on the electroweak sector of the SM, where the problem of neutrino masses arises. The theory that describes strong interactions given through the color charge is called *quantum chromodynamics* (QCD). It has its own implications<sup>1</sup>, and they will not be discussed here. For an introduction to QCD, the reader can be referred to, for example, [45, 46].

The symmetry group of the SM fixes the number and properties of the vector gauge bosons. Only one coupling constant is left as a free parameter for each of the groups  $SU(3)_C$ ,  $SU(2)_L$ , and  $U(1)_Y$ , which are later determined by experiments. In contrast, the number and properties of the fermions and scalar gauge bosons are not constrained by the SM symmetry. What is constrained is that these must transform according to their group symmetries (they have to be representations of the groups) and must lead to cancellation of quantum anomalies (see Appendix A). The number of scalar bosons is chosen to implement the Higgs mechanism in a minimal way, and the number and properties of fermions are determined by experimental data. The known particle content in the SM is displayed in Figure 1, where particles are

<sup>&</sup>lt;sup>1</sup>The main difference with respect to the electroweak sector is the strong character of the interaction at low energies, which does not allow perturbative approximations. The dynamics of QCD can be perturbatively solved only at high energies, where the effective coupling constant becomes small. This phenomenon is known as *asymptotic freedom*.



Figure 1: Scheme with the particle content of the SM, along with their spin and electromagnetic charges. The SM particles are divided into fermions and bosons. Each gauge boson carries a fundamental interaction, and each fermion is classified in three different generations.

distributed in families or generations, and classified according to their spin and charges.

Fundamental fermion particles follow Fermi-Dirac statistics and have a spin of 1/2. The fermion sector is divided into two categories: quarks q and leptons  $\ell$ . Quarks are affected by all the forces in the Standard Model (strong, weak, and electromagnetic), whereas leptons participate only in electroweak interactions. Quarks are the constituents of hadrons and do not exist as free particles; they possess both color and electric charge. Within leptons, there are charged leptons  $(e, \mu, \tau)$  and neutrinos  $(\nu_e, \nu_\mu, \nu_\tau)$ . Neutrinos are neutral under the electromagnetic interaction; hence, they interact only via the weak force. This organization is schematically represented in Fig. 2 resembling a Matryoshka doll, where weak interactions affect all particles, electromagnetic interactions exclude neutrinos, and strong interactions affect only quarks. As we will see in Subsection 2.2, the left-handed chiral components of the fermion fields are grouped into weak isospin doublets, while the right-handed components transform as singlets under the weak isospin group.

As we mentioned, quarks do not exist as free particles but in composite systems. This phenomenon is usually known as *color confinement*, a consequence of gluons having color charge. Quarks are confined in color-neutral composite particles called hadrons. Depending on the number of quarks, the hadrons are classified into baryons and mesons. A baryon is a color-neutral composite particle with three quarks (like the proton and neutron), and a meson has a quark-antiquark pair (like the pions).

The scalar boson, known as the Higgs particle (h), is neutral under the electromagnetic interaction. It comes from the Higgs mechanism, from which particles acquire mass. This mechanism is reviewed in Subsection 2.4.



Figure 2: Fermion interaction scheme of the SM. Weak interactions affect all fermions, electromagnetic interactions do not affect neutrinos, and strong interactions affect only quarks.

In the fermion sector, the SM has 13 free parameters, including six quark masses, three charged lepton masses, three quark mixing angles, and one CP (charge-parity) violating phase. The gauge sector introduces three additional parameters arising from the coupling constants. Furthermore, QCD contributes a small parameter linked to the *strong CP problem*, while the Higgs sector introduces a Higgs mass and a *vacuum expectation value*, making a total of 19 independent free parameters when considering massless neutrinos. If the SM is minimally extended to incorporate massive neutrinos, more parameters are introduced, involving the three neutrino masses, three neutrino mixing angles, and an extra CP-violating phase. If neutrinos are Majorana particles, two extra Majorana phases must be considered.

## 2.2 Electroweak Standard Model Lagrangian

In order to study neutrino interactions, we review the electroweak part of the SM Lagrangian, which corresponds to the  $SU(2)_L \times U(1)_Y$  part of the SM symmetry group.

The symmetry group  $SU(2)_L$  is called *weak isospin* group. The left-handed chiral components of the fermion fields transform non-trivially under  $SU(2)_L$ , while the right-handed counterparts transform as singlets under weak isospin transformations. The group has three generators, represented by

$$I_a \qquad (a = 1, 2, 3),$$
 (2.3)

which satisfy

$$[I_a, I_b] = i \,\varepsilon_{abc} \, I_c, \tag{2.4}$$

where  $\varepsilon_{abc}$  is the antisymmetric tensor with three indices, having  $\varepsilon_{123} = 1$ . In a twodimensional representation of the group, the generators are  $I_a = \tau_a/2$ , where  $\tau_1, \tau_2, \tau_3$  are the Pauli matrices.

The symmetry group  $U(1)_Y$  is called *hypercharge* group. Its generator is the hypercharge operator Y, and it is connected to  $I_a$  by

$$Q = I_3 + Y, \tag{2.5}$$

where Q is the electric charge. Eq. (2.5) is known as the Gell-Mann - Nishijima relation <sup>2</sup> [47, 48], and implies the unification of weak and electromagnetic interactions.

To ensure local gauge invariance, it is necessary to introduce three vector gauge bosons  $W_a^{\mu}$  (a = 1, 2, 3) associated with the three generators  $I_a$  of  $SU(2)_L$  and one vector gauge boson  $B^{\mu}$  associated with the generator Y of  $U(1)_Y$ . The covariant derivative  $D_{\mu}$ , which replaces the standard derivative  $\partial_{\mu}$  in the Lagrangian, is given by

$$D_{\mu} = \partial_{\mu} + ig \, \boldsymbol{W}_{\mu} \cdot \boldsymbol{I} + ig' B_{\mu} \, Y. \tag{2.6}$$

Here, the notation is

$$\mathbf{W}^{\mu} \equiv (W_1^{\mu}, W_2^{\mu}, W_3^{\mu}) \quad \text{and} \quad \mathbf{I} \equiv (I_1, I_2, I_3), \quad (2.7)$$

with the scalar product

$$\boldsymbol{W}^{\mu} \cdot \boldsymbol{I} \equiv \sum_{a=1}^{3} W_{a}^{\mu} I_{a}.$$
(2.8)

The covariant derivative in Eq. (2.6) has two coupling constants: g associated with the  $SU(2)_L$  group, and g' associated with the  $U(1)_Y$  group.

The fermion fields are accommodated into representations of the  $SU(2)_L \times U(1)_Y$  group. The left-handed chiral components of the fermion fields form weak isospin doublets. Considering only the first generation of leptons and quarks for simplicity, we have

$$L_L = \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}, \qquad Q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}.$$
(2.9)

With this choice of representation, we have

$$\boldsymbol{I} L_L = \frac{\boldsymbol{\tau}}{2} L_L, \qquad \boldsymbol{I} Q_L = \frac{\boldsymbol{\tau}}{2} Q_L, \qquad (2.10)$$

where  $\boldsymbol{\tau} = (\tau_1, \tau_2, \tau_3)$ . Also,

$$Y L_L = Y_{L_L} L_L, \qquad Y Q_L = Y_{Q_L} Q_L,$$
 (2.11)

where  $Y_{f_L}$  is the eigenvalue for the hypercharge. These values are presented in Table 1. The left-handed fermion fields transform as

$$L_L \to L'_L = \exp\left[i\,\boldsymbol{\theta}(x)\cdot\boldsymbol{I}^\ell + i\,\eta(x)\,Y^\ell\right]L_L \quad \text{and}$$

$$(2.12)$$

$$Q_L \to Q'_L = \exp\left[i\,\boldsymbol{\theta}(x) \cdot \boldsymbol{I}^q + i\,\eta(x)\,Y^q\right]Q_L.$$
(2.13)

<sup>&</sup>lt;sup>2</sup>In the literature, it is also commonly defined as  $Q = I_3 + Y'/2$ . We will use Y, having Y = Y'/2. The inclusion of the 1/2 factor in the hypercharge is a matter of convention.

In Eqs. (2.12) and (2.13),  $\theta(x)$  and  $\eta(x)$  are 3 and 1 space-time dependent parameters respectively. The superscript  $\ell$  and q in I and Y indicates the corresponding value of isospin and hypercharge for left-handed leptons and quarks.

In the SM, it is assumed that neutrino fields have only left-handed chiral components, implying that neutrinos are massless. However, it is now established that neutrinos have mass. The masses of neutrinos and their implications are reviewed in Section 3. For now, we will keep studying the SM with massless neutrinos. The right-handed components of the other fermions,

$$e_R, \quad u_R, \quad d_R, \tag{2.14}$$

are singlets under the weak isospin group. They transform as

$$f_R \to f'_R = \exp\left[i\,\eta(x)Y^f\right]f_R,\tag{2.15}$$

where  $Y^{f}$  is the hypercharge value for the corresponding right-handed fermion. Table 1 contains the values for the weak isospin, hypercharge and electric charge of the fermion doublets and singlets.

	Rep	Ι	$I_3$	Y	Q
lepton doublet	$\binom{\nu_{eL}}{e_L}$	1/2	$\begin{pmatrix} 1/2 \\ -1/2 \end{pmatrix}$	-1/2	$\begin{pmatrix} 0\\ -1 \end{pmatrix}$
lepton singlet	$e_R$	0	0	-1	-1
quark doublet	$\begin{pmatrix} u_L \\ d_L \end{pmatrix}$	1/2	$\begin{pmatrix} 1/2 \\ -1/2 \end{pmatrix}$	1/6	$\begin{pmatrix} 2/3\\ -1/3 \end{pmatrix}$
quark singlets	$egin{array}{c} u_R \ d_R \end{array}$	0 0	0 0	2/3 - 1/3	2/3 - 1/3

Table 1: Fermions in their representations of  $SU(2)_L$  with their values for the weak isospin I, third component of weak isospin  $I_3$ , hypercharge Y and electric charge Q.

The electroweak SM Lagrangian is the most general renormalizable Lagrangian invariant under the local symmetry  $SU(2)_L \times U(1)_Y$  constructed with the fermion fields, the gauge boson fields and a Higgs doublet H(x) to be discussed in Subsection 2.4. Considering only the first generation, the Lagrangian yields

$$\mathcal{L} = i\overline{L_L}\mathcal{D}L_L + i\overline{Q_L}\mathcal{D}Q_L + \sum_{f=e,u,d} i\overline{f_R}\mathcal{D}f_R$$

$$-\frac{1}{4}\boldsymbol{W}_{\mu\nu}\boldsymbol{W}^{\mu\nu} - \frac{1}{4}B_{\mu\nu}B^{\mu\nu}$$

$$+ (D_{\mu}H)^{\dagger}(D^{\mu}H) - \mu^2 H^{\dagger}H - \lambda (H^{\dagger}H)^2$$

$$- y^e (\overline{L_L}H e_R + \overline{e_R} H^{\dagger}L_L)$$

$$- y^d (\overline{Q_L} H d_R + \overline{d_R} H^{\dagger}Q_L) - y^u (\overline{Q_L} \widetilde{H} u_R + \overline{u_R} \widetilde{H}^{\dagger}Q_L),$$
(2.16)

$$\widetilde{H} = i\tau_2 H^*, \qquad (2.17)$$

along with

$$B_{\mu\nu} \equiv \partial_{\mu}B_{\nu} - \partial_{\nu}B_{\mu}, \qquad (2.18)$$

$$\boldsymbol{W}_{\mu\nu} \equiv \partial_{\mu} \boldsymbol{W}_{\nu} - \partial_{\nu} \boldsymbol{W}_{\mu}. \tag{2.19}$$

Defining

$$U(\boldsymbol{\theta}(x), \eta(x)) = \exp\left[i\,\boldsymbol{\theta}(x)\cdot\boldsymbol{I} + i\,\eta(x)\,Y\right],\tag{2.20}$$

to maintain gauge invariance, the covariant derivative must transform as

$$D_{\mu} \to D'_{\mu} = U\left(\boldsymbol{\theta}(x), \eta(x)\right) D_{\mu} U^{-1}\left(\boldsymbol{\theta}(x), \eta(x)\right), \qquad (2.21)$$

implying the transformation for the gauge boson fields:

$$\boldsymbol{W}_{\mu} \cdot \boldsymbol{I} \to \boldsymbol{W}_{\mu}' \cdot \boldsymbol{I} = U\left(\boldsymbol{\theta}(x), 0\right) \left[\boldsymbol{W}_{\mu} \cdot \boldsymbol{I} - \frac{i}{g} \partial_{\mu}\right] U^{-1}\left(\boldsymbol{\theta}(x), 0\right) \quad \text{and} \qquad (2.22)$$

$$B_{\mu} \to B'_{\mu} = B_{\mu} - \frac{1}{g'} \partial_{\mu} \eta(x).$$

$$(2.23)$$

#### 2.3 Electroweak Interactions

Expanding the covariant derivative (2.6) in the Lagrangian (2.16), and omitting the kinetic terms, we obtain the electroweak interactions between the fermion and the boson fields:

$$\mathcal{L}_{I} = -\frac{1}{2}\overline{L_{L}}\left(g\boldsymbol{W}\cdot\boldsymbol{\tau} - g'\boldsymbol{B}\right)L_{L} - \frac{1}{2}\overline{Q_{L}}\left(g\boldsymbol{W}\cdot\boldsymbol{\tau} + \frac{1}{3}g'\boldsymbol{B}\right)Q_{L} + g'\overline{e_{R}}\boldsymbol{B}e_{R} - \frac{2}{3}g'\overline{u_{R}}\boldsymbol{B}u_{R} + \frac{1}{3}g'\overline{d_{R}}\boldsymbol{B}d_{R}.$$
(2.24)

Considering only the lepton sector, we have

$$\mathcal{L}_{I,L} = -\frac{1}{2} \begin{pmatrix} \overline{\nu_{eL}} & \overline{e_L} \end{pmatrix} \begin{pmatrix} g W_3 - g' B & g (W_1 - i W_2) \\ g (W_1 + i W_2) & -g W_3 - g' B \end{pmatrix} \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} + g' \overline{e_R} B e_R.$$
(2.25)

The previous Lagrangian can be separated into a charged-current (CC) Lagrangian corresponding to the off-diagonal terms in Eq. (2.25), given by

$$\mathcal{L}_{I,L}^{CC} = -\frac{g}{2} \left[ \overline{\nu_{eL}} \left( \mathcal{W}_1 - i \mathcal{W}_2 \right) e_L + \overline{e_L} \left( \mathcal{W}_1 + i \mathcal{W}_2 \right) \nu_{eL} \right], \qquad (2.26)$$

and a neutral-current (NC) Lagrangian corresponding to the diagonal terms:

$$\mathcal{L}_{I,L}^{NC} = -\frac{1}{2} \left[ \overline{\nu_{eL}} \left( g \not{W}_3 - g' \not{B} \right) \nu_{eL} - \overline{e_L} \left( g \not{W}_3 + g' \not{B} \right) e_L - 2g' \overline{e_R} \not{B} e_R \right].$$
(2.27)

Defining the field  $W^{\pm}_{\mu}$  as

$$W^{\pm}_{\mu} \equiv \frac{W^{1}_{\mu} \mp i W^{2}_{\mu}}{\sqrt{2}}, \qquad (2.28)$$

the CC Lagrangian yields

$$\mathcal{L}_{I,L}^{CC} = -\frac{g}{2\sqrt{2}}\overline{\nu_e}\gamma^{\mu} \left(1 - \gamma^5\right) e W_{\mu}^+ + \text{h.c.}, \qquad (2.29)$$

where we have applied the projection operator

$$f_L = P_L f \equiv \frac{1 - \gamma^5}{2} f$$
 (2.30)

into the electron field. The bosons  $W^{\pm}$  are known as the weak charged boson fields, carriers of the charged-current weak interactions.

Considering now the neutral-current Lagrangian, it is possible to perform a rotation of the fields  $W_3^{\mu}$  and  $B^{\mu}$  through an angle  $\theta_W$ :

$$A^{\mu} = \sin \theta_W W_3^{\mu} + \cos \theta_W B^{\mu}, \qquad (2.31)$$

$$Z^{\mu} = \cos\theta_W W_3^{\mu} - \sin\theta_W B^{\mu}. \tag{2.32}$$

Here, the boson  $A^{\mu}$  is identified as the massless photon field, since the theory must include the electromagnetic interactions. The boson  $Z^{\mu}$  is the neutral weak boson field, responsible of neutral-current weak interactions. The angle  $\theta_W$  is known as the weak mixing angle, or Weinberg angle [1, 2]. Inserting Eqs. (2.31) and (2.32) into the CC Lagrangian, we obtain

$$\mathcal{L}_{I,L}^{NC} = -\frac{1}{2} \Biggl\{ \overline{\nu_{eL}} \left[ \left( g \cos \theta_W + g' \sin \theta_W \right) \mathbf{Z} + \left( g \sin \theta_W - g' \cos \theta_W \right) \mathbf{A} \right] \nu_{eL} - \overline{e_L} \left[ \left( g \cos \theta_W - g' \sin \theta_W \right) \mathbf{Z} + \left( g \sin \theta_W + g' \cos \theta_W \right) \mathbf{A} \right] e_L - 2g' \overline{e_R} \left[ -\sin \theta_W \mathbf{Z} + \cos \theta_W \mathbf{A} \right] e_R \Biggr\}.$$

$$(2.33)$$

Neutrinos are neutral particles. Therefore, they do not couple to the photon field. This implies the relation

$$g\sin\theta_W = g'\cos\theta_W \quad \Rightarrow \quad \tan\theta_W = \frac{g'}{g}.$$
 (2.34)

Using Eq. (2.34), we finally obtain

$$\mathcal{L}_{I,L}^{NC} = -\frac{g}{2\cos\theta_W} \left[ \overline{\nu_{eL}} \mathcal{Z}\nu_{eL} - \left(1 - 2\sin^2\theta_W\right) \overline{e_L} \mathcal{Z}e_L + 2\sin^2\theta_W \overline{e_R} \mathcal{Z}e_R \right] + g\sin\theta_W \overline{e}\mathcal{A}e.$$
(2.35)

We identify the electromagnetic coupling in the last term with

$$g\sin\theta_W = e,\tag{2.36}$$

where e is the elementary electric charge. Using again Eq. (2.34), the following relation holds:

$$g^2 + g'^2 = e^2. (2.37)$$

The derivation of weak interactions for quarks is completely analogous. Also, the generalization for three generations is straightforward. For reference, the complete derivation can be found in [44]. Charged-current and neutral-current interactions, generalized to quarks and three generations, are given through the Lagrangian

$$\mathcal{L}_{I} = -\frac{g}{2\sqrt{2}} \sum_{i} \overline{\Psi_{i}} \gamma^{\mu} \left(1 - \gamma^{5}\right) \left(T^{+} W_{\mu}^{+} + T^{-} W_{\mu}^{-}\right) \Psi_{i} - e \sum_{i} Q_{i} \overline{\Psi_{i}} \gamma^{\mu} \Psi_{i} A_{\mu} - \frac{g}{2 \cos \theta_{\theta_{W}}} \sum_{i} \overline{\Psi_{i}} \gamma^{\mu} \left(g_{V}^{i} - g_{A}^{i} \gamma^{5}\right) \Psi_{i} Z_{\mu},$$

$$(2.38)$$

where  $T^+$  and  $T^-$  are weak isospin raising and lowering operators, and the vector and axialvector couplings  $g_V^i$  and  $g_A^i$  are given by

$$g_V^i \equiv t_{3L}(i) - 2Q_i \sin^2 \theta_W, \qquad (2.39)$$

$$g_A^i \equiv t_{3L}(i). \tag{2.40}$$

In definitions (2.39) and (2.40),  $t_{3L}(i)$  is the weak isospin of fermion *i* and  $Q_i$  is the charge of  $\Psi_i$ .

#### 2.4 Higgs Mechanism and Electroweak Symmetry Breaking

The Higgs mechanism [49–51] provides masses for the  $W^{\pm}$  and Z gauge bosons, as well as for the fermions. This mechanism is implemented by the Higgs doublet

$$H(x) = \begin{pmatrix} H^+(x) \\ H^0(x) \end{pmatrix}, \qquad (2.41)$$

where  $H^+(x)$  is a charged complex scalar field and  $H^0(x)$  is a neutral complex scalar field. The gauge quantum numbers for the Higgs field are listed in Table 2. The Higgs doublet

		Ι	$I_3$	Y	Q
Higgs doublet	$H(x) \equiv \begin{pmatrix} H^+(x) \\ H^0(x) \end{pmatrix}$	1/2	$\begin{pmatrix} 1/2 \\ -1/2 \end{pmatrix}$	1/2	$\begin{pmatrix} 1\\ 0 \end{pmatrix}$

Table 2: Higgs doublet with its values for the weak isospin I, third component of weak isospin  $I_3$ , hypercharge Y and electric charge Q.

transforms as

$$H \to H' = \exp\left[\frac{i}{2}\boldsymbol{\theta}(x) \cdot \boldsymbol{\tau} + \frac{i}{2}\eta(x)\right] H.$$
(2.42)

Taking the Higgs sector of the Lagrangian in Eq. (2.16), we have

$$\mathcal{L}_{\text{Higgs}} = (D_{\mu}H)^{\dagger} (D^{\mu}H) - V (H), \qquad (2.43)$$

where the Higgs potential is given by

$$V(H) = \mu^2 H^{\dagger} H + \lambda \left( H^{\dagger} H \right)^2.$$
(2.44)

Whereas the coefficient  $\lambda$  must be positive to ensure that the potential V(H) is bounded from below, the coefficient  $\mu^2$  can be assumed negative. Defining

$$v \equiv \sqrt{-\frac{\mu^2}{\lambda}},\tag{2.45}$$

the Higgs potential can be rewritten as

$$V(H) = \lambda \left( H^{\dagger}H - \frac{v^2}{2} \right)^2, \qquad (2.46)$$

where we have neglected a constant term  $v^4/4$ . The minimum of the potential is then achieved when

$$H^{\dagger}H \to \frac{v^2}{2}.$$
 (2.47)

The minimum of the potential corresponds to the vacuum, namely, the lowest energy state. Fermions and vector bosons must possess a vanishing value in the vacuum to preserve Lorentz invariance. Similarly, charged scalar must also have a vanishing value in the vacuum, since the vacuum is electrically neutral. However, neutral scalar fields can possess a nonzero value for the vacuum, which is called the *vacuum expectation value* or *VEV*. Therefore, the Higgs field acquires a VEV  $\langle H \rangle$  given by

$$\langle H \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0\\v \end{pmatrix}. \tag{2.48}$$

In the vacuum, the symmetry  $SU(2)_L \times U(1)_Y$  is spontaneously broken by the VEV  $\langle H \rangle$ , since it transforms non trivially:

$$I_{1}\langle H \rangle = \frac{\tau_{1}}{2} \langle H \rangle = \frac{1}{2\sqrt{2}} \begin{pmatrix} v \\ 0 \end{pmatrix} \neq 0,$$

$$I_{2}\langle H \rangle = \frac{\tau_{2}}{2} \langle H \rangle = -\frac{i}{2\sqrt{2}} \begin{pmatrix} v \\ 0 \end{pmatrix} \neq 0,$$

$$I_{3}\langle H \rangle = \frac{\tau_{3}}{2} \langle H \rangle = -\frac{1}{2\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \neq 0,$$

$$Y\langle H \rangle = \frac{1}{2} \langle H \rangle = \frac{1}{2\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \neq 0.$$
(2.49)

On the other hand,

$$Q\langle H\rangle = (I_3 + Y) \langle H\rangle = 0, \qquad (2.50)$$

which implies that the vacuum is invariant under gauge transformations belonging to the group  $U(1)_{EM}$ :

$$e^{iQ}\langle H\rangle = \langle H\rangle.$$
 (2.51)

The invariance under this symmetry ensures the existence of a massless gauge boson associated with the  $U(1)_{EM}$  group, which is identified with the photon. Consequently, we have the spontaneous symmetry breaking (SSB) represented by

$$SU(2)_L \times U(1)_Y \xrightarrow{\text{SSB}} U(1)_{EM}.$$
 (2.52)

The breaking of the  $SU(2)_L \times U(1)_Y$  symmetry to a  $U(1)_{EM}$  is known as *Electroweak Symmetry Breaking* (EWSB).

In the unitary gauge, in which the physical states appear explicitly, the Higgs doublet can be defined as

$$H(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0\\ v+h(x) \end{pmatrix}, \qquad (2.53)$$

where h(x) is the physical Higgs boson, corresponding to excitations of the neutral Higgs field above the vacuum. Inserting Eq. (2.53) in the Lagrangian (2.43), using the covariant derivative (2.6) and expanding terms, we finally obtain

$$\mathcal{L}_{\text{Higgs}} = \frac{1}{2} \left(\partial h\right)^2 - \lambda v^2 h^2 - \lambda v h^3 - \frac{\lambda}{4} h^4 + \frac{g^2 v^2}{4} W^-_{\mu} W^{\mu +} + \frac{g^2 v^2}{8 \cos^2 \theta_W} Z_{\mu} Z^{\mu} + \frac{g^2 v}{2} W^-_{\mu} W^{\mu +} h + \frac{g^2 v}{4 \cos^2 \theta_W} Z_{\mu} Z^{\mu} h + \frac{g^2}{4} W^-_{\mu} W^{\mu +} h^2 + \frac{g^2}{8 \cos^2 \theta_W} Z_{\mu} Z^{\mu} h^2.$$
(2.54)

The second term in Eq. (2.54) is the mass term for the Higgs field, given by

$$m_h = \sqrt{2\lambda v^2}.\tag{2.55}$$

The first observation of the Higgs particle was carried out in [52, 53]. Using experimental data, the values for the Higgs mass and the VEV v yield

$$m_h = 125.25 \pm 0.17$$
 GeV, and  $v = 246.22$  GeV. (2.56)

The fifth and sixth terms give the masses for the  $W^{\pm}$  and Z bosons:

$$m_W = \frac{gv}{2}, \qquad m_Z = \frac{gv}{2\cos\theta_W}.$$
(2.57)

Their values were first obtained experimentally in [54, 55], giving<sup>3</sup>

$$M_Z = 91.1875 \pm 0.0021 \text{ GeV}$$
 and  $M_W = 80.376 \pm 0.033 \text{ GeV}$ . (2.58)

#### 2.5 Charged lepton masses

Fermion masses arises as a consequence of the Higgs mechanism through the Yukawa interactions between the fermions and the Higgs doublet.

<sup>&</sup>lt;sup>3</sup>These values correspond to the pole masses.

A fermion mass term is built with the coupling of a left-handed with a right-handed field<sup>4</sup>. The Dirac mass terms are of the form

$$\overline{f}f = \overline{f_L}f_R + \overline{f_R}f_L, \qquad (2.59)$$

which are forbidden by gauge symmetry given that left-handed and right-handed fields transform differently under  $SU(2)_L \times U(1)_Y$ . Lepton masses come from the fourth line of the Lagrangian (2.16), which, generalized to three generations, is

$$\mathcal{L}_{H,L} = -\sum_{\alpha,\beta=e,\mu,\tau} Y_{\alpha\beta}^{\prime\ell} \,\overline{L'_{\alpha L}} \,H \,\ell'_{\beta R} + \text{h.c.}$$
(2.60)

The matrix  $Y^{\prime \ell}$  of Yukawa couplings is, in general, a non-diagonal complex  $3 \times 3$  matrix. In the unitary gauge, with the Higgs acquiring a VEV v, Eq. (2.60) becomes

$$\mathcal{L}_{H,L} = -\left(\frac{v+h}{\sqrt{2}}\right) \sum_{\alpha,\beta=e,\mu,\tau} Y_{\alpha\beta}^{\prime\ell} \,\overline{\ell_{\alpha L}^{\prime}} \,\ell_{\beta R}^{\prime} + \text{h.c.}$$
(2.61)

The term proportional to v is a mass term for the charged lepton, while the term proportional to h represent a coupling to the Higgs boson. Since  $Y'^{\ell}$  is in general non-diagonal, fields  $e', \mu', \tau'$  do not have definite masses. To have definite masses, is necessary to diagonalize the matrix  $Y'^{\ell}$ . Defining the arrays

$$\boldsymbol{\ell}_{L}^{\prime} = \begin{pmatrix} e_{L}^{\prime} \\ \mu_{L}^{\prime} \\ \tau_{L}^{\prime} \end{pmatrix} \quad \text{and} \quad \boldsymbol{\ell}_{R}^{\prime} = \begin{pmatrix} e_{R}^{\prime} \\ \mu_{R}^{\prime} \\ \tau_{R}^{\prime} \end{pmatrix}, \quad (2.62)$$

the Higgs-lepton Yukawa Lagrangian can be written as

$$\mathcal{L}_{H,L} = -\left(\frac{v+h}{\sqrt{2}}\right) \overline{\ell'_L} Y'^{\ell} \ell'_R + \text{h.c.}$$
(2.63)

The Yukawa matrix  $Y^{\prime \ell}$  can be diagonalized as

$$V_L^{\ell\dagger} Y^{\prime\ell} V_R^{\ell} = Y^{\ell}, \quad \text{with} \quad Y_{\alpha\beta}^{\ell} = y_{\alpha}^{\ell} \,\delta_{\alpha\beta} \quad (\alpha, \beta = e, \mu, \tau), \tag{2.64}$$

with  $y_{\alpha}^{\ell}$  real and positive. Here,  $V_{L}^{\ell}$  and  $V_{R}^{\ell}$  are  $3 \times 3$  unitary matrices. Defining the transformations

$$\boldsymbol{\ell}_{L} = V_{L}^{\ell \dagger} \boldsymbol{\ell}_{L}^{\prime} \equiv \begin{pmatrix} e_{L} \\ \mu_{L} \\ \tau_{L} \end{pmatrix}, \qquad \boldsymbol{\ell}_{R} = V_{R}^{\ell \dagger} \boldsymbol{\ell}_{R}^{\prime} \equiv \begin{pmatrix} e_{R} \\ \mu_{R} \\ \tau_{R} \end{pmatrix}, \qquad (2.65)$$

we can write the diagonalized Lagrangian as

$$\mathcal{L}_{H,L} = -\left(\frac{v+h}{\sqrt{2}}\right) \overline{\ell_L} Y^{\ell} \ell_R + \text{h.c.}$$
  
$$= -\left(\frac{v+h}{\sqrt{2}}\right) \sum_{\alpha=e,\mu,\tau} y^{\ell}_{\alpha} \overline{\ell_{\alpha L}} \ell_{\alpha R} + \text{h.c.}$$
(2.66)

<sup>&</sup>lt;sup>4</sup>The coupling between two right-handed or two left-handed fields is identically zero since  $m\overline{\Psi}_R\Psi_R = m\overline{\Psi}P_L P_R\Psi = 0 = m\overline{\Psi}_L\Psi_L$ , by the property  $P_L P_R = 0$ .

Using the Dirac fields

$$\ell_{\alpha} = \ell_{\alpha L} + \ell_{\alpha R} \qquad (\alpha = e, \mu, \tau), \tag{2.67}$$

we obtain

$$\mathcal{L}_{H,L} = -\sum_{\alpha=e,\mu,\tau} \frac{y_{\alpha}^{\ell} v}{\sqrt{2}} \,\overline{\ell_{\alpha}} \ell_{\alpha} - \sum_{\alpha=e,\mu,\tau} \frac{y_{\alpha}^{\ell}}{\sqrt{2}} \,\overline{\ell_{\alpha}} \ell_{\alpha} h.$$
(2.68)

Therefore, the masses for the charged leptons are given by

$$m_{\alpha} = \frac{y_{\alpha}^{\ell} v}{\sqrt{2}} \qquad (\alpha = e, \mu, \tau).$$
(2.69)

The masses  $m_{\alpha}$  can be considered as free parameters of the SM and are obtained experimentally. With those results, the Yukawa coefficients  $y_{\alpha}^{\ell}$  can be extracted. From Eq. (2.69), it can be seen that the trilinear coupling between the charged leptons and the Higgs boson is proportional to the charged lepton mass.

Now we analyze the charged and neutral interactions under transformations (2.65). The CC Lagrangian for leptons is

$$\mathcal{L}_{I,L}^{CC} = -\frac{g}{2\sqrt{2}} \sum_{\alpha=e,\mu,\tau} \overline{L'_{\alpha}} \gamma^{\mu} \left(1 - \gamma^{5}\right) \left(T^{+} W_{\mu}^{+} + T^{-} W_{\mu}^{-}\right) L'_{\alpha}.$$
 (2.70)

Defining the array

$$\boldsymbol{\nu}_{L}^{\prime} \equiv \begin{pmatrix} \nu_{eL}^{\prime} \\ \nu_{\mu L}^{\prime} \\ \nu_{\tau L}^{\prime} \end{pmatrix}, \qquad (2.71)$$

the Lagrangian (2.70) can be written as

$$\mathcal{L}_{I,L}^{CC} = -\frac{g}{2\sqrt{2}} \,\overline{\boldsymbol{\nu}_L'} \gamma^{\mu} \, \boldsymbol{\ell}_L' \, W_{\mu}^+ + \text{h.c.}$$

$$= -\frac{g}{2\sqrt{2}} \,\overline{\boldsymbol{\nu}_L'} \gamma^{\mu} \left( V_L^{\ell} \, \boldsymbol{\ell}_L \right) W_{\mu}^+ + \text{h.c.}$$

$$(2.72)$$

We can transform the massless neutrino fields as

$$\boldsymbol{\nu}_{L} = V_{L}^{\ell \dagger} \, \boldsymbol{\nu}_{L}' \equiv \begin{pmatrix} \nu_{eL} \\ \nu_{\mu L} \\ \nu_{\tau L} \end{pmatrix}.$$
(2.73)

Therefore, the CC Lagrangian yields

$$\mathcal{L}_{I,L}^{CC} = -\frac{g}{2\sqrt{2}} \,\overline{\boldsymbol{\nu}_L} \gamma^{\mu} \,\boldsymbol{\ell}_L \, W^+_{\mu} + \text{h.c.}$$
  
$$= -\frac{g}{2\sqrt{2}} \sum_{\alpha=e,\mu,\tau} \,\overline{\boldsymbol{\nu}_{\alpha L}} \, \gamma^{\mu} \,\ell_{\alpha L} \, W^+_{\mu} + \text{h.c.}$$
(2.74)

The neutrino fields  $\nu_e, \nu_\mu, \nu_\tau$  are called *flavor neutrino fields*, since each of them couples with the corresponding charged lepton in the charged weak interaction given by Eq. (2.74). In the SM, with the assumption of massless neutrinos, these fields are also mass eigenstates. In Section 3, we will see that this is not longer true for massive neutrinos, implying neutrino oscillations.

In the case of neutral interactions, it can be shown that the NC Lagrangian for leptons remains the same after transformations (2.65) and (2.73).

The case for quark masses is slightly different from the lepton case, since all quarks possess a right-handed component and are massive, in contrast to neutrino fields. This difference implies the existence of mixing between mass and flavor eigenstates in the quark sector, described by the CKM matrix [56, 57]. Rather than reviewing quark masses, we will focus on the scenario with a right-handed neutrino in Section 3.

As can be seen from Eq. (2.74), weak interactions between leptons conserve a quantum number called *flavor lepton number*. The assignment is shown in Table 3. Furthermore,

Fields	$L_e$	$L_{\mu}$	$L_{\tau}$
$(\nu_e, e^-)$	+1	0	0
$( u_{\mu},\mu^{-})$	0	+1	0
$(\nu_{\tau},\tau^{-})$	0	0	+1

Table 3: Flavor lepton number assignment. For antiparticles, the corresponding number is -1.

lepton number, defined as L = 1 for leptons and L = -1 for antileptons, is conserved. This conservation is related to the invariance of the Lagrangian under a global  $U(1)_{\ell}$  symmetry<sup>5</sup>. Something similar happens in processes involving baryons, where a global symmetry  $U(1)_B$ can be associated to baryon number conservation. If a baryon number is assigned as B = 1for baryons and B = -1 for antibaryons, then B is conserved in weak interactions. However, this symmetry is anomalous in the SM, which means that while it is preserved at the classical level, it is broken at the quantum level.

From these two conserved numbers, it follows that another symmetry,  $U(1)_{B-L}$ , exists, where B-L represents the difference between baryon number and lepton number. Unlike  $U(1)_{\ell}$  and  $U(1)_{B}$ , this symmetry can be anomaly free when three right-handed neutrinos are considered. For further discussion regarding anomalies in symmetries, see Appendix A.

In BSM physics, the non-conservation of lepton number plays an important role, as we will see in following sections.

 $<sup>^5 \</sup>rm Note that we have used <math display="inline">\ell$  instead of L for the lepton number symmetry group, to avoid confusion with left-chirality.

## **3** Basics of Neutrino Physics

In this section, we shortly review the neutrino interactions in the SM, which were introduced in the previous section. We now consider massive neutrinos, extending the SM with right-handed neutrinos and introducing Majorana mass terms. We also study an important consequence of the existence of neutrino masses: neutrino mixing and oscillations. For a more complete review concerning neutrinos in general, see for example [44, 58].

## 3.1 Neutrinos in the SM

As discussed in the previous section, neutrinos are spin 1/2 fermions that have neither color nor electric charge. Therefore, they are singlets of the subgroup  $SU(3)_C \times U(1)_{EM}$ . Neutrinos are part of the lepton doublets

$$L_{L\ell} = \begin{pmatrix} \nu_\ell \\ \ell \end{pmatrix}_L, \tag{3.1}$$

where  $f_L$  is the left-handed component of the fermion f, with  $f_L = P_L f \equiv \frac{1-\gamma_5}{2} f$ . Neutrinos that appear in these doublets are called *active neutrinos*, *left-handed neutrinos* or *light neutrinos*. In the SM, there is one active neutrino for each charged lepton,  $\ell = e, \mu, \tau$ , and no right-handed neutrinos. Active neutrinos only interact through weak forces with the exchange of Z and  $W^{\pm}$  bosons. These interactions, invariant under  $SU(2)_L$ , are given by

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} \sum_{\ell} \bar{\nu}_{L\ell} \gamma^{\mu} \ell_L^- W_{\mu}^+ + \text{h.c.} \quad \text{and} \quad (3.2)$$

$$\mathcal{L}_{NC} = -\frac{g}{2\cos\theta_W} \sum_{\ell} \bar{\nu}_{L\ell} \gamma^{\mu} \nu_{L\ell} Z_{\mu}.$$
(3.3)

Here, g is the coupling constant of SU(2) and  $\theta_W$  is the Weinberg angle, as reviewed in Section 2. Eq. (3.2) corresponds to weak charged-current interactions (CC) where neutrinos interact with their associated charged leptons, and Eq. (3.3) represents neutral-current interactions (NC) where neutrinos interact with themselves. From the latter, the decay width of the Z boson into light neutrinos can be obtained. Thus, a measurement of the total decay width can indicate the number of active neutrinos. In Z decays produced in electronpositron collisions by the ALEPH, DELPHI, L3 and OPAL experiments at LEP and the SLD experiment at SLC, both reported in [54], such measurements give the result

$$N_{\nu} = 2.9840 \pm 0.0082. \tag{3.4}$$

The corresponding plot is displayed in Fig. 3, where the hadron production cross section measurements are shown around the Z resonance. Predicted cross sections for 2, 3 and 4 neutrinos are plotted considering SM couplings and negligible masses for fermions. Therefore, in the SM and in any extension of it, there should be three, and only three, light left-handed neutrinos, provided that

$$m_{\nu} < \frac{m_Z}{2}.\tag{3.5}$$

#### 3.2 Neutrino Masses

The absence of right-handed neutrinos in the SM forbids mass terms for light neutrinos at the Lagrangian level. This led to the construction of the SM with the assumption of



Figure 3: Hadron production cross section measurements around the Z resonance. The predicted cross sections for two, three and four neutrinos are shown. Plot from [54].

massless neutrino fields. However, recent experimental evidence, including the PLANCK collaboration [12], the KATRIN experiment [35, 36] and the observation of neutrino oscillations [23–34], indicates that at least two neutrinos must carry a mass of the order of 1 eV. The arising question is how this mass can be obtained within the SM framework. A minimal way to accomplish neutrino masses is adding a right-handed neutrino to the SM, obtaining the *minimally extended Standard Model*. Such addition allows two possible mass terms: Dirac masses and Majorana masses.

#### 3.2.1 Dirac Fermion Masses

By adding right-handed neutrinos to the SM, it is possible to obtain neutrino masses from the Higgs mechanism. This extension consists in the introduction of right-handed components  $\nu_{\alpha R}$  of the neutrino fields ( $\alpha = e, \mu, \tau$ ).

With these new fields, Dirac mass terms can be constructed as

$$Y_{ij}^{\nu} \overline{L^i} \widetilde{H} \nu_R^j, \tag{3.6}$$

where  $\tilde{H}$  was first introduced in Eq. (2.17), with  $\tilde{H} = i\tau_2 H^*$ . This transformation gives a Higgs doublet with hypercharge Y = -1/2. Since  $L^i$  and  $\tilde{H}$  have the same weak and hypercharge quantum numbers,  $\nu_R$  must be neutral under both the weak and electromagnetic force to maintain gauge invariance. Therefore,  $\nu_R$  is a gauge singlet under the SM, and it is usually referred as *sterile neutrino*, as its only interaction is gravitational.

It is important to note that these sterile neutrinos do not play a role in SM quantum anomalies (see Appendix A). Hence, the number of right-handed neutrino fields added is

not limited by anomaly cancellation constraints on the SM symmetry groups<sup>6</sup>. However, because of neutrino oscillation experiments, at least two right-handed neutrinos are needed to reproduce experimental data.

With the insertion of three right-handed neutrinos, the SM Higgs-lepton Yukawa Lagrangian in Eq. (2.60) is extended, giving

$$\mathcal{L}_{H,L} = -\sum_{\alpha,\beta=e,\mu,\tau} Y_{\alpha\beta}^{\prime\ell} \,\overline{L_{\alpha L}} \,H \,\ell_{\beta R}^{\prime} - \sum_{\alpha,\beta=e,\mu,\tau} Y_{\alpha\beta}^{\prime\nu} \,\overline{L_{\alpha L}} \,\widetilde{H} \,\nu_{\beta R}^{\prime} + \text{h.c.}, \qquad (3.7)$$

where  $Y^{\prime\nu}$  is a new matrix of Yukawa couplings. After SSB, the Lagrangian can be written as

$$\mathcal{L}_{H,L} = -\left(\frac{v+h}{\sqrt{2}}\right) \left[\overline{\ell'_L} Y'^{\ell} \ell'_R + \overline{\nu'_L} Y'^{\nu} \nu'_R\right] + \text{h.c.}, \qquad (3.8)$$

with the arrays  $\ell'_L, \ell'_R$  and  $\nu'_L$  defined in Eqs. (2.62) and (2.71), and the new array for right-handed neutrinos given by

$$\boldsymbol{\nu}_{R}^{\prime} = \begin{pmatrix} \nu_{eR}^{\prime} \\ \nu_{\mu R}^{\prime} \\ \nu_{\tau R}^{\prime} \end{pmatrix}.$$

$$(3.9)$$

The Yukawa matrix  $Y^{\prime\nu}$  can be diagonalized as

 $V_L^{\nu\dagger} Y'^{\nu} V_R^{\nu} = Y^{\nu}, \text{ with } Y_{kj}^{\nu} = y_k^{\nu} \delta_{kj} \quad (k, j = 1, 2, 3),$  (3.10)

with  $y_k^{\nu}$  real and positive, and  $V_L^{\nu}$  and  $V_R^{\nu}$  being  $3 \times 3$  unitary matrices. Defining the transformations

$$\boldsymbol{n}_{L} = V_{L}^{\nu \dagger} \boldsymbol{\nu}_{L} \equiv \begin{pmatrix} \nu_{1L} \\ \nu_{2L} \\ \nu_{3L} \end{pmatrix}, \qquad \boldsymbol{n}_{R} = V_{R}^{\nu \dagger} \boldsymbol{\nu}_{R} \equiv \begin{pmatrix} \nu_{1R} \\ \nu_{2R} \\ \nu_{3R} \end{pmatrix}, \qquad (3.11)$$

together with transformations (2.65) we can write the diagonalized Lagrangian as

$$\mathcal{L}_{H,L} = -\left(\frac{v+h}{\sqrt{2}}\right) \left[\overline{\boldsymbol{\ell}_L} Y^{\ell} \boldsymbol{\ell}_R + \overline{\boldsymbol{n}_L} Y^{\nu} \boldsymbol{n}_R\right] + \text{h.c.}$$

$$= -\left(\frac{v+h}{\sqrt{2}}\right) \left[\sum_{\alpha=e,\mu,\tau} y^{\ell}_{\alpha} \overline{\ell_{\alpha L}} \ell_{\alpha R} + \sum_{k=1}^3 y^{\nu}_k \overline{\nu_{k L}} \nu_{k R}\right] + \text{h.c.}$$
(3.12)

Using the Dirac fields of Eq. (2.67) and

$$\nu_k = \nu_{kL} + \nu_{kR} \qquad (k = 1, 2, 3), \tag{3.13}$$

we obtain

$$\mathcal{L}_{H,L} = -\sum_{\alpha=e,\mu,\tau} \frac{y_{\alpha}^{\ell} v}{\sqrt{2}} \,\overline{\ell_{\alpha}} \ell_{\alpha} - \sum_{k=1}^{3} \frac{y_{k}^{\nu} v}{\sqrt{2}} \,\overline{\nu_{k}} \nu_{k} - \sum_{\alpha=e,\mu,\tau} \frac{y_{\alpha}^{\ell}}{\sqrt{2}} \,\overline{\ell_{\alpha}} \ell_{\alpha} \,h - \sum_{k=1}^{3} \frac{y_{k}^{\nu}}{\sqrt{2}} \,\overline{\nu_{k}} \nu_{k} \,h. \tag{3.14}$$

<sup>&</sup>lt;sup>6</sup>As we discuss in Appendix A, if an additional symmetry is considered, for example,  $U(1)_{B-L}$ , then the number and charges of right-handed neutrinos can be constrained to maintain an anomaly free symmetry.

Therefore, neutrino masses are given by

$$m_k = \frac{y_k^{\nu} v}{\sqrt{2}}$$
  $(k = 1, 2, 3).$  (3.15)

With this derivation, neutrino masses are proportional to the Higgs VEV, v, in the same way that the rest of particles in the SM do. However, the masses of neutrinos are much smaller than those of quarks and charged leptons. For example, the mass of the lightest charged lepton, the electron, is  $m_e \approx 0.511$  MeV. Given that v = 246.22 GeV, this implies a Yukawa coefficient of  $y_e \sim 10^{-6}$ . Now, considering a neutrino with mass  $m_{\nu} \sim 10^{-12}$  GeV, its Yukawa coefficient would be  $y^{\nu} \sim 10^{-15}$ . In the minimally extended Standard Model, there is no explanation for the very small values of  $y_k^{\nu}$ .

#### 3.2.2 Majorana Neutrinos and Majorana Mass Term

Quantum Field Theory must be invariant under CPT transformations (charge conjugation, parity and time reversal)<sup>7</sup>. As such, the theory must contain the CPT conjugate of a neutrino field with spin  $\pm 1/2$  and momentum  $\vec{p}$ , which corresponds to an antineutrino:

$$CPT |\nu(\vec{p}, \pm 1/2)\rangle = |\bar{\nu}(\vec{p}, \pm 1/2)\rangle.$$
 (3.16)

Other fermions in the Standard Model are distinguishable from their respective CPT conjugates because of electric charge. However, in the case of neutrinos, there is no way to distinguish a neutrino and an antineutrino unless there is an additional quantum number. If this extra symmetry exists, then neutrinos and antineutrinos are distinguishable and they are *Dirac* particles. A natural example of this extra symmetry is lepton number, introduced in Subsection 2.5. If this is not the case, then neutrinos are the same as their antineutrinos and therefore they are defined as *Majorana* particles.

We define the charge conjugation transformation  $\mathcal{C}$  as

$$\psi \xrightarrow{\mathcal{C}} C \,\overline{\psi}^T \equiv \psi^c, \tag{3.17}$$

which transforms a particle into its antiparticle. The matrix C is the charge conjugation matrix, represented in the Dirac basis with

$$C = -i\gamma^0 \gamma^2, \tag{3.18}$$

where  $\gamma^i$  are the Dirac matrices. The charge conjugated field  $\psi^c$  transforms with the opposite chirality of  $\psi$ :

$$P_{L,R}\psi = \psi_{L,R} \xrightarrow{\mathcal{C}} \left(\psi_{L,R}^c\right) = \left(\psi^c\right)_{R,L} = P_{R,L}\psi^c.$$
(3.19)

Defining a Majorana field as

$$\psi_M = \psi_L + \psi_L^c, \tag{3.20}$$

the Majorana condition reads

$$\psi_M = \psi_M^c. \tag{3.21}$$

If neutrinos are Majorana fields, then a Majorana mass term can be constructed using only left-handed neutrinos as

$$m_L \,\overline{\nu_L^c} \nu_L + \text{h.c.} \tag{3.22}$$

<sup>&</sup>lt;sup>7</sup>A proof can be found in [59]. For a rigorous proof, see [60].



(a) Ordinary neutrino double beta decay  $(2\nu\beta\beta)$ .

(b) Neutrinoless double beta decay  $(0\nu\beta\beta)$ .

Figure 4: In 4a, ordinary neutrino double beta decay with two electrons and two electron antineutrinos emitted from the decaying nucleus. In 4b, neutrinoless double beta decay, where only electrons are emitted. This process violates lepton number by two, and it is only possible if neutrinos are Majorana particles.

This term is Lorentz-invariant, since  $\nu_L^c$  transforms as a right-handed field. If a lepton number L = 1 is assigned to neutrinos, Eq. (3.22) violates it by two units, i.e.,  $\Delta L = 2$ . Furthermore, it also violates  $U(1)_Y$  and  $SU(2)_L$ , but preserves  $U(1)_{EM}$ <sup>8</sup>. Hence, if it exists, then it should be derived from a theory beyond the SM.

If right-handed neutrinos are considered, the most general mass expression including Dirac and Majorana terms is written as

$$m_D \overline{\nu_R} \nu_L + \frac{1}{2} m_L \overline{\nu_L^c} \nu_L + \frac{1}{2} m_R \overline{\nu_R^c} \nu_R + \text{h.c.}, \qquad (3.23)$$

where  $m_D$  has the form of Eq. (3.15). The possibilities for this mass term are

- $m_L = m_R = 0$ : Dirac neutrinos with mass  $m_D$ .
- $m_D = 0$ :  $\nu_L$  and  $\nu_R$  are both Majorana neutrinos with masses  $m_L$  and  $m_R$ , respectively.
- $m_D \neq 0$  and at least  $m_R \neq 0$  or  $m_L \neq 0$ : neutrinos are Majorana, and their masses are obtained diagonalizing the mass matrix. As we will see in Subsection 4.2, the case for  $m_L = 0$  and  $m_R \neq 0$  corresponds to the so-called *seesaw mechanism*.

If neutrinos are Majorana particles, there is a possibility to measure the neutrinoless double beta decay process [37], displayed in Fig. 4. However, this process has never been observed despite experimental efforts [38–41]. Consequently, when neutrino masses are studied with SM extensions, both natures, Majorana and Dirac, are still considered.

<sup>&</sup>lt;sup>8</sup>Note that in the case of a Majorana mass term for sterile neutrinos  $m_R \overline{\nu_R^c} \nu_R$  the gauge symmetries of the SM are preserved.

### 3.3 Neutrino Mixing and Oscillations

In 1957, Bruno Pontecorvo initially introduced the idea of neutrino oscillations, exploring neutrino–antineutrino mixing inspired by the oscillation behavior observed in neutral kaons [61, 62]. In 1962, Maki, Nakagawa, and Sakata proposed that interaction and propagation eigenstates in neutrinos could be different [63]. The notion that oscillations result from interference among distinct massive neutrinos was subsequently developed by Pontecorvo [64].

In the Homestake experiment [65], during the late 1960s, using a detector based on the reaction

$$\nu_e + {}^{37}\text{Cl} \to e^- + {}^{37}\text{Ar},$$
 (3.24)

it was observed a deficit in the flux of electron neutrinos from the sun with respect to the prediction of the SM and the Solar Standard Model. This discrepancy was known as the *solar neutrino problem*. The results were confirmed later in 1989 by the Kamiokande experiment [66] with Cherenkov effect based detectors. In these, the neutrino-electron scattering process

$$\nu_e + e \to \nu_e + e, \tag{3.25}$$

produces charged electrons propagating through water and emitting cones of light, detected by photomultiplier tubes (PMTs). However, the origin for this deficit was not explained until the detection of solar neutrinos in flavor-sensitive processes by the Sudbury Neutrino Observatory [25] in 2002. It was possible to distinguish outcomes from different neutrino flavors with the CC, NC and electron scattering (ES) reactions using deuterium:

$$CC: \nu_e + d \to p + p + e,$$
  

$$NC: \nu_{e,\mu,\tau} + d \to p + n + \nu_{e,\mu,\tau},$$
  

$$ES: \nu_{e,\mu,\tau} + e \to e + \nu_{e,\mu,\tau}.$$
(3.26)

The three neutrino flavors interact through the exchange of a Z boson, whereas neutrino electrons also interact by the exchange of W bosons. With this experiment, it was confirmed that solar neutrinos were oscillating, finally explaining the flux deficit.

On the other hand, the detection of atmospheric neutrinos led to a similar puzzle regarding neutrino fluxes. Atmospheric neutrinos are produced from collisions of cosmic rays with nuclei in the atmosphere. This production is dominated by the processes

$$\pi^+ \to \mu^+ + \nu_\mu \qquad (3.27)$$
$$\to \mu^+ \to e^+ + \overline{\nu_\mu} + \nu_e.$$

A similar decay occurs for  $\pi^-$  particles. From this chain, a ratio for the fluxes of muon neutrinos and electron neutrinos  $\nu_{\mu}/\nu_e$  of 2 to 1 is expected. However, the Super-Kamiokande experiment [24] found a dependence of the muon neutrino flux with the zenith angle, with the number of upward-going muon neutrinos (generated on the other side of the Earth) with a deficit respect to downward-going muon neutrinos. This was explained again with neutrino oscillations, since these depend on the travelled distance.

Reactor anti-neutrino disappearance was first reported by the KamLAND collaboration [26]. Later, disappearance of reactor electron antineutrinos was also observed in the Double Chooz

experiment [30] using two detectors at different distances from the reactor. In this shortbaseline oscillation experiment, the reactor mixing angle, to be introduced in Subsection 3.3.3, was measured. This results were confirmed by the Daya Bay [31] and RENO [32] reactor neutrino observatories.

Furthermore, neutrino oscillations in neutrino beams have been also detected, for example by the K2K [27], MINOS [28] and Super-Kamiokande experiments.

#### 3.3.1 Neutrino mixing

Oscillation of neutrinos arise from the mixing between their mass and flavor eigenstates. This mixing is a consequence of the mismatch between the three neutrino states that interact with the charged leptons and the three propagating neutrino states of definite mass. That is, neutrinos are absorbed and emitted through weak processes in flavor eigenstates, but travel as mass eigenstates. Both basis are related by means of a unitary mixing matrix, similar to the Cabibbo-Kobayashi-Maskawa (CKM) mixing matrix in the quark sector [56, 57]. The linear combinations are then

$$|\nu_{\alpha}\rangle = \sum_{i} U_{\alpha i} |\nu_{i}\rangle, \qquad (3.28)$$

$$|\nu_i\rangle = \sum_{\alpha} U_{i\alpha}^* |\nu_{\alpha}\rangle. \tag{3.29}$$

Here,  $|\nu_{\alpha}\rangle$  is a neutrino with definite flavor  $\alpha = e, \mu, \tau, |\nu_i\rangle$  is a neutrino with definite mass  $m_i$  where i = 1, 2, 3, and U is the lepton mixing matrix or PMNS matrix (Pontecorvo–Maki–Nakagawa–Sakata). When three active neutrinos are considered, the matrix is  $3 \times 3$ :

$$U_{\rm PMNS} \equiv U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}.$$
 (3.30)

Using the PDG parametrization [9], the PMNS matrix is written as

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

$$(3.31)$$

where  $c_{ij} = \cos \theta_{ij}$  and  $s_{ij} = \sin \theta_{ij}$  respectively. The phase  $\delta$  is also known as Dirac phase, and accounts for CP violation. If Majorana neutrinos are considered, then extra phases enter in the PMNS matrix as

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

$$(3.32)$$

The phases  $\alpha_1$  and  $\alpha_2$  are non-vanishing only if neutrinos are Majorana particles, and they do not contribute to oscillation phenomena.

#### 3.3.2 Oscillation Probability

We want to know the time evolution of a neutrino. This is achieved with the evolution operator as

$$|\nu_i(t)\rangle = e^{-iE_i t} |\nu_i(0)\rangle. \tag{3.33}$$

In the ultra-relativistic limit, in which  $p_i \gg m_i$ , and assuming that all states *i* have the same momenta, we derive

$$E_{i} = \sqrt{p_{i}^{2} + m_{i}^{2}} \simeq p_{i} + \frac{m_{i}^{2}}{2p_{i}} \approx E + \frac{m_{i}^{2}}{2E},$$
(3.34)

where E is the energy of the particle viewed as a wavepacket. In the ultra-relativistic limit, we can take  $t \sim L$ :

$$|\nu_i(t)\rangle = e^{-iEL} e^{-i\left(\frac{m_i^2 L}{2E}\right)} |\nu_i(0)\rangle.$$
(3.35)

The probability of an initial neutrino of flavour  $\alpha$  oscillating to a flavour  $\beta$  at a time t is given by

$$P_{\alpha \to \beta} = \left| \left\langle \nu_{\beta} \left| \nu_{\alpha}(t) \right\rangle \right|^{2} = \left| \sum_{i} U_{\alpha i}^{*} U_{\beta i} e^{-i \frac{m_{i}^{2} L}{2E}} \right|^{2}, \qquad (3.36)$$

where we have used Eq. (3.28). Note that the exponential term  $e^{-iEL}$  in Eq. (3.35) disappears in the transition probability. The final expression yields

$$P_{\alpha \to \beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re} \left[ U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right] \sin^2 \left( \frac{\Delta m_{ij}^2 L}{4E} \right) + 2 \sum_{i>j} \operatorname{Im} \left[ U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right] \sin \left( \frac{\Delta m_{ij}^2 L}{2E} \right),$$

$$(3.37)$$

with  $\Delta m_{ij}^2 = m_i^2 - m_j^2$ . It is evident from here that, in order to have oscillations, at least two neutrinos must be massive.

Neutrino oscillations violate flavor lepton number, but total lepton number is still conserved.

#### 3.3.3 Oscillation Parameters

Neutrino oscillations depend on three mixing angles  $\theta_{ij}$ , a CP violation phase  $\delta$  and the squared mass differences  $\Delta m_{ij}^2$ . Oscillation experiments can be categorized based on the source of the observed neutrinos, namely solar, atmospheric, and reactor neutrino experiments. Each of these experiments has measured specific parameters, which further serve to define their names:  $\theta_{12}$  and  $\Delta m_{12}^2$  are also called solar parameters ( $\Delta m_{12}^2 \equiv \Delta m_{sol}^2$ ),  $\theta_{23}$  and  $\Delta m_{23}^2$  are atmospheric parameters ( $\Delta m_{23}^2 \equiv \Delta m_{atm}^2$ ), and  $\theta_{13}$  is the nuclear mixing angle.

There are two possible hierarchies for neutrino masses, shown in Fig. 5. In normal ordering (NO), the hierarchy is  $m_1 < m_2 < m_3$ . In Inverted Ordering (IO), is  $m_3 < m_2 < m_1$ .

The best fit values for neutrino oscillation parameters are presented in Table 4, obtained from the Valencia global fit collaboration [68]. See [69] for the global fit of the NuFIT collaboration.



Figure 5: Possible orderings of the neutrino mass eigenstates. The contribution of each flavour is represented by colours: electron, muon and tau are given in red, blue and green, respectively. Figure from [67].

Para	ameters	Best Fit $\pm 1\sigma$	$3\sigma$ Range
$\Delta m_{21}^2:$	$[10^{-5} eV^2]$	$7.50^{+0.22}_{-0.20}$	6.94 - 8.14
$ \Delta m_{31}^2 $ : [1	$0^{-3} eV^2$ ] (NO)	$2.55_{-0.03}^{+0.02}$	2.47 - 2.63
$ \Delta m_{31}^2 $ : [1	$10^{-3} eV^2$ ] (IO)	$2.45_{-0.03}^{+0.02}$	2.37 - 2.53
$\sin^2 \theta$	$\theta_{12}/10^{-1}$	$3.18\pm0.16$	2.71 - 3.69
$\sin^2 heta_{23}/$	$10^{-1}$ (NO)	$5.74 \pm 0.14$	4.34 - 6.10
$\sin^2 \theta_{23}$	$/10^{-1}$ (IO)	$5.78^{+0.10}_{-0.17}$	4.33 - 6.08
$\sin^2  heta_{13}/$	$(10^{-2} \text{ (NO)})$	$2.200^{+0.069}_{-0.062}$	2.000 - 2.405
$\sin^2  heta_{13}$	$/10^{-2}$ (IO)	$2.225_{-0.070}^{+0.064}$	2.018 - 2.424
$\delta_{CP}/$	$\pi$ (NO)	$1.08^{+0.13}_{-0.12}$	0.71 - 1.99
$\delta_{CP}$	$/\pi$ (IO)	$1.58^{+0.15}_{-0.16}$	1.11 - 1.96

Table 4: Global fit for neutrino oscillation parameters, given by [68].


Figure 6: Probability of an initial electron neutrino  $\nu_e$  transforming into  $\nu_{e,\mu,\tau}$  (red, blue and green) in vacuum vs. L/E. In 6a, the probability is shown for long range ratios, whereas in 6b is displayed for short range.

The probability of an initial electron neutrino transforming into another flavor (or the same flavor) in vacuum is displayed in Fig. 6. The horizontal axis corresponds to the relation L/E in Km/GeV. The oscillation parameters used for the probability are those of the best fit [68]. In disappearance and appearance experiments, a deficit in the neutrino flux of a determined flavor is measured. This can be understood with the probability plots, where, for example, at a value of  $L/E \sim 15000$  Km/GeV, electron neutrinos are less likely to be observed compared to other distances.

Neutrino oscillations also occur in matter [70], with a change in the probability due to NC and CC interactions of  $\nu_e$  with electrons, and NC reactions for  $\nu_{\mu}$  and  $\nu_{\tau}$ . Then, for example, in solar neutrino detectors, neutrino oscillations inside the Sun must be considered for the calculation of probabilities. A review about neutrino oscillations in matter can be found in [71, 72].

# 4 Neutrino Mass Generation

Neutrino masses represent BSM physics. Originally postulated massless, it is until experiments observed oscillations that we know that neutrinos should carry a mass. However, its generation together with a natural explanation for their smallness is currently unknown.

We review the most popular mechanisms to generate Majorana neutrino masses. These represent UV realizations of the Weinberg operator to be introduced below. Each model has its own implications and phenomenology, briefly discussed throughout this section.

# 4.1 Dim-5 Weinberg Operator

If we allow non-renormalizable operators in the SM, a dim-5 neutrino mass term can be constructed using only SM fields. This effective operator violates lepton number, is unique and has the form

$$\mathcal{O}_W^{(5)} = \frac{c_{\alpha\beta}}{\Lambda} \overline{L_\alpha^c} L_\beta \widetilde{H}^* \widetilde{H}^\dagger + \text{h.c.}, \qquad (4.1)$$

with  $\tilde{H} = i\sigma^2 H^*$ ,  $c_{\alpha\beta}$  a model-dependent coefficient and  $\Lambda$  the scale of new physics. This operator was first proposed by Weinberg in 1979 [73], so it is called the *dim-5 Weinberg Operator*, and its Feynman diagram is shown in Figure 7. After electroweak symmetry



Figure 7: Feynman diagram of the dim-5 Weinberg operator. This interaction is effective, and therefore non-renormalizable.

breaking, the Weinberg operator gives rise to Majorana neutrino masses:

$$\mathcal{O}_W^{(5)} \to \frac{v^2}{2\Lambda} c_{\alpha\beta} \,\overline{\nu_\alpha^c} \,\nu_\beta,\tag{4.2}$$

with v the VEV of the SM Higgs. Therefore, the neutrino mass scale is approximately

$$m_{\nu} \sim c_{\alpha\beta} \frac{v^2}{\Lambda}.$$
 (4.3)

Considering a SM VEV of  $v \sim 10^2$  GeV, the upper bound for neutrino masses leads to  $c_{\alpha\beta}/\Lambda \sim 10^{-14} \text{ GeV}^{-1}$ . Assuming a coefficient  $c_{\alpha\beta} \sim O(1)$ , the scale for new physics is given by  $\Lambda \sim 10^{14} \text{ GeV}$ , being far from current experimental energy limits. However, if we consider a more suppressed  $c_{\alpha\beta}$ , then the scale  $\Lambda$  can be lowered, being potentially accessible



Figure 8: The three canonical types of the seesaw mechanism, which are UV realizations of the dim-5 Weinberg operator at tree-level. In type-I, a singlet fermion N is introduced, whereas in type-II and type-III a triplet scalar  $\Delta$  and a triplet fermion  $\Sigma$  are introduced, respectively.

to experiments. Such suppression can be achieved considering a radiative mass generation mechanism in which  $c_{\alpha\beta} \propto (\frac{1}{16\pi^2})^n$ , where *n* is the number of loops.

Having a mass dimension of five, the Weinberg operator is a non-renormalizable effective operator. To generate masses coming from this operator, a UV realization is needed, where Eq. (4.1) is constructed using renormalizable and gauge invariant operators. This is achieved considering extensions of the SM, with the addition of new fields and symmetries. There are several UV realizations proposed in the literature, both at tree-level and radiative. In the next sections, we review the seesaw mechanism and the Scotogenic model, which correspond to tree-level and one-loop level completions respectively.

### 4.2 Tree-level Realizations: Seesaw Mechanism

The most straightforward way to complete the Weinberg operator is at tree-level. Taking (4.1) and recalling that  $\tilde{H} = i\sigma^2 H^*$ , by Fierz transformations we have the equalities

$$\left(\overline{L_{\alpha}^{c}}\widetilde{H}^{*}\right)\left(\widetilde{H}^{\dagger}L_{\beta}\right) = \frac{1}{2}\left(\overline{L_{\alpha}^{c}}\sigma^{i}L_{\beta}\right)\left(\widetilde{H}^{\dagger}\sigma^{i}\widetilde{H}^{*}\right) = -\left(\overline{L_{\alpha}^{c}}\sigma^{i}\widetilde{H}^{*}\right)\left(\widetilde{H}^{\dagger}\sigma^{i}L_{\beta}\right),\tag{4.4}$$

where we have used

$$(\sigma^i)_{ab} (\sigma^i)_{cd} = 2 \,\delta_{ad} \,\delta_{bc} - \delta_{ab} \,\delta_{cd}, \tag{4.5}$$

with a, b, c, d being SU(2) indices. In Eq. (4.4), the first term can be completed with the coupling of LH to a fermion singlet under the SM, the second term with a scalar triplet with hypercharge 1 and the last to a fermion triplet with zero hypercharge. Each one of these cases defines a different type of the seesaw mechanism, with the corresponding diagram shown in Fig. 8.

#### 4.2.1 Type-I Seesaw

As we reviewed in Section 3.2, neutrino masses can be generated by adding a right-handed neutrino  $\nu_R$  with mass  $m_R$  to the SM. The UV completion of the Weinberg operator with just right-handed neutrinos corresponds to the type-I seesaw mechanism [74, 75]. To reproduce neutrino oscillations data, we need at least two copies of this right-handed neutrino.

Recalling the mass terms of Eq. (3.23), if we consider the case  $m_L = 0$ , the relevant Lagrangian for neutrino masses can be rewritten as

$$\mathcal{L}_{\nu_{I}} = \frac{1}{2} \begin{pmatrix} \overline{\nu_{L}^{c}} & \overline{\nu_{R}} \end{pmatrix} \mathcal{M}_{\nu} \begin{pmatrix} \nu_{L} \\ \nu_{R}^{c} \end{pmatrix} + \text{h.c.}, \qquad (4.6)$$

with the neutrino mass matrix given by

$$\mathcal{M}_{\nu} = \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix}. \tag{4.7}$$

Considering the limit  $m_R \gg m_D^9$ , the eigenvalues for the neutrino mass matrix can be approximated to

$$m_1 \approx -m_D \cdot m_R^{-1} \cdot m_D, \tag{4.8}$$

$$m_2 \approx m_R. \tag{4.9}$$

Therefore, masses for a light and a heavy neutrino,  $m_1$  and  $m_2$ , are obtained. The origin for the term "seesaw" comes from the relation between these masses: as one gets larger, the other gets smaller.

If the Dirac mass term is given through a Yukawa coupling y, i.e,

$$y \,\overline{L}H\nu_R \tag{4.10}$$

then  $m_D = v y / \sqrt{2}$  after SSB, and

$$m_1 \approx \frac{v^2 y^2}{2m_R}.\tag{4.11}$$

While the smallness of neutrino masses can be achieved with this mechanism, imposing  $m_1 \sim 1 \,\text{eV}$  and  $y \sim \mathcal{O}(1)$  implies  $m_2 \sim 10^{13} \,\text{GeV}$ . A smaller value for y could also be considered natural. However, taking  $y^2 \sim 10^{-4}$ , makes  $m_2 \sim 10^9 \,\text{GeV}$ , which is still far beyond experimental limits for detection.

#### 4.2.2 Type-II Seesaw

In the type-II seesaw, a scalar triplet with hypercharge 1 is added to the SM [76–79]. This triplet is  $\Delta = (\Delta^{++}, \Delta^{+}, \Delta^{0})$  and it is usually expressed in the double representation of  $SU(2)_{L}$  as

$$\Delta = \begin{pmatrix} \Delta^+ / \sqrt{2} & \Delta^{++} \\ \Delta^0 & -\Delta^+ / \sqrt{2} \end{pmatrix}.$$
 (4.12)

The relevant Lagrangian terms are

$$\mathcal{L}_{\nu_{II}} = \left( Y_{\Delta} \,\overline{L^c} \Delta L - \mu \,\widetilde{H}^{\dagger} \Delta^{\dagger} H + \text{h.c} \right) + M_{\Delta}^2 \,\Delta^{\dagger} \Delta. \tag{4.13}$$

The simultaneous presence of  $Y_{\Delta}$  and  $\mu$  implies lepton number violation by two units, having as possibilities L = -2 or L = 0 for  $\Delta$ . Additionally, the presence of the  $\mu$  term induces

<sup>&</sup>lt;sup>9</sup>For example, having  $m_D \sim \mathcal{O}(m_e, m_\mu, m_\tau)$  and  $m_R \sim \mathcal{O}(10^{14} \,\text{GeV})$ , which is the scale of new physics derived from the Weinberg operator considering couplings of  $\mathcal{O}(1)$ .

a VEV  $\langle \Delta \rangle \neq 0$  when  $\langle H \rangle \neq 0$ . This can be derived from the tadpole equations of the potential terms in (4.13). After SSB, we have

$$\frac{\partial V}{\partial v_{\Delta}}\Big|_{\Psi=0} = 0 \quad \Rightarrow \quad v_{\Delta} = \frac{\mu v^2}{\sqrt{2}M_{\Delta}^2}.$$
(4.14)

Therefore, the smallness of the neutrino masses depends of the smallness of the VEV  $v_{\Delta}$ , with

$$m_{\nu} = Y_{\Delta} \frac{v_{\Delta}}{\sqrt{2}}.\tag{4.15}$$

The seesaw mechanism here is dictated by the dependence of  $v_{\Delta}$  with  $M_{\Delta}$ : the larger the mass of  $\Delta$ , the smaller the mass of the neutrinos.

#### 4.2.3 Type-III Seesaw

The type-III seesaw [80] is similar to the type-I, but now the added fermion is a triplet  $\Sigma = (\Sigma^+, \Sigma^0, \Sigma^-)$  with zero hypercharge. The Lagrangian is

$$\mathcal{L}_{\nu_{III}} = \frac{1}{2} \begin{pmatrix} \overline{\nu_L^c} & \overline{\Sigma}^0 \end{pmatrix} \mathcal{M}_{\nu} \begin{pmatrix} \nu_L \\ \Sigma^{0c} \end{pmatrix} + \text{h.c.}, \qquad (4.16)$$

with the corresponding mass matrix

$$\mathcal{M}_{\nu} = \begin{pmatrix} 0 & m_D \\ m_D & m_{\Sigma} \end{pmatrix}. \tag{4.17}$$

While it has the same structure as the seesaw type-I, the gauge indices are contracted differently because of the triplet structure. The neutrino masses have an analogous expression given by

$$m_1 \approx m_D \cdot m_{\Sigma}^{-1} \cdot m_D. \tag{4.18}$$

The main difference between the type-I and type-III seesaws is the phenomenology associated to the charged components of  $\Sigma$ . Note that  $\Sigma^+$  and  $\Sigma^-$  do not participate in the mass mechanism, as can be seen from Eq. (4.16).

### 4.3 A One-Loop Realization: Scotogenic Model

The dim-5 Weinberg operator can also be generated through radiative mechanisms. From these, the first proposed models were the Zee model [81] and the Zee-Babu model [82, 83] at one- and two-loop level respectively. Another one-loop mechanism is the Scotogenic model [84]. Here we will discuss the latter, being closely related to the models to be introduced in Sections 5 and 6.

The Scotogenic<sup>10</sup> model is one of the most popular neutrino mass models, because it links neutrino masses with dark matter. This model consists of a one-loop UV completion of the Weinberg Operator (4.1) given an extra  $Z_2$  discrete symmetry (also known as parity symmetry, with  $\pm 1$  as possible charges). This extension of the SM reads

$$SU(3)_C \times SU(2)_L \times U(1)_Y \times Z_2, \tag{4.19}$$

 $<sup>^{10}\</sup>mathrm{From}$  ancient Greek, "scoto" means "darkness", while "genic" means "generated".

where the  $Z_2$  symmetry is preserved after EWSB [85]. The particle content of the model is presented in table 5. As a UV realization of the Weinberg operator, new fields are added: three Majorana neutrinos  $N_i$  singlets under the SM, and an inert scalar doublet  $\eta$ . These new particles are odd (negative charge) under  $Z_2$ , while the SM particles are even (positive charge).

	Fields	$SU(2)_L \otimes U(1)_Y$	$Z_2$
Fermions	$L_i \\ e_{R_i} \\ N_i$	$egin{aligned} & (2,-1/2) \ & (1,-1) \ & (1,0) \end{aligned}$	$^{+1}_{+1}_{-1}$
Scalars	$\left  \begin{array}{c} H^+, H^0 \\ \eta^+, \eta^0 \end{array} \right $	$({f 2},1/2) \ ({f 2},1/2)$	$^{+1}_{-1}$

Table 5: Particle content in the Scotogenic Model [84], with  $i \in \{1, 2, 3\}$ .

Because of the extra  $Z_2$  symmetry, Dirac mass terms of the form  $f_{ij} \bar{\nu}_i H N_j$  are forbidden, and neutrinos remain massless at tree-level. The relevant Yukawa interactions are given by

$$\mathcal{L}_Y = f_{ij} \left( H^- \nu_i + \overline{H}^0 \ell_i \right) \ell_j^c + y_{ij} \left( \nu_i \eta^0 - \ell_j \eta^+ \right) N_j + \text{h.c.}, \qquad (4.20)$$

while the scalar potential of the model is

$$V = \mu_H^2 H^{\dagger} H + \mu_{\eta}^2 \eta^{\dagger} \eta + \frac{1}{2} \lambda_1 \left( H^{\dagger} H \right)^2 + \frac{1}{2} \lambda_2 \left( \eta^{\dagger} \eta \right) + \lambda_3 \left( H^{\dagger} H \right) \left( \eta^{\dagger} \eta \right) + \lambda_4 \left( H^{\dagger} \eta \right) \left( \eta^{\dagger} H \right) + \frac{1}{2} \lambda_5 \left[ \left( H^{\dagger} \eta \right)^2 + \text{h.c.} \right].$$

$$(4.21)$$

The one-loop mechanism is constructed with the terms

$$\frac{1}{2}M_i \,\overline{N_i^c}N_i + \text{h.c.},\tag{4.22}$$

$$\frac{1}{2}\lambda_5(H^{\dagger}\eta)^2 + \text{h.c.}$$
(4.23)

Operators (4.22) and (4.23) violate lepton number by two units, whether if a lepton number is assigned to  $N_i$  or to  $\eta$ . From the potential, the masses for the particles are given by

$$m^2(\sqrt{2}H_R^0) = 2\lambda_1 v^2,$$
 (4.24)

$$m^2(\eta^{\pm}) = m_2^2 + \lambda_3 v^2, \tag{4.25}$$

$$m^{2}(\sqrt{2}\eta_{R}^{0}) = m_{2}^{2} + (\lambda_{3} + \lambda_{4} + \lambda_{5})v^{2} \equiv m_{R}^{2}, \qquad (4.26)$$

$$m^{2}(\sqrt{2}\eta_{I}^{0}) = m_{2}^{2} + (\lambda_{3} + \lambda_{4} - \lambda_{5})v^{2} \equiv m_{I}^{2}.$$
(4.27)

The mass mechanism of the Scotogenic model is shown in Fig. 9. In the mass basis, the



Figure 9: Feynman diagram of the one-loop neutrino mass generation mechanism in the Scotogenic Model [84].

diagram is calculable and yields

$$(\mathcal{M}_{\nu})_{ij} = \sum_{k} \frac{y_{ik} y_{jk} M_k}{32\pi^2} \left[ \frac{m_R^2}{m_R^2 - M_k^2} \ln \frac{m_R^2}{M_k^2} - \frac{m_I^2}{m_I^2 - M_k^2} \ln \frac{m_I^2}{M_k^2} \right].$$
(4.28)

From Eqs. (4.26) and (4.27), the mass splitting between the scalar and the pseudoscalar is given by the coupling  $\lambda_5$  as

$$m_R^2 - m_I^2 = 2\lambda_5 v^2. (4.29)$$

If we consider

$$2\lambda_5 v^2 \ll \frac{m_R^2 + m_I^2}{2} = m_0^2, \tag{4.30}$$

and the limit where  $M_k^2 \approx m_0^2$ , expression (4.28) reduces to

$$(\mathcal{M}_{\nu})_{ij} \approx \frac{\lambda_5 v^2}{32\pi^2} \sum_k \frac{y_{ik} y_{jk}}{M_k}.$$
(4.31)

Comparing to the seesaw type-I in Eq. (4.11), neutrino masses get an additional suppression given by the factor  $\lambda_5/16\pi^2$ . Considering  $\lambda_5 \sim y^2 \sim 10^{-4}$ <sup>11</sup>, the scale is then reduced from 10<sup>9</sup> GeV in the seesaw type-I to just 10<sup>3</sup> GeV in the Scotogenic model, feasible for experimental exploration.

#### 4.3.1 Dark matter in the Scotogenic Model

In the model, there is a dark matter candidate. Due to the  $Z_2$  symmetry, a decay of a dark particle into only SM matter is forbidden by charge conservation. Therefore, the lightest particle odd under  $Z_2$  will be stable. This is represented schematically in Figure 10. In the Scotogenic model, there can be a scalar or a fermionic dark matter candidate, namely, the lightest particle between  $\eta$  and the three fermions  $N_i$ .

<sup>&</sup>lt;sup>11</sup>Considering the *naturalness* definition of G. 't Hooft [86], a small value for  $\lambda_5$  could be considered natural since when  $\lambda_5 \to 0$ , lepton number is conserved, increasing the symmetry of the model.



(a) Not allowed decay of dark particles into purely (b) Allowed decay of dark particles into SM and lighter dark particles.



(c) Allowed decay of dark particles into an even number of lighter dark particles.

Figure 10: Diagram of dark matter stability under a  $Z_2$  symmetry. A dark particle can not decay into purely SM particles because of charge conservation. However, it is possible for a dark particle to decay into SM and a lighter dark particle, or an even number of lighter dark particles. Therefore, the lightest dark particle is stable, and it is a dark matter candidate.

Considering the fermionic case, and assuming  $M_1 < M_2 < M_3 < m_{\eta^{\pm}}$ , the following decays are possible:

$$\eta^{\pm} \to \ell^{\pm} N_{1,2,3},$$
 (4.32)

followed by

$$N_3 \to \ell^{\pm} \, \ell^{\mp} \, N_{1,2} \qquad \text{and} \tag{4.33}$$

$$N_2 \to \ell^\pm \, \ell^\mp \, N_1 \tag{4.34}$$

through the exchange of  $\eta^{\pm}$ . In the scalar case, in which all  $M_k$  are greater than the mass of  $\eta$ , the following decays could be observed:

$$N_{1,2,3} \to \ell^{\pm} \eta^{\mp}, \tag{4.35}$$

$$\eta^{\mp} \to \eta^0 + W^{\mp}, \tag{4.36}$$

where the W boson becomes a lepton or quark pair through weak interaction.

The model also allows for Lepton Flavor Violation (LFV) processes trough Yukawa interaction with  $\eta$ . These will be of interest for our one-loop and two-loop model of Sections 5 and 6. More discussion regarding this phenomenological implication will be postponed until then.

# 4.4 $Z_n$ and U'(1) Models

In the Scotogenic model, the  $Z_2$  symmetry is imposed by hand, allowing radiative neutrino masses and a stable dark matter candidate. However, other symmetries can be proposed for the same purpose, resulting in a different phenomenology.

Consider the finite cyclic group  $Z_n$ , whose elements are powers of a particular element  $\omega$  with  $\omega^n = \omega^0 = 1$ . Under this group, particle fields transform as

$$\psi \to \omega^k \,\psi,\tag{4.37}$$

where  $\omega$  is the *n*-th root of unity:

$$\omega = e^{\frac{2\pi i}{n}}.\tag{4.38}$$

In Eq (4.37), k represent the  $Z_n$  charge of  $\psi$ , and its power dictates if  $\psi$  is odd or even under the symmetry. In the  $Z_2$  case:

Different  $Z_n$  symmetries can be used to build new models according to the particle content and desired phenomenology. The motivation is to forbid unwanted terms and to ensure stability for dark matter. Distinct  $Z_n$  have implications in the particle nature of neutrinos, allowing Dirac or Majorana mass terms [87]. As example, a two-loop model with a  $Z_3$ symmetry is presented in [88].

A  $Z_n$  symmetry can also be obtained from spontaneously breaking of a U'(1) symmetry [89]. This is the case for extension models of the form

$$SU(3)_C \times SU(2)_L \times U(1)_Y \times U'(1), \tag{4.40}$$

where the extra U'(1) can be taken from some symmetry already present in the SM. A natural selection is the accidental  $U(1)_{\ell}$  lepton number symmetry. With this possibility, the symmetry  $Z_n$  that was previously imposed without further explanation now is motivated from the breaking of an apparent symmetry of the SM [87, 90, 91]:

$$SU(2)_L \times U(1)_Y \times U(1)_\ell \to U(1)_{EM} \times Z_n.$$

$$(4.41)$$

A consequence of taking a U'(1) symmetry is the presence of extra bosons, derived from the SSB process. When a global U(1) symmetry is considered, a Goldstone boson is generated, which usually receives the name of majoron [79, 92].

Another possibility is the  $U(1)_{B-L}$  symmetry. The special feature of this symmetry is that it can be anomaly free with the addition of right-handed neutrinos (see Appendix A) so it can be gauged to obtain a richer phenomenology, including the existence of a gauge boson. This is the case of Z' models.

In next sections, we propose a neutrino mass model with a  $Z_4$  symmetry spontaneously broken to a  $Z_2$ , and another with a  $U(1)_{B-L}$  spontaneously broken to a  $Z_3$  symmetry.

# 5 One-Loop Mass Generation Model

In this section, we introduce a new proposal for a radiative Majorana neutrino mass model. We extend the SM with a discrete  $Z_4$  symmetry, spontaneously broken to  $Z_2$ . We include three singlet fermions, along with a doublet and a singlet scalar. The lightest of these particles will represent a dark matter candidate, stabilized by the preserved  $Z_2$  symmetry. Additionally, we include a singlet scalar which gets mixed with the Higgs particle and opens annihilation channels for dark matter. This model will generate neutrino masses through a one-loop mechanism. We explore the model, including a brief discussion regarding dark matter phenomenology when the dark matter candidate is a fermion.

# 5.1 The Model

We work in an extension of the SM given as

$$SU(3)_C \times SU(2)_L \times U(1)_Y \times Z_4, \tag{5.1}$$

where we have imposed an extra  $Z_4$  symmetry. The matter content of the model is shown in Table 6. In the fermionic sector, we add three fermions  $N_k$  singlets of the SM, with

	Fields	$SU(2)_L \otimes U(1)_Y$	$Z_4$	$Z_2$
Fermions	$L_j \\ e_{R_j} \\ N_k$	$egin{aligned} ({f 2},-1/2)\ ({f 1},-1)\ ({f 1},0) \end{aligned}$	$\begin{array}{c} 1 \\ 1 \\ i \end{array}$	$1 \\ 1 \\ -1$
Scalars	$egin{array}{c} H \ \eta \ \sigma \ \phi \end{array}$	$\begin{array}{c} ({\bf 2},1/2) \\ ({\bf 2},1/2) \\ ({\bf 1},0) \\ ({\bf 1},0) \end{array}$	$\begin{vmatrix} 1\\i\\i\\-1 \end{vmatrix}$	$     \begin{array}{c}       1 \\       -1 \\       -1 \\       1     \end{array} $

Table 6: Particle content of the model with  $j \in \{e, \mu, \tau\}$  and  $k \in \{1, 2, 3\}$ . All the fields listed are  $SU(3)_C$  singlets. Fields with charges *i* under  $Z_4$  correspond to dark matter candidates. The scalar field  $\phi$  is real.

imaginary charge  $e^{\frac{2\pi i}{4}} = i$  under  $Z_4$ . In the scalar sector, we add a scalar doublet  $\eta$  with the same hypercharge as the Higgs boson and a scalar  $\sigma$  singlet under the SM. Both fields transform as *i* under  $Z_4$ . Additionally, we include a real singlet scalar  $\phi$  with a -1 charge under  $Z_4$ . The extra symmetry of the model is spontaneously broken to  $Z_2$ :

$$SU(2)_L \times U(1)_Y \times Z_4 \to U(1)_{EM} \times Z_2.$$
(5.2)

Therefore, fields with imaginary charge i under  $Z_4$  will be protected by a residual  $Z_2$  symmetry after SSB, with the lightest particle being a dark matter candidate.

Before SSB, the scalar potential is given by

$$V = \mu_{H}^{2} \left( H^{\dagger} H \right) + \mu_{\eta}^{2} \left( \eta^{\dagger} \eta \right) + \mu_{\sigma}^{2} \left( \sigma^{*} \sigma \right) + \mu_{\phi}^{2} \left( \phi^{2} \right) + \lambda_{1} \left( H^{\dagger} H \right)^{2} + \lambda_{2} \left( H^{\dagger} H \right) \left( \eta^{\dagger} \eta \right) + \lambda_{3} \left( H^{\dagger} \eta \right) \left( \eta^{\dagger} H \right) + \lambda_{4} \left( H^{\dagger} H \right) \left( \sigma^{*} \sigma \right) + \lambda_{5} \left( H^{\dagger} H \right) \phi^{2} + \lambda_{6} \left( \eta^{\dagger} \eta \right)^{2} + \lambda_{7} \left( \eta^{\dagger} \eta \right) \left( \sigma^{*} \sigma \right) + \lambda_{8} \left( \eta^{\dagger} \eta \right) \phi^{2} + \lambda_{9} \left( \sigma^{*} \sigma \right)^{2} + \lambda_{10} \left( \sigma^{*} \sigma \right) \phi^{2} + \lambda_{11} \phi^{4} + \left[ \xi \left( H^{\dagger} \eta \right) \sigma \phi + \kappa_{1} \left( H^{\dagger} \eta \right) \sigma^{*} + \kappa_{2} \sigma^{2} \phi + \text{h.c.} \right],$$

$$(5.3)$$

where

$$H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix}, \qquad \eta = \begin{pmatrix} \eta^+ \\ \eta^0 \end{pmatrix}. \tag{5.4}$$

In Eq. (5.3), we have taken  $\xi$ ,  $\kappa_1$  and  $\kappa_2$  as real coefficients. The relevant Yukawa terms of the model are

 $\mathcal{L}_Y = Y_p \,\overline{L} \,\widetilde{\eta} \,N + Y_v \,\overline{N^c} \,N \,\phi + \text{h.c.}$ (5.5)

The charges under  $Z_4$  forbid Dirac mass terms at tree-level, similarly to the Scotogenic model. Therefore, a Majorana mass term is built at one-loop level, as shown in Figure 11.



Figure 11: One-loop Feynman diagram for neutrino mass generation in our model, which is a radiative UV realization of the Weinberg operator. After SSB, Majorana neutrino masses are generated. The particles inside the loop are dark matter candidates.

## 5.2 Mass Spectrum

We perform a change to the mass basis to get the mass spectrum. To achieve this, we first put the scalar fields explicitly in terms of their real and imaginary parts, and let them acquire a VEV as

$$H^{0} \rightarrow \frac{1}{\sqrt{2}} \left( v_{H} + h_{R}^{0} + i h_{I}^{0} \right),$$
  

$$\eta^{0} \rightarrow \frac{1}{\sqrt{2}} \left( v_{\eta} + \eta_{R}^{0} + i \eta_{I}^{0} \right),$$
  

$$\sigma \rightarrow \frac{1}{\sqrt{2}} \left( v_{\sigma} + \sigma_{R} + i \sigma_{I} \right),$$
  

$$\phi \rightarrow \frac{1}{\sqrt{2}} \left( v_{\phi} + \phi_{R} \right).$$
  
(5.6)

Recall that  $\phi$  is a real scalar field. In Eqs. (5.6),  $v_H$  is the SM Higgs VEV of Eq. (2.56). We find the tadpole equations given by

$$\left. \frac{\partial V}{\partial v_{\Psi}} \right|_{\Psi=0} = 0, \tag{5.7}$$

where  $\Psi = \{H^0, \eta^0, \sigma, \phi\}$  and  $v_{\Psi}$  is each one of their VEVs. Eq. (5.7) will give us four tadpole equations, one for each  $v_{\Psi}$ . A possible solution for  $v_{\eta}$  and  $v_{\sigma}$  tadpole equations is setting directly  $v_{\eta} = v_{\sigma} = 0$ . Considering this scenario, the other equations are

$$v_{H}: \quad v_{H}^{3} \lambda_{1} + \frac{1}{2} v_{H} v_{\phi}^{2} \lambda_{5} + v_{H} \mu_{H}^{2} = 0,$$
  

$$v_{\phi}: \quad v_{\phi}^{3} \lambda_{11} + \frac{1}{2} v_{H}^{2} v_{\phi} \lambda_{5} + v_{\phi} \mu_{\phi}^{2} = 0.$$
(5.8)

Solving in terms of  $\mu^2$  yields

$$\mu_{H}^{2} = -v_{H}^{2} \lambda_{1} - \frac{1}{2} v_{\phi}^{2} \lambda_{5}$$

$$\mu_{\phi}^{2} = -v_{\phi}^{2} \lambda_{11} - \frac{1}{2} v_{H}^{2} \lambda_{5}.$$
(5.9)

The mass matrices  $\mathcal{M}$  are obtained with

$$\mathcal{M}_{ij} = \frac{\partial^2 V}{\partial \Psi_i \partial \Psi_j} \bigg|_{\Psi=0},\tag{5.10}$$

where, again,  $\Psi$  is each one of the scalar fields. We will find three different matrices, one for the visible sector<sup>12</sup> (with  $h_R^0$  and  $\phi_R$ ), one for the scalar dark sector ( $\eta_R^0$  and  $\sigma_R$ ) and one for the pseudoscalar dark sector ( $\eta_I^0$  and  $\sigma_I$ ). The Higgs pseudoscalar  $h_I^0$  will be the Goldstone boson of the SM Higgs mechanism.

After substituting Eqs. (5.9), the mass matrix for the visible sector is given by

$$\mathcal{M}_{\text{Visible}} = \begin{pmatrix} 2 v_H^2 \lambda_1 & v_H v_\phi \lambda_5 \\ v_H v_\phi \lambda_5 & 2 v_\phi^2 \lambda_{11} \end{pmatrix}.$$
(5.11)

The mass matrix is diagonalized by a  $2 \times 2$  rotation matrix

$$\mathcal{O}_{\text{Visible}} = \begin{pmatrix} \cos \theta_V & \sin \theta_V \\ -\sin \theta_V & \cos \theta_V \end{pmatrix}, \qquad (5.12)$$

<sup>&</sup>lt;sup>12</sup>We call *dark sector* to every particle with imaginary charge *i* under  $Z_4$  in this model. From the dark sector, only the lightest particle is dark matter. We call *visible sector* to any particle not belonging to the dark sector, including SM particles.

where

$$\tan\left(2\theta_V\right) = \frac{v_H v_\phi \lambda_5}{v_\phi^2 \lambda_{11} - v_H^2 \lambda_1}.$$
(5.13)

Thus, the rotation between the interaction and mass eigenstates  $V_1$  and  $V_2$  is given by

$$\begin{pmatrix} V_1 \\ V_2 \end{pmatrix} = \mathcal{O}_{\text{Visible}} \begin{pmatrix} h_R^0 \\ \phi_R \end{pmatrix}.$$
(5.14)

The masses for these eigenstates are

$$m_{V_1}^2 = \left( v_H^2 \,\lambda_1 + v_\phi^2 \,\lambda_{11} - \sqrt{(v_H^2 \,\lambda_1 - v_\phi^2 \,\lambda_{11})^2 + (v_H \,v_\phi \,\lambda_5)^2} \right), \tag{5.15}$$

$$m_{V_2}^2 = \left( v_H^2 \lambda_1 + v_\phi^2 \lambda_{11} + \sqrt{(v_H^2 \lambda_1 - v_\phi^2 \lambda_{11})^2 + (v_H v_\phi \lambda_5)^2} \right).$$
(5.16)

We identify one of these mass eigenstates as the physical Higgs field, with  $m_h = 125.25$  GeV. For the scalar dark sector, the mass matrix is given by

$$\mathcal{M}_{DM_X} = \begin{pmatrix} a & b_X \\ b_X & c_X \end{pmatrix},\tag{5.17}$$

where

$$a = \frac{1}{2} \left[ v_H^2 (\lambda_2 + \lambda_3) + v_{\phi}^2 \lambda_8 + 2 \mu_{\eta}^2 \right],$$
  

$$b_X = \frac{1}{2} v_H \left( \sqrt{2} \kappa_1 + \zeta_X v_{\phi} \xi \right),$$
  

$$c_X = \frac{1}{2} \left[ \zeta_X 2 \sqrt{2} v_{\phi} \kappa_2 + v_{\phi}^2 \lambda_{10} + v_H^2 \lambda_4 + 2 \mu_{\sigma}^2 \right].$$
(5.18)

Here,  $X = \{R, I\}$  indicates real and imaginary, with  $\zeta_R = 1$  and  $\zeta_I = -1$ . Similar to the visible case, the mass matrices are diagonalized by a 2 × 2 rotation matrix given by

$$\mathcal{O}_{DM_X} = \begin{pmatrix} \cos\theta_X & \sin\theta_X \\ -\sin\theta_X & \cos\theta_X \end{pmatrix},\tag{5.19}$$

where

$$\tan\left(2\,\theta_X\right) = \frac{2\,b_X}{c_X - a}.\tag{5.20}$$

The rotation between the interaction and mass eigenstates  $X_1$  and  $X_2^{13}$  is

$$\begin{pmatrix} X_1 \\ X_2 \end{pmatrix} = \mathcal{O}_{DM_X} \begin{pmatrix} \eta_X^0 \\ \sigma_X \end{pmatrix}.$$
(5.21)

The masses for these eigenstates are

$$m_{X_1}^2 = \frac{1}{2} \left( a + c_X - \sqrt{(a - c_X)^2 + 4 b_X^2} \right), \tag{5.22}$$

$$m_{X_2}^2 = \frac{1}{2} \left( a + c_X + \sqrt{(a - c_X)^2 + 4 b_X^2} \right).$$
 (5.23)

<sup>&</sup>lt;sup>13</sup>Therefore, the notation is  $R_1$  and  $R_2$  for the scalars, and  $I_1$  and  $I_2$  for the pseudoscalars.



Figure 12: One-loop Feynman diagram in the mass basis, where the scalar fields are mixed and  $H^0$  and  $\phi$  have acquired a VEV.

Therefore,

$$m_{X_2}^2 = m_{X_1}^2 + \Delta m_X^2, \tag{5.24}$$

where  $\Delta m_X^2$  is the mass splitting between the two scalars, given by

$$\Delta m_X^2 = \sqrt{(a - c_X)^2 + 4 \, b_X^2}.\tag{5.25}$$

The mass for the charged scalar particle  $\eta^\pm$  is

$$m_{\eta^{\pm}}^{2} = \frac{1}{2} \left( v_{H}^{2} \lambda_{2} + v_{\phi}^{2} \lambda_{8} \right) + \mu_{\eta}^{2}.$$
 (5.26)

Regarding the fermionic sector, the mass of the fermions  $N_k$  are given through SSB by

$$m_{N_k} = \sqrt{2} \, (Y_v)_{kk} \, v_\phi.$$
 (5.27)

We work in a basis where fermions  $N_k$  do not mix with each other, implying a diagonal Yukawa matrix  $Y_v$ .

### 5.3 Neutrino Masses and Numerical Analysis

After scalar fields get mixed through Eq. (5.14) and Eq. (5.21), the resulting one-loop Feynman diagram in the mass basis is shown in Fig. 12. The propagator is now one of each of the mixed scalar fields  $R_{1,2}$  and pseudoscalars  $I_{1,2}$ . The amplitude for this diagram in the vanishing external momentum limit reads

$$\mathcal{M} = V^A \int \frac{d^4k}{(2\pi)^4} \frac{m_{N_k}}{(k^2 - m_A^2)(k^2 - m_{N_k}^2)},$$
(5.28)

where  $V^A$  are vertex coefficients arising from the couplings in  $Y_p \overline{L} \tilde{\eta} N$ . Linear momentum terms vanish when integrated. The integral (5.28) is the one-loop scalar Passarino-Veltman

function  $B_0$  [93], whose solution is Eq. (C.14), given in the Appendix C. The total integral amplitude yields

$$\left(\mathcal{M}_{\nu}\right)_{\alpha\beta} = \sum_{i}^{3} \frac{(Y_{p})_{\alpha i} (Y_{p})_{i\beta}}{32\pi^{2}} M_{N_{i}} \sum_{A=1}^{4} V_{A}(\theta_{X}) V_{A}^{*}(\theta_{X}) \left[\frac{m_{A}^{2} \log m_{A}^{2} - M_{N_{i}}^{2} \log M_{N_{i}}^{2}}{m_{A}^{2} - M_{N_{i}}^{2}}\right]$$
(5.29)

with

$$m_1 = m_{R_1}, \qquad m_2 = m_{I_1}, \qquad m_3 = m_{R_2}, \qquad m_4 = m_{I_2};$$
 (5.30)

and

 $V_1 = \cos(\theta_R), \qquad V_2 = -i\cos(\theta_I), \qquad V_3 = -\sin(\theta_R) \qquad \text{and} \qquad V_4 = i\sin(\theta_I).$ (5.31)

The neutrino mass matrix can be rewritten as

$$(M_{\nu})_{\alpha\beta} = \left(Y_p^T \Lambda Y_p\right)_{\alpha\beta}, \qquad (5.32)$$

where the  $\Lambda$  matrix is

$$\Lambda = \begin{pmatrix} \Lambda_1 & 0 & 0 \\ 0 & \Lambda_2 & 0 \\ 0 & 0 & \Lambda_3 \end{pmatrix}, \quad \text{with}$$

$$\Lambda_i = \frac{1}{32\pi^2} M_{N_i} \sum_{A=1}^4 V_A(\theta_X) V_A^*(\theta_X) \left[ \frac{m_A^2 \log m_A^2 - M_{N_i}^2 \log M_{N_i}^2}{m_A^2 - M_{N_i}^2} \right].$$
(5.33)

The neutrino mass matrix is diagonalized with

$$U_{\rm PMNS}^T M_{\nu} U_{\rm PMNS} = \hat{m}_{\nu} \equiv \begin{pmatrix} m_1 & 0 & 0\\ 0 & m_2 & 0\\ 0 & 0 & m_3 \end{pmatrix}.$$
 (5.34)

where the mixing matrix  $U_{\text{PMNS}}$  is given in Eq. (3.30)<sup>14</sup>. Using the Casas-Ibarra parametrization [94], the Yukawa matrix  $(Y_p)_{i\alpha}$  can be written as

$$Y_p = \sqrt{\Lambda}^{-1} R \sqrt{\hat{m}_{\nu}} U_{\text{PMNS}}^{\dagger}, \qquad (5.35)$$

where R is a complex orthogonal matrix such that  $R^T R = 1$ . Using this parametrization, we are allowed to use neutrino oscillation data as input to determine the Yukawa matrix values.

It is possible to make a numerical scan in order to obtain numerical results for the model parameters. First, we obtain the Yukawa matrix with Eq. (5.35), assuming normal ordering, a light mass of  $m_{\nu 1} = 10^{-3} \,\text{eV}$ , and assigning the best-fit value for oscillation parameters in  $U_{\text{PMNS}}$  from Table 4. This ensures that our Yukawa couplings can reproduce correctly neutrino masses and oscillation data. The values assigned as inputs for the masses, mixing angles and some couplings in the model are shown in Table 7, where we have introduced a mass splitting between the scalar and pseudoscalar fields as

$$\Delta M^2 = m_{I_2}^2 - m_{R_2}^2. \tag{5.36}$$

 $<sup>^{14}\</sup>mathrm{Majorana}$  phases will be neglected in the computations.

Parameter	Input
$\lambda_6, \lambda_9$	1
$\lambda_2,\lambda_4,\lambda_7$	$10^{-4}$
$\mu_\eta^2, \mu_\sigma^2$	$100 \ {\rm GeV^2}$
$\cos(\theta_R), \cos(\theta_V)$	[0.75, 0.99]
$m_{R_1}$	$[300, 2000] { m ~GeV}$
$\Delta m_R^2$	$[10, 100]^2 \ { m GeV^2}$
$\Delta m_I^2$	$[10, 100]^2 \ { m GeV^2}$
$\Delta M^2$	$[10, 100]^2 \ { m GeV^2}$
$m_{\phi}$	$[300, 2000] { m ~GeV}$
$m_{\eta^{\pm}}$	$[300, 2000]  { m GeV}$
$m_{N_i}$	$\left[10, \min(m_{R_{1,2}}, m_{I_{1,2}}, m_{\eta^{\pm}})\right] \text{GeV}$

Table 7: Input parameters for the one-loop model.

We will analyze the case of a fermionic dark matter candidate, namely,  $N_i$ . Thus, in order to maintain  $N_i$  as the stable dark matter candidate of the model, the maximum possible value for its mass is the minimum value between all the other odd scalar fields. The mixing angles  $\theta_X$  and  $\theta_V$  are selected to be small, indicating minimal mixing between the mass and flavor eigenstates of the scalar and pseudoscalar particles in the model. The input parameter values completely determine the spectrum parameter, defining a benchmark point from which phenomenology of the model can be studied.

The condition for a potential to be bounded from below imposes additional constraints in the parameter spectrum [95, 96]. For potential (5.3), these conditions read

$$\lambda_{1,6,9,11} > 0, \qquad \lambda_2 \ge -2\sqrt{\lambda_1 \lambda_6}, \qquad \lambda_4 \ge -2\sqrt{\lambda_1 \lambda_9}, \qquad \lambda_5 \ge -2\sqrt{\lambda_1 \lambda_{11}}, \\ \lambda_7 \ge -2\sqrt{\lambda_6 \lambda_9}, \qquad \lambda_8 \ge -2\sqrt{\lambda_6 \lambda_{11}}, \qquad \lambda_{10} \ge -2\sqrt{\lambda_9 \lambda_{11}}, \qquad \lambda_2 + \lambda_3 \ge -2\sqrt{\lambda_1 \lambda_6}.$$
(5.37)

With these inputs and constraints, a correlation between the Yukawa values and the fermion mass  $m_N$  is displayed in Fig 13. We can see that the Yukawa couplings in the model can be less suppressed than those of a tree-level seesaw mechanism, achieving small neutrino masses in a more natural way.



Figure 13: Fermion mass vs  $Tr(Y^{\dagger}Y)$  in the one-loop model.

### 5.4 Dark Matter Phenomenology

We consider fermionic dark matter, with  $N_i$  the lightest particle. The annihilation channels are shown in Figure 14. The Yukawa interaction  $Y_p \bar{L} \tilde{\eta} N$  gives rise to LFV processes, namely,  $\ell_{\alpha} \rightarrow \ell_{\beta} \gamma$  and  $\ell_{\alpha} \rightarrow 3 \ell_{\beta}$ , where  $\ell_{\alpha} = \{e, \mu, \tau\}$ . These processes are constrained by experimental limits<sup>15</sup>, which impose the Yukawa coupling  $Y_p$  to be small  $(Y_p \ll 1)$  [97]. Therefore, the annihilation via *t*-channel (Fig. 14a) becomes suppressed, inducing dark matter overabundance [98, 99]. The addition of the real singlet scalar  $\phi$  allows another two channels for NN annihilation through the interaction  $Y_v \overline{N^c} N \phi$ , shown in Figs. 14b and 14c. These annihilation channels contribute to the dark matter relic abundance without being constrained by LFV upper limits. Two similar models are discussed in [97] and [100], but with an additional Majoron field coming from spontaneous lepton number breaking.

In our model, there is the possibility of *cannibalism* effect [101], which allows three particles to annihilate into two. In such a  $3 \rightarrow 2$  process, mass is turned into kinetic energy of the outgoing particles, heating the dark sector [102]. In this model, this can be achieved through

$$3N \to N + \phi, \tag{5.38}$$

whose diagram is shown in Fig. 15.

<sup>&</sup>lt;sup>15</sup>The LFV Feynman diagrams and experimental bounds are displayed in Section 6, in Figs. 19,20,21, and Table 10, respectively.



(a) t-channel for dark matter annihilation through (b) t-channel for dark matter annihilation to  $\phi$  fields.  $\eta$  into SM leptons.



(c) *s*-channel for dark matter annihilation through the Higgs portal into SM particles.

Figure 14: Fermionic dark matter annihilation channels.



Figure 15: Cannibal process in which three particles annihilate into two:  $3N \rightarrow N + \phi$ .

An extra condition  $m_{N_i} > m_{\phi}/2$  has to be imposed to kinematically allow such process. If allowed, it contributes also to the dark matter relic density, leading to a different cosmological history.

A numerical scan of the relic abundance versus the dark matter mass  $m_{N_i}$  can be made. The input parameters should fulfill the constraints given by Eq. (5.37), neutrino oscillation data, LFV processes and electroweak precision [103, 104]. There are two regions of interest to be explored:

- $m_{N_i} < m_{\phi}$ : forbidden region.
- $m_{N_i} > m_{\phi}$ : secluded region.

In the forbidden region, the *t*-channel of Fig. 14b is kinematically suppressed. Inside this region, when  $1.5 m_{N_i} < m_{\phi} < 2 m_{N_i}$ , the cannibal process of Fig. 15 becomes relevant. There are also two resonant regions with  $m_{N_i} \sim m_{V_1}/2$  and  $m_{N_i} \sim m_{V_2}/2$ , where dark matter annihilates more efficiently.

Using the computer tools SARAH (versions 4.15.2 and 4.11.0)<sup>16</sup> [106], SPheno (4.0.2) [107, 108] and micrOmegas (5.3.41) [109] we can obtain a numerical value for the relic density for different benchmark points. First, the model is implemented in SARAH, a Mathematica package that provides analytical information about the model. With SARAH it is possible to obtain a source code for SPheno, where the analytical expressions are evaluated numerically given input values. Several observables can also be obtained in SPheno with the FlavorKit extension [108], such as LFV branching ratios. Finally, SARAH also generates a source code for micrOmegas<sup>17</sup>, which is a routine that calculates the relic density for the numerical outputs obtained with SPheno.

We perform a numerical scan considering  $N_1$  as the dark matter candidate, and fixing  $m_{\phi} = 30 \text{ GeV}$ . We consider the input values in table 8. The Yukawa couplings  $(Y_p)_{ij}$  are fixed to reproduce neutrino oscillation data and to be allowed by LFV constraints. Other parameters have been fixed, having  $\xi = 10^{-13}, \lambda_{11} = 0.1$  and the Higgs mixing coupling with  $\phi$  as  $\lambda_5 = 0.01$ . The parameter  $\lambda_1$  is solved in each iteration for  $m_H = 125.25 \text{ GeV}$  and  $m_{\phi}$ . The Yukawa couplings  $(Y_v)_{22}$  and  $(Y_v)_{33}$  are chosen to be > 1, ensuring heavy fermions. To perform the scan, we have used an approximate numerical solution given in [110].

Parameter	Input
$\lambda_{2,3,4,6,7,9}, \kappa_2$	$[10^{-6}, 1]$
$\lambda_8$	$[10^{-2}, 1]$
$\lambda_{10}$	$[10^{-6}, 10^{-2}]$
$\kappa_1$	$[10^{-6}, 10^{-3}]$
$\mu_\eta^2, \mu_\sigma^2$	$[10^6, 10^7] \text{ GeV}^2$
$(Y_v)_{11}$	[0.06, 0.45]
$v_{\phi}$	$[5, 300]  { m GeV}$

Table 8: Input parameters for relic density scan

<sup>&</sup>lt;sup>16</sup>For a pedagogical SARAH tutorial, see [105].

<sup>&</sup>lt;sup>17</sup>We have used SARAH (4.15.2) for micrOmegas (5.3.41) and SARAH (4.11.0) for SPheno (4.0.2), ensuring compatibility between distinct versions of the programs.

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The corresponding plot is shown in Fig. 16. The horizontal lines represent the value of the relic density observed by PLANCK in 2018 with a  $3\sigma$  range [12]:

$$\Omega_{N_1} h^2 = 0.120 \pm 0.0036. \tag{5.39}$$

Points falling within this range are printed in red color. Points below the line, printed in blue, indicate underabundant dark matter, implying that contributions from another dark matter candidate are required to reproduce the correct relic density value. The green vertical line represents  $m_{N_1} = 30 \text{ GeV}$ . The region to the left of this line is the forbidden region, while the region to the right is the secluded region. At  $m_{N_1} \sim m_{\phi}/2 = 15 \text{ GeV}$  we observe the first resonance region, where dark matter annihilates efficiently resulting in low values for the relic density. The second resonance occurs at  $m_{N_1} \sim m_h/2 \approx 62 \text{ GeV}$ , as depicted in the plot.



Figure 16: Cannibal process in which three particles annihilate into two:  $3N \rightarrow N + \phi$ .

### 5.5 One-loop Model Summary

We have proposed a neutrino mass model that generates neutrino masses at one-loop level. This extension of the SM consists of a discrete  $Z_4$  symmetry spontaneously broken to  $Z_2$ , with the addition of three singlet fermions  $N_i$ , a scalar doublet  $\eta$ , and a scalar singlet  $\sigma$ , constituting the dark sector of the model. Additionally, we have included a scalar singlet  $\phi$ , enabling two extra annihilation channels for dark matter when considering a fermionic dark matter candidate. We have derived the mass spectrum for the model and included a numerical analysis where points reproduce neutrino oscillation data. Furthermore, we have discussed the possibilities for the dark matter relic density contributions in a fermionic dark candidate scenario, generating a numerical scan which includes the forbidden and secluded regions, with points reproducing correctly the dark matter relic density and also underabundant dark matter.

Additional relic density scans, the analysis of the cannibal process and a discussion regarding the cosmological history within the model represent future goals and possibilities, which are still work in progress at the moment of the realization of this thesis.

# 6 Two-Loop Mass Generation Model

In this section, we introduce another proposal for a radiative neutrino mass model, in which a two-loop mechanism generates Majorana neutrino masses. Given an extra suppression factor of  $(\frac{1}{16\pi^2})^2$  due to the two loops, the Yukawa couplings can have a greater value than in a one-loop mechanism to reproduce the light neutrino masses.

In this model, the SM is extended with a  $U(1)_{B-L}$  gauge symmetry, spontaneously broken to  $Z_3$ . We include three singlet fermions, a doublet, and a singlet scalar as the dark matter sector. The lightest of these particles will represent a dark matter candidate, protected by the preserved  $Z_3$  symmetry. We include three fermions along with singlet scalar fields to have an anomaly free  $U(1)_{B-L}$  symmetry, as explained below. We derive the mass spectrum of the model and study some of its phenomenological implications, such as Lepton Flavor Violation processes.

# 6.1 Model and Mass Spectrum

We extend the SM with a gauged symmetry  $U(1)_{B-L}$  spontaneously broken into a discrete symmetry  $Z_3$ :

$$SU(2)_L \times U(1)_Y \times U(1)_{B-L} \to U(1)_{EM} \times Z_3.$$
 (6.1)

The particle content of the model is given in Table 9. The vector-like singlet fermions  $\chi_{L,R}$ ,

	Fields	$SU(2)_L \otimes U(1)_Y$	$U(1)_{B-L}$	$Z_3$
ns	$L_i$	(2, -1/2)	-1	1
nio	$e_{R_i}$	(1, -1)	-1	1
ern	$\chi_{(L,R)_j}$	(1, 0)	-1/3	$\omega^2$
L L	$N_k$	(1, 0)	(-4, -4, 5)	1
	Н	(2, 1/2)	0	1
Scalars	$\eta$	(2, 1/2)	2/3	$\omega^2$
	ρ	(1, 0)	2/3	$\omega^2$
	$\phi_1, \phi_2, \phi_6, \phi_8$	( <b>1</b> ,0)	(1, 2, 6, 8)	1

Table 9: Particle content of the model with  $i \in \{e, \mu, \tau\}$ ,  $j \in \{1, 2, 3\}$  and  $k \in \{-4, -4, 5\}$ , corresponding to each one of the charges (-4, -4, 5). All the fields listed are  $SU(3)_C$  singlets. Particles with non-trivial charges under  $Z_3$  correspond to dark matter candidates.

the doublet scalar  $\eta$  and the singlet scalar  $\rho$  are dark matter candidates, having non-trivial charges under  $Z_3$ . The  $N_k$  charges (-4, -4, 5) are assigned to cancel anomalies for the extra symmetry  $U(1)_{B-L}$  (see Appendix A). Consequently, fields  $\phi_1$  and  $\phi_8$  are included to give masses to  $N_k$ , avoiding extra radiation contributions to the universe constrained by cosmological observations. However, an accidental U(1)' symmetry emerges when  $\phi_1$  and  $\phi_8$ are added. This symmetry is undesired since it generates an extra Goldstone boson in the theory. To avoid such accidental symmetry, we include  $\phi_6$ . An alternative to this addition would be considering soft-breaking terms in the Lagrangian, which consist of terms with couplings of positive mass dimension breaking softly<sup>18</sup> the U(1)' symmetry.

 $<sup>^{18}</sup>$ The idea of *softly* breaking a symmetry is to break it at low-energies with an explicit term in the Lagrangian, but with the symmetry conserved at high-energy limits. We will not discuss further in this thesis. For an example, see [111] in the context of supersymmetry.



Figure 17: Two-loop Feynman diagram for neutrino mass generation in our model. After SSB, Majorana neutrino masses are generated. Particles inside the loops are dark matter candidates.

The assigned  $U(1)_{B-L}$  charges forbid tree- and one loop-level neutrino masses. The renormalizable scalar potential of the model is given by

$$\mathcal{L}_{V} = \sum_{i} \mu_{i}^{2} \boldsymbol{\Phi}_{i}^{\dagger} \boldsymbol{\Phi}_{i} + \frac{1}{2} \sum_{i,j} \lambda_{ij} \left( \boldsymbol{\Phi}_{i}^{\dagger} \boldsymbol{\Phi}_{i} \right) \left( \boldsymbol{\Phi}_{j}^{\dagger} \boldsymbol{\Phi}_{j} \right) + \lambda \left( H^{\dagger} \eta \right) \left( \eta^{\dagger} H \right) + \left( \xi_{1} \rho^{3} \phi_{2}^{*} + \xi_{2} \phi_{6}^{*} \phi_{2}^{3} + \xi_{3} \phi_{8}^{*} \phi_{6} \phi_{1}^{2} + \kappa_{1} H^{\dagger} \eta \rho^{*} + \kappa_{2} \phi_{1}^{2} \phi_{2}^{*} + \kappa_{3} \phi_{8} \phi_{6}^{*} \phi_{2}^{*} + \text{h.c.} \right),$$

$$(6.2)$$

where

$$\mathbf{\Phi} \in \{H, \eta, \rho, \phi_1, \phi_2, \phi_6, \phi_8\},\tag{6.3}$$

and

$$H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix}, \qquad \eta = \begin{pmatrix} \eta^+ \\ \eta^0 \end{pmatrix}. \tag{6.4}$$

The explicit scalar potential is displayed in Appendix B. The relevant Yukawa interactions are

$$\mathcal{L}_Y \subset (Y_1)_{\alpha i} \,\overline{L_\alpha} \,\widetilde{\eta} \,\chi_{R_i} + (Y_2)_{ij} \,\rho \,\overline{\chi_{R_i}}^c \,\chi_{R_j} + m_\chi \,\overline{\chi_{L_i}} \chi_{R_j} + \text{h.c.}, \tag{6.5}$$

where  $\alpha = 1, 2, 3$ . We consider three copies of the fermion  $\chi_R$  with no mixing between them. So we have i = j = 1, 2, 3. A mass term for  $\chi$  is given as

$$m_{\chi} \,\overline{\chi_{L_i}} \,\chi_{R_j} + \text{h.c.} \tag{6.6}$$

The two-loop diagram for neutrino masses is presented in Fig. 17. To obtain the neutrino masses, we perform a change to the mass basis.

The scalar dark sector composed by the scalars  $\eta_r^0$  and  $\rho_r$ , and the pseudoscalars  $\eta_i^0$  and  $\rho_i$ , get mixed in the mass basis. After obtaining their mass matrices (see Appendix B), we

found that the scalar and pseudoscalar dark bosons are degenerate. Therefore, we have two equal  $2\times 2$  mass matrices given by

$$\mathcal{M}_{DM}^{R} = \mathcal{M}_{DM}^{I} = \mathcal{M}_{DM} = \begin{pmatrix} a & b \\ b & c \end{pmatrix}, \qquad (6.7)$$

with

$$a = \frac{1}{2} \left[ v_{\phi_1}^2 \lambda_{11} + v_{\phi_6}^2 \lambda_{12} + v_{\phi_2}^2 \lambda_{13} + v_{\phi_8}^2 \lambda_{14} + v_H^2 (\lambda_2 + \lambda_3) \right] + \mu_{\eta}^2,$$
  

$$b = \frac{v_H \kappa_2}{\sqrt{2}},$$
  

$$c = \frac{1}{2} \left[ v_{\phi_1}^2 \lambda_{16} + v_{\phi_6}^2 \lambda_{17} + v_{\phi_2}^2 \lambda_{18} + v_{\phi_8}^2 \lambda_{19} + v_H^2 \lambda_4 \right] + \mu_{\rho}^2.$$
  
(6.8)

The mass matrix is diagonalized by a  $2 \times 2$  rotation matrix

$$\mathcal{O}_{DM} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix},\tag{6.9}$$

where we have just one mixing angle given by

$$\tan 2\theta = \frac{2b}{a-c}.\tag{6.10}$$

Thus, a rotation between the interaction and mass eigenstates  $R_1, R_2, I_1$  and  $I_2$  can be done with

$$\begin{pmatrix} R_1 \\ R_2 \end{pmatrix} = \mathcal{O}_{DM} \begin{pmatrix} \eta_r^0 \\ \rho_r \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} I_1 \\ I_2 \end{pmatrix} = \mathcal{O}_{DM} \begin{pmatrix} \eta_i^0 \\ \rho_i \end{pmatrix}.$$
(6.11)

The mass for these eigenstates are

$$m_{R_1}^2 = m_{I_1}^2 = \frac{1}{2} \left( a + c + \sqrt{(a - c)^2 + 4b^2} \right),$$
  

$$m_{R_2}^2 = m_{I_2}^2 = \frac{1}{2} \left( a + c - \sqrt{(a - c)^2 + 4b^2} \right).$$
(6.12)

Therefore

$$m_{R_1}^2 = m_{R_2}^2 + \Delta m^2, \tag{6.13}$$

where  $\Delta m^2$  is the mass splitting between the two scalars, given by  $\Delta m^2 = \sqrt{(a-c)^2 + 4b^2}$ . The mass of the charged scalar  $\eta^{\pm}$  is

$$m_{\eta^{\pm}}^2 = \sqrt{a - \frac{1}{2}v_H^2 \lambda_3},\tag{6.14}$$

where a is defined in eq. (6.8). Finally, the two-loop diagram in the mass basis is shown in Fig. 18.

### 6.2 Integral Amplitude

The scalar propagators in Fig. 18 can be one of the four mass eigenstates. To calculate the total diagram amplitude, we need to sum each possible combination. The amplitude for an



Figure 18: Two-loop diagram in the mass basis. Here,  $R_{1,2}$  and  $I_{1,2}$  are the scalar and pseudoscalar mass eigenstates, respectively. The total amplitude corresponds to the sum of all the field combinations in the diagram. Vertex and momentum labels are also shown, in the vanishing external momentum limit.

ABC configuration is

$$i\mathcal{M}^{ABC} = V^{ABC} \times I_{ABC}$$
  
=  $V^{ABC} \times \int \frac{d^4k}{(2\pi)^4} \int \frac{d^4q}{(2\pi)^4} \frac{(\not{k} + m_\chi)(\not{q} + m_\chi)}{(k^2 - m_\chi^2)(q^2 - m_\chi^2)(q^2 - m_\chi^2)[(k+q)^2 - m_B^2]}$   
(6.15)

Here,  $\{A, B, C\}$  represent the left, middle and right propagators emerging from vertex  $V_3$ , and they can be any of  $\{R_1, R_2, I_1, I_2\}$ . The coefficient  $V^{ABC}$  corresponds to the vertex products in the diagram.

The three relevant interactions are  $(Y_1)_{\alpha i} \bar{L}_{\alpha} \tilde{\eta} \chi_{R_i}, (Y_2)_{ij} \rho \chi_{R_i}^c \chi_{R_j}$  and  $\xi_1 \rho^3 \phi_2^*$ , each one with its complex conjugate term. Dropping the matrix structure of the Yukawa couplings for now, we have:

$$\mathbf{V}_{1}: y_{1}\bar{L}\,\tilde{\eta}\,\chi_{R} + \text{h.c.} \xrightarrow{\text{mass basis}} \frac{y_{1}\bar{\nu}_{L}}{\sqrt{2}} \Big(c_{\theta}R_{1} - s_{\theta}R_{2} - ic_{\theta}I_{1} + is_{\theta}I_{2}\Big)\chi_{R} + \text{h.c.}, \qquad (6.16)$$

$$\mathbf{V}_{2} : y_{2} \rho \chi_{R}^{c} \chi_{R} + \text{h.c.}$$

$$\xrightarrow{\text{mass basis}} \frac{y_{2}}{\sqrt{2}} \left( s_{\theta} R_{1} + c_{\theta} R_{2} + i s_{\theta} I_{1} + i c_{\theta} I_{2} \right) \bar{\chi}_{R}^{c} \chi_{R} + \text{h.c.}, \qquad (6.17)$$

$$\mathbf{V}_{3} : \xi_{1} \rho^{3} \phi_{2}^{*} + \text{h.c.}$$

$$\frac{SSB}{\longrightarrow} \xi_1 \left(\frac{\rho_r + i\rho_i}{\sqrt{2}}\right)^3 \frac{v_{\phi_2}}{\sqrt{2}} + \text{h.c.} \xrightarrow{\text{Mass Basis}} \frac{\xi_1}{4} \left[ (s_\theta R_1 + c_\theta R_2) + i \left( s_\theta I_1 + c_\theta I_2 \right) \right]^3 v_{\phi_2} + \text{h.c.}$$

$$= \xi_1 v_{\phi_2} \left( \frac{1}{2} s_\theta^3 R_1^3 + \frac{1}{2} c_\theta^3 R_2^3 + \frac{3}{2} s_\theta^2 c_\theta R_1^2 R_2 + \frac{3}{2} c_\theta^2 s_\theta R_2^2 R_1 - \frac{3}{2} s_\theta^3 I_1^2 R_1 - \frac{3}{2} s_\theta^2 c_\theta I_1^2 R_2 \right]$$

$$- \frac{3}{2} c_\theta^2 s_\theta I_2^2 R_1 - \frac{3}{2} c_\theta^3 I_2^2 R_2 - 3 c_\theta^2 s_\theta I_1 I_2 R_2 - 3 s_\theta^2 c_\theta I_1 I_2 R_1 \right).$$

$$(6.18)$$

Therefore, depending on the field combination, the vertex coefficient will change accordingly,

given by

$$V^{ABC} = \frac{y_1^2 y_2 \xi_1 v_{\phi_2}}{2\sqrt{2}} \left[ V_1^A(\theta) \times V_2^B(\theta) \times V_1^C(\theta) \times V_3^{ABC}(\theta) \right].$$
(6.19)

Note that all terms in the  $V_3$  vertex are real, which means that diagrams with an odd number of pseudoscalar fields I are zero, i.e.,  $V_3^{IR^2} = V_3^{I^3} = 0$ .

Now we evaluate  $I_{ABC}$ . We have

$$I_{ABC} = \int \frac{d^4k}{(2\pi)^4} \int \frac{d^4q}{(2\pi)^4} \frac{(\not\!k + m_\chi)(\not\!q + m_\chi)}{(k^2 - m_\chi^2)(k^2 - m_\chi^2)(q^2 - m_Z^2)(q^2 - m_\chi^2)[(k+q)^2 - m_B^2]}.$$
 (6.20)

The numerator can be written as a trace, giving

$$Tr\{(\gamma^{\mu}k^{\mu} + m_{\chi})(\gamma^{\nu}q^{\nu} + m_{\chi})\} = k^{\mu}q^{\nu} Tr\{\gamma^{\mu}\gamma^{\nu}\} + Tr\{m_{\chi}^{2}\}$$
  
=  $4 k^{\mu}q^{\nu}\eta^{\mu\nu} + 4 m_{\chi}^{2}$   
=  $4 k \cdot q + 4 m_{\chi}^{2}$   
=  $4\{\frac{1}{2}[(k+q)^{2} - k^{2} - q^{2}] + m_{\chi}^{2}\}$  (6.21)

Thus, the integral  $I_{ABC}$  is:

$$I_{ABC} = \frac{4}{(16\pi^2)^2} \left[ m_{\chi}^2 I_{ABC}^{(1)} + \frac{1}{2} \left( I_{ABC}^{[(k+q)^2]} - I_{ABC}^{(k^2)} - I_{ABC}^{(q^2)} \right) \right].$$
(6.22)

These integrals can be written in terms of "master integrals" which solution is known, given in appendix C. After a rescaling in  $m_B^2$ , we obtain that

$$\frac{1}{m_B^2} I_{ABC}^{(1)} = \frac{1}{m_B^2} \frac{1}{(t_{AB} - r_B)(t_{CB} - r_B)} \Big\{ -\hat{g}(t_{AB}, t_{CB}) + \hat{g}(r_B, t_{CB}) + \hat{g}(t_{AB}, r_B) - \hat{g}(r_B, r_B) \Big\}$$
(6.23)

$$I_{ABC}^{(k^2)} = \left\{ \frac{1}{t_{CB} - r_B} [-\hat{g}(r_B, t_{CB}) + \hat{g}(r_B, r_B)] + \frac{t_{AB}}{(t_{AB} - r_B)(t_{CB} - r_B)} [-\hat{g}(t_{AB}, t_{CB}) + \hat{g}(t_{AB}, r_B) + \hat{g}(r_B, t_{CB}) - \hat{g}(r_B, r_B)] \right\},$$
(6.24)

$$I_{ABC}^{(q^2)} = \left\{ \frac{1}{t_{AB} - r_B} [-\hat{g}(t_{AB}, r_B) + \hat{g}(r_B, r_B)] + \frac{t_{CB}}{(t_{AB} - r_B)(t_{CB} - r_B)} [-\hat{g}(t_{AB}, t_{CB}) + \hat{g}(t_{AB}, r_B) + \hat{g}(r_B, t_{CB}) - \hat{g}(r_B, r_B)] \right\},$$
(6.25)

$$I_{ABC}^{[(k+q)^2]} = \left\{ \hat{B}'_0(0, r_B, t_{AB}) \, \hat{B}'_0(0, r_B, t_{CB}) + \frac{-\hat{g}(t_{AB}, t_{CB}) + \hat{g}(t_{AB}, r_B) + \hat{g}(r_B, t_{CB}) - \hat{g}(r_B, r_B)}{(t_{AB} - r_B)(t_{CB} - r_B)} \right\}.$$
(6.26)

LFV Process	Branching Ratio Present Bound
$\mu \to e \gamma$	$4.2 \times 10^{-13}$ [112]
$\tau \to e \gamma$	$3.3 \times 10^{-8}$ [113]
$\tau \to \mu \gamma$	$4.4 \times 10^{-8}$ [113]
$\mu \rightarrow 3e$	$1.0 \times 10^{-12} \ [114]$
$\tau \to 3e$	$2.7 \times 10^{-8}$ [115]
$\tau \to 3 \mu$	$2.1 \times 10^{-8}$ [115]

Table 10: Current experimental upper bounds for the branching ratio of LFV observables.

Here,

$$r_B = \left(\frac{m_\chi}{m_B}\right)^2, \qquad t_{AB} = \left(\frac{m_A}{m_B}\right)^2, \qquad t_{CB} = \left(\frac{m_C}{m_B}\right)^2.$$
 (6.27)

Combining all terms, we have the final result for the neutrino mass matrix:

$$(M_{\nu})_{\alpha\beta} = \sum_{i}^{3} \frac{4 \ (Y_{1})_{i\alpha} \ (Y_{2})_{ii} \ (Y_{1})_{i\beta} \ \xi_{1} v_{\phi_{2}}}{2\sqrt{2} \ (16\pi^{2})^{2}} \\ \times \sum_{ABC} \left( V_{1}^{A} \ V_{2}^{B} \ V_{1}^{C} \ V_{3}^{ABC} \right) \left[ \frac{(m_{\chi}^{2})_{i}}{m_{B}^{2}} \ I_{ABC}^{(1)} + \frac{1}{2} \left( I_{ABC}^{[(k+q)^{2}]} - I_{ABC}^{(k^{2})} - I_{ABC}^{(q^{2})} \right) \right],$$

$$(6.28)$$

with  $\{A, B, C\}$  all combinations of  $R_1, R_2, I_1$  and  $I_2$ .

### 6.3 Lepton Flavor Violation Processes

The previously analyzed model allows for processes with Lepton Flavor Violation (LFV), in which the flavor lepton number for the charged leptons, displayed in Table 3, is violated. While neutrino oscillations can be understood as a flavor-violating process, this type of phenomenon has not been observed for charged leptons. The experimental searches for LFV processes such as  $\ell_{\alpha} \rightarrow \ell_{\beta}\gamma$  and  $\ell_{\alpha} \rightarrow 3\ell_{\beta}$  have resulted in upper bounds on their branching ratios, as showed in table 10. In this section we analyze those processes in the context of the two-loop model, following the reference [98].

As in the one-loop model of Section 5, the neutrino mass matrix in eq. (6.28) can be rewritten as

$$(M_{\nu})_{\alpha\beta} = \left(Y_1^T \Lambda Y_1\right)_{\alpha\beta}, \qquad (6.29)$$



Figure 19: Diagrams contributing to LFV process  $\ell_{\alpha} \rightarrow \ell_{\beta} \gamma$ .

where the  $\Lambda$  matrix is

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$$\Lambda = \begin{pmatrix} \Lambda_1 & 0 & 0 \\ 0 & \Lambda_2 & 0 \\ 0 & 0 & \Lambda_3 \end{pmatrix}, \quad \text{with}$$

$$\Lambda_i = \frac{4 \ (Y_2)_{ii} \ \xi_1 v_{\phi_2}}{2\sqrt{2} \ (16\pi^2)^2} \times \sum_{ABC} \left( V_1^A \ V_2^B \ V_1^C \ V_3^{ABC} \right) \left[ \frac{(m_\chi^2)_i}{m_B^2} \ I_{ABC}^{(1)} + \frac{1}{2} \left( I_{ABC}^{[(k+q)^2]} - I_{ABC}^{(k^2)} - I_{ABC}^{(q^2)} \right) \right]. \tag{6.30}$$

After using again the Casas-Ibarra parametrization, the Yukawa matrix  $(Y_1)_{i\alpha}$  can be written as

$$Y_1 = \sqrt{\Lambda}^{-1} R \sqrt{\hat{m}_{\nu}} U_{\text{PMNS}}^{\dagger}.$$
(6.31)

## $6.3.1 \quad \ell_{\alpha} \rightarrow \ell_{\beta} \gamma$

The process can be described by the effective Lagrangian

$$\mathcal{L}_{\text{eff}} = \left(\frac{\mu_{\alpha\beta}}{2}\right) \bar{\ell}_{\beta} \sigma^{\mu\nu} \ell_{\alpha} F_{\mu\nu}, \qquad (6.32)$$

where  $\mu_{\beta\alpha}$  is a transition magnetic moment. The Feynman diagrams contributing to  $\ell_{\alpha} \rightarrow \ell_{\beta\gamma}$  at lowest order are shown in Fig. 19. It is convenient to define  $\mu_{\beta\alpha}$  in terms of the dipole form factor  $A_D$  as

$$\mu_{\beta\alpha} = e \, m_\alpha A_D / 2, \tag{6.33}$$

where terms proportional to  $m_{\beta}$  have been neglected. Here, *e* is the electromagnetic coupling, with  $\alpha_{\rm em} = e^2/(4\pi)$ . The 1-loop contributions lead to [98]:

$$A_D = \sum_{i=1}^{3} \frac{(Y_1)_{i\beta}^* (Y_1)_{i\alpha}}{2(4\pi)^2} \frac{1}{m_{\eta^+}^2} F_2(\zeta_i)$$
(6.34)

where  $\zeta_i \equiv m_{\chi_i}^2/m_{\eta^+}^2$  and the function  $F_2(x)$  is given in appendix D. The branching ratio for  $\ell_{\alpha} \to \ell_{\beta} \gamma$  yields

$$\operatorname{Br}(\ell_{\alpha} \to \ell_{\beta}\gamma) = \frac{3(4\pi)^{3} \,\alpha_{em}}{4 \,G_{F}^{2}} \,|A_{D}|^{2} \operatorname{Br}(\ell_{\alpha} \to \ell_{\beta}\nu_{\alpha}\bar{\nu}_{\beta}), \tag{6.35}$$

with  $G_F$  the Fermi constant.

#### 6.3.2 $\ell_{\alpha} \rightarrow 3 \ell_{\beta}$

This process, denoted more accurately as  $\ell_{\alpha} \rightarrow \ell_{\beta} \bar{\ell}_{\beta} \ell_{\beta}$ , has four types of contributions at lowest order. These are  $\gamma$ -penguins, Z-penguins, Higgs penguins and box diagrams. Higgspenguins diagrams are suppressed by the small Yukawa coupling of leptons  $\mu$  and e, so they will be neglected. The contributing Feynman diagrams are displayed in Fig. 20 and Fig. 21.



Figure 20: Penguin diagrams contributing to LFV process  $\ell_{\alpha} \to 3\ell_{\beta}$ . The boson exchange can be either a photon or a Z boson.



Figure 21: Box diagrams contributing to LFV process  $\ell_{\alpha} \rightarrow 3\ell_{\beta}$ .

Following the notation of [98], which is itself inspired in [116, 117], we label the momenta as  $\ell_{\alpha}(p) \rightarrow \ell_{\beta}(k_1)\bar{\ell}_{\beta}(k_2)\ell_{\beta}(k_3)$ . Then, neglecting factors suppressed by charged lepton masses, the  $\gamma$ -penguin diagrams in Fig. 20 give

$$i\mathcal{M}_{\gamma} = ie^{2}A_{ND}\,\bar{u}(k_{1})\gamma^{\mu}P_{L}\,u(p)\bar{u}(k_{3})\gamma_{\mu}v(k_{2}) + ie^{2}\frac{m_{\alpha}}{q^{2}}A_{D}\,\bar{u}(k_{1})\sigma^{\mu\nu}q_{\nu}P_{R}\,u(p)\bar{u}(k_{3})\gamma_{\mu}v(k_{2}) - (k_{1}\leftrightarrow k_{3}),$$
(6.36)

where  $q \equiv k_1 - p$  is the photon momentum. The coefficient  $A_{ND}$  corresponds to photonic non-dipole contributions and is given by

$$A_{ND} = \sum_{i=1}^{3} \frac{(Y_1)_{i\beta}^* (Y_1)_{i\alpha}}{6(4\pi)^2} \frac{1}{m_{\eta^+}^2} G_2(\zeta_i), \qquad (6.37)$$

where the loop function  $G_2(x)$  is defined in appendix D. Considering now the Z-penguin diagrams, the amplitude can be written as

$$i\mathcal{M}_{Z} = \frac{iF}{m_{Z}^{2}}\bar{u}(k_{1})\gamma^{\mu}P_{R} u(p)\bar{u}(k_{3})\gamma_{\mu}\left(g_{L}^{\ell}P_{L} + g_{R}^{\ell}P_{R}\right)v(k_{2}) - (k_{1} \leftrightarrow k_{3}), \qquad (6.38)$$

where

$$g_L^{\ell} = \frac{g_2}{\cos \theta_W} \left( \frac{1}{2} - \sin^2 \theta_W \right) \quad \text{and} \quad g_R^{\ell} = -\frac{g_2}{\cos \theta_W} \left( \sin^2 \theta_W \right), \quad (6.39)$$

are the couplings between the Z boson and the charged leptons, with  $g_2$  the  $SU(2)_L$  gauge coupling and  $\theta_W$  the weak mixing angle. The coefficient F is given by

$$F = \sum_{i=1}^{3} \frac{(Y_1)_{i\beta} (Y_1)_{i\alpha}}{2(4\pi)^2} \frac{m_{\alpha} m_{\beta}}{m_{\eta^+}^2} \frac{g_2}{\cos \theta_W} F_2(\zeta_i).$$
(6.40)

For  $\ell_{\alpha} \to 3 \ell_{\beta}$ , Z-penguins diagrams are suppressed by the product of masses  $m_{\alpha}m_{\beta}$ , so the main contributions come from  $\gamma$ -penguins and box diagrams. For the latter, the amplitude reads

$$i\mathcal{M}_{\rm box} = ie^2 B \, [\bar{u}(k_3)\gamma^{\mu} P_L \, v(k_2)] [\bar{u}(k_1)\gamma_{\mu} P_L \, u(p)].$$
(6.41)

The coefficient B is defined as

$$B = \frac{1}{e^2 (4\pi)^2 m_{\eta^+}^2} \times \sum_{i,j=1}^3 \left[ \frac{1}{2} D_1 \left( \zeta_i, \zeta_j \right) (Y_1)_{j\beta}^* (Y_1)_{j\beta} (Y_1)_{i\beta}^* (Y_1)_{j\alpha} + \sqrt{\zeta_i \zeta_j} D_2 \left( \zeta_i, \zeta_j \right) (Y_1)_{j\beta}^* (Y_1)_{j\beta} (Y_1)_{i\alpha} \right],$$
(6.42)

where the loop functions  $D_1(\zeta_i, \zeta_j)$  and  $D_2(\zeta_i, \zeta_j)$  are given in Appendix D.

Finally, the branching ratio is

$$Br\left(\ell_{\alpha} \to \ell_{\beta}\bar{\ell}_{\beta}\ell_{\beta}\right) = \frac{3(4\pi)^{2}\alpha_{em}^{2}}{8\,G_{F}^{2}} \left[|A_{ND}|^{2} + |A_{D}|^{2}\left(\frac{16}{3}\log\left(\frac{m_{\alpha}}{m_{\beta}}\right) - \frac{22}{3}\right) + \frac{1}{6}|B|^{2} + \frac{1}{3}\left(2|F_{RR}|^{2} + |F_{RL}|^{2}\right) + \left(-2A_{ND}A_{D}^{*} + \frac{1}{3}A_{ND}B^{*} - \frac{2}{3}A_{D}B^{*} + \text{h.c.}\right)\right] \times Br\left(\ell_{\alpha} \to \ell_{\beta}\nu_{\alpha}\bar{\nu}_{\beta}\right),$$
(6.43)

where

$$F_{RR} = \frac{F g_R^{\ell}}{g_2^2 \sin^2 \theta_W m_Z^2} \quad \text{and} \quad F_{RL} = \frac{F g_L^{\ell}}{g_2^2 \sin^2 \theta_W m_Z^2}.$$
 (6.44)

#### 6.3.3 Parameter Scan

Once we have defined the required functions, we can make a scan in the parameters to obtain the branching ratios in our model. First, we obtain the Yukawa matrix with eq. (6.31), assuming normal ordering, a light mass of  $m_{\nu 1} = 10^{-3} \,\text{eV}$ , and assigning a random value for oscillation parameters in the  $3\sigma$  range from table 4. This ensures that our Yukawa couplings can reproduce correctly neutrino masses and oscillation data. The values assigned for the other free parameters in the model are shown in table 11. In order to maintain  $\chi$  as the dark matter candidate of the model, the maximum possible value for its mass is the minimum value of the mass of the scalar particle  $R_2$ . The parameter  $\theta$  is selected to be small, indicating minimal mixing between the mass and flavor eigenstates of the scalar and pseudoscalar particles in the model.

Parameter	Input
$m_{ u_1}$	$10^{-12}\mathrm{GeV}$
$Y_2$	0.001
$\xi_1 v_{\phi_2}$	$1{ m GeV}$
$\lambda_3$	-0.003
θ	[0.01, 0.7]
$m_{R_2}$	[1,5] TeV
$\Delta m$	$[10,100]~{\rm GeV}$
$m_{\chi}$	$\left[10,\min(m_{R_2})\right] \mathrm{GeV}$

Table 11: Input parameters for the scan of the branching ratios.

In Fig. 22, the branching ratios for  $\ell_{\alpha} \to \ell_{\beta}\gamma$  and  $\ell_{\alpha} \to 3 \ell_{\beta}$  are plotted as function of  $m_{\chi}$ . For the chosen input parameters, all the branching ratios are below the experimental limits specified in Table 10. The couplings  $Y_2$  and  $\xi_1 v_{\phi_2}$  can be lowered or increased to obtain more or less suppressed results. Fig. 23 shows the correlation between the branching ratio and the Yukawa entries for  $\mu \to e\gamma$  and  $\mu \to 3e$ . As the Yukawa coupling  $Y_1$  decrease, the branching ratio gets more suppressed. The values for the branching ratio of  $\ell_{\alpha} \to 3\ell_{\beta}$  are more constrained with respect to  $\ell_{\alpha} \to \ell_{\beta}\gamma$ .

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Figure 22: Branching Ratios for LFV processes  $\ell_{\alpha} \to \ell_{\beta}\gamma$  and  $\ell_{\alpha} \to 3\ell_{\beta}$  vs fermion mass  $m_{\chi}$ .



Figure 23: Branching Ratios for LFV processes vs the trace of the product of the Yukawa matrix.

## 6.4 Two-loop Model Summary and Perspectives

In this section, we have proposed a radiative neutrino mass model, generating Majorana neutrino masses at two-loop order. This extension consists of a gauge  $U(1)_{B-L}$  symmetry spontaneously broken to  $Z_3$ , which stabilizes the dark matter. We have included three singlet fermions  $\chi_j$ , one doublet scalar  $\eta$  and one singlet scalar  $\rho$  constituting the dark sector of the model. We also have included a singlet scalar  $\phi_2$  which participates in the neutrino mass mechanism, and the three singlet fermions  $N_{(-4,-4,5)}$  along with the singlet scalars  $\phi_{1,8}$  to ensure an anomaly free symmetry, and to give masses to  $N_k$  avoiding radiation contributions to the universe. An additional singlet scalar  $\phi_6$  is included to break an accidental U(1)symmetry.

We have derived the mass spectrum for the model, obtaining degenerate masses for the scalar and pseudoscalar fields. We have made a numerical analysis regarding LFV processes. We obtained that these processes are suppressed in the model given our numerical scan.

The dark matter and Z' phenomenology discussion of the model is still pending. The implementation of the model in SARAH, SPheno and micrOmegas to make a complete numerical analysis represent future perspectives to be explored. In particular, it is of interest a numerical scan including constraints of the dark matter relic density in a fermionic or scalar dark matter scenario.

An alternative option under consideration is to consider  $N_{(-1,-1,-1)}$  instead of  $N_{(-4,-4,5)}$  to avoid the scalar fields  $\phi_{1,6,8}$ . This choice would allow mass terms at tree level, resulting in a seesaw type-I mechanism along with the two-loop mechanism contributing to neutrino masses. However, the seesaw type-I can be suppressed considering small couplings and heavy masses for N, thereby making the two-loop mechanism the primary contribution.

This project with its perspectives is still work in progress at the moment of the realization of the present thesis.

# 7 Dirac Neutrinos Phenomenology with Texture Zeros

In the previous section, a new model assuming Majorana neutrinos was proposed and some of its phenomenological implications were studied. A different approach to study neutrinos phenomenology is making approximations or assumptions directly in the neutrino mass matrix in a model-independent way. By this means, correlations between the parameters in the matrix can be obtained. One example is the zero textures approach, in which some entries of the neutrino mass matrix are set to zero, deriving correlations between oscillation parameters. This can be applied either to Dirac or Majorana neutrinos, each case with its own implications. In this section, two-zero textures for Dirac neutrinos are studied on the charged lepton diagonal mass basis and assuming the neutrino mass matrix to be Hermitian.

### 7.1 Two-zero textures

The number of vanishing elements and their position determines the type of texture. Naturally, not all textures will be allowed by experimental observations. With one vanishing element, the Majorana neutrino case gives one zero equation and is compatible with the oscillation data. For two vanishing elements, only some cases are consistent with the experimental data [118]. Three or more vanishing elements are too restrictive and therefore excluded. In the case of Dirac neutrinos with a Hermitian mass matrix, there are 15 possible textures for two vanishing elements<sup>19</sup>:

$$A_{1}:\begin{pmatrix} 0 & 0 & x \\ 0 & x & x \\ x & x & x \end{pmatrix}, \quad A_{2}:\begin{pmatrix} 0 & x & 0 \\ x & x & x \\ 0 & x & x \end{pmatrix};$$
(7.1)

$$B_{1}:\begin{pmatrix} x & x & 0 \\ x & 0 & x \\ 0 & x & x \end{pmatrix}, \quad B_{2}:\begin{pmatrix} x & 0 & x \\ 0 & x & x \\ x & x & 0 \end{pmatrix}, \quad B_{3}:\begin{pmatrix} x & 0 & x \\ 0 & 0 & x \\ x & x & x \end{pmatrix}, \quad B_{4}:\begin{pmatrix} x & x & 0 \\ x & x & x \\ 0 & x & 0 \end{pmatrix};$$
(7.2)

$$C: \begin{pmatrix} \mathbf{x} & \mathbf{x} & \mathbf{x} \\ \mathbf{x} & 0 & \mathbf{x} \\ \mathbf{x} & \mathbf{x} & 0 \end{pmatrix}; \tag{7.3}$$

$$D_{1}:\begin{pmatrix} x & x & x \\ x & 0 & 0 \\ x & 0 & x \end{pmatrix}, \quad D_{2}:\begin{pmatrix} x & x & x \\ x & x & 0 \\ x & 0 & 0 \end{pmatrix};$$
(7.4)

$$E_{1}:\begin{pmatrix} 0 & \mathbf{x} & \mathbf{x} \\ \mathbf{x} & 0 & \mathbf{x} \\ \mathbf{x} & \mathbf{x} & \mathbf{x} \end{pmatrix}, \quad E_{2}:\begin{pmatrix} 0 & \mathbf{x} & \mathbf{x} \\ \mathbf{x} & \mathbf{x} & \mathbf{x} \\ \mathbf{x} & \mathbf{x} & 0 \end{pmatrix}, \quad E_{3}:\begin{pmatrix} 0 & \mathbf{x} & \mathbf{x} \\ \mathbf{x} & \mathbf{x} & 0 \\ \mathbf{x} & 0 & \mathbf{x} \end{pmatrix};$$
(7.5)

$$F_{1}:\begin{pmatrix} x & 0 & 0\\ 0 & x & x\\ 0 & x & x \end{pmatrix}, \quad F_{2}:\begin{pmatrix} x & 0 & x\\ 0 & x & 0\\ x & 0 & x \end{pmatrix}, \quad F_{3}:\begin{pmatrix} x & x & 0\\ x & x & 0\\ 0 & 0 & x \end{pmatrix}.$$
 (7.6)

<sup>19</sup>Note that a vanishing element  $(M_{\nu})_{ij} = 0$  implies  $(M_{\nu})_{ji} = 0$  since we are assuming  $M_{\nu}$  to be Hermitian.

We follow the notation for Majorana neutrinos given in [118]. In Eqs. (7.1)-(7.6), x represents a non-vanishing entry.

Several studies have examined the texture zeros for Majorana neutrinos [119–124]. The phenomenological implications of texture zeros for the Dirac scenario in the diagonal charged lepton mass matrix basis were also studied previously [125–127]. The purpose of taking this approach is to relate the observables based on the analytical expressions derived from the vanishing elements. This is achieved using a numerical technique based on a scanning in the parameters inside the  $3\sigma$  region of the Global Fit presented in Table 4.

The following sections provide an overview of the general approach and some implications of the previous textures in normal ordering (NO) and inverted ordering (IO) of the neutrino mass spectrum. Finally, the numerical analysis and the correlations resulting from the numerical analysis for the favored textures are presented.

### 7.2 General Approach

In the charged lepton diagonal mass basis, the Hermitian Dirac neutrino mass matrix  $M_{\nu}$  is diagonalized by the PMNS matrix as<sup>20</sup>

$$U_{\rm PMNS}^{\dagger} M_{\nu} U_{\rm PMNS} = \hat{m}_{\nu} \equiv \begin{pmatrix} m_1 & 0 & 0\\ 0 & m_2 & 0\\ 0 & 0 & m_3 \end{pmatrix}.$$
 (7.7)

The parametrization for  $U_{\text{PMNS}}$  is the same of Eq. (3.30):

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}.$$
 (7.8)

From Eq. (7.7), we can write the neutrino mass matrix as

$$M_{\nu} = U_{\rm PMNS} \, \hat{m}_{\nu} \, U_{\rm PMNS}^{\dagger}. \tag{7.9}$$

The right-hand side of Eq. (7.9) depends only on the neutrino mixing angles, the CP-violating phase, and the neutrino masses. From here, it is clear that in Eq. (7.9), a zero on the left-hand side will imply an equation relating neutrino masses with the CP-phase and the neutrino mixing angles.

The Dirac mass matrices in the SM are arbitrary  $3 \times 3$  matrices. However, due to the polar theorem of linear algebra, it can be written as the product of a Hermitian matrix times a unitary matrix [128]. Moreover, this unitary matrix can be absorbed in a redefinition of the right-handed fields, since there are no constraints for the form of these fields in the SM. In this way, we can take the neutral mass matrix for Dirac neutrinos to be Hermitian without losing generality.

We have six real numbers and three phases as parameters in a  $3 \times 3$  Hermitian matrix. Now, using a weak basis transformation, we can reduce the number of phases from three to one, obtaining an Hermitian matrix with six real parameters and just one phase, enough to

<sup>&</sup>lt;sup>20</sup>Note the difference with Eq. (5.34), where the Majorana neutrino mass matrix is diagonalized by  $U_{\rm PMNS}^T M_{\nu} U_{\rm PMNS}$ .

accommodate the seven physical parameters, namely, the three neutrino masses, the three mixing angles, and the CP-violating phase.

Imposing to zero an element in the neutrino mass matrix reduces the number of free parameters. One texture zero establishes a single relationship between physical parameters, *i.e.*, mixing angles, and neutrinos masses. With two vanishing elements, there are two relations. It is important to note that these relationships may or may not agree with the current experimental oscillation data. The phenomenology of each texture should be studied to see this compatibility.

#### 7.2.1 Numerical Analysis

We obtain a system of two equations (the corresponding to the vanishing entries of  $M_{\nu}$ ), *i.e.*,  $(M_{\nu})_{ij} \equiv M_{ij} = 0$  and  $(M_{\nu})_{kl} \equiv M_{kl} = 0$  with  $ij \neq kl$ . These equations allow us to write two neutrino masses as functions of the other neutrino mass (we choose the lightest) and the neutrino oscillation parameters. For NO (IO), the equations are of the form:

$$\eta m_1 U_{i1} U_{1j}^* + \kappa m_2 U_{i2} U_{2j}^* + m_3 U_{i3} U_{3j}^* = 0, \eta m_1 U_{k1} U_{1l}^* + \kappa m_2 U_{k2} U_{2l}^* + m_3 U_{k3} U_{3l}^* = 0,$$
(7.10)

where  $\eta$  and  $\kappa$  are the relative  $m_1$  and  $m_2$  signs respect to  $m_3$ . The eigenvalues of a Hermitian matrix are always real. As the masses correspond to the eigenvalues of the neutrino mass matrix, they must take real values. Consequently, the permissible values of  $\delta$  in Eqs. (7.10) are constrained by the realness of the neutrino masses. The result will indicate whether or not CP is conserved:

- CP violation: The neutrino mass matrices with all the zeroes in the diagonal allow CP violation. In such a case, i = j and k = l, and the Eqs. (7.10) are both real, no matter the value of the  $\delta$  CP phase. The textures that allow CP violation are  $C, E_1$ , and  $E_2$  in Eqs. (7.3) and (7.5).
- **CP conservation:** All the remaining textures lead to CP conservation. In Eq. (7.10), the realness of the neutrino masses forces  $\delta$  to take the values 0 or  $\pi$ .

We use the squared mass differences to write two masses in terms of the lightest neutrino mass:

$$\begin{aligned} \Delta m_{21}^2 &= m_2^2 - m_1^2, \\ \Delta m_{31}^2 &= m_3^2 - m_1^2. \end{aligned} \tag{7.11}$$

We then give random values to the square mass differences in the  $3\sigma$  range from the neutrino oscillations global fit [68]. After this, we assign numerical values to the lightest neutrino masses.

Three textures are found to be compatible with the current neutrino oscillation data. Two textures,  $A_1$  and  $A_2$ , are CP conserving (those textures have an off-diagonal vanishing matrix element) and are compatible only for normal ordering. The third one is texture C. This texture is compatible with CP violation for both neutrino mass hierarchies.

In the next section, the results for textures A1, A2, and C are presented.


(c)  $\sum m_{\nu}$  vs.  $\sin^2 \theta_{12}$  for texture A1 in NO.

Figure 24: Correlations for texture A1 ( $M_{ee} = M_{e\mu} = 0$ ) in NO. Plots 24a and 24b have contours corresponding to the  $3\sigma$ ,  $2\sigma$ , and  $1\sigma$  along with the best fit point provided by the Global Fit Collaboration [68]. Points in lighter pink represent solutions inside the contours also consistent with the upper mass limits [35], [12] and [129].

#### 7.3 Allowed Textures

From all the possible Dirac neutrino Hermitian mass matrices with two vanishing elements, only three of these textures are compatible with current experimental data. Textures A1 and A2 are compatible with the normal ordering of the neutrino masses, and texture C is compatible with both hierarchies. These textures show clear correlations between some observables depending on the particular texture. The results of the neutrino phenomenology concerning such correlations are presented in Figs. 24-27. The mass values are compared with the upper limits from KATRIN collaboration [35] ( $m_{\nu} < 1.1$  eV, with  $m_{\nu}$  being the absolute mass scale for neutrinos), PLANCK collaboration [12] ( $\sum m_{\nu} < 0.12$  eV) and the most constraining bound up to date from cosmology of  $\sum m_{\nu} < 0.09$  eV [129].



(c)  $\sum m_{\nu}$  vs.  $\sin^2 \theta_{12}$  for texture A2 in NO.

Figure 25: Correlations for texture A2 ( $M_{ee} = M_{e\tau} = 0$ ) in NO. The  $3\sigma$ ,  $2\sigma$ ,  $1\sigma$  contours and the best fit point are shown in Figs. 25a and 25b. Points in lighter pink represent solutions inside the contours also consistent with the bounds from [35], [12] and [129].

#### 7.3.1 Texture A1

This texture is only compatible with inverse hierarchy. This restriction arises from the requirement for real masses, which constrains  $\delta$  to be either  $\pi$  or 0. However, neither of these values falls within the  $3\sigma$  range for the inverted ordering. The solutions found in the  $3\sigma$  scan for this texture are presented in Fig 24. Points printed in lighter pink are solutions inside the global fit contour corresponding to  $3\sigma$ , also consistent with PLANCK, KATRIN and the cosmological upper mass limit. Fig. 24a displays a correlation between the solar and atmospheric mixing angles, showing a wide region of overlap with the Global Fit contours. As the parameter  $\sin^2 \theta_{12}$  increases, there is a corresponding increase in  $\sin^2 \theta_{23}$ . In Fig. 24b,  $\delta = \pi$  indicates CP conservation. Fig. 24c shows the correlation between  $\sum m_i$  and the solar mixing angle. For this texture, the mass values are consistent with all the upper limits.



(a)  $\sin^2 \theta_{23}$  vs.  $\delta$  for texture C in IO. (b)  $\sum m_{\nu}$  vs.  $\sin^2 \theta_{12}$  for texture C in IO.

Figure 26: Correlations for texture C ( $M_{\mu\mu} = M_{\tau\tau} = 0$ ) in IO. The  $3\sigma$ ,  $2\sigma$ , and  $1\sigma$  contours and the best fit point are shown for Fig. 26a. The red vertical line in Fig. 26b represents the upper constraint given by the PLANCK collaboration [12]. Points inside the contours that fulfill this condition are shown in cyan color for both plots. Points in purple represent solutions inside the contours also consistent with the KATRIN limit, but not with PLANCK.



Figure 27: Correlation for texture C ( $M_{\mu\mu} = M_{\tau\tau} = 0$ ) in NO. The  $3\sigma$ ,  $2\sigma$ , and  $1\sigma$  contours and the best fit point are shown in Fig. 27a. Points in purple represent solutions inside the contours also consistent with the KATRIN limit, but not with PLANCK.

#### 7.3.2 Texture A2

As in the previous case, this texture is only compatible with normal ordering for the neutrino masses. The solutions for this texture are displayed in Fig 25. The correlation between the solar and atmospheric mixing angles is shown in Fig. 25a. In contrast to texture A1, a decreasing in  $\sin^2 \theta_{23}$  implies an increasing in  $\sin^2 \theta_{12}$ . Fig. 25b indicates CP conservation. The overlap with the contours is also limited to greater values for the angles in this case. In Fig. 25c, the mass correlation is displayed, showing mass values lower than those in texture

A1. This is consistent with the upper limits as well.

### 7.3.3 Texture C in Inverse Ordering

The correlation between the atmospheric angle and the CP phase is displayed in Fig. 26a for the inverse ordering. Solutions inside the contours give a value for the CP phase  $\delta \approx 3\pi/2$ , leading to CP violation. Fig. 26b shows the negative correlation of the solar mixing angle with  $\sum m_i$ . Mass values printed in purple are consistent only with the bound from KATRIN, while cyan points are also consistent with the PLANCK bound. However, all points are ruled out by the limit imposed by the Cosmological bound from [129].

### 7.3.4 Texture C in Normal Ordering

Fig. 27a shows the correlation between the atmospheric angle and the CP phase for normal ordering. Compared to inverse ordering, there is a higher range for CP-phase values inside the contours. Also, the maximal  $\theta_{23} \approx \pi/4$  is preferred, implying quasi-degeneration between neutrino masses. The correlation between the reactor mixing angle and  $\sum m_i$  is displayed in Fig 27b. The mass values are greater than those in inverse ordering, consistent only with the KATRIN limit.

### 7.4 Summary

Our results, as those in [126], found that textures A1, A2, and C are compatible with the current experimental neutrino oscillation data. However, the correlation for  $\delta$  vs.  $\sin^2 \theta_{23}$  in texture C with IO are different from those in [126]. The work in [127] considers two-zero textures with Hermitian and non-Hermitian Dirac neutrino mass matrices. In the Hermitian case, they found that textures A1 and C are allowed, while A2 is ruled out.

In summary, the cases compatible with the experimental data are textures A1 and A2 for normal ordering and C for both hierarchies. Textures A1 and A2, with normal ordering, predict CP conservation, while texture C predicts CP violation in both hierarchies. Texture C, with normal ordering, leads to quasi-degenerate neutrino masses.

# 8 Conclusions

The Standard Model (SM) was originally formulated considering massless neutrinos, since no evidence for their masses were available at that time. Having only upper mass limits as indication, the assumption of massless left-handed neutrinos was enough to describe weak interactions, as discussed in Section 2. However, with the subsequent discovery of neutrino oscillations, it became evident that neutrinos must be massive.

The observation of oscillating neutrinos represents a direct evidence of Beyond the Standard Model (BSM) physics, where the need for a mechanism to generate neutrino masses arises. To consider massive neutrinos in the SM, the addition of a right-handed neutrino or the assumption of neutrinos being Majorana-type particles can be used. However, as pointed out in Section 3, doing so does not explain why the neutrino masses are at least six orders of magnitude smaller than the electron mass, according to current experimental limits.

In this regard, the SM can be extended to explain the smallness of neutrino masses. In Section 4, we learned that neutrino masses can be generated either at tree-level or through radiative mechanisms. The advantage of a radiative mass generation mechanism is an extra suppression factor for each loop, providing a natural explanation for small masses. Moreover, extensions of the SM can also incorporate a dark matter candidate, linking the neutrino mass problem with the unknown nature of the dark sector, whose existence is also evidence for BSM physics.

With these considerations, we have proposed two radiative models for Majorana neutrino masses: one at the one-loop level and the other at the two-loop level, presented in Sections 5 and 6.

In the first one, we extended the SM with a discrete symmetry  $Z_4$ , introducing three fermions  $N_i$  and a doublet scalar  $\eta$  with charges *i* under the new symmetry. Being protected by charge conservation of a conserved  $Z_2$ , the lightest of these particles represents a dark matter candidate. Through a numerical scan, we found that the parameter spectrum can reproduce neutrino oscillation data, using the inputs from Table 7. This extension is similar to the Scotogenic model, with the difference lying in the addition of a real singlet scalar  $\phi$ . This scalar gives mass to the fermions  $N_i$  and also allows for an extra annihilation channel  $2N \rightarrow 2\phi$  and  $2N \rightarrow 2SM$  through  $\phi$  exchange, contributing to the dark matter relic density without the experimental constraints on the Yukawa interaction coming from LFV processes. Additionally, under the kinematic condition  $m_N > m_{\phi}/2$ , a cannibal process  $3N \rightarrow N + \phi$  is possible. A numerical scan in the parameter spectrum for the relic abundance is presented, where two regions, forbidden and secluded, are briefly discussed.

The second model also considers Majorana neutrino masses, but now the SM is extended with an anomaly free gauged  $U(1)_{B-L}$  symmetry, which spontaneously breaks into a  $Z_3$ . The dark sector involves a scalar doublet  $\eta$ , a singlet scalar  $\rho$  and a vector-like fermion  $\chi$ . Additionally, three fermions  $N_k$  with B - L charges of (-4, -4, 5) are introduced to the theory, ensuring anomaly cancellations. To provide masses for these fermions and prevent accidental symmetries, four extra singlet scalars are included.

In this model, masses are generated at the two-loop level, leading to the possibility of greater values for the Yukawa couplings due to the extra suppression factor of  $(16\pi^2)^2$  in the denominator. Its phenomenological implications include the possibility of lepton flavor-violating

processes. We have computed the branching ratio for  $\ell_{\alpha} \to \ell_{\beta}\gamma$  and  $\ell_{\alpha} \to 3\ell_{\beta}$ , obtaining results consistent with current experimental bounds. The phenomenology of the dark sector, which includes the physics of Z', is still pending for this model.

On the other hand, in Section 7, we explored a different approach to studying neutrino phenomenology. We employed the texture zeros method, where specific entries of the neutrino mass matrix are set to zero, implying correlations between oscillation parameters. We specifically investigated the case of two-zero textures for Dirac neutrinos.

With this study, we have found that textures (1,1) = (1,2) = 0 and (1,1) = (1,3) = 0(referred to as A1 and A2 textures) in Normal Ordering, and (2,2) = (3,3) = 0 (referred to as the C texture) in Inverse and Normal Ordering, are compatible with recent experimental data and some upper mass limits for Dirac neutrinos. These textures show correlations between several oscillation parameters, consistent with the  $\sigma$  contours of the Global Fit [68]. Textures A in normal hierarchy predict CP conservation, while texture C predicts CP violation in both hierarchies. C texture in NO leads to quasi-degenerate neutrino masses. Incoming experiments should increase the precision for neutrino mixing parameters, enabling to discard or favor some of the previous textures with more certainty.

In summary, we have studied neutrino masses and phenomenology both with SM extensions and with the texture zeros approach. We have proposed two models that reproduce neutrino oscillation data and have dark matter candidates. With the two-zero textures, we have found allowed textures according to current experimental data.

# A Anomalies

In this Appendix, we shortly review some aspects of anomalies in the SM and U(1) extensions of the SM. Rather than provide a rigorous proof and calculation, we mainly describe the general idea and present the anomaly cancellation constraints that we use. For a more comprehensive yet understandable review of quantum anomalies, we refer to, for example, [130], which inspired the discussion in this appendix.

An anomaly occurs when a symmetry is conserved at classical level but not at quantum level, coming from the same Lagrangian. In such cases, that symmetry is said to be *anomalous*. From Noether's theorem, a continuous symmetry implies a conserved current. If a symmetry is anomalous, then it is not actually a symmetry and the associated current will not be conserved. Therefore, in quantum field theory, gauge symmetries must be *anomaly free*, since the non-conservation of currents associated to those symmetries could imply unitarity violation. This restriction is strong and constraints the assigned charges to fermions, implying also a quantized electric charge, as we will see below.

Anomalies of gauge symmetries are called *gauge anomalies*. Global anomalies, coming from global symmetries, do not lead to inconsistencies in quantum theory<sup>21</sup>. Actually, there exist global anomalies in the SM. One example is baryon number, whose associated symmetry is  $U(1)_B$ . The symmetry  $U(1)_B$  being anomalous implies baryon number violation, which is in fact a necessary condition to explain baryon asymmetry in the universe [18].

On the other hand, the need for absence of gauge anomalies in the SM imposes strong restrictions on the fermions. These restrictions arise from the calculation of the anomalies using triangle diagrams as shown in Figure 28. This diagram originally represented the



Figure 28: Triangle diagram resulting in chiral anomalies. The internal propagators are fermion particles, whereas the external legs are a combination of gauge boson fields corresponding to the  $SU(3)_C, SU(2)_L$  and  $U(1)_Y$  interactions.

decay process of  $\pi^0 \to \gamma \gamma$ . When the decay rate was successfully computed, it was shown that axial symmetry was broken by quantum corrections, leading to a chiral anomaly [131, 132].

In diagram 28, the propagators are fermions and each corner is a vertex with a coupling to

<sup>&</sup>lt;sup>21</sup>When a symmetry is said to be *anomaly free*, means absence of gauge anomalies only.

an external gauge field. These gauge fields couple to both the vector current  $\bar{\psi}\gamma_{\mu}\psi$  and the axial current  $\bar{\psi}\gamma_5\gamma_{\mu}\psi$ . Depending on the gauge fields, different constraints regarding the SM symmetries are obtained. When all the three considered bosons are  $B_{\mu}$ , with  $B_{\mu}$  the gauge boson associated to  $U(1)_Y$ , the gauge anomaly reads

$$U(1)_Y^3 : \sum_L Y_l^3 - \sum_R Y_R^3.$$
(A.1)

The full amplitude for the diagram considers left- and right-handed particles. The minus sign corresponds to a flip from left- to right-handedness through  $\gamma_5$ . This anomaly is represented as the  $U(1)_Y^3$  anomaly, and its vanishing requires

$$0 = \left(2Y_L^3 - Y_e^3 - Y_\nu^3\right) + 3\left(2Y_Q^3 - Y_u^3 - Y_d^3\right).$$
(A.2)

Here,  $Y_L, Y_e, Y_\nu, Y_Q, Y_u$  and  $Y_d$  are the hypercharges for the left-handed leptons, right-handed charged leptons, right-handed neutrinos, left-handed quarks, right-handed up-type quarks and right-handed down-type quarks. If we check the hypercharges for these particles from Table 1, and recalling that right-handed neutrinos must be singlets under the SM, we can see that the  $U(1)_Y^3$  anomaly actually vanishes.

By group theory properties, any anomaly involving exactly one factor of SU(2) or SU(3) vanishes. An  $SU(3)_C$  anomaly also vanishes since QCD is non-chiral. The remaining anomalies to be cancelled are  $SU(3)_C^2 U(1)_Y$  and  $SU(2)_L^2 U(1)_Y$ . An extra anomaly emerges from gravity interactions,  $\operatorname{grav}^2 U(1)_Y$ , where the mediating gauge boson is the graviton. As all anomalies involve the  $U(1)_Y$  symmetry, four constraints are derived for the fermion hyper-charges, displayed in Table 12.

Anomaly	Constraint
$U(1)_Y^3$	$\left(2Y_L^3 - Y_e^3 - Y_\nu^3\right) + 3\left(2Y_Q^3 - Y_u^3 - Y_d^3\right) = 0$
$SU(3)_{C}^{2}U(1)_{Y}$	$2Y_Q - Y_u - Y_d = 0$
$SU(2)_L^2 U(1)_Y$	$Y_L + 3Y_Q = 0$
$\operatorname{grav}^2 U(1)_Y$	$(2Y_L - Y_e - Y_\nu) + 3(2Y_Q - Y_u - Y_d) = 0$

Table 12: Anomaly constraints on the hypercharges of SM particles.

The constraint  $Y_L + 3Y_Q = 0$  means that the electron must have exactly the same electric charge as the proton, implying that the electric charge is quantized. A general solution to the equations of Table 12 is

$$Y_{L} = -\frac{a}{2} - b, \qquad Y_{e} = -a - b, \qquad Y_{\nu} = -b,$$
  

$$Y_{Q} = \frac{a}{6} + \frac{b}{3}, \qquad Y_{u} = \frac{2a}{3} + \frac{b}{3}, \qquad Y_{d} = -\frac{a}{3} + \frac{b}{3},$$
  
(A.3)

for any a and b. In the SM, the solution is a = 1 and b = 0.

Lets consider now an extension of the SM, with an extra U'(1) symmetry with charges  $Y'_i$ . For anomalies to cancel, all conditions in Table 12 must hold with  $Y_i \to Y'_i$ . In addition,  $U(1)_Y^2 U(1)_{Y'}$  and  $U(1)_Y U(1)_{Y'}^2$  anomalies must cancel. The only possibility for  $Y'_i$  is to satisfy Eq. (A.3) with  $Y_i \to Y'_i$ . Taking now the orthogonal solution, a = 0 and b = 1, gives

$$Y'_L = Y'_e = Y'_\nu = -1, \qquad Y'_Q = Y'_u = Y'_d = \frac{1}{3},$$
 (A.4)

thus having a charge -1 for leptons and 1/3 for quarks. This assignment corresponds to the group  $U(1)_{B-L}$ , introduced in Subsection 2.5. Therefore, the symmetry  $U(1)_{B-L}$  is not anomalous and can be promoted to be local.

In Section 6, the charges (-4, -4, -5) under  $U(1)_{B-L}$  are assigned to three right-handed neutrinos in the two-loop model. This is done in order to avoid Dirac mass terms at treelevel. It can be shown that this charge assignment is also a valid solution for anomaly cancellation. Let us take the  $U(1)_{Y'}^3$  anomaly constraint:

$$U(1)_{Y'}^3 : \sum_L Y_l^{\prime 3} - \sum_R Y_R^{\prime 3}.$$
 (A.5)

Quarks do not contribute to the anomaly, since the baryon number assignation remains as 1/3. Then, substituting the corresponding charges for leptons we obtain

$$U(1)_{Y'}^{3} : \sum Y_{L}^{\prime 3} - \sum Y_{e}^{\prime 3} - \sum Y_{\nu}^{\prime 3}$$
  
= 6(-1)<sup>3</sup> - 3(-1)<sup>2</sup> - (-4)<sup>3</sup> - (-4)<sup>3</sup> - (5)<sup>3</sup>  
= 0. (A.6)

A similar check can be made for the grav<sup>2</sup>  $U(1)_Y$  anomaly. Other anomalies do not involve right-handed neutrinos and therefore remain vanished. The anomalies  $U(1)_Y^2 U(1)_{Y'}$  and  $U(1)_Y U(1)_{Y'}^2$  vanish as in the case (A.4), due to  $Y_{\nu} = 0$ . Finally, a vector-like fermion (as  $\chi$ in the two-loop model) do not contribute to U'(1) anomalies since the left- and right-handed parts cancel each other.

## B Two-loop Model: Full Potential Treatment

In this Appendix, we review the full potential of the model described in Section 6. Opening Eq. 6.2, we obtain the full expression:

$$\mathcal{L}_{V} = \mu_{H}^{2} H^{\dagger} H + \mu_{\eta}^{2} \eta^{\dagger} \eta + \mu_{\rho}^{2} \rho^{*} \rho + \mu_{\phi_{1}}^{2} \phi_{1}^{*} \phi_{1} + \mu_{\phi_{2}}^{2} \phi_{2}^{*} \phi_{2} + \mu_{\phi_{6}}^{2} \phi_{6}^{*} \phi_{6} + \mu_{\phi_{8}}^{2} \phi_{8}^{*} \phi_{8} \\ + \lambda_{1} (H^{\dagger} H)^{2} + \lambda_{2} (H^{\dagger} H) (\eta^{\dagger} \eta) + \lambda_{3} (H^{\dagger} \eta) (\eta^{\dagger} H) + \lambda_{4} (H^{\dagger} H) (\rho^{*} \rho) + \lambda_{5} (H^{\dagger} H) (\phi_{1}^{*} \phi_{1}) \\ + \lambda_{6} (H^{\dagger} H) (\phi_{6}^{*} \phi_{6}) + \lambda_{7} (H^{\dagger} H) (\phi_{2}^{*} \phi_{2}) + \lambda_{8} (H^{\dagger} H) (\phi_{8}^{*} \phi_{8}) + \lambda_{9} (\eta^{\dagger} \eta)^{2} + \lambda_{10} (\eta^{\dagger} \eta) (\rho^{*} \rho) \\ + \lambda_{11} (\eta^{\dagger} \eta) (\phi_{1}^{*} \phi_{1}) + \lambda_{12} (\eta^{\dagger} \eta) (\phi_{6}^{*} \phi_{6}) + \lambda_{13} (\eta^{\dagger} \eta) (\phi_{2}^{*} \phi_{2}) + \lambda_{14} (\eta^{\dagger} \eta) (\phi_{8}^{*} \phi_{8}) + \lambda_{15} (\rho^{*} \rho)^{2} \\ + \lambda_{16} (\rho^{*} \rho) (\phi_{1}^{*} \phi_{1}) + \lambda_{17} (\rho^{*} \rho) (\phi_{6}^{*} \phi_{6}) + \lambda_{18} (\rho^{*} \rho) (\phi_{2}^{*} \phi_{2}) + \lambda_{19} (\rho^{*} \rho) (\phi_{8}^{*} \phi_{8}) + \lambda_{20} (\phi_{1}^{*} \phi_{1})^{2} \\ + \lambda_{21} (\phi_{1}^{*} \phi_{1}) (\phi_{6}^{*} \phi_{6}) + \lambda_{22} (\phi_{1}^{*} \phi_{1}) (\phi_{2}^{*} \phi_{2}) + \lambda_{23} (\phi_{1}^{*} \phi_{1}) (\phi_{8}^{*} \phi_{8}) + \lambda_{24} (\phi_{6}^{*} \phi_{6})^{2} \\ + \lambda_{25} (\phi_{6}^{*} \phi_{6}) (\phi_{2}^{*} \phi_{2}) + \lambda_{26} (\phi_{1}^{*} \phi_{1}) (\phi_{8}^{*} \phi_{8}) + \lambda_{27} (\phi_{2}^{*} \phi_{2})^{2} + \lambda_{3} \phi_{8} \phi_{6}^{*} \phi_{2}^{*} + \text{h.c.} ).$$

$$(B.1)$$

Here, the Higgs and the scalar doublet  $\eta$  are

$$H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix} \quad \text{and} \quad \eta = \begin{pmatrix} \eta^+ \\ \eta^0 \end{pmatrix}. \tag{B.2}$$

The scalar fields in Eq. (B.1) are complex. After SSB, the next substitution is made in the potential:

$$H^{0} \to \frac{1}{\sqrt{2}} \left( v_{H} + h_{R}^{0} + i h_{I}^{0} \right),$$
  

$$\eta^{0} \to \frac{1}{\sqrt{2}} \left( v_{\eta} + \eta_{R}^{0} + i \eta_{I}^{0} \right),$$
  

$$\rho \to \frac{1}{\sqrt{2}} \left( v_{\rho} + \rho_{R} + i \rho_{I} \right),$$
  

$$\phi_{j} \to \frac{1}{\sqrt{2}} \left( v_{\phi_{j}} + \phi_{jR} + i \phi_{jI} \right),$$
  
(B.3)

with j = 1, 2, 6, 8. Then, we find the tadpole equations given by

$$\left. \frac{\partial V}{\partial v_{\Psi}} \right|_{\Psi=0} = 0. \tag{B.4}$$

where  $\Psi$  is one each of the fields and  $v_{\Psi}$  is its VEV. Eq. (B.4) will give us a tadpole equation for each field. For  $\eta$  we obtain

$$\eta : \frac{1}{2} \left[ v_{\eta} \left( v_{\rho}^{2} \lambda_{10} + v_{\phi_{1}}^{2} \lambda_{11} + v_{\phi_{6}}^{2} \lambda_{12} + v_{\phi_{2}}^{2} \lambda_{13} + v_{\phi_{8}}^{2} \lambda_{14} + 2v_{\eta}^{2} \lambda_{9} + 2\mu_{\eta}^{2} + 2v_{\eta}^{2} \lambda_{9} + 2\mu_{\eta}^{2} \right) + v_{H}^{2} v_{\eta} \left( \lambda_{2} + \lambda_{3} \right) + \sqrt{2} v_{H} v_{\rho} \kappa_{2} \right] = 0.$$
(B.5)

For  $\rho$ , we have

$$\rho: \quad \frac{1}{2} \left[ v_{\rho} \left( 3v_{\rho} v_{\phi_2} \xi_1 + v_{\eta}^2 \lambda_{10} + 2v_{\rho}^2 \lambda_{15} + v_{\phi_1}^2 \lambda_{16} + v_{\phi_6}^2 \lambda_{17} + v_{\phi_2}^2 \lambda_{18} + v_{\phi_8}^2 \lambda_{19} + v_H^2 \lambda_4 + 2\mu_{\rho}^2 + 2\mu_{\rho}^2 \right) + \sqrt{2} v_H v_{\eta} \kappa_2 \right] = 0.$$
(D. c)

One possible solution to both equations is setting  $v_{\eta} = v_{\rho} = 0$  simultaneously. With this election, the other tadpole equations lead to

$$\mu_H^2 = -\frac{2v_H^3 \lambda_1 + v_H v_{\phi_1}^2 \lambda_5 + v_H v_{\phi_6}^2 \lambda_6 + v_H v_{\phi_2}^2 \lambda_7 + v_H v_{\phi_8}^2 \lambda_8}{2v_H},\tag{B.7}$$

$$\mu_{\phi_1}^2 = -\frac{2v_{\phi_1}v_{\phi_6}v_{\phi_8}\zeta_3 + 2\sqrt{2}v_{\phi_1}v_{\phi_2}\kappa_1 + 2v_{\phi_1}^3\lambda_{20} + v_{\phi_1}v_{\phi_6}^2\lambda_{21} + v_{\phi_1}v_{\phi_2}^2\lambda_{22} + v_{\phi_1}v_{\phi_8}^2\lambda_{23} + v_H^2v_{\phi_1}\lambda_5}{2v_{\phi_1}},$$
(B.8)

$$\mu_{\phi_2}^2 = \frac{3v_{\phi_2}^2 v_{\phi_6}\zeta_2 + \sqrt{2}v_{\phi_1}^2 \kappa_1 + \sqrt{2}v_{\phi_6}v_{\phi_8}\kappa_3 + v_{\phi_1}^2 v_{\phi_2}\lambda_{22} + v_{\phi_2}v_{\phi_6}^2 \lambda_{25} + 2v_{\phi_2}^3 \lambda_{27} + v_{\phi_2}v_{\phi_8}^2 \lambda_{28} + v_H^2 v_{\phi_2} \lambda_7}{2v_{\phi_2}}$$
(B.9)

$$\mu_{\phi_6}^2 = \frac{v_{\phi_2}^3 \zeta_2 + v_{\phi_1}^2 v_{\phi_8} \zeta_3 + \sqrt{2} v_{\phi_2} v_{\phi_8} \kappa_3 + v_{\phi_1}^2 v_{\phi_6} \lambda_{21} + 2v_{\phi_6}^3 \lambda_{24} + v_{\phi_2}^2 v_{\phi_6} \lambda_{25} + v_{\phi_6} v_{\phi_8}^2 \lambda_{26} + v_H^2 v_{\phi_6} \lambda_6}{2v_{\phi_6}},$$
(B.10)

$$\mu_{\phi_8}^2 = \frac{v_{\phi_1}^2 v_{\phi_6} \zeta_3 + \sqrt{2} v_{\phi_2} v_{\phi_6} \kappa_3 + v_{\phi_1}^2 v_{\phi_8} \lambda_{23} + v_{\phi_6}^2 v_{\phi_8} \lambda_{26} + v_{\phi_2}^2 v_{\phi_8} \lambda_{28} + 2 v_{\phi_8}^3 \lambda_{29} + v_H^2 v_{\phi_8} \lambda_8}{2 v_{\phi_8}}.$$
(B.11)

The couplings  $\mu_{\eta}^2$  and  $\mu_{\rho}^2$  remain as free parameters in the model.

The mass matrices are obtained with

$$\mathcal{M}_{ij} = \frac{\partial^2 V}{\partial \Psi_i \partial \Psi_j} \bigg|_{\Psi=0}.$$
 (B.12)

We obtain the same mass matrix for the scalar and pseudoscalar fields of the dark sector and two mass matrices for the scalar and pseudoscalar visible fields. The resulting mass matrices are displayed in next page.

$$\mathcal{M}_{BM}^{R} = \mathcal{M}_{DM}^{L} = \mathcal{M}_{DM} = \mathcal{M}_{DM} = \left( \begin{array}{c} \frac{1}{2} (v_{01}^{2} \lambda_{11} + v_{02}^{2} \lambda_{12} + v_{22}^{2} \lambda_{13} + v_{02}^{2} \lambda_{14} + v_{H}^{2} (\lambda_{2} + \lambda_{3})) + \mu_{1}^{2} \\ \frac{\nu_{1} K^{2}}{\sqrt{2}} & \frac{\nu_{1} K^{2}}{2} & \frac{\nu_{1} K^{2}}{\sqrt{2}} & \frac{\nu_{1} K^{2}}{2} \\ \frac{\nu_{1} W_{0} \lambda_{1}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{1}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{1}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{1}}{\sqrt{2}} \\ \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{1}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} \\ \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} \\ \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} \\ \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} \\ \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2}} & \frac{\nu_{1} W_{0} \lambda_{2}}{\sqrt{2$$

## C Master Integrals for CLBZ Two-loop Diagrams

The strategy when solving two-loop diagrams is to rewrite the amplitude in terms of master integrals. According to the classification of genuine two-loop diagrams given in [133], our model corresponds to a CLBZ (Cheng-Lee-Babu-Zee [82, 83, 134]) type, presented in Fig. 29. The label "genuine two-loop diagram" refers to those where no one-loop nor treelevel neutrino masses exist. In CLBZ genuine two-loop diagrams, there are two types of



Figure 29: Genuine two-loop diagram corresponding to a CLBZ type, according to the classification in [133].

integrals:

$$\mathcal{I}_{ab,\alpha\beta,X} = \frac{1}{(2\pi)^8} \int d^4k \int d^4q \frac{1}{(k^2 - m_a^2)(k^2 - m_\alpha^2)(q^2 - m_b^2)(q^2 - m_\beta^2)[(q+k)^2 - m_X^2]},$$
(C.1)
$$\mathcal{I}_{ab,\alpha\beta,X}^{\{k^2,q^2,(k+q)^2\}} = \frac{1}{(2\pi)^8} \int d^4k \int d^4q \frac{\{k^2,q^2,(k+q)^2\}}{(k^2 - m_\alpha^2)(k^2 - m_\alpha^2)(q^2 - m_b^2)(q^2 - m_\beta^2)[(q+k)^2 - m_X^2]}.$$
(C.2)

The term  $\{k^2, q^2, (k+q)^2\}$  implies that the numerator can be any of  $k^2, q^2$  or  $(k+q)^2$ . The fermion masses are labeled as a, b and the scalar masses as  $\alpha, \beta$ . Here, X is the inner scalar particle. Integrals C.1 and C.2 are rewritten in terms of master integrals as follows. First, we rescale the integral with respect to  $m_X^2$  with

$$k, q \to \frac{k}{m_X}, \frac{q}{m_X}, \qquad d^4k, d^4q \to \frac{d^4k}{(m_X)^4}, \frac{d^4q}{(m_X)^4}.$$
 (C.3)

Hence, we have

$$\mathcal{I}_{ab,\alpha\beta,X} = \frac{1}{(2\pi)^8} \frac{1}{m_x^2} \hat{\mathcal{I}}_{ab,\alpha\beta},$$

$$\mathcal{I}_{ab,\alpha\beta,X}^{\{k^2,q^2,(k+q)^2\}} = \frac{1}{(2\pi)^8} \hat{\mathcal{I}}_{ab,\alpha\beta}^{\{k^2,q^2,(k+q)^2\}}$$
(C.4)

with

$$\hat{\mathcal{I}}_{ab,\alpha\beta} = \int d^4k \int d^4q \frac{1}{(k^2 - r_a)(k^2 - t_\alpha)(q^2 - r_b)(q^2 - t_\beta)[(q + k)^2 - 1]}$$
(C.5)

$$\hat{\mathcal{I}}_{ab,\alpha\beta}^{\{k^2,q^2,(k+q)^2\}} = \int d^4k \int d^4q \frac{\{k^2,q^2,(k+q)^2\}}{(k^2-r_a)(k^2-t_\alpha)(q^2-r_b)(q^2-t_\beta)[(q+k)^2-1]}$$
(C.6)

Here,  $r_{a,b} = (m_{F_{a,b}}/m_X)^2$  and  $t_{\alpha,\beta} = (m_{S_{\alpha,\beta}}/m_X)^2$ . Using the properties

$$\frac{1}{(k^2 - x_1)(k^2 - x_2)} = \frac{1}{x_1 - x_2} \left( \frac{1}{k^2 - x_1} - \frac{1}{k^2 - x_2} \right) \quad \text{and} \\ \frac{q^2}{(k^2 - x_1)(q^2 - x_2)} = \frac{1}{k^2 - x_1} + \frac{x_2}{(k^2 - x_1)(q^2 - x_2)},$$
(C.7)

integrals C.5 and C.6 can be reduced to "master integrals" of the form:

$$I(s,t) = \mu^{\epsilon} \int d^{n}k \int d^{n}q \frac{1}{(k^{2}-s)(q^{2}-t)[(k+q)^{2}-1]}$$
(C.8)

and

$$B_0(0,s,t) = \int \frac{d^4k}{(2\pi)^4} \frac{1}{(k^2 - s)(k^2 - t)}.$$
 (C.9)

Integral C.8 has been solved in [135]. The finite part of the solution yields

$$\hat{g}(s,t) = \frac{s}{2} \ln s \ln t + \sum_{\pm} \pm \frac{s(1-s) + 3st + 2(1-t)x_{\pm}}{2\omega} \times \left[ \operatorname{Li}_2\left(\frac{x_{\pm}}{x_{\pm} - s}\right) - \operatorname{Li}_2\left(\frac{x_{\pm} - s}{x_{\pm}}\right) + \operatorname{Li}_2\left(\frac{t-1}{x_{\pm}}\right) - \operatorname{Li}_2\left(\frac{t-1}{x_{\pm} - s}\right) \right],$$
(C.10)

with the standard di-logarithm

$$Li_{2}(x) = -\int_{0}^{x} \frac{\ln(1-y)}{y} dy,$$
 (C.11)

and

$$x_{\pm} = \frac{1}{2}(-1+s+t\pm\omega), \qquad \omega = \sqrt{1+s^2+t^2-2(s+t+st)}.$$
 (C.12)

Integral C.9 is the well-known one-loop scalar Passarino-Veltman function  $B_0$  [93] in the vanishing external momentum limit  $(p \to 0)$ . Defining

$$B_0(0,s,t) = \frac{1}{(2\pi)^4} \hat{B}_0(0,s,t), \qquad (C.13)$$

the finite part of the solution is given by

$$\hat{B}_0(0,s,t) = -\pi^2 i \left(\frac{s\ln s - t\ln t}{s - t}\right) = \pi^2 \hat{B}'_0(0,s,t).$$
(C.14)

Thus, integrals C.5 and C.6 give [133]:

$$\pi^{-4}\hat{\mathcal{I}}_{ab,\alpha\beta} = \frac{1}{(t_{\alpha} - r_{a})(t_{\beta} - r_{b})} \Big\{ -\hat{g}(t_{\alpha}, t_{\beta}) + \hat{g}(r_{a}, t_{\beta}) + \hat{g}(t_{\alpha}, r_{b}) - \hat{g}(r_{a}, r_{b}) \Big\}, \quad (C.15)$$

$$\pi^{-4} \hat{\mathcal{I}}_{ab,\alpha\beta}^{(k^2)} = \left\{ \frac{1}{t_{\beta} - r_b} [-\hat{g}(r_a, t_{\beta}) + \hat{g}(r_a, r_b)] + \frac{t_{\alpha}}{(t_{\alpha} - r_a)(t_{\beta} - r_b)} [-\hat{g}(t_{\alpha}, t_{\beta}) + \hat{g}(t_{\alpha}, r_b) + \hat{g}(r_a, t_{\beta}) - \hat{g}(r_a, r_b)] \right\},$$
(C.16)

$$\pi^{-4} \hat{\mathcal{I}}_{ab,\alpha\beta}^{(q^2)} = \begin{cases} \frac{1}{t_{\alpha} - r_a} [-\hat{g}(t_{\alpha}, r_b) + \hat{g}(r_a, r_b)] \\ + \frac{t_{\beta}}{(t_{\alpha} - r_a)(t_{\beta} - r_b)} [-\hat{g}(t_{\alpha}, t_{\beta}) + \hat{g}(t_{\alpha}, r_b) + \hat{g}(r_a, t_{\beta}) - \hat{g}(r_a, r_b)] \end{cases},$$
(C.17)  
$$\pi^{-4} \hat{\mathcal{I}}_{ab,\alpha\beta}^{[(k+q)^2]} = \begin{cases} \hat{B}_0'(0, r_a, t_{\alpha}) \, \hat{B}_0'(0, r_b, t_{\beta}) + \frac{-\hat{g}(t_{\alpha}, t_{\beta}) + \hat{g}(t_{\alpha}, r_b) + \hat{g}(r_a, t_{\beta}) - \hat{g}(r_a, r_b)}{(t_{\alpha} - r_a)(t_{\beta} - r_b)} \end{cases} \end{cases}.$$
(C.17)

The last expressions correspond only to the finite piece of the integrals. For CLBZ types, the divergent piece always cancels [133], giving finite results.

# D Loop Functions in LFV

In this appendix, the loop functions used for LFV processes are presented:

$$F_2(x) = \frac{1 - 6x + 3x^2 + 2x^3 - 6x^2 \log x}{6(1 - x)^4},$$
 (D.1)

$$G_2(x) = \frac{2 - 9x + 18x^2 - 11x^3 + 6x^3 \log x}{6(1 - x)^4},$$
 (D.2)

$$D_1(x,y) = -\frac{1}{(1-x)(1-y)} - \frac{x^2 \log x}{(1-x)^2(x-y)} - \frac{y^2 \log y}{(1-y)^2(y-x)},$$
 (D.3)

$$D_2(x,y) = -\frac{1}{(1-x)(1-y)} - \frac{x\log x}{(1-x)^2(x-y)} - \frac{y\log y}{(1-y)^2(y-x)}.$$
 (D.4)

The case with degenerate masses for  $\chi_i$  corresponds to the limit  $x \to y$ . Then, functions D become

$$D_1(x) = \frac{-1 + x^2 - 2x \log x}{(1 - x)^3},$$
(D.5)

$$D_2(x) = \frac{-2 + 2x - (1+x)\log x}{(1-x)^3}.$$
 (D.6)

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