



UNIVERSIDAD NACIONAL AUTÓNOMA DE MÉXICO

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FACULTAD DE CIENCIAS

CUBIERTAS Y EMPAQUETADOS CON HOMOTETOS DE  
CAPACIDAD ACOTADA

T E S I S

QUE PARA OBTENER EL TÍTULO DE:

MATEMÁTICO

P R E S E N T A :

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CIUDAD UNIVERSITARIA, Cd. Mx., 2022



Universidad Nacional  
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# Resumen

35 Este trabajo gira en torno a las dos preguntas siguientes: Dado un cuerpo convexo  
36  $C \subset \mathbb{R}^d$ , un entero positivo  $k$  y un conjunto finito  $S \subset \mathbb{R}^d$  (o una medida finita de  
37 Borel  $\mu$  en  $\mathbb{R}^d$ ), cuántos homotetos de  $C$  se requieren para cubrir  $S$  si no se permite  
38 que ningún homoteto cubra más de  $k$  puntos de  $S$  (o tenga medida mayor que  $k$ )?  
39 ¿Cuántos homotetos de  $C$  se pueden empaquetar si cada uno de ellos debe cubrir al  
40 menos  $k$  puntos de  $S$  (o tener medida al menos  $k$ )? Probaremos que, siempre que  $S$   
41 no sea demasiado degenerado, la respuesta a ambas preguntas es  $\Theta_d(\frac{|S|}{k})$ , donde la  
42 constante oculta es independiente de  $d$ . Resultados análogos se cumplen en el caso  
43 de medidas. Se introduce una generalización de las densidades estándar de cubierta  
44 y empaquetamiento de un cuerpo convexo  $C$  a espacios de medida de Borel en  $\mathbb{R}^d$   
45 y, utilizando las cotas antes mencionadas, mostramos que están acotadas por arriba  
46 y por debajo, respectivamente, por funciones de  $d$ . Como un resultado intermedio,  
47 damos una demostración simple de la existencia de  $\epsilon$ -redes débiles de tamaño  $O(\frac{1}{\epsilon})$   
48 para homotetos de  $C$ . Siguiendo algunos trabajos recientes en geometría discreta, se  
49 investigará el caso  $d = k = 2$  con mayor detalle. Luego proporcionamos algoritmos  
50 de tiempo polinomial que construyen un empaquetado/cubierta que exhibe la cota  
51 de  $\Theta_d(\frac{|S|}{k})$  mencionada anteriormente en caso de que  $C$  sea una bola Euclideana.  
52 Finalmente, mostraremos que si  $C$  es un cuadrado, entonces decidir si  $S$  puede ser  
53 cubierto por  $\frac{|S|}{4}$  cuadrados que contienen 4 puntos cada uno es NP-difícil. A lo largo  
54 de este texto se obtienen otros resultados menores.

# 55 Abstract

56 This work revolves around the two following questions: Given a convex body  $C \subset \mathbb{R}^d$ ,  
57 a positive integer  $k$  and a finite set  $S \subset \mathbb{R}^d$  (or a finite Borel measure  $\mu$  on  $\mathbb{R}^d$ ), how  
58 many homothets of  $C$  are required to cover  $S$  if no homothet is allowed to cover more  
59 than  $k$  points of  $S$  (or have measure larger than  $k$ )? How many homothets of  $C$  can  
60 be packed if each of them must cover at least  $k$  points of  $S$  (or have measure at least  
61  $k$ )? We prove that, so long as  $S$  is not too degenerate, the answer to both questions  
62 is  $\Theta_d(\frac{|S|}{k})$ , where the hidden constant is independent of  $d$ . This is optimal up to a  
63 multiplicative constant. Analogous results hold in the case of measures. Then we  
64 introduce a generalization of the standard covering and packing densities of a convex  
65 body  $C$  to Borel measure spaces in  $\mathbb{R}^d$  and, using the aforementioned bounds, we  
66 show that they are bounded from above and below, respectively, by functions of  $d$ .  
67 As an intermediate result, we give a simple proof the existence of weak  $\epsilon$ -nets of size  
68  $O(\frac{1}{\epsilon})$  for the range space induced by all homothets of  $C$ . Following some recent work  
69 in discrete geometry, we investigate the case  $d = k = 2$  in greater detail. We also  
70 provide polynomial time algorithms for constructing a packing/covering exhibiting  
71 the  $\Theta_d(\frac{|S|}{k})$  bound mentioned above in the case that  $C$  is an Euclidean ball. Finally,  
72 it is shown that if  $C$  is a square then it is NP-hard to decide whether  $S$  can be covered  
73 using  $\frac{|S|}{4}$  squares containing 4 points each.

## 74 Agradecimientos

75 Hay una extensa lista de personas que han contribuido a mi desarrollo, y me gustaría  
76 aprovechar para mencionar a algunas de las que me han impactado de manera más  
77 significativa.

78 Le estoy agradecido a la Olimpiada Mexicana de Matemáticas por ayudarme a  
79 descubrir mi vocación y por haber jugado un papel vital en mi crecimiento académico.  
80 Estoy en deuda con muchas de las personas que forman y han formado parte de  
81 esta organización, y desafortunadamente me sería imposible nombrarlas a todas. Sin  
82 embargo, quiero agradecer particularmente a Jorge Garza, por haber sido lo más  
83 cercano que tuve a un mentor durante mis primeros años como concursante, y a Isabel  
84 Hubard, por haber trabajado incansablemente con el fin de impulsar la Olimpiada en  
85 Ciudad de México.

86 A mi tutor, Jorge Urrutia, por su dedicación incomparable a sus estudiantes y  
87 por las incontables horas que hemos pasado trabajando juntos, así como por haberme  
88 invitado a formar parte de un equipo de investigación activo. También me parece  
89 pertinente dar las gracias a todos aquellos que forman parte de dicho equipo por  
90 haber compartido conmigo su tiempo y sus ideas.

91 Agradezco a mis sinodales, cuyos comentarios y sugerencias ayudaron a mejorar  
92 notablemente la presentación de este trabajo.

93 Sobretot, agraeixo a la meva família per tot el seu suport i afecte, i en especial als  
94 meus pares per haver-me introduït al món de la ciència durant la meva infància.



# 95 Chapter 1

## 96 Introduction

97 Packings and coverings in Euclidean spaces play a central role in discrete and compu-  
98 tational geometry, and they have countless applications to other areas, such as anal-  
99 ysis, topology and crystallography. Perhaps the most famous (and oldest) problem  
100 in the subject is the three dimensional sphere packing problem (or Kepler's con-  
101 jecture), which, informally, asks for the densest packing of congruent spheres in three  
102 dimensional space. Despite extensive efforts, it was not until 1998 that Thomas Hales  
103 claimed to have a complete proof which would widely be regarded as correct; he pre-  
104 sented the final version of this proof in a joint paper with Ferguson [20].

105 Beyond Euclidean balls, determination of the most "efficient" packing and covering  
106 in  $\mathbb{R}^d$  with congruent copies of a convex body  $C$  has received a lot of attention;  
107 the packings and covering densities of  $C$  provide a formal way of measuring said  
108 efficiency. It is well known that these quantities are bounded from below and from  
109 above, respectively, by a function of  $d$  (independent of  $C$ ), and even stronger bounds  
110 have been derived for centrally symmetric convex bodies. The translational packing  
111 and covering densities, where we are only allowed to use translates of  $C$ , have also  
112 been studied in depth. We refer the reader to [41] for a survey on packings and  
113 coverings and a detailed history of Kepler's conjecture, and to [12] for many open  
114 problems and interesting questions.

115 Tessellations (which are both packings and coverings) have piqued the interest  
116 of people both in and out of the field and have inspired artists since ancient times.  
117 Packings and coverings in other spaces and, particularly, in graphs and hypergraphs,  
118 are fundamental to several areas of mathematics and computer sciences.

119 Our work revolves around the two following natural questions: Given a convex  
120 body  $C$ , a finite set of points  $S \subset \mathbb{R}^d$ , and a positive integer  $k$ , how many homothets  
121 of  $C$  are required in order to cover  $S$  if each homothet is allowed to cover at most  $k$   
122 points? (covering question). How many homothets can be packed if each of them must  
123 cover at least  $k$  points? (packing question). We shall denote these two quantities by  
124  $f(C, k, S)$  and  $g(C, k, S)$ , respectively. Analogous functions can be defined if, instead  
125 of  $S$ , we consider a finite Borel measure  $\mu$  in  $\mathbb{R}^d$ . As far as we know, these questions

126 have not been studied before in such generality.

127 Clearly,  $f(C, k, S) \geq \frac{|S|}{k}$  and  $g(C, k, S) \leq \frac{|S|}{k}$ , and it is easy to construct, for  
 128 any  $C$  and  $k$ , arbitrarily large sets  $S$  for which equality holds (take, for example,  
 129 any set formed by some clusters which lie far away from each other and contain  $k$   
 130 points each). Perhaps surprisingly, under some mild assumptions on  $S$  (or  $\mu$ )  $f$  and  
 131  $g$  will also be bounded from above and below, respectively, by linear functions of  $\frac{|S|}{k}$   
 132 (or  $\frac{\mu(\mathbb{R}^d)}{k}$ ), that is,  $f(C, k, S) = O_d(\frac{|S|}{k})$  and  $f(C, k, S) = \Omega_d(\frac{|S|}{k})$ , where the hidden  
 133 constant depends only on  $d$ . For Euclidean balls, both of these bounds follow from  
 134 the Besicovitch covering theorem, first shown by Besicovitch [7] in the planar case  
 135 and later extended to higher dimensions and more general objects by Morse [26] and  
 136 Bliedtner and Loeb [11], this is discussed in further detail in the following section. We  
 137 give a proof of the desired bounds for  $f$  and  $g$  that does not rely on the Besicovitch  
 138 covering theorem.

139 The classical packing and covering densities depend implicitly on the Lebesgue  
 140 measure. We introduce a generalization of covering and packing densities to Borel  
 141 measure spaces in  $\mathbb{R}^d$ . Then, using the aforementioned bounds on  $f$  and  $g$ , we show  
 142 that for every  $C$  and every nice enough measure, these covering and packing densities  
 143 are bounded from above and below, respectively, by two constants that depend only  
 144 on  $d$ . When restricted to the Lebesgue measure, this is equivalent to the relatively  
 145 simple fact, mentioned earlier, that the standard covering and packing densities are  
 146 accordingly bounded by a function of  $d$ .

147 For squares, disks and triangles in the plane, the case  $k = 2$  has received some  
 148 attention in discrete geometry ([14, 1, 5, 34, 4, 10]). Continuing this trend, we sepa-  
 149 rately study the case  $d = k = 2$  for more general convex bodies.

150 We discuss algorithms for efficiently packing and covering with homothets that  
 151 contain at least  $k$  and at most  $k$  points, respectively. Bereg et al. [5] showed that, even  
 152 for  $k = 2$ , finding an optimal packing with such homothets of a square is NP-hard,  
 153 we complement this result by showing that the covering problem is also NP-hard in  
 154 the case of squares [5].

155 At some point in this work, we require some basic tools from the study of Delaunay  
 156 triangulations and  $\epsilon$ -nets.

# Chapter 2

## Preliminaries

### 2.1 Basic notation and definitions

A set  $C \subset \mathbb{R}^d$  is a *convex body* if it is convex, compact and its interior is nonempty. Furthermore, if the boundary of a convex body contains no segment of positive length, then we say that it is a *strictly convex body*. Given any set  $C \subset \mathbb{R}^d$ , an *homothetic copy* of  $C$  (or, briefly, an *homothet* of  $C$ ) is any set of the form  $\lambda C + x = \{\lambda c + x : c \in C\}$  for some  $x \in \mathbb{R}^d$  and  $\lambda > 0$ <sup>1</sup>; the number  $\lambda$  is said to be the *coefficient of the homothety*<sup>2</sup>. From here on,  $C$  will stand for a convex body in  $\mathbb{R}^d$ .

We say that a set of points  $S \subset \mathbb{R}^d$  is *non- $t/C$ -degenerate* if it is finite and the boundary of any homothet of  $C$  contains at most  $t$  elements of  $S$ . We say that  $S$  is in  *$C$ -general position* if it is non- $(d + 2)/C$ -degenerate.

All measures we consider in this work are Borel measures in  $\mathbb{R}^d$  which take finite values on all compact sets. A measure  $\mu$  is *finite* if  $\mu(\mathbb{R}^d) < \infty$ . We say that a measure is *non- $C$ -degenerate* if it vanishes on the boundary of every homothet of  $C$ . Notice that, in particular, any absolutely continuous measure (with respect to the Lebesgue measure) is non- $C$ -degenerate. Finally, a measure  $\mu$  is said to be  *$C$ -nice* if it is finite, non- $C$ -degenerate, and there is a ball  $K \subset \mathbb{R}^d$  such that  $\mu(K) = \mu(\mathbb{R}^d)$ .

Given a set of points  $S \subset \mathbb{R}^d$  (resp. a measure  $\mu$ ) and a positive number  $k$ , an homothet will be called a  *$k^+/S$ -homothet* ( *$k^+/\mu$ -homothet*) if it contains at least  $k$  elements of  $S$  (if  $\mu(C') \geq k$ ). Similarly,  *$k^-/S$ -homothets* and  *$k^-/\mu$ -homothets* are homothets that contain at most  $k$  points and have measure at most  $k$ , respectively.

For any finite set  $S$  and any positive integer  $k$ , define  $f(C, k, S)$  as the least number of  $k^-/S$ -homothets of  $C$  that can be used to cover  $S$ , and  $g(C, k, S)$  as the maximum number of interior disjoint  $k^+/S$ -homothets of  $C$  that can be arranged in  $\mathbb{R}^d$ . Similarly, for any  $C$ -nice measure  $\mu$  and any real number  $k > 0$ , define  $f(C, k, \mu)$  as the the minimum number number of  $k^-/\mu$ -homothets that cover  $K$ , where  $K$

<sup>1</sup>Some texts ask only that  $\lambda \neq 0$ . We consider only positive homothets.

<sup>2</sup>An homothety maps every point  $p \in \mathbb{R}^d$  to  $\lambda p + x$ , for some  $x \in \mathbb{R}^d$ ,  $\lambda \neq 0$ .

184 denotes the ball such that  $\mu(K) = \mu(\mathbb{R}^d)^3$ , and define  $g(C, k, \mu)$  as the maximum  
 185 number of interior disjoint  $k^+/\mu$ -homothets that can be arranged in  $\mathbb{R}^d$ . It is not  
 186 hard to see that, since  $S$  is finite and  $\mu$  is  $C$ -nice,  $f$  and  $g$  are well defined and take  
 187 only non-negative integer values.

188 Next, we introduce  $\alpha$ -fat convex objects. For any point  $x \in \mathbb{R}^d$  and any positive  
 189  $r$ , let  $B(x, r)$  denote the open ball with center  $x$  and radius  $r$  (with the Euclidean  
 190 metric). We write  $B^d$  for  $B(O, 1)$ , where  $O$  denotes the origin (this way,  $rB^d$  denotes  
 191 the ball of radius  $r$  centered at the origin). Given  $\alpha \in (0, 1]$ , a convex body  $C$  will  
 192 be said to be  $\alpha$ -fat if  $B(x, \alpha r) \subseteq C \subseteq B(x, r)$  for some  $x$  and  $r$ . The following well  
 193 known fact (e.g. [24, 2]) will play a key role in ensuring that the hidden constants in  
 194 the bounds of  $f$  and  $g$  are independent of  $C$ .

195 **Lemma 2.1.1.** *Given a convex body  $C \subset \mathbb{R}^d$ , there exists a non-singular affine*  
 196 *transformation  $T$  such that  $T(C)$  is  $1/d$ -fat. More precisely,  $B^d \subseteq T(C) \subseteq dB^d$ .*

197 By a *planar embedded graph* we mean a planar graph drawn in the plane so that  
 198 the vertices correspond to points, the edges are represented by line segments, no edge  
 199 contains a vertex other than its endpoints, and no two edges intersect, except possibly  
 200 at a common endpoint.

201 As usual,  $\mathbb{S}^{d-1}$  stands for the unit sphere in  $\mathbb{R}^d$  centered at the origin. We denote  
 202 the Euclidean norm of a point  $x \in \mathbb{R}^d$  by  $|x|$ . Throughout this text we use the  
 203 standard  $O$  and  $\Omega$  notations for asymptotic upper and lower bounds, respectively.  
 204 The precise definitions can be found, for example, in any introductory textbook on  
 205 algorithm design and analysis.

## 206 2.2 Packing and covering densities

207 A family of sets in  $\mathbb{R}^d$  forms a *packing* if their interiors are disjoint, and it forms a  
 208 *covering* if their union is the entire space. The *volume* of a measurable set  $A \subset \mathbb{R}^d$   
 209 is simply its Lebesgue measure, which we denote by  $\text{Vol}(A)$ . The precise definitions  
 210 of packing and covering densities vary slightly from text to text; for reasons that will  
 211 become apparent later, we follow [41].

Let  $\mathcal{A}$  be a family of sets, each having finite volume, and  $D$  a set with finite volume, all of them in  $\mathbb{R}^d$ . The *inner density*  $d_{\text{inn}}(\mathcal{A}|D)$  and *outer density*  $d_{\text{out}}(\mathcal{A}|D)$  are given by

$$d_{\text{inn}}(\mathcal{A}|D) = \frac{1}{\text{Vol}(D)} \sum_{A \in \mathcal{A}, A \subset D} \text{Vol}(A),$$

$$d_{\text{out}}(\mathcal{A}|D) = \frac{1}{\text{Vol}(D)} \sum_{A \in \mathcal{A}, A \cap D \neq \emptyset} \text{Vol}(A).$$

---

<sup>3</sup>Strictly speaking,  $f$  is a function of  $C, k, \mu$  and  $K$ . This will not cause any trouble, however, since all the properties that we derive for  $f$  will hold independently of the choice of  $K$ .

212 We remark that these densities may be infinite.

The *lower density* and *upper density* of  $\mathcal{A}$  are defined as

$$d_{\text{low}}(\mathcal{A}) = \liminf_{r \rightarrow \infty} d_{\text{inn}}(\mathcal{A}|rB^d),$$

$$d_{\text{upp}}(\mathcal{A}) = \limsup_{r \rightarrow \infty} d_{\text{out}}(\mathcal{A}|rB^d).$$

213 It is not hard to see that these values are independent of the choice of  $O$ .

The *packing density* and *covering density* of a convex body  $C$  are given by

$$\delta(C) = \sup\{d_{\text{upp}}(\mathcal{P}) : \mathcal{P} \text{ is a packing of } \mathbb{R}^d \text{ with congruent copies of } C\},$$

$$\Theta(C) = \inf\{d_{\text{low}}(\mathcal{C}) : \mathcal{C} \text{ is a covering of } \mathbb{R}^d \text{ with congruent copies of } C\}.$$

214 The *translational packing density*  $\delta_H(C)$  and the *translational covering density*  
 215  $\Theta_H(C)$  are defined by taking the supremum and infimum over all packings and cov-  
 216 erings with translates of  $C$ , instead of congruent copies. See [41] for a summary of  
 217 the known bounds for the packing and covering densities.

218 Notice that the definitions of upper and lower density of  $\mathcal{A}$  with respect to  $D$   
 219 are directly tied to the Lebesgue measure, but could be readily extended to other  
 220 measures. Similarly, the translates of  $C$  can be interpreted as homothets of  $C$  that  
 221 have the same Lebesgue measure as  $C$ . These observations motivate the following  
 222 generalization of the previous definitions.

Let  $\mu$  be a measure on  $\mathbb{R}^d$ . For a family  $\mathcal{A}$  of sets of finite measure and a set  $D$ , also of finite measure, we define the *inner density with respect to  $\mu$*   $d_{\text{inn}}(\mu, \mathcal{A}|D)$  and the *outer density with respect to  $\mu$*   $d_{\text{out}}(\mu, \mathcal{A}|D)$  as

$$d_{\text{inn}}(\mu, \mathcal{A}|D) = \frac{1}{\mu(D)} \sum_{A \in \mathcal{A}, A \subset D} \mu(A),$$

$$d_{\text{out}}(\mu, \mathcal{A}|D) = \frac{1}{\mu(D)} \sum_{A \in \mathcal{A}, A \cap D \neq \emptyset} \mu(A).$$

The *lower density with respect to  $\mu$*  and *upper density with respect to  $\mu$*  of  $\mathcal{A}$  are now given by

$$d_{\text{low}}(\mu, \mathcal{A}) = \liminf_{r \rightarrow \infty} d_{\text{inn}}(\mu, \mathcal{A}|rB^d),$$

$$d_{\text{upp}}(\mu, \mathcal{A}) = \limsup_{r \rightarrow \infty} d_{\text{out}}(\mu, \mathcal{A}|rB^d).$$

If  $\mu$  is non- $C$ -degenerate and  $\mu(C) > 0$ , then we define the *homothety packing density with respect to  $\mu$*  and the *homothety covering density with respect to  $\mu$*  as

$$\delta_H(\mu, C) = \sup\{d_{\text{upp}}(\mu, \mathcal{P}) : \mathcal{P} \text{ is a packing of } \mathbb{R}^d \text{ with homothets of } C \text{ of measure } \mu(C)\},$$

$\Theta_H(\mu, C) = \inf\{d_{\text{low}}(\mu, \mathcal{C}) : \mathcal{C} \text{ is a covering of } \mathbb{R}^d \text{ with homothets of } C \text{ of measure } \mu(C)\}.$

223 Given the properties of  $\mu$ , it is not hard to see that the sets over which we take  
224 the infimum and the supremum are nonempty.

225 The packing and covering density can also be generalized in a natural way by  
226 considering packings and coverings with sets that are similar<sup>4</sup> to  $C$  and have fixed  
227 measure  $\mu(C)$ . However, all lower bounds on  $\delta_H(\mu, C)$  and all upper bounds on  
228  $\Theta_H(\mu, C)$ , which are one of the main focus points of this work, are obviously true  
229 for the (non-translational) packing and covering densities as well. Just as in the  
230 Lebesgue measure case, the packings and covering densities with respect to  $\mu$  measure,  
231 in a sense, the efficiency of the best possible packing/covering of the measure space  
232 induced by  $\mu$ .

233 See [41] for a review of the existing literature on packings and coverings and [12]  
234 for further open problems and interesting questions.

## 235 2.3 The Besicovitch covering theorem

236 The Besicovitch covering theorem extends an older result by Vitali [42]. The result  
237 was first shown by Besicovitch in the planar case, and then generalized to higher  
238 dimensions by Morse [26], it can be stated as follows

**Theorem 2.3.1.** *There is a constant  $c_d$  (which depends only on  $d$ ) with the following property: Given a bounded subset  $A$  of  $\mathbb{R}^d$  and a collection  $\mathcal{F}$  of Euclidean balls such that each point of  $A$  is the center of at least one of these balls, it is possible to find subcollections  $\mathcal{F}_1, \mathcal{F}_2, \dots, \mathcal{F}_{c_d}$  of  $\mathcal{F}$  such that each  $\mathcal{F}_i$  consists of disjoint balls and*

$$A \subset \bigcup_{i=1}^{c_d} \bigcup_{B \in \mathcal{F}_i} B.$$

239 In fact, Morse [26] and Bliedtner and Loeb [11] extended the result to more general  
240 objects and normed vector spaces. Füredi and Loeb [18] have studied the optimal  
241 value of  $c_d$ . Later, Füredi and Loeb [18] studied the least value of  $c_d$  for which the  
242 result holds.

243 Assume that a finite set  $S \subset \mathbb{R}^d$  is such that for each point  $p \in S$  there is a ball  
244 with center  $p$  that covers exactly  $k$  elements of  $S$ , then the collection of all these  $|S|$   
245 balls covers  $S$ . By the Besicovitch covering theorem, we can find  $c_d$  subcollections,  
246 each composed of disjoint balls, whose union covers  $S$ . Each subcollection clearly  
247 contains at most  $\frac{|S|}{k}$  balls and, thus, their union forms a covering of  $S$  formed by  
248 at most  $c_d \frac{|S|}{k}$   $k$ -homothets of  $B^d$ . Since the union of the subcollections covers  
249  $S$ , it contains at least  $\frac{|S|}{k}$  balls, and we can find a subcollection with at least  $\frac{1}{c_d} \frac{|S|}{k}$

---

<sup>4</sup>Two sets  $A$  and  $B$  in  $\mathbb{R}^d$  are similar if there exists a  $\lambda > 0$  such that  $\lambda A$  and  $B$  are congruent.

250 balls, which is actually a packing formed by  $k^+/S$ -homothets of  $B^d$ . This shows that  
 251  $f(B^d, k, S) = O_d(\frac{|S|}{k})$  and  $g(B^d, k, S) = \Omega_d(\frac{|S|}{k})$ . A careful analysis of the proof by  
 252 Bliedtner and Loeb [11] (combined with some other geometric results), reveals that  
 253 this can be extended to general convex bodies.

254 The Besicovitch covering theorem has applications in analysis, geometric measure  
 255 theory and probability.

## 256 2.4 VC-dimension and $\epsilon$ -nets

257 A *set system* is a pair  $\Sigma = (X, \mathcal{R})$ , where  $X$  is a set of base elements and  $\mathcal{R}$  is a  
 258 collection of subsets of  $X$ . Given a set system  $\Sigma = (X, \mathcal{R})$  and a subset  $Y \subset X$ , let  
 259  $\mathcal{R}|_Y = \{Y \cap R : R \in \mathcal{R}\}$ . The VC-dimension of the set system is the maximum integer  
 260  $d$  for which there is a subset  $Y \subset X$  with  $|Y| = d$  such that  $\mathcal{R}|_Y$  consists of all  $2^d$   
 261 subsets of  $Y$ , the VC-dimension may be infinite. In a way, the VC-dimension measures  
 262 the complexity of a set system, and it plays a very important role in multiple areas,  
 263 such as computational geometry, statistical learning theory, and discrete geometry.

264 Let  $\Sigma = (X, \mathcal{R})$  be a set system with  $X$  finite. An  $\epsilon$ -*net* for  $\Sigma$  is a set  $N \subseteq X$   
 265 such that  $N \cap R \neq \emptyset$  for all  $R \in \mathcal{R}$  with  $|R| \geq \epsilon|X|$ . A landmark result of Haussler  
 266 and Welzl [23] tells us that range spaces with VC-dimension at most  $d$  admit  $\epsilon$ -nets  
 267 whose size depends only on  $d$  and  $\frac{1}{\epsilon}$ ; in fact, any random subset of  $X$  of adequate size  
 268 will be such an  $\epsilon$ -net with high probability. The precise bounds were later improved  
 269 by Pach and Tardos [33].

270 Given a point set  $X$  and a family  $\mathcal{R}$  of sets (which are not necessarily subsets  
 271 of  $X$ ), the *primal set system*  $(X, \mathcal{R}|_X)$  induced by  $X$  and  $\mathcal{R}$  is the set system with  
 272 base set  $X$  and  $\mathcal{R}|_X = \{R \cap X \mid R \in \mathcal{R}\}$ . If  $X$  is finite, a *weak  $\epsilon$ -net* for the range  
 273 space  $(X, \mathcal{R}|_X)$  is a set of elements  $W \subset \bigcup_{R \in \mathcal{R}} R$  such that  $W \cap R \neq \emptyset$  for all  $R \in \mathcal{R}$   
 274 with  $|R|_X \geq \epsilon|X|$ . Weak  $\epsilon$ -nets have been particularly studied in geometric settings,  
 275 where  $X$  is a set of points and the elements of  $\mathcal{R}$  are geometric objects; and this is also  
 276 the setting that we care about here. The most famous result in the subject asserts  
 277 the existence of a weak  $\epsilon$ -net whose size depends only on  $d$  and  $\epsilon$  for any primal set  
 278 system induced by a finite set of points and the convex subsets of  $\mathbb{R}^d$ , the best known  
 279 upper bounds on the size of such a net are due to Rubin [38, 37]. Weak epsilon nets  
 280 can also be defined for finite measures: if  $\mu$  is finite and  $\mathcal{R}$  is a family of sets in  $\mathbb{R}^d$ ,  
 281 a weak  $\epsilon$ -net for the pair  $(\mu, \mathcal{R})$  consists of a collection  $W$  of points in  $\mathbb{R}^d$  such that  
 282  $W \cap R \neq \emptyset$  for all  $R \in \mathcal{R}$  with  $\mu(R) \geq \epsilon\mu(\mathbb{R}^d)$ .

283 We refer the reader to [29] for a survey on  $\epsilon$ -nets and other similar concepts.

## 284 2.5 Delaunay triangulations

285 Given a finite point set  $S \subset \mathbb{R}^2$ , the *Delaunay graph*  $D(S)$  is the embedded planar  
 286 graph with vertex set  $S$  in which two vertices are adjacent if and only if there is an

287 Euclidean ball that contains those two points but no other point of  $S$ . It is not hard  
 288 to check that  $D(S)$  is indeed planar and that, as long as no four points lie on a circle  
 289 and no three belong to the same line,  $D(S)$  will actually be a triangulation<sup>5</sup>.

290 Delaunay graphs have a natural generalization which arises from considering gen-  
 291 eral convex bodies instead of balls. The *Delaunay graph of  $S$  with respect to  $C$* , which  
 292 we denote by  $D_C(S)$ , is the embedded planar graph with vertex set  $S$  and an edge  
 293 between two vertices if and only if there is an homothet of  $C$  that covers those two  
 294 points but no other point of  $S$ . If  $C$  is strictly convex and has smooth boundary, and  
 295  $S$  is in  $C$ -general position and does not contain three points on the same line, then  
 296  $D_C(S)$  will again be a triangulation. The edges of  $D_C(S)$  encode the pairs of points  
 297 of  $S$  that can be covered using a  $2^-/S$ -homothet of  $C$  and, thus, finding an optimal  
 298 cover with  $2^-/S$ -homothets is equivalent to finding the largest possible matching in  
 299  $D_C(S)$ .

300 It is good to keep in mind that Delaunay graphs can be defined analogously in  
 301 higher dimensions, even if we will only really need them in the planar case.

302 Many properties of generalized Delaunay triangulations can be found in Cano's  
 303 PhD dissertation [13].

## 304 2.6 Previous related work

305 The functions  $f$  and  $g$  have been indirectly studied in some particular cases. The first  
 306 instance of this that we know of appeared in a paper by Szemerédi and Trotter [39],  
 307 who obtained a lemma that implies a bound of  $g(C, k, S) = \Omega(\frac{|S|}{k})$  in the case that  $C$   
 308 is a square in the plane; they applied this result to a point-line incidence problem.

309 Dillencourt [14] studied the largest matching that can be obtained in a point set  
 310 using disks; in our setting, this is actually equivalent to the  $k = 2$  case of the covering  
 311 problem. Dillencourt showed that all planar Delaunay triangulations (with respect to  
 312 disks) are 1-tough<sup>6</sup> and thus, by Tutte's matching theorem, contain a matching of size  
 313  $\lfloor \frac{|S|}{2} \rfloor$ . Ábrego et al. [1] obtained a similar result for squares; they essentially proved  
 314 that, as long as no two points lie on the same vertical or horizontal line, the Delaunay  
 315 triangulation with respect to an axis aligned square contains a Hamiltonian path and,  
 316 as a consequence, a matching of size  $\lfloor \frac{|S|}{2} \rfloor$ . These results immediately translate to  
 317  $f(C, 2, S) \leq \lceil \frac{|S|}{2} \rceil$  whenever  $C$  is a disk or a square (and  $S$  has the required properties),  
 318 this bound is obviously optimal. Panahi et al. [34] and Babu et al. [4] studied the  
 319 problem for equilateral triangles (their results actually hold for any triangle, as can  
 320 be seen by applying an adequate affine transformation), it was shown in the second  
 321 of these papers that as long as  $S$  is in general position the corresponding Delaunay  
 322 graph must admit a matching of size at least  $\lceil \frac{|S|-1}{3} \rceil$  and that this is tight. Ábrego

<sup>5</sup>An embedded planar graph with vertex set  $S$  is a *triangulation* if all its bounded faces are triangles and their union is the convex hull of  $S$ .

<sup>6</sup>Given a positive real number  $t$ , a graph  $G$  is *t-tough* if in order to split it into any number  $k \geq 1$  of connected components, we need to remove at least  $tk$  vertices.



323 et al. [1] also studied *strong matchings* for disks and squares, which are interior  
 324 disjoint collections of homothets, each of which covers exactly two points of the set,  
 325 their results imply that  $g(C, 2, S) \geq \lceil \frac{|S|-1}{8} \rceil$  if  $C$  is a disk and  $g(C, 2, S) \geq \lceil \frac{|S|}{5} \rceil$  if  $C$   
 326 is a square, again under some mild assumptions on  $S$ . The bound for squares was  
 327 improved to  $g(C, 2, S) \geq \lceil \frac{|S|-1}{4} \rceil$  by Biniaz et al. in [10], where they also showed that  
 328  $g(C, 2, S) \geq \lceil \frac{n-1}{9} \rceil$  in the case that  $C$  is an equilateral triangle and presented various  
 329 algorithms for computing large strong matchings of various types. In a similar vein,  
 330 large matchings in Gabriel graphs<sup>7</sup> and strong matchings with upward and downward  
 331 equilateral triangles are treated in [9, 10].

332 Bereg et al. [5] considered matchings and strong matchings of points using axis  
 333 aligned rectangles and squares. They provided various algorithms for finding large  
 334 such matchings and showed that deciding if a point set has a strong perfect matching  
 335 using squares (i.e. deciding if  $g(C, 2, S) = \frac{|S|}{2}$  in the case that  $C$  is a square) is  
 336 *NP*-hard.

---

<sup>7</sup>The *Gabriel graph* of a planar point set  $S$  is the graph in which two points  $p, q \in S$  are joined by an edge if and only if the disk whose diameter is the segment from  $p$  to  $q$  contains no other point of  $S$ .

# Chapter 3

## Results

### 3.1 Overview of Chapter 4

In Section 4.1 we use a simple technique by Kulkarni and Govindarajan [25] to construct a weak  $\epsilon$ -net of size  $O_d(\frac{1}{\epsilon})$  for any primal range space (on a finite base set of points  $S$ ) induced by the family  $\mathcal{H}_C$  of all homothets of a convex body  $C$ . This result follows too from the known bounds on the Hadwiger-Debrunner  $(p, q)$ -problem for homothets (see [15]), but our proof is short and elementary, and it also yields an analogous result for finite measures. We remark that Naszódi and Taschuk [31] showed that  $(\mathbb{R}^d, \mathcal{H}_C)$  may have infinite VC-dimension for  $d \geq 3$ , so there might be no small (strong)  $\epsilon$ -net for  $(S, \mathcal{H}_C|_S)$ . For  $d = 2$ , however, any range space induced by pseudo-disks, and thus  $(S, \mathcal{H}_C|_S)$ , admits an  $\epsilon$ -net of size  $O(\frac{1}{\epsilon})$  [35, 28].

In Section 4.2, we use the result on weak  $\epsilon$ -nets to show that, under some mild assumptions,  $f(C, k, S) = O_d(\frac{|S|}{k})$ ,  $f(C, k, \mu) = O_d(\frac{\mu(\mathbb{R}^d)}{k})$ . The proof does not make use of the Besicovitch covering theorem (see Section 2.3).

The bound for measures is then applied in Section 4.3 to prove that if  $\mu$  is non- $C$ -degenerate,  $\mu(C) > 0$  and  $\mu(\mathbb{R}^d) = \infty$ , then the translational covering density  $\Theta_H(\mu, C)$  is bounded from above by a function of  $d$ . It is easy to see that  $\Theta_H(\mu, C)$  is infinite for finite measures, so the  $\mu(\mathbb{R}^d) = \infty$  condition is essential.

### 3.2 Overview of Chapter 5

In Section 5.1 we prove that, under the same conditions that allowed us to obtain an upper bound for  $f$ ,  $g(C, k, S) = \Omega_d(\frac{|S|}{k})$ ,  $g(C, k, \mu) = \Omega_d(\frac{\mu(\mathbb{R}^d)}{k})$ . The (again, self contained) proof relies on some properties of collections of homothets which intersect a common homothet; this resembles the study of  $\tau$ -satellite configurations in the proof of the Besicovitch covering theorem in [11, 26].

Similar to the covering case, the bound on  $g$  is then utilized in Section 5.2 to prove that if  $\mu$  is non- $C$ -degenerate and  $\mu(\mathbb{R}^d) > \mu(C) > 0$ , then the translational packing

364 density  $\Theta_H(\mu, C)$  is bounded from below by a function of  $d$ . The  $\mu(\mathbb{R}^d) > \mu(C)$   
 365 condition is clearly necessary.

### 366 3.3 Overview of Chapter 6

367 Given  $C \subset \mathbb{R}^d$  and a positive integer  $k$ , let  $C$ - $k$ -COVER denote the optimization  
 368 problem that consists of determining, given an instance point set  $S \subset \mathbb{R}^d$ , the least  
 369 integer  $m$  such that  $S$  can be covered by  $m$   $k^-/S$ -homothets of  $C$ . Similarly, the  
 370 problem  $C$ - $k$ -PACK consists of finding the largest  $m$  such that there is a packing  
 371 composed of  $m$   $k^+/S$ -homothets of  $C$ .

372 Section 6.1 is devoted to the description of polynomial time algorithms for ap-  
 373 proximating  $C$ - $k$ -COVER and  $C$ - $k$ -PACK up to a multiplicative constant in the case  
 374 that  $C$  is a disk. The proofs are based on the ideas developed in sections 4.1, 4.2 and  
 375 5.1.

376 There has been extensive research regarding the complexity of geometric set cover  
 377 problems, and a variety of these have been shown to be NP-complete, see [17] for  
 378 one of the first works in this direction. As mentioned in Section 2.6, Bereg et al. [5]  
 379 proved that when  $C$  is a square it is NP-hard to decide if  $g(C, 2, S) = \frac{|S|}{2}$ ; this implies,  
 380 in particular, that  $C$ -2-COVER is NP-hard for squares. As long as we are capable  
 381 of computing  $D_C(S)$  in polynomial time (which is the case for hypercubes, balls and  
 382 any other convex body which can be described by a bounded number of algebraic  
 383 inequalities),  $f(C, 2, S)$  can be computed, also in polynomial time, by applying any  
 384 of the known algorithms for finding the largest possible matching in a given graph.  
 385 However, in Section 6.2 we show that if  $C$  is a square and  $k$  is a multiple of 4, then  
 386 deciding if  $f(C, k, S) = \frac{|S|}{k}$  is NP-hard. Unfortunately, our proof is not very robust  
 387 in the sense that it depends heavily on the fact that  $C$  is a square and that  $S$  is not  
 388 required to be in general position.

### 389 3.4 Overview of Chapter 7

390 As mentioned in Section 2.6, Dillencourt [14] showed that the Delaunay triangulation  
 391 (with respect to disks) of a point set  $S \subset \mathbb{R}^2$  with no three points on the same line  
 392 and no four points on the same circle is 1-tough. Biniiaz [8] later gave a simpler proof  
 393 of this result.

394 In Section 7.1 we extend the technique of Biniiaz to show that, under some as-  
 395 sumptions on  $C$  and  $S$ ,  $D_C(S)$  is almost  $t$ -tough, where  $t$  depends on how fat  $C$  is (or,  
 396 rather, how fat it can be made by means of an affine transformation). This result is  
 397 then applied, again in similar fashion to [8], in Section 7.2 to bound  $f(C, 2, S)$ . Using  
 398 a well known result by Nishizeki and Baybars [32] on the size of the largest matchings  
 399 in planar graphs, we also obtain a weaker bound that holds in greater generality.

# Chapter 4

## Covering

### 4.1 Small weak $\epsilon$ -nets for homothets

The purpose of this section is to prove the following result about weak  $\epsilon$ -nets.

**Theorem 4.1.1.** *Let  $C \subset \mathbb{R}^d$  be a convex body and denote the family of all homothets of  $C$  by  $\mathcal{H}_C$ . Then, for any finite set  $S \subset \mathbb{R}^d$  and any  $\epsilon > 0$ ,  $(S, \mathcal{H}_C|_S)$  admits a weak  $\epsilon$ -net of size  $O_d(\frac{1}{\epsilon})$ , where the hidden constant depends only on  $d$ . Similarly, for any  $C$ -nice measure  $\mu$ ,  $(\mu, \mathcal{H}_C)$  admits weak  $\epsilon$ -net of size  $O_d(\frac{1}{\epsilon})$ .*

The simple lemma below will provide us with the basic building blocks for constructing the weak  $\epsilon$ -net.

**Lemma 4.1.2.** *There is a constant  $c_1 = c_1(d)$  with the following property: Given a convex body  $C \subset \mathbb{R}^d$ , there is a finite set  $P_C \subset \mathbb{R}^d$  of size at most  $c_1$  that hits every homothet  $C'$  of  $C$  with  $C' \cap C \neq \emptyset$  and homothety coefficient at least 1.*

*Proof.* Let  $T$  be an affine transformation as in Lemma 2.1.1. We begin by showing the result for  $C_T = T(C)$ . Every homothet  $C'_T$  with  $C'_T \cap C_T \neq \emptyset$  and coefficient at least 1 contains a translate  $C''_T$  of  $C_T$  with  $C''_T \cap C_T \neq \emptyset$ ; this translate satisfies  $C''_T \subseteq dB^d + 2dB^d \subset [-3d, 3d]^d$ . On the other hand,  $B^d \subset C_T$ , so  $C''_T$  must contain a translate of an axis parallel  $d$ -hypercube of side  $\frac{2}{\sqrt{d}}$ . Now it is clear that we may take  $P_{C_T}$  to be the set of points from a  $\frac{2}{\sqrt{d}}$  grid<sup>1</sup> that lie in the interior of  $[-3d, 3d]^d$ , and this grid may be chosen so that  $|P_{C_T}| \leq (3d^{3/2})^d$ . Setting  $c_1(d) = (3d^{3/2})^d$  and  $P_C = T^{-1}(P_{C_T})$  yields the result.  $\square$

Notice that the value 1 plays no special role in the proof, the result still holds (with a possibly larger  $c_1$ ) if we wish for  $P_C$  to hit every homothet whose coefficient is bounded from below by a positive constant. The construction used in the proof

---

<sup>1</sup>By a  $\frac{2}{1\sqrt{d}}$  grid we mean an axis parallel  $d$ -dimensional grid with separation  $\frac{1}{2\sqrt{d}}$  between adjacent points.

424 has the added benefit that it allows us to compute  $P_C$  in constant time (for fixed  $d$ ),  
 425 so long as we know  $T$ .

426 Using some known results, it is possible to obtain better bounds for  $c_1$ . In fact,  
 427 a probabilistic approach by Erdős and Rogers [16] (see also [36]) shows that we can  
 428 take

$$c_1(d) \leq 3^{d+1} 2^d \frac{d}{d+1} d(\log d + \log \log d + 4)$$

429 for all large enough  $d$ . See [19] for some earlier bounds on  $c_1(d)$ .

430 Next, we prove Theorem 4.1.1.

431 *Proof.* We show that  $(S, \mathcal{H}_C|_S)$  admits a small weak  $\epsilon$ -net, the proof for  $(\mu, \mathcal{H}_C)$  is  
 432 analogous. The weak  $\epsilon$ -net  $W$  is constructed by steps. Consider the smallest homothet  
 433  $C'$  of  $C$  which contains at least  $\epsilon|S|$  points of  $S$  and add the elements of the set  $P_{C'}$ ,  
 434 given by Lemma 4.1.2, to  $W$ . Now, we forget about the points covered by  $C'$  and  
 435 repeat this procedure with the ones that remain until there are less than  $\epsilon|S|$  points  
 436 left. Since we pick at most  $c_1$  points at each step,  $|W| \leq c_1 \frac{1}{\epsilon}$ , so all that is left to do  
 437 is show that  $W$  is a weak  $\epsilon$ -net for  $(S, \mathcal{H}_C|_S)$ .

438 Let  $C_1$  be an homothet with  $C_1 \cap S \geq \epsilon|S|$  and consider, along the process of  
 439 constructing  $W$ , the first step at which the taken homothet contains at least one  
 440 element of  $S \cap C_1$ , this homothet will be called  $C_2$ . Clearly,  $C_1$  and  $C_2$  have nonempty  
 441 intersection and, since none of the points in  $C_1$  had yet been erased when  $P_{C_2}$  was  
 442 added to  $W$ ,  $C_1$  is not smaller than  $C_2$ . It follows that  $C_1$  contains at least one point  
 443 of  $P_{C_2} \subset W$ , as desired.  $\square$

444 As mentioned in the introduction, the technique from the last paragraph was first  
 445 used by Kulkarni and Govindarajan [25] to show that primal set systems induced by  
 446 hypercubes and disks admit weak  $\epsilon$ -nets of size  $O(\frac{1}{\epsilon})$ .

447 We remark that if  $c$  is a constant then it suffices to take, at each step, an homothet  
 448  $C'$  that contains at least  $\epsilon|S|$  points and its coefficient is at most  $c$  times larger than  
 449 the coefficient of the smallest homothet with that property, and then add to  $W$  the  
 450 set given by Lemma 4.1.2 when 1 is substituted by  $1/c$ . This observation will be  
 451 important in Chapter 6.

## 452 4.2 Covering finite sets and measures

453 At last, we state the main result about the asymptotic behavior of the function  $f$   
 454 defined in Section 2.2.

455 **Theorem 4.2.1.** *Let  $C \subset \mathbb{R}^d$  be a convex body. Then, for any positive integer  $k$   
 456 and any non- $\frac{k}{2}/C$ -degenerate set of points  $S \subset \mathbb{R}^d$ , we have that  $f(C, k, S) = O(\frac{|S|}{k})$ ,  
 457 where the hidden constant depends only on  $d$ . Similarly, for any positive real number  
 458  $k$  and any  $C$ -nice measure,  $f(C, k, \mu) = O(\frac{\mu(\mathbb{R}^d)}{k})$ .*

459 Again, we start by proving the result for point sets and then discuss the minor  
460 adaptations that must be made when working with measures.

461 As was essentially done in the proof of Lemma 4.1.2, we may and will assume that  
462  $B^d \subseteq C \subseteq dB^d$ . The two simple geometric results below will allow us to construct  
463 the desired covering.

464 **Observation 4.2.2.** *For any  $d$  and any positive real  $r$ , there is a constant  $c(d, r)$   
465 with the following property: every set of points on  $\mathbb{S}^{d-1}$  which contains no two distinct  
466 points at distance less than  $r$  has at most  $c(d, r)$  elements.*

*Proof.* Obvious. A straightforward  $(d - 1)$ -volume counting argument yields

$$c(d, r) < \frac{\text{vol}_{d-1}(\mathbb{S}^{d-1})}{\text{vol}_{d-1}(B^{d-1})r^{d-1}}.$$

467

□

468 Determination of the optimal values of  $c(d, r)$  is often referred to as the Tammes  
469 problem. Exact solutions are only known in some particular cases, see [27] for some  
470 recent progress and further references.

471 **Lemma 4.2.3.** *Let  $P \subset \mathbb{R}^d$  be a (possibly infinite) bounded set and consider a col-  
472 lection of homothets  $\{C_p\}_{p \in P}$  such that  $C_p$  is of the form  $p + \lambda C$  and  $\bigcap_{p \in P} C_p \neq \emptyset$ .  
473 Then there is a subset  $P'$  of  $P$  of size at most  $c_2 = c_2(d)$  such that the collection of  
474 homothets  $\{C_p\}_{p \in P'}$  covers  $P$ .*

475 *Proof.* Take  $c_2(d) = c(d, t)$  (as in the claim above) for some sufficiently small  $t = t(d)$   
476 to be chosen later. After translating, we may assume that  $O \in \bigcap_{p \in P} C_p$ . We construct  
477  $P'$  by steps, starting from an empty set. At each step, denote by  $N$  the supremum  
478 of the Euclidean norms of the elements of  $P$  that are yet to be covered by  $\{C_p\}_{p \in P'}$ ,  
479 and add to  $P'$  an uncovered point with norm at least  $(1 - \frac{1}{10d})N$ . The process ends  
480 as soon as  $P \subset \bigcup_{p \in P'} C_p$ , we show that this takes no more than  $c_2$  steps. Suppose,  
481 for the sake of contradiction, that after some number of steps we have  $|P'| > c_2$   
482 and let  $P'_{unit} = \{\frac{p}{|p|} \mid p \in P'\}$ . By Observation 4.2.2 there are two distinct points  
483  $\frac{p_1}{|p_1|}, \frac{p_2}{|p_2|} \in P'_{unit}$  (with  $p_1, p_2 \in P'$ ) at distance less than  $t$  from each other. Say,  
484 w.l.o.g., that  $p_1$  was added to  $P'$  prior to  $p_2$ ; it follows from the construction that  
485  $|p_1| > (1 - \frac{1}{10d})|p_2|$ . Since  $C_{p_1}$  is  $1/d$ -fat and contains  $O$ , the ball with center  $p_1$  and  
486 radius  $\frac{|p_1|}{d}$  lies completely within said homothet. Now, by convexity,  $C_p$  must contain  
487 a bounded cone with vertex  $O$ , base going through  $p_1/(1 - \frac{1}{10d})$ , and whose angular  
488 width depends only on  $d$ . It follows that if  $t$  is small enough then  $p_2$  lies within this  
489 cone and is thus contained in  $C_{p_1}$  (see figure 4.1). This contradicts the assumption  
490 that  $p_2$  was added after  $p_1$ , and the result follows. □

491 We remark that the above result can easily be derived from the work of Naszódi  
492 et al. [30] (see also [18, 40]).

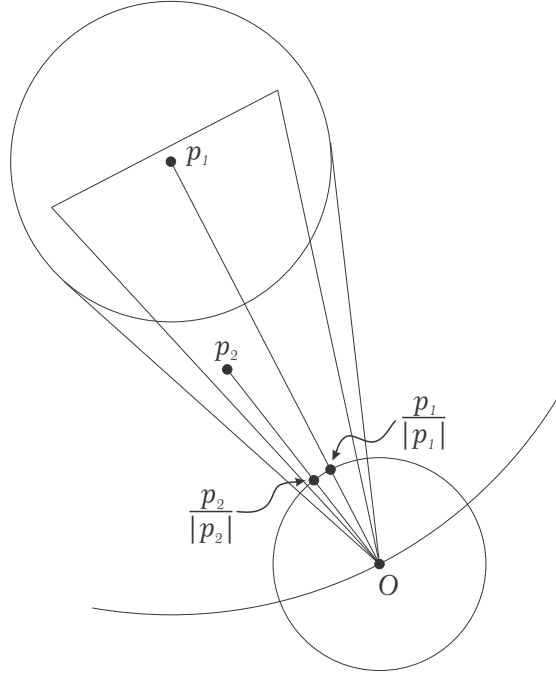


Figure 4.1: The point  $p_2$  is contained in a cone which lies completely inside  $C_{p_1}$ .

493 Now we present the proof of Theorem 4.2.1.

494 *Proof.* We assume that  $\frac{|S|}{k} \geq 1$ . For every  $p \in S$ , let  $C_p$  be the smallest homothet of  
 495 the form  $\lambda C + p$  which covers more than  $\frac{k}{2}$  points of  $S$  (it exists, since  $C$  is closed  
 496 and for any sufficiently large  $\lambda$  the homothet  $\lambda C + p$  covers  $|S| > \frac{k}{2}$  points). Since  
 497 the boundary of  $C_p$  contains at most  $\frac{k}{2}$  points, a slightly smaller homothet, also of  
 498 the form  $\lambda C + p$ , will cover at least  $|C_p \cap S| - \frac{k}{2}$  but at most  $\frac{k}{2}$  points. It follows that  
 499  $|C_p \cap S| \leq k$ , that is,  $C_p$  is a  $k^-/S$ -homothet. Let  $C_S = \{C_p \mid p \in S\}$  and consider  
 500 a weak  $\frac{k}{2|S|}$ -net  $W$  for  $(S, \mathcal{H}_C|_S)$  of size  $O(\frac{|S|}{k/2}) = O(\frac{|S|}{k})$ , as given by Theorem 4.1.1.  
 501  $W$  hits every homothet of  $C$  which covers at least  $\frac{k}{2}$  elements of  $S$  so, in particular,  
 502 it hits all homothets in  $C_S$ . We will use Lemma 4.2.3 to construct the desired cover  
 503 using elements of  $C_S$ . For each  $w \in W$  let  $S_w = \{s \in S \mid w \in C_s\}$ . The point  
 504 set  $S_w$  and the homothets  $\{C_p\}_{p \in S_w}$  satisfy the properties required in the statement  
 505 of Lemma 4.2.3, so there is a subset  $S'_w \subset S_w$  of size at most  $c_2$  such that the  
 506 collection of homothets  $\{C_p\}_{p \in S'_w}$  covers  $S_w$ . Let  $S_C = \bigcup_{w \in W} S'_w$ , we claim that the  
 507 collection of  $k^-/S$ -homothets  $\{C_p\}_{p \in S_C}$  covers  $S$ . Indeed, for every  $s \in S$ ,  $C_s$  is hit  
 508 by some element of  $w$ , whence  $s \in S_w$  and  $s \in \bigcup_{p \in S'_w} C_p \subset \bigcup_{s \in S_C} C_p$ . Furthermore,  
 509  $|S_C| \leq c_2|W| = O_d(\frac{|S|}{k})$ , as desired.

510 We move on to the proof of the measure theoretic version of our result. Suppose  
 511 that  $\mu$  is  $C$ -nice and  $K$  is a ball with  $\mu(K) = \mu(\mathbb{R}^d) \geq k$ . For every  $p \in K$ , let  $C_p$   
 512 be an homothet of the form  $\lambda C + p$  which has measure  $k$  (again, it exists, since  $\mu$  is  
 513 not- $C$ -degenerate and  $\mu(K) \geq k$ ). Let  $C_\mu = \{C_p \mid p \in K\}$  and consider a weak  $\frac{k}{\mu(\mathbb{R}^d)}$ -  
 514 net for  $(\mu, \mathcal{H}_C)$  of size  $O(\frac{\mu(\mathbb{R}^d)}{k})$ . From here, we can follow the argument in the above

515 paragraph to find a collection of  $O_d(\frac{\mu(\mathbb{R}^d)}{k})$   $k^-/\mu$ -homothets (in fact, of homothets of  
 516 measure exactly  $k$ ) which cover  $K$ . This concludes the proof.  $\square$

517 We remark that the result still holds if, instead of being non- $\frac{k}{2}/C$ -degenerate,  $S$  is  
 518 non- $tk/C$ -degenerate for some fixed  $t \in (0, 1)$ . In fact, this condition can be dropped  
 519 altogether in the case that  $C$  is strictly convex. The implicit requirement that  $\mu$  be  
 520 non- $C$ -degenerate could also be weakened, all that is needed is for no boundary of an  
 521 homothet to have measure larger than  $tk$  (again, for fixed  $t \in (0, 1)$ ).

522 The proof of Theorem 4.2.1 (as well as Theorem 4.1.1) extends almost verbatim  
 523 to weighted point sets. In the weighted case, the homothets are allowed to cover a  
 524 collection of points with total weight at most  $k$ , and the result tells us that, as long  
 525 as no boundary of an homothet contains points with total weight larger than  $\frac{k}{2}$ ,  $S$   
 526 can be covered using  $O_d(\frac{w(S)}{k})$  such homothets, where  $w(S)$  denotes the total weight  
 527 of the points in  $S$ .

### 528 4.3 Generalized covering density

529 **Theorem 4.3.1.** *Let  $C \subset \mathbb{R}^d$  be a convex body and  $\mu$  a non- $C$ -degenerate measure  
 530 such that  $\mu(C) > 0$  and  $\mu(\mathbb{R}) = \infty$ . Then  $\Theta_H(\mu, C)$  is bounded from above by a  
 531 function of  $d$ .*

532 *Proof.* For any Borel set  $K \subset \mathbb{R}^d$  the restriction of  $\mu$  to  $K$ ,  $\mu|_K$ , is defined by  
 533  $\mu|_K(X) = \mu(X \cap K)$ . Notice that if  $K$  is bounded then  $\mu|_K$  is  $C$ -nice.

534 At a high level, our strategy consists of choosing an infinite sequence of positive  
 535 reals,  $\lambda_0 < \lambda_1 < \lambda_2 < \dots$ , and constructing covers with homothets of measure  $\mu(C)$  of  
 536 each of the bounded regions  $\lambda_0 B^d$ ,  $\lambda_1 B^d \setminus \lambda_0 B^d$ ,  $\lambda_2 B^d \setminus \lambda_1 B^d$ ,  $\dots$  using Theorem 4.2.1  
 537 so that the union of these covers has bounded lower density with respect to  $\mu$ . To  
 538 be entirely precise,  $\lambda_{i+1}$  will not be chosen until after the cover of  $\lambda_i B^d \setminus \lambda_{i-1} B^d$ ,  $\dots$   
 539 has been constructed. The main difficulty that arises is that, after applying Theorem  
 540 4.2.1 to the restriction of  $\mu$  to a bounded set, some of the homothets in the resulting  
 541 cover may have measure (with respect to  $\mu$ ) larger than  $\mu(C)$ . Below, we describe a  
 542 process that allows us to circumvent this issue. Here, the importance of defining  $d_{\text{low}}$   
 543 as we did (back in Section 2.2) will be clear.

544 Choose  $\lambda_0 > 0$  such that  $\mu(\lambda_0 B^d) \geq \mu(C)$  and set  $\lambda_0 B^d = \lambda_0 B^d$ . Theorem 4.2.1  
 545 tells us that  $f(C, \frac{\mu(C)}{2}, \mu|_{\lambda_0 B^d}) \leq c_{f,d} \frac{\mu(\lambda_0 B^d)}{\mu(C)}$ , so  $\lambda_0 B^d$  can be covered using no more  
 546 than  $c_{f,d} \frac{\mu(\lambda_0 B^d)}{\mu(C)}$  homothets of  $C$  which have measure at most  $\frac{\mu(C)}{2}$  with respect to  
 547  $\mu|_{\lambda_0 B^d}$ . In fact, if all of them were  $\mu(C)^-/\mu$ -homothets we could apply a dilation to  
 548 each so that every one had measure  $\mu(C)$  with respect to  $\mu$ . The following lemma  
 549 shows that any homothet of the cover whose measure is too large with respect to  $\mu$  can  
 550 be substituted by a finite number of  $\mu(C)^-/\mu$ -homothets which are not completely  
 551 contained in  $\lambda_0 B^d$ .



552 **Lemma 4.3.2.** *Let  $B \subset \mathbb{R}^d$  be a ball with  $\mu(B) \geq \mu(C)$  and  $C'$  be an homothet of*  
 553  *$C$  such that  $\mu|_B(C') < \mu(C)$  but  $C' \not\subset B$ . Then  $C' \cap B$  can be covered by a finite*  
 554 *collection of  $\mu(C)^{-}/\mu$ -homothets of  $C$ , none of which is fully contained in  $B$ .*

555 *Proof.* Of course, we may assume that  $C' \cap B \neq \emptyset$  and  $\mu(C') > \mu(C)$ . Let  $C''$  be an  
 556 homothet with  $\mu|_B(C') < \mu|_B(C'') < \mu(C)$  that results from applying dilation to  $C'$   
 557 with center in its interior; clearly,  $C' \subsetneq C''$  and  $\mu(C'') > \mu(C)$ . Now, let  $\overline{B}$  denote the  
 558 closure of  $B$  and, for each  $p \in C' \cap \overline{B}$ , consider an homothet  $C_p$  with  $\mu(C_p) = \mu(C)$   
 559 that is obtained by applying a dilation to  $C''$  with center  $p$ . Since  $\mu(C'') > \mu(C)$  and  
 560  $p$  lies in the interior of  $C''$ ,  $C_p \subsetneq C''$  and  $p$  belongs to the interior of  $C_p$  (see figure  
 561 4.2). We claim that  $C_p$  is not fully contained in  $B$ . Indeed, if it were, we would have  
 562  $C_p \subset B \cap C''$ , but  $\mu(B \cap C'') = \mu|_B(C'') < \mu(C)$ , which contradicts the choice of  $C_p$ .  
 563 Thus, for each point  $p \in C' \cap \overline{B}$ ,  $C_p$  has measure  $\mu(C)$  with respect to  $\mu$ , it is not  
 564 completely contained in  $B$ , and it covers an open neighborhood of  $p$ . The result now  
 565 follows from the fact that  $C' \cap \overline{B}$  is compact.  $\square$

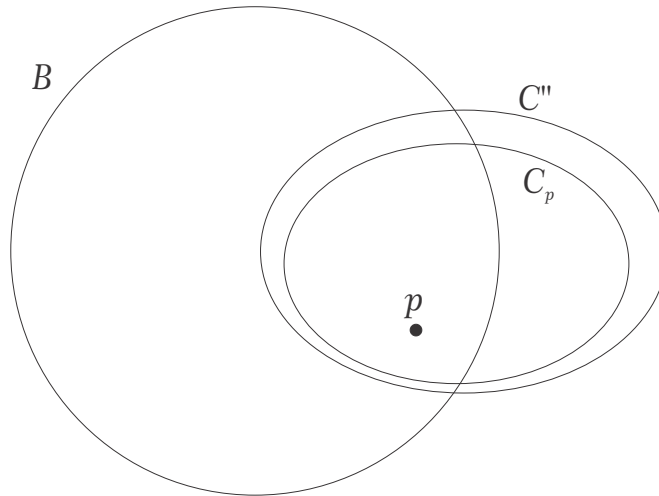


Figure 4.2: Configuration in the proof of Lemma 4.3.2.

566 Apply Lemma 4.3.2 (with  $B = \lambda_0 B^d$ ) to each of the aforementioned homothets  
 567 and then enlarge each homothet in the cover until its measure with respect to  $\mu$  is  
 568  $\mu(C)$ . This way, we obtain a finite cover  $\mathcal{F}_0$  of  $\lambda_0 B^d$  by homothets of measure  $\mu(C)$   
 569 with respect to  $\mu$ , of which at most  $c_{f,d} \frac{\mu(\lambda_0 B^d)}{\mu(C)}$  are fully contained in  $\lambda_0 B^d$ .

570 Now, suppose that  $\lambda_0 < \lambda_1 < \dots < \lambda_t$  have already been chosen so that there is a  
 571 finite family  $\mathcal{F}_t$  of homothets of measure  $\mu(C)$  with respect to  $\mu$  that covers  $\lambda_i B^d$  and  
 572 has the following property: at most  $2c_{f,d} \frac{\mu(\lambda_i B^d)}{\mu(C)}$  of the homothets are fully contained  
 573 in  $\lambda_i B^d$  for every  $i \in \{0, 1, \dots, t\}$ .

574 Chose  $\lambda_{t+1}$  so that  $2\lambda_t < \lambda_{t+1}$  and  $\mu(\lambda_{t+1} B^d) \geq \frac{\mu(C)|\mathcal{F}_t|}{c_{f,d}}$  (the condition  $\mu(\mathbb{R}^d) =$   
 575  $\infty$  is crucial here). By Theorem 4.2.1,  $f(C, \frac{\mu(C)}{2}, \mu|_{\lambda_{t+1} B^d}) \leq c_{f,d} \frac{\mu(\lambda_{t+1} B^d)}{\mu(C)}$ ; consider  
 576 a cover that achieves this bound. Again by Lemma 4.3.2, each homothet in the

577 cover with measure larger than  $\mu(C)$  with respect to  $\mu$  can be substituted by a finite  
 578 collection of homothets of measure at most  $\mu(C)$  which are not fully contained in  
 579  $\lambda_{t+1}B^d$ , so that the homothets still cover  $\lambda_{t+1}B^d$ . After having carried out these  
 580 substitutions, we enlarge each homothet in the cover so that it has measure  $\mu(C)$   
 581 with respect to  $\mu$  and then remove all homothets which are fully contained in  $\lambda_tB^d$ .  
 582 The resulting family of homothets, which we denote by  $F_{t+1,\text{outer}}$ , covers  $\lambda_{t+1}B^d \setminus \lambda_tB^d$   
 583 and contains at most  $c_{f,d} \frac{\mu(\lambda_{t+1}B^d)}{\mu(C)}$  homothets that lie completely inside  $\lambda_{t+1}B^d$ . Let  
 584  $\mathcal{F}_{t+1} = \mathcal{F}_t \cup \mathcal{F}_{t+1,\text{outer}}$ .  $F_{t+1}$  is a cover of  $\lambda_tB^d \cup \lambda_{t+1}B^d \setminus \lambda_tB^d = \lambda_{t+1}B^d$  that consists  
 585 of homothets of measure  $\mu(C)$  with respect to  $\mu$ . Since no element of  $\mathcal{F}_{t+1,\text{outer}}$  is  
 586 a subset of  $\lambda_tB^d$ , there are no more than  $2c_{f,d} \frac{\mu(\lambda_iB^d)}{\mu(C)}$  homothets fully contained in  
 587  $\lambda_iB^d$  for every  $i \in \{0, 1, \dots, t\}$  and there are also no more than  $|\mathcal{F}_t| + c_{f,d} \frac{\mu(\lambda_{t+1}B^d)}{\mu(C)} \leq$   
 588  $2c_{f,d} \frac{\mu(\lambda_{t+1}B^d)}{\mu(C)}$  homothets contained in  $\lambda_{t+d}B^d$ .

589 Repeating this process, we obtain a sequence  $\lambda_0 < \lambda_1 < \dots$  that goes to infinity  
 590 and a sequence  $\mathcal{F}_0 \subset \mathcal{F}_1 \subset \dots$  of collections of homothets of measure  $\mu(C)$  with  
 591 respect to  $\mu$ . Set  $\mathcal{F} = \cup_{i=0}^{\infty} \mathcal{F}_i$ , then  $\mathcal{F}$  is a cover of  $\mathbb{R}^d$  with homothets of measure  
 592  $\mu(C)$  and, for  $i = 0, 1, \dots$ , we have that

$$d_{\text{inn}}(\mu, \mathcal{F} | \lambda_i B^d) = \frac{1}{\mu(\lambda_i B^d)} \sum_{C' \in \mathcal{F}, C' \subset \lambda_i B^d} \mu(C') \leq \frac{1}{\mu(\lambda_i B^d)} \frac{2c_{f,d} \mu(\lambda_i B^d)}{\mu(C)} \mu(C) = 2c_{f,d},$$

593 hence

$$d_{\text{low}}(\mu, \mathcal{F}) = \liminf_{r \rightarrow \infty} d_{\text{inn}}(\mu, \mathcal{F} | rB^d) \leq 2c_{f,d},$$

594 and the result follows. □

595 Just as in the previous section, the result still holds as long as no boundary of an  
 596 homothet has measure larger than  $tk$  for some fixed  $t \in (0, 1)$ . Our argument can  
 597 also be slightly modified to yield a cover with lower density at most  $(1 + \epsilon)c_{f,d}$  for  
 598 any  $\epsilon > 0$ , this implies that  $\Theta_H(\mu, C) \leq c_{f,d}$  (recall that  $c_{f,d}$  is the hidden constant  
 599 in Theorem 4.2.1).

# Chapter 5

## Packing

### 5.1 Packing in finite sets and measures

**Theorem 5.1.1.** *Let  $C \subset \mathbb{R}^d$  be a convex body. Then, for any positive integer  $k$  and any non- $\frac{k}{2}/C$ -degenerate set of points  $S \subset \mathbb{R}^d$ , we have that  $g(C, k, S) = \Omega_d(\frac{|S|}{k})$ , where the hidden constant depends only on  $d$ . Similarly, for any positive real number  $k$  and any  $C$ -nice measure,  $g(C, k, \mu) = \Omega(\frac{\mu(\mathbb{R}^d)}{k})$ .*

Again, we assume that  $B^d \subseteq C \subseteq dB^d$  and  $|S| \geq k$ , and we begin by proving the result for point sets.

For each  $p \in S$ , denote by  $C_p$  the smallest homothet of the form  $\lambda C + p$  which contains at least  $k$  points of  $S$ . All of the  $C_p$ 's are  $k^+/S$ -homothets of  $C$  and, by the assumption that  $S$  is non- $\frac{k}{2}/C$ -degenerate, each of them contains less than  $\frac{3k}{2}$  elements of  $S$ . For any subset  $S' \subseteq S$ , let  $C_{S'} = \{C_p \mid p \in S'\}$ . We require the following preliminary result.

**Claim 5.1.2.** *There is a constant  $c_3 = c_3(d)$  with the following property: If  $S' \subset S$  and  $p_0 \in S'$  is such that  $C_{p_0}$  is of minimal size amongst the elements of  $C_{S'}$ , then  $C_{p_0}$  has nonempty intersection with at most  $c_3 k$  other elements of  $C_{S'}$ .*

*Proof.* After translating, we may assume that  $B^d \subseteq C_{p_0} \subseteq dB^d$ . For any  $r \in \mathbb{R}$ , the number of translates of  $\frac{1}{2}B^d$  required to cover  $rdB^d$  depends only on  $d$  and  $r$  and, by the choice of  $p_0$ , every one of these balls of radius  $\frac{1}{2}$  contains less than  $k$  points of  $S'$ . Hence,  $|rdB^d \cap S'| \leq c_{d,r}k$ .

Assume, w.l.o.g., that  $p_0 = O$  and let  $c(d, t')$  be as in Observation 4.2.2 for some small  $t' = t'(d)$  to be specified later. For each  $r$ , denote by  $S'_r \subset S'$  the set that consists of those points  $p \in S'$  such that  $p \notin rdB^d$  and  $C_p$  intersects  $C_{p_0}$ . Since  $C$  is  $1/d$ -fat, it is not hard to see that for some large enough  $r_d$  (which depends only on  $d$ ) the following holds: if  $p_1, p_2 \in S'_{r_d}$  are such that  $|p_1| \geq |p_2|$  and  $\frac{p_1}{|p_1|}, \frac{p_2}{|p_2|}$  are at distance less than  $t'$ , then  $p_2 \in C_{p_1}$ . We can then proceed along the lines of the proof

627 of Lemma 4.2.3 to show that  $S'_{r_d}$  can be covered by no more than  $c(d, t')$  elements  
 628 of  $C_{S'}$ , which yields  $|S'_{r_d}| \leq \frac{3}{2}c(d, t')k$ . Hence, there are at most  $(c_{d, r_d} + \frac{3}{2}c(d, t'))k$   
 629 elements of  $C_{S'}$  which have nonempty intersection with  $C_{p_0}$ , and the result follows by  
 630 setting  $c_3 = c_{d, r_d} + \frac{3}{2}c(d, t')$ .  $\square$

631 We can now prove Theorem 5.1.1.

632 *Proof.* Let  $S' \subseteq S$ . We show by induction on  $|S'|$  that there is a packing formed by  
 633 at least  $\lfloor \frac{|S'|}{c_3 k} \rfloor$  elements from  $C_{S'}$  (if  $|S'| < k$ , set  $C_{S'} = \emptyset$ ); since  $C_S$  consists only of  
 634  $k^+/S$  homothets of  $C$ , the result will follow immediately.

635 Our claim is trivially true if  $|S'| < c_3 k$ . Let  $S' \subseteq S$  with  $|S'| \geq c_3 k$  and assume that  
 636 the result holds for all subsets with less than  $|S'|$  elements. Choose  $p_0 \in S'$  so that  $C_{p_0}$   
 637 is of minimal size amongst the elements of  $C_{S'}$ . Let  $S_{p_0} = \{p \in S' \mid C_p \cap C_{p_0} \neq \emptyset\}$  and  
 638 set  $S'' = S' - S_{p_0}$ . Since  $|S''| < |S'|$ , the inductive hypothesis tells us that it is possible  
 639 to choose  $t \geq \lfloor \frac{|S''|}{c_3 k} \rfloor$  points  $p_1, p_2, \dots, p_t \in S''$  so that the homothets  $C_{p_1}, C_{p_2}, \dots, C_{p_t}$   
 640 are pairwise disjoint. By the definition of  $S''$ , these homothets do not intersect  $C_{p_0}$ ,  
 641 this shows that we can choose  $t + 1$  disjoint homothets from  $C_{S'}$ . By Claim 5.1.2,  
 642  $|S''| \geq |S'| - c_3 k$  and hence  $t \geq \lfloor \frac{|S'|}{c_3 k} \rfloor - 1$ , which yields the result.

643 Now, suppose that  $\mu$  is  $C$ -nice and  $K$  is a ball with  $\mu(K) = \mu(\mathbb{R}^d) > k$ . For each  
 644  $p \in K$ , define  $C_p$  as the smallest homothet of the form  $\lambda C + p$  which has measure  $k$   
 645 and, for  $K' \subseteq K$ , let  $C_{K'}\mu = \{C_p \mid p \in K'\}$ . Claim 5.1.2 can be easily adapted to  
 646 measures, which then allows us to proceed as in the previous paragraph (except we  
 647 now induct on  $\mu(K')$ ) to prove the measure theoretic version of Theorem 5.1.1.  $\square$

648 Similarly to Theorem 4.2.1, the non- $\frac{k}{2}$ -degeneracy condition on  $S$  can be relaxed  
 649 to non- $tk$ -degeneracy for some fixed  $t > 0$ , and the non- $C$ -degeneracy of  $\mu$  can be  
 650 substituted for the weaker requirement that no boundary of an homothet has measure  
 651 larger than  $tk$ . Again, the proof extends to suitable weighted points sets.

652 In similar fashion to the proof of the Besicovitch covering theorem, it is also  
 653 possible to derive Theorem 4.2.1 by adapting the technique above. Indeed, we could  
 654 have defined  $C_p$  to be the smallest homothet of the form  $\lambda C + p$  that contains at  
 655 least  $\frac{k}{2}$  points of  $S$ . The proof of 5.1.2 would then yield a collection of  $c_3 k^-/S$ -  
 656 homothets of  $C$  that covers the set  $S_{p_0} = \{p \in S \mid C_p \cap C_{p_0} \neq \emptyset\}$ . We add these  
 657  $O_d(1)$  homothets to the cover and add all the elements of  $C_{p_0} \cap S$  to an initially  
 658 empty set  $P$ . Now, consider  $p_1 \in S - S_{p_0}$  such that the size of  $C_{p_1}$  is minimal and go  
 659 through the same steps as before. This process is then repeated as long as  $S$  is not  
 660 yet fully covered. At least  $\frac{k}{2}$  new elements are added to  $P$  with each iteration, so the  
 661 number of homothets in the final cover is no more than  $\frac{2n}{k} O_d(1) = O_d(\frac{n}{k})$ , as desired.  
 662 The proof presented in Chapter 4, however, will lead to a randomized algorithm for  
 663 approximating  $C$ - $k$ -COVER in Section 6.1.

## 5.2 Generalized packing density

**Theorem 5.2.1.** *Let  $C \subset \mathbb{R}^d$  be a convex body and  $\mu$  a non- $C$ -degenerate measure with  $\mu(C) > 0$  and  $\mu(\mathbb{R}^d) > \mu(C)$ . Then  $\delta_H(\mu, C)$  is bounded from below by a function of  $d$ .*

*Proof.* If  $\mu(\mathbb{R}^d) < \infty$ , the result follows readily by applying Theorem 5.1.1 to the restriction of  $\mu$  to sufficiently large balls and then shrinking some homothets if necessary, so we assume that  $\mu(\mathbb{R}^d) = \infty$ . The strategy that we follow is similar to the one used for Theorem 4.3.1.

Choose  $\lambda_0 > 0$  so that  $\mu(\lambda_0 B^d) \geq \mu(C)$ . By Theorem 5.1.1,  $g(C, \mu(C), \mu|_{\lambda_0 B^d}) \geq c_{g,d} \frac{\mu(\lambda_0 B^d)}{\mu(C)}$ , so there is a collection of at least  $c_{g,d} \frac{\mu(\lambda_0 B^d)}{\mu(C)}$  interior disjoint  $\mu(C)^+ / \mu|_{\lambda_0 B^d}$ -homothets of  $C$ . Each homothet in this collection contains another homothet that has nonempty intersection with  $\lambda_0 B^d$  and whose measure with respect to  $\mu$  is exactly  $\mu(C)$ . These smaller homothets form a finite packing, which we denote by  $\mathcal{F}_0$ .

Assume that we have already chosen  $\lambda_0 < \lambda_1 < \dots < \lambda_t$  so that there is a finite packing  $\mathcal{F}_t$  composed by homothets of measure  $\mu(C)$  and at least  $c_{g,d} \frac{\mu(\lambda_t B^d)}{2\mu(C)}$  of them have nonempty intersection with  $\lambda_t B^d$  for every  $i \in \{0, 1, \dots, t\}$ .

Let  $\lambda_{\mathcal{F}_t} > \lambda_t$  be such that all homothets of  $\mathcal{F}_t$  are fully contained in  $\lambda_{\mathcal{F}_t} B^d$ . Denote the region  $(\lambda_{\mathcal{F}_t} + 1)B^d \setminus \lambda_{\mathcal{F}_t} B^d$  by  $R$  and, for each  $l > 0$ , let  $\mu_l$  be the measure defined by

$$\mu_l(X) = \mu(X \setminus (\lambda_{\mathcal{F}_t} + 1)B^d) + l \operatorname{vol}(X \cap R).$$

**Claim 5.2.2.** *If  $l$  is large enough, then any homothet that intersects both  $\lambda_{\mathcal{F}_t} \mathbb{S}^{d-1}$  and  $(\lambda_{\mathcal{F}_t} + 1)\mathbb{S}^{d-1}$  has measure larger than  $\frac{3}{2}\mu(C)$  with respect to  $\mu_l$ .*

*Proof.* The claim follows from the fact that the volume of any homothet as in the statement is bounded away from 0. This last observation can be proven by a simple compactness argument.  $\square$

Let  $l$  be such that the property in Claim 5.2.2 holds and choose  $\lambda_{t+1}$  so that  $2\lambda_t < \lambda_{t+1}$ ,  $\lambda_{\mathcal{F}_t} < \lambda_{t+1}$  and

$$\mu_l(\lambda_{t+1} B^d) \geq \frac{3\mu(\lambda_{t+1} B^d)}{4c_{g,d}} + \frac{3\operatorname{vol}(R)}{c_{g,d} l}$$

(this is possible, since we assumed that  $\mu(\mathbb{R}^d) = \infty$ ). Theorem 5.1.1 tells us that  $g(C, \frac{3}{2}\mu(C), \mu_l|_{\lambda_{t+1} B^d}) \geq c_{g,d} \frac{2\mu_l(\lambda_{t+1} B^d)}{3\mu(C)}$ ; consider a packing by  $\frac{3}{2}\mu(C)^+ / \mu_l$ -homothets which has at least this many elements. This packing contains at most  $\frac{2\operatorname{vol}(R)}{l \mu(C)}$  homothets  $C'$  with  $\operatorname{vol}(C' \cap R) l \geq \frac{1}{2}\mu(C)$ , which we remove from the collection. By the choice of  $l$ , none of the remaining homothets intersects  $\lambda_t B^d$  and each of them has measure at least  $\mu(C)$  with respect to  $\mu$ . Shrinking each homothet we obtain a

696 packing  $\mathcal{F}_{t+1, \text{outer}}$  formed by homothets of measure  $\mu(C)$  with respect to  $\mu$ , and it has  
 697 at least

$$\frac{2c_{g,d}}{3\mu(C)} \left( \frac{3\mu(\lambda_{t+1}B^d)}{4c_{g,d}} + \frac{3\text{vol}(R)}{c_{g,d}l} \right) - \frac{2\text{vol}(R)}{l\mu(C)} = \frac{c_{g,d}}{2} \frac{\mu(\lambda_{t+1}B^d)}{\mu(C)}$$

698 elements. Let  $\mathcal{F}_{t+1} = \mathcal{F}_t \cup \mathcal{F}_{t+1, \text{outer}}$ , this is a packing with homothets of measure  $\mu(C)$   
 699 with respect to  $\mu$ , and it contains at least  $\frac{c_{g,d}}{2} \frac{\mu(\lambda_i B^d)}{\mu(C)}$  elements which have nonempty  
 700 intersection with  $\lambda_i B^d$  for each  $i \in \{0, 1, \dots, t+1\}$ .

701 Repeating this process, we obtain a sequence  $\lambda_0 < \lambda_1 < \dots$  that goes to infinity  
 702 and a sequence  $\mathcal{F}_0 \subset \mathcal{F}_1 \subset \dots$  of packings with homothets of measure  $\mu(C)$  with  
 703 respect to  $\mu$ . Set  $\mathcal{F} = \cup_{i=0}^{\infty} \mathcal{F}_i$ , then  $\mathcal{F}$  is a packing with homothets of measure  $\mu(C)$   
 704 and, for  $i = 0, 1, \dots$ , we have that

$$d_{\text{out}}(\mu, \mathcal{F} | \lambda_i B^d) = \frac{1}{\mu(\lambda_i B^d)} \sum_{C' \in \mathcal{F}, C' \cap \lambda_i B^d \neq \emptyset} \mu(C') \geq \frac{1}{\mu(\lambda_i B^d)} \frac{c_{g,d} \mu(\lambda_i B^d)}{2\mu(C)} \mu(C) = \frac{c_{g,d}}{2},$$

705 thus

$$d_{\text{upp}}(\mu, \mathcal{F}) = \limsup_{r \rightarrow \infty} d_{\text{out}}(\mu, \mathcal{F} | rB^d) \geq \frac{c_{g,d}}{2},$$

706 as desired. □

707 Again, the result holds as long as no boundary of an homothet has measure larger  
 708 than  $tk$  for some fixed  $t \in (0, 1)$ . As in the proof of Theorem 4.3.1, our argument can  
 709 be slightly modified to show that  $\delta_H(\mu, C) \geq c_{g,d}$  (where  $c_{g,d}$  is the hidden constant  
 710 in Theorem 5.1.1).

# Chapter 6

## Algorithms and complexity

### 6.1 Algorithms

In this section we describe algorithms for approximating  $B^d$ - $k$ -COVER and  $B^d$ - $k$ -PACK (defined in Section 3.3) up to a multiplicative constant that depends on  $d$ . The algorithms also provide either a covering with  $k^-/S$  balls or a packing with  $k^+/S$  balls with that number of elements. The algorithms essentially recreate the constructive proofs of theorems 5.1.1 and 4.2.1.

We first present a randomized algorithm for approximating  $B^d$ - $k$ -COVER. Given a finite point set  $P \subset \mathbb{R}^d$ , denote by  $r_{\text{opt}}(P, k)$  the radius of the smallest ball that contains at least  $k$  points of  $P$ . The following result of Har-Peled and Mazumdar [22] (see also Chapter 1 in [21]) will be key.

**Theorem 6.1.1.** *Given a set  $P \subset \mathbb{R}^d$  of  $n$  points and an integer parameter  $k$ , we can find, in expected  $O_d(n)$  time, a ( $d$ -dimensional) ball of radius at most  $2r_{\text{opt}}(P, k)$  which contains at least  $k$  points of  $P$ .*

**Theorem 6.1.2.** *Let  $S \subset \mathbb{R}^d$  be a set of  $n$  points. There is an algorithm that finds a covering of  $S$  formed by  $O_d(\frac{n}{k})$   $k^-/S$ -homothets of  $B^d$  in expected  $O_d(\frac{n^2}{k})$  time.*

*Proof.* By repeated applications of Theorem 6.1.1 we can find, in expected  $O(\frac{n}{k} \cdot n)$  time, a sequence  $B_1, B_2, \dots, B_t$  of balls and a sequence  $S = S_1 \supset S_2 \supset \dots \supset S_{t+1} = \emptyset$  (with  $t \leq \lceil \frac{2n}{k} \rceil$ ) such that each  $B_i$  has radius at most  $2r_{\text{opt}}(S_i, k/2)$ , contains at least  $k/2$  points of  $S_i$  and satisfies  $S_i \cap B_i = S_i - S_{i+1}$ .

For each  $B_i$ , we can construct a set  $P_{B_i}$  as in Lemma 4.1.2 in  $O_d(1)$  time. The union  $W$  of these  $t$  sets forms a weak  $\epsilon$ -net for  $(S, \mathcal{H}_B|_S)$  (see Theorem 4.1.1). As in the proof of Theorem 4.2.1, for each  $p \in S$  let  $B_p$  be the smallest ball of the form  $\lambda B^d + p$  which covers at least  $\frac{k}{2}$  points of  $S$  (if  $S$  is not in  $\frac{k}{2}/S$ -general position, we might have to perturb  $B_p$  slightly so that it contains no more than  $k$  points); we do not compute any of these balls at this point in time. Each  $B_p$  contains at least one

738 element of  $W$ , and we can find one such  $w_p \in W$  in  $O_d(W) = O_d(\frac{n}{k})$  time by simply  
 739 choosing from  $W$  a point that minimizes the distance to  $p$ . This is repeated for every  
 740  $p \in S$ .

741 For every  $w \in W$ , let  $S_w = \{p \in S \mid w_p = w\}$ . Select from  $S_w$  the point  $p$  that is  
 742 the furthest away from  $w$  and compute the ball  $B_p$ . This can be done in  $O_d(n)$  time,  
 743 even in the case that a small perturbation is required, by looking at the distances  
 744 from  $p$  to each other element of  $S$ . Add  $B_p$  to the final cover, remove the points in  
 745  $B_p$  from  $S_w$ , and repeat until  $S_w$  is empty. As can be seen from the proof of Lemma  
 746 4.2.3, the process ends after  $O_d(1)$  iterations.

747 Repeat the scheme above for every  $w \in W$  to obtain a cover with the desired  
 748 properties. This takes  $O_d(\frac{n}{k} \cdot n)$  time and, thus, the expected running time of the  
 749 whole algorithm is precisely  $O_d(\frac{n}{k} \cdot n)$ . See Section 4.2 for some omitted details.  $\square$

750 **Theorem 6.1.3.** *Let  $S \subset \mathbb{R}^d$  be a set of  $n$  points. There is an algorithm that computes*  
 751 *a packing formed by  $O_d(\frac{n}{k})$   $k^+$ / $S$ -homothets of  $B^d$  in  $O_d(n^2)$  time.*

752 *Proof.* Following the proof of Theorem 5.1.1, for each  $p \in S$  let  $B_p$  be the smallest  
 753 homothet of the form  $\lambda B^d + p$  which contains at least  $k$  points of  $S$  (as in the previous  
 754 algorithm, we might have to perturb it slightly so that it contains no more than  $\frac{3k}{2}$   
 755 points) and, for  $S' \subseteq S$ , set  $B_{S'} = \{B_p \mid p \in S'\}$ . Compute all the elements of  $B_S$   
 756 in total  $O_d(n^2)$  time and find a point  $p_0 \in S$  such that  $B_{p_0}$  is of minimal radius. Add  
 757  $B_{p_0}$  to the packing. By Claim 5.1.2, there are at most  $c_3 k$  points  $p \in S$  such that  $B_p$   
 758 intersects  $B_{p_0}$  and, given the radius of each  $B_p$ , we can compute in linear time the  
 759 set  $S_{p_0} \subset S$  formed by all of these points. Now, we find a point  $p_1 \in S - S_{p_0}$  such  
 760 that  $B_{p_1}$  is of minimal radius, add it to the packing, and repeat the process above  
 761 for as long as possible. At the end, we get a packing composed of  $\Omega_d(\frac{n}{k})$  balls which  
 762 contain at least  $k$  points of  $S$ . Each of the (at most)  $\frac{n}{k}$  iterations takes  $O_d(n)$  time,  
 763 so the running time of the algorithm is dominated by the  $O_d(n^2)$  time that it takes  
 764 to compute the elements of  $B_S$ .  $\square$

765 In the same way that the proof of Theorem 5.1.1 can be adapted to obtain an  
 766 upper bound for  $f$  (see the last paragraph of Section 4.2), we can also modify the  
 767 algorithm above to get the following result.

768 **Theorem 6.1.4.** *Let  $S \subset \mathbb{R}^d$  be a set of  $n$  points. There is an algorithm that com-*  
 769 *putes, in  $O_d(n^2)$  time, a cover of  $S$  formed by  $O_d(\frac{n}{k})$   $k^-$ / $S$ -homothets of  $B^d$ .*

## 770 6.2 Complexity

771 As mentioned in Section 2.6, Bereg et al. [5] showed if  $C$  is a square then deciding  
 772 whether  $g(C, 2, S) = \frac{|S|}{2}$  is NP-hard. We prove a similar result for  $C$ - $k$ -COVER.



773 **Theorem 6.2.1.** *Let  $C$  be a square and  $k$  a positive multiple of 4. Then  $C$ - $k$ -COVER*  
 774 *is NP-hard. In fact, it is NP-hard to determine whether  $f(C, k, S) = \frac{|S|}{k}$  or not.*

775 *Proof.* Suppose that  $C$  is a square. We provide a polynomial time reduction from  
 776 3-SAT<sup>1</sup> to  $C$ -4-COVER. The construction can easily be adapted to work for any  $k$   
 777 multiple of 4.

778 Suppose we are given an instance of 3-SAT. To each variable we will assign a  
 779 collection of points with integer coordinates which form a sort of loop; the number  
 780 of points in each of these loops will be a multiple of 4. For each clause, there will  
 781 be a couple of smaller loops formed too by integer points; the number of points in  
 782 each of these two loops will be even, but not a multiple of 4. The total number of  
 783 points will thus be a multiple of 4, say,  $4m$ . We will call a square *good* if it covers  
 784 exactly 4 points. The goal is to construct the loops in such a way that the Boolean  
 785 formula is satisfiable if and only if the points can be covered by  $m$  good squares. Such  
 786 a collection of squares will be referred to as a *good cover*. Note that in a good cover  
 787 each point is covered by exactly one square. For an overview of the construction, see  
 788 figure 6.1.

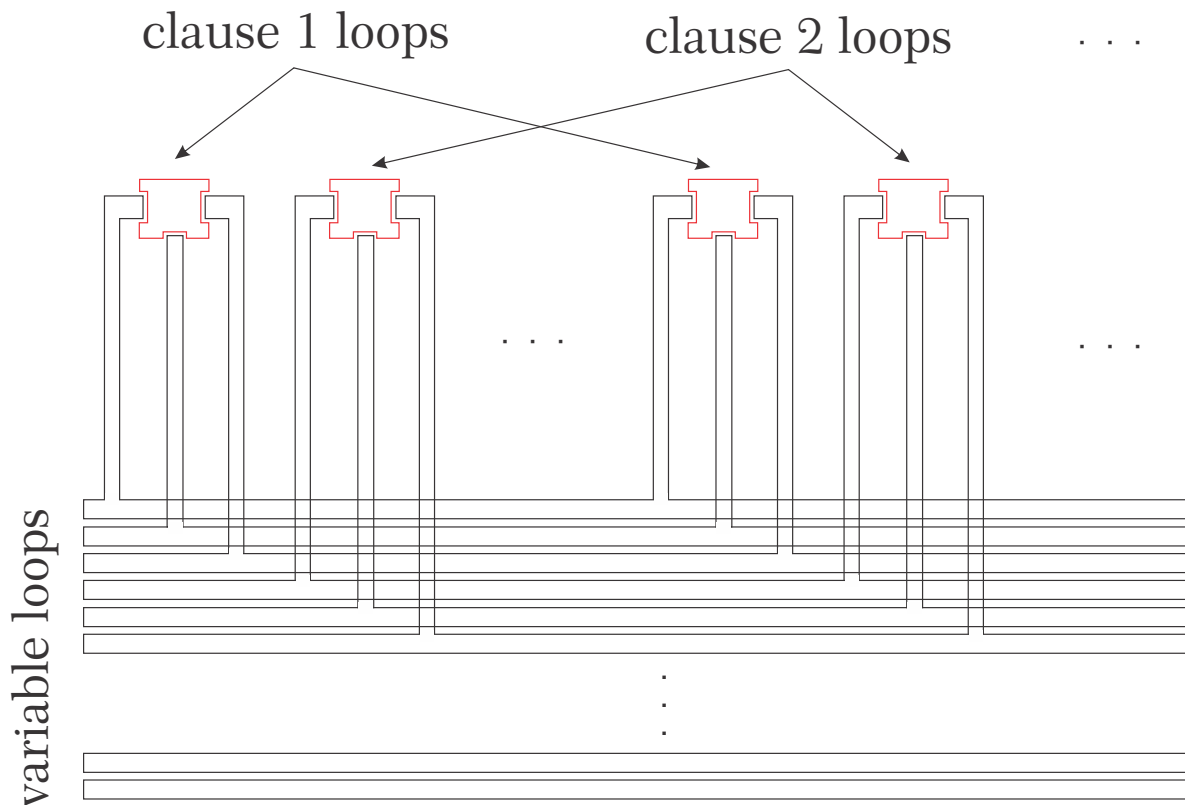


Figure 6.1: Overview the layout of the variable loops (black) and clause loops (red).

789 At each crossing between two variable loops the points are arranged as in figure

<sup>1</sup>3-SAT consists of determining the satisfiability of a Boolean formula in conjunctive normal form where each clause has three variables. 3-SAT is well known to be NP-complete.

790 6.2. By spacing the loops appropriately and constructing their topmost sections at  
 791 slightly different heights, we ensure that any square covering points from two different  
 792 variable loops covers either more than 4 points or covers a crossing between those two  
 793 loops. The configuration of the points at each crossing makes it so that every good  
 794 square which contains points from two variable loops covers exactly two points from  
 795 each of those loops.

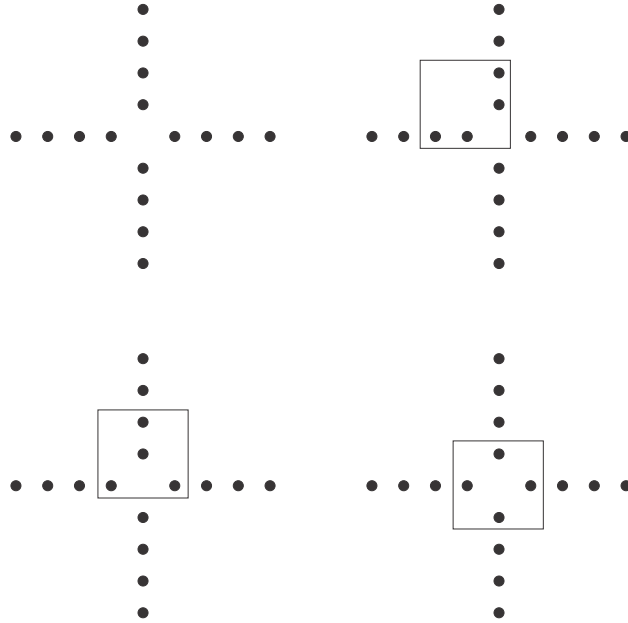


Figure 6.2: Top left: placement of the points around a crossing between two variable loops. The other pictures depict all the essentially different ways in which a good square can cover the crossing.

796 Figure 6.3 depicts the gadget used to simulate each clause. The configuration  
 797 inside each of the 6 red circles is designed so that any good square (inside the circle)  
 798 which covers points from both the clause loop and the corresponding variable loop  
 799 covers precisely two points from each. This way, any good square will cover an even  
 800 number of points from each variable loop. The points of each variable loop are labeled  
 801 (in order) from 1 to  $4t$  (for some  $t$  that depends on the loop). We say that a good  
 802 cover *assigns* the value *true* (resp. *false*) to a variable if any two points labeled  $2s$  and  
 803  $2s + 1$  (resp.  $2s + 1$  and  $2s + 2$ ) in the corresponding loop are contained in the same  
 804 square, where the indices are taken modulo the total number of points in the loop.  
 805 Clearly, a good cover assigns exactly one Boolean value to each variable. The points  
 806 inside  $c_{x,1}$  can be arranged so that if a good square that is contained in  $c_{x,1}$  covers  
 807 points from both the clause loop and the variable loop that corresponds to variable  
 808  $x$ , then it contains the points labeled with  $4s$  and  $4s + 1$  if  $x$  is not negated in the  
 809 clause, or it contains the points labeled with  $4s + 1$  and  $4s + 2$  if  $x$  appears in negated  
 810 form ( $\neg x$ ). Similarly, the points in  $c_{x,2}$  are placed so that a good square which covers  
 811 points from both the variable and the clause loops covers the points labeled as  $4s + 2$   
 812 and  $4s + 3$  if  $x$  is not negated, or the points  $4s + 3$  and  $4s + 4$  if  $x$  is negated. The

813 points in  $c_{y,1}, c_{y,2}, c_{z,1}$  and  $c_{z,2}$  are arranged analogously.

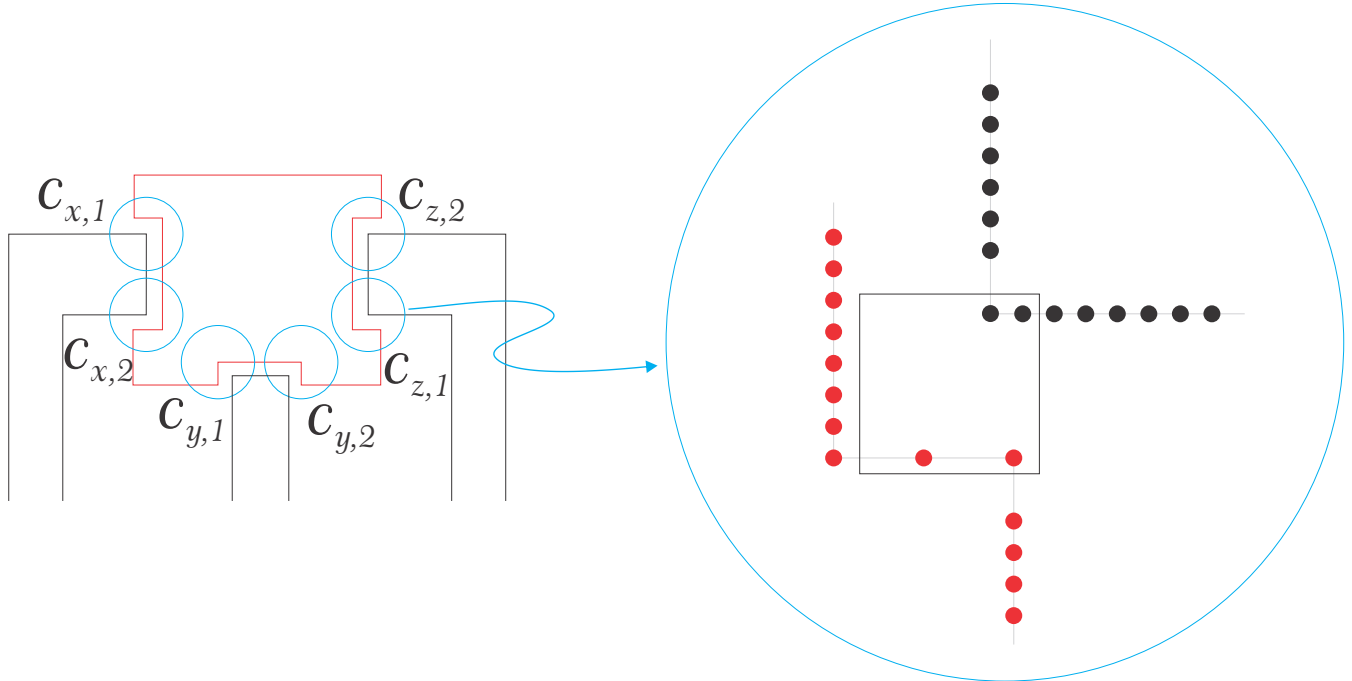


Figure 6.3: Placement of the points around each clause loop. Up to reflection and rotation, the points inside each of the six blue circles are arranged as shown on the right. There is essentially a unique way of placing a good square that covers points from both the clause loop and the corresponding variable loop.

814 Since the number of points of the clause loop is even but not a multiple of 4, in any  
 815 good cover there must be a square that contains two points from said loop and two  
 816 points from one of the three corresponding variable loops. The construction described  
 817 in the last paragraph makes it so that this is only possible if the cover assigns to one  
 818 of the three variables the value that makes the clause true. Since this holds for all  
 819 clause loops simultaneously, this shows that in order for a good cover to exist the  
 820 formula must be satisfiable. We prove that the converse is true as well. Suppose that  
 821 the formula is satisfiable and consider an assignment of Boolean values that satisfies  
 822 it. For every clause choose a variable that has been assigned the correct value (with  
 823 respect to the clause). Each variable loop can be covered by good squares which  
 824 assign to it the correct value and such that one of these squares covers two points  
 825 from each clause loop for which the variable was chosen (again, this is possible by the  
 826 construction described above). The only thing that could go wrong when covering  
 827 the variable loops is for the number of squares that cover two points from the variable  
 828 loop to be odd, but this will not happen, since each variable corresponds to two loops  
 829 and the number of crossings between any two variable loops is even. Since exactly  
 830 two points from each variable loop have been covered, the number of points that still  
 831 need to be covered in each variable loop is a multiple of four, so we can easily extend  
 832 this collection of good squares to a good cover with ease. We have shown that the  
 833 initial formula is satisfiable if and only if the point set admits a good cover.

834 Each clause gadget can be constructed in a region of constant height and width.  
835 Furthermore, the spacing between variable loops is can also be made constant. This  
836 way, the reduction can be carried out in a region whose height is linear in the number  
837 of variables, and whose width is linear in the number of clauses. The construction  
838 can also be realized in polynomial time. This concludes the proof.  $\square$

# Chapter 7

## Matching points with homothets

### 7.1 Toughness of Delaunay triangulations

**Theorem 7.1.1.** *Let  $C \subset \mathbb{R}^2$  an  $\alpha$ -fat strictly convex body with smooth boundary and  $S \subset \mathbb{R}^2$  a finite point set in  $C$ -general position such that no three points of  $S$  lie on the same line. If  $U \subset S$ , then  $D_C(S) - U$  has less than*

$$\frac{450^\circ - 4 \arcsin \alpha}{\arcsin \alpha} |U| + \frac{2 \arcsin \alpha - 90^\circ}{\arcsin \alpha}$$

*connected components.*

*Of course, the result holds as long as  $C$  can be made  $\alpha$ -fat by an affine transformation.*

Note that as  $\alpha$  goes to 1 we get that  $D_C(S)$  is 1-tough, as was shown in [8] for Delaunay triangulations with respect to disks. We will need the following geometric lemma, which generalizes a well-known angular property of standard Delaunay triangulations.

**Lemma 7.1.2.** *Let  $C \subset \mathbb{R}^2$  an  $\alpha$ -fat convex body and  $S \subset \mathbb{R}^2$  a finite point set. Suppose that  $abc$  and  $cda$  are two adjacent bounded faces of  $D_C(S)$ . We have that*

$$\angle abc + \angle cda \leq 360^\circ - 2 \arcsin \alpha.$$

*Proof.* The points  $b$  and  $d$  lie on different sides of the line that goes through  $a$  and  $c$ . Also, since  $(a, c)$  is an edge of  $D_C(S)$ , there is an homothet  $C'$  of  $C$  that contains  $a$  and  $c$  but contains neither  $b$  nor  $d$ , we can actually choose  $C'$  so that  $a$  and  $c$  lie on its boundary. This is all the information that we need in order to deduce the result.

By translating and rescaling, we may assume that  $\alpha B^2 \subset C' \subset B^2$ . The points  $a$  and  $c$  are not contained in  $\alpha B^2$ , since they lie on the boundary of  $C$ . The fact that  $C$  is convex implies that the convex hull  $\text{conv}(\alpha B^2 \cup \{a, c\})$  does not contain  $b$  and  $d$  (see figure 7.1 a). It is possible to slide  $b$  and  $d$  until they lie on the boundary of

862  $\text{conv}(\alpha B^2 \cup \{a, c\})$  without decreasing the values of  $\angle abc$  and  $\angle cda$ , so we may and  
 863 will assume that they lie on said boundary. By a similar argument, it suffices to prove  
 864 the inequality under the assumption that  $a$  and  $c$  lie on the boundary of  $B$  (see figure  
 865 7.1 b).

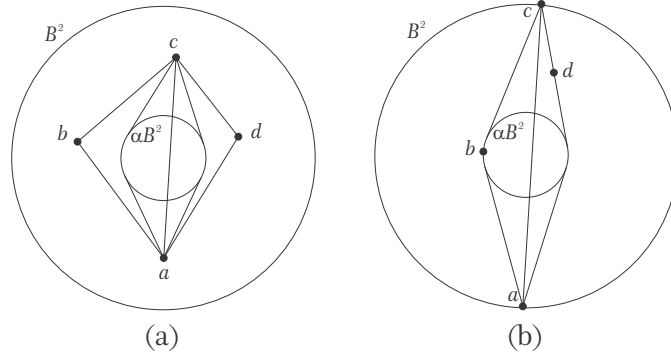


Figure 7.1: Configuration in the proof of Lemma 7.1.2

866 It is not hard to see that  $\angle abc$  grows larger as  $b$  gets closer to either  $a$  or  $c$ .  
 867 Similarly,  $\angle cda$  grows larger as  $d$  gets closer to either  $a$  or  $c$ . Thus,  $\angle abc + \angle cda \leq$   
 868  $360^\circ - \Theta$ , where  $\Theta$  is the measure of the angle at  $a$  (or, equivalently,  $c$ ) of  $\text{conv}(\alpha B^2 \cup$   
 869  $\{a, c\})$ . A simple calculation shows that  $\Theta \geq 2 \arcsin \alpha$ , with equality if and only if  
 870 the segment joining  $a$  to  $c$  goes through the closure of  $\alpha B$ .  $\square$

871 Instead of trying to prove Theorem 7.1.1 directly, we first bound the size of an  
 872 independent set<sup>1</sup> in  $D_C(S)$ .

873 We return to the proof of

874 **Theorem 7.1.3.** *Let  $C$  and  $S$  be as in the statement of Theorem 7.1.1 and  $I \subset S$*   
 875 *an independent set of vertices of  $D_C(S)$ . Then*

$$|I| < \frac{450^\circ - 4 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} |S| + \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha}.$$

876 *Proof.* Let  $S' = S \setminus I$  and notice that at least one vertex  $u$  of the outer face of  $D_C(S)$   
 877 must belong to  $S'$ . For each edge of  $D_C(S)$  consider an homothet of  $C$  that contains  
 878 its endpoints and no other element of  $S$ , and take two points  $v, w \notin S$  which are  
 879 not contained in any of those homothets and such that the triangle with vertices  $u, v$   
 880 and  $w$  contains all points of  $S$ . By the choice of  $v$  and  $w$ , the Delaunay triangulation  
 881  $D_C(S \cup \{v, w\})$  contains  $D_C(S)$  as a subgraph (see figure 7.2). Let  $D'$  the subgraph of  
 882  $D_C(S \cup \{v, w\})$  induced by  $S' \cup \{v, w\}$ . Since  $I$  is an independent set of  $D_C(S \cup \{v, w\})$   
 883 and contains no vertex of the outer face, each point in  $I$  corresponds to a bounded face  
 884 of  $D'$  which is bounded by a cycle and is not a face of  $D_C(S \cup \{v, w\})$ . The previous  
 885 observation shows, in particular, that  $D'$  is connected. Following the terminology  
 886 in [8], we classify the bounded faces of  $D'$  as *good faces* if they are also faces of

<sup>1</sup>A set of vertices of a graph forms an *independent set* if no two of them are adjacent.

887  $D_C(S \cup \{v, w\})$ , and as *bad faces* if they contain one point of  $I$ ; note that each  
 888 bounded face falls in exactly one of these two categories. Let  $g$  and  $b = |I|$  be the  
 889 number of good and bad faces, respectively.

890 We will assign some *distinguished angles* to each edge of  $D'$ . If  $(p, q)$  is an interior  
 891 edge of  $D'$  then it is incident to two bounded faces  $pqr$  and  $qps$  of  $D_C(S \cup \{v, w\})$ ;  
 892 we assign the edge  $(p, q)$  to the angles  $\angle qrp$  and  $\angle psq$ . Each exterior edge  $(p, q)$  is  
 893 incident to a single such face  $pqr$ ; we assign  $(p, q)$  to  $\angle qrp$  (see figure 7.2). On one  
 894 hand, all three angles of any good face are distinguished and add up to  $180^\circ$ . On the  
 895 other hand, every bad face contains a point of  $I$  and all angles of  $D_C(S \cup \{v, w\})$   
 896 which are anchored at that point are distinguished and add up to  $360^\circ$ . The total  
 897 measure of the distinguished angles is thus

$$T = g \cdot 180^\circ + b \cdot 360^\circ.$$

898 This quantity can also be bounded using Lemma 7.1.2, as follows. Each edge of  
 899  $D'$  is assigned to at most two distinguished angles, which have total measure at most  
 900  $360^\circ - 2 \arcsin \alpha$  (indeed, this is trivial if there is only one such angle, and it follows  
 901 from the lemma if there are two). By Euler's formula, the number of edges of  $D'$  is  
 902  $|S' \cup \{v, w\}| + (b + g + 1) - 2 = |S| + g + 1$ . Each of the three edges on the outer face  
 903 is assigned to only one angle, so summing over all edges we get

$$T < (360^\circ - 2 \arcsin \alpha)(|S| + g - 2) + 3 \cdot 180^\circ,$$

904 whence

$$g \cdot 180^\circ + b \cdot 360^\circ < (360^\circ - 2 \arcsin \alpha)(|S| + g - 2) + 540^\circ.$$

905 Since each element of  $I$  is incident to at least three faces of the triangulation  $D_C(S \cup$   
 906  $\{v, w\})$  we get, again by Euler's formula, that

$$3(|S| + 2) - 6 \geq g + 3b,$$

907 so  $g \leq 3(|S| - b)$ . We momentarily set  $\beta = 2 \arcsin \alpha$ , then the two inequalities yield

$$b \cdot 360^\circ < (360^\circ - \beta)|S| + (180^\circ - \beta)(3|S| - 3b) - 2(360^\circ - \beta) + 540^\circ,$$

908

$$(900^\circ - 3\beta)b < (900^\circ - 4\beta)|S| - (180^\circ - 2\beta),$$

909

$$|I| = b < \frac{900^\circ - 4\beta}{900^\circ - 3\beta}|S| - \frac{180^\circ - 2\beta}{900^\circ - 3\beta},$$

910 and the result follows. □

911 The following simple lemma extends a result used in [8].

912 **Lemma 7.1.4.** *Let  $C \subset \mathbb{R}^2$  a strictly convex body and  $S \subset \mathbb{R}^2$  a finite point set in*  
 913  *$C$ -general position. Consider an homothet  $C'$  of  $C$  whose boundary contains exactly*  
 914 *two points,  $p$  and  $q$  say, of  $S$ . Then  $p$  and  $q$  are connected by a path in  $D_C(S)$  that*  
 915 *lies in  $C'$ .*

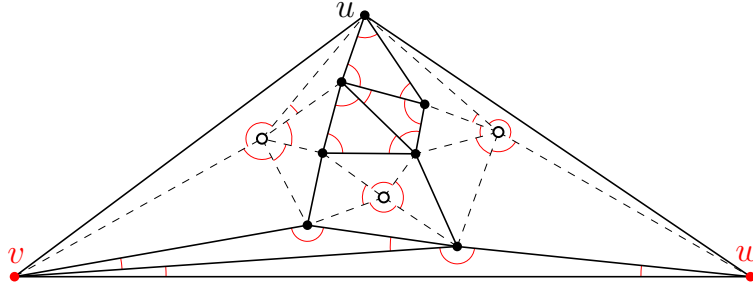


Figure 7.2: An example of how the Delaunay triangulation  $D_C(S \cup \{u, w\})$  might look. All distinguished angles are marked in red. **This figure, which appeared in [8], was provided to us by Ahmad Biniaz.**

916 *Proof.* The proof is by induction on the number of points  $t$  contained in the interior  
 917 of  $C'$ . If  $t = 0$ , then  $p, q$  are adjacent in  $D_C(S)$  and we are done. Otherwise, let  $r$   
 918 be a point in the interior of  $C'$  and apply a dilation with center  $p$  until the image of  
 919  $C'$  has  $r$  on its boundary, we call this homothet  $C_1$ , repeat this process but now with  
 920 center  $q$  and call the resulting homothet  $C_2$ . This way,  $p$  and  $r$  lie on the boundary of  
 921  $C_1$ , while  $q$  and  $r$  lie on the boundary of  $C_2$ ; notice also that  $C_1, C_2 \subset C'$ . Since  $C$  is  
 922 strictly convex, we can ensure that the boundaries of  $C_1$  and  $C_2$  contain no point of  $S$   
 923 other than  $p, r$  and  $q, r$ , respectively, by taking a small perturbation of the homothets  
 924 if necessary. Notice that the interiors of each of  $C_1, C_2$  contain at most  $t - 1$  points  
 925 of  $S$ . Thus, by the inductive hypothesis, we can find two paths joining  $p$  to  $r$  and  $q$   
 926 to  $r$  inside  $C_1$  and  $C_2$ , respectively. The union of the two paths we just mentioned  
 927 contains a path from  $p$  to  $q$  that lies completely in  $C'$ , as desired. See figure 7.3.  $\square$

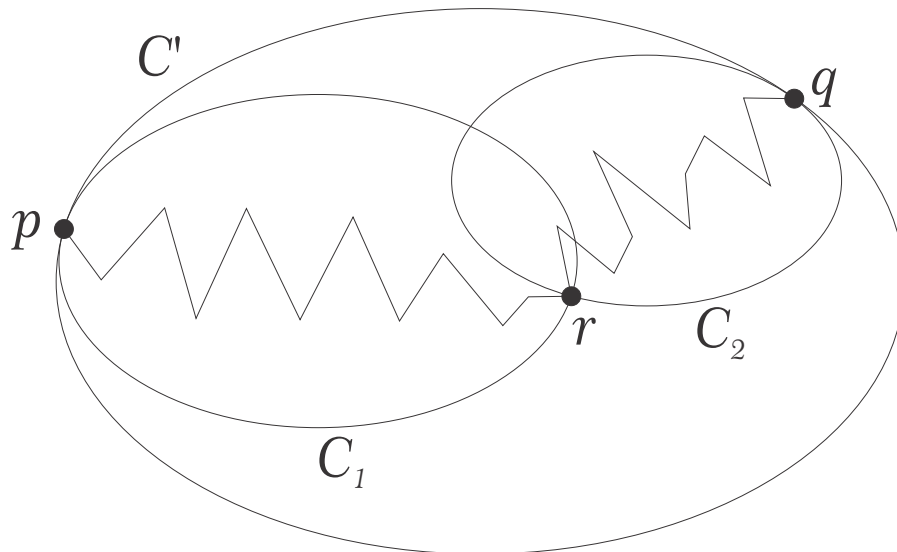


Figure 7.3: Configuration in the proof of Lemma 7.1.4.

928 Theorem 7.1.1 is an easy consequence of Theorem 7.1.3 and Lemma 7.1.4. Indeed,  
 929 consider an arbitrary set of vertices  $U \subset S$  and choose a representative vertex from



930 each component of  $D_C(S) - U$ . Let  $V$  be the set of all representative vertices and  
 931 consider the Delaunay triangulation  $D_C(U \cup V)$ . Suppose that there is an edge in  
 932 this graph between two vertices  $p$  and  $q$  of  $V$ , then there is an homothet  $C'$  such that  
 933  $C' \cap (U \cup V) = \{p, q\}$ . Furthermore, by applying a slight perturbation if necessary,  
 934 we may assume that  $C'$  contains no other point of  $S$  on its boundary. Lemma 7.1.4  
 935 now tells us that there is a path in  $D_C(S)$  joining  $p$  and  $q$  which lies in  $C'$ . Since  $p$   
 936 and  $q$  lie in different components of  $D_C(S) - U$ , this path must contain at least one  
 937 vertex from  $U$ , which must therefore lie in  $C'$ . This contradiction shows that  $V$  is an  
 938 independent set of  $D_C(U \cup V)$ . By Lemma 7.1.3,

$$|V| < \frac{450^\circ - 4 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} |(V \cup U)| - \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha},$$

939

$$|V| < \frac{450^\circ - 4 \arcsin \alpha}{\arcsin \alpha} |U| - \frac{90^\circ - 2 \arcsin \alpha}{\arcsin \alpha},$$

940 but  $|V|$  is just the number of components of  $D_C(S) - U$ , so we are done.

## 941 7.2 Large matchings in $D_C(S)$

942 For any graph  $G$ , let  $o(G)$  denote the number of connected components of  $G$  which  
 943 have an odd number of vertices. The Tutte-Berge formula [6] tells us that the size of  
 944 the maximum matching in a graph  $G$  with vertex set  $V$  equals

$$\frac{1}{2} \left( |V| - \max_{U \subset V} \{o(G - U) - |U|\} \right).$$

945 Combining Theorem 7.1.1 and the Tutte-Berge formula yields the main result of  
 946 this chapter.

947 **Theorem 7.2.1.** *Let  $C \subset \mathbb{R}^2$  an  $\alpha$ -fat strictly convex body with smooth boundary and*  
 948  *$S \subset \mathbb{R}^2$  a finite point set in  $C$ -general position such that no three points of  $S$  lie on*  
 949 *the same line. Then  $D_C(S)$  contains a matching of size at least*

$$\left( \frac{1}{2} - \frac{450^\circ - 5 \arcsin \alpha}{900^\circ - 6 \arcsin \alpha} \right) |S| + \frac{45^\circ - \arcsin \alpha}{450^\circ - 4 \arcsin \alpha} \left( 1 + \frac{450^\circ - 5 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} \right).$$

950 *Again, the result also holds if  $C$  can be made  $\alpha$ -fat by an affine transformation.*

951 *Proof.* Let  $U \subset S$  and notice that  $o(D_C(S) - U)$  is at most the number of connected  
 952 components of  $D_C(S) - U$ . Whence, Theorem 7.1.1 implies that

$$o(D_C(S) - U) < \frac{450^\circ - 4 \arcsin \alpha}{\arcsin \alpha} |U| - \frac{90^\circ - 2 \arcsin \alpha}{\arcsin \alpha},$$

953

$$|U| > \frac{\arcsin \alpha}{450^\circ - 4 \arcsin \alpha} o(D_C(S) - U) + \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha}.$$

954 Since  $o(D_C(S) - U) + |U| \leq |S|$ , we have

$$\left( \frac{\arcsin \alpha}{450^\circ - 4 \arcsin \alpha} + 1 \right) o(D_C(S) - U) + \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha} < |S|,$$

955

$$o(D_C(S) - U) < \left( \frac{450^\circ - 4 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} \right) |S| - \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha}.$$

956 By the second equation,

$$o(D_C(S) - U) - |U| < \left( 1 - \frac{\arcsin \alpha}{450^\circ - 4 \arcsin \alpha} \right) o(D_C(S) - U) - \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha},$$

957 and so  $o(D_C(S) - U) - |U|$  is less than

$$\frac{450^\circ - 5 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha} \left( \frac{450^\circ - 4 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} |S| - \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} \right) - \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha}$$

958

$$= \frac{450^\circ - 5 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} |S| - \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha} \left( \frac{450^\circ - 5 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} + 1 \right).$$

959 We get that  $|S| - (o(D_C(S) - U) - |U|)$  must be larger than

$$\left( 1 - \frac{450^\circ - 5 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} \right) |S| + \frac{90^\circ - 2 \arcsin \alpha}{450^\circ - 4 \arcsin \alpha} \left( \frac{450^\circ - 5 \arcsin \alpha}{450^\circ - 3 \arcsin \alpha} + 1 \right),$$

960 and the result follows.  $\square$

961 To conclude this chapter, we obtain a weaker bound that holds under more general  
962 conditions.

963 **Theorem 7.2.2.** *Let  $C \subset \mathbb{R}^2$  be a strictly convex body. Then, for every finite set*  
964  *$S \subset \mathbb{R}^2$  we have that  $f(C, 2, S) \leq |S| - \lceil \frac{1}{3}(|S| - 8) \rceil$ .*

965 *Proof.* We will essentially show that  $D_C(S)$  (which is planar, but not necessarily a  
966 triangulations) can be turned into a planar graph of minimum degree at least three  
967 by adding a constant number of vertices, the theorem then follows from a result of  
968 Nishizeki and Baybars [32].

969 For every  $x$  (not necessarily in  $S$ ) on the boundary of  $C$ , let  $A_x$  be the smallest  
970 closed angular region which has  $x$  as its vertex and contains  $C$ , and  $\alpha_x \leq 180^\circ$  be  
971 the measure of the angle that defines  $A_x$ . Let  $a_x = (A_x - x) \cap \mathbb{S}^2$ ,  $a_x$  is an arc of  $\mathbb{S}^2$   
972 determined by an angle of measure  $\alpha_x$ . See figure 7.4.

973 **Lemma 7.2.3.** *There are five points in  $\mathbb{S}^2$  such that, for every  $x$  on the boundary of*  
974  *$C$ ,  $a_x$  contains at least one of these points in its interior.*

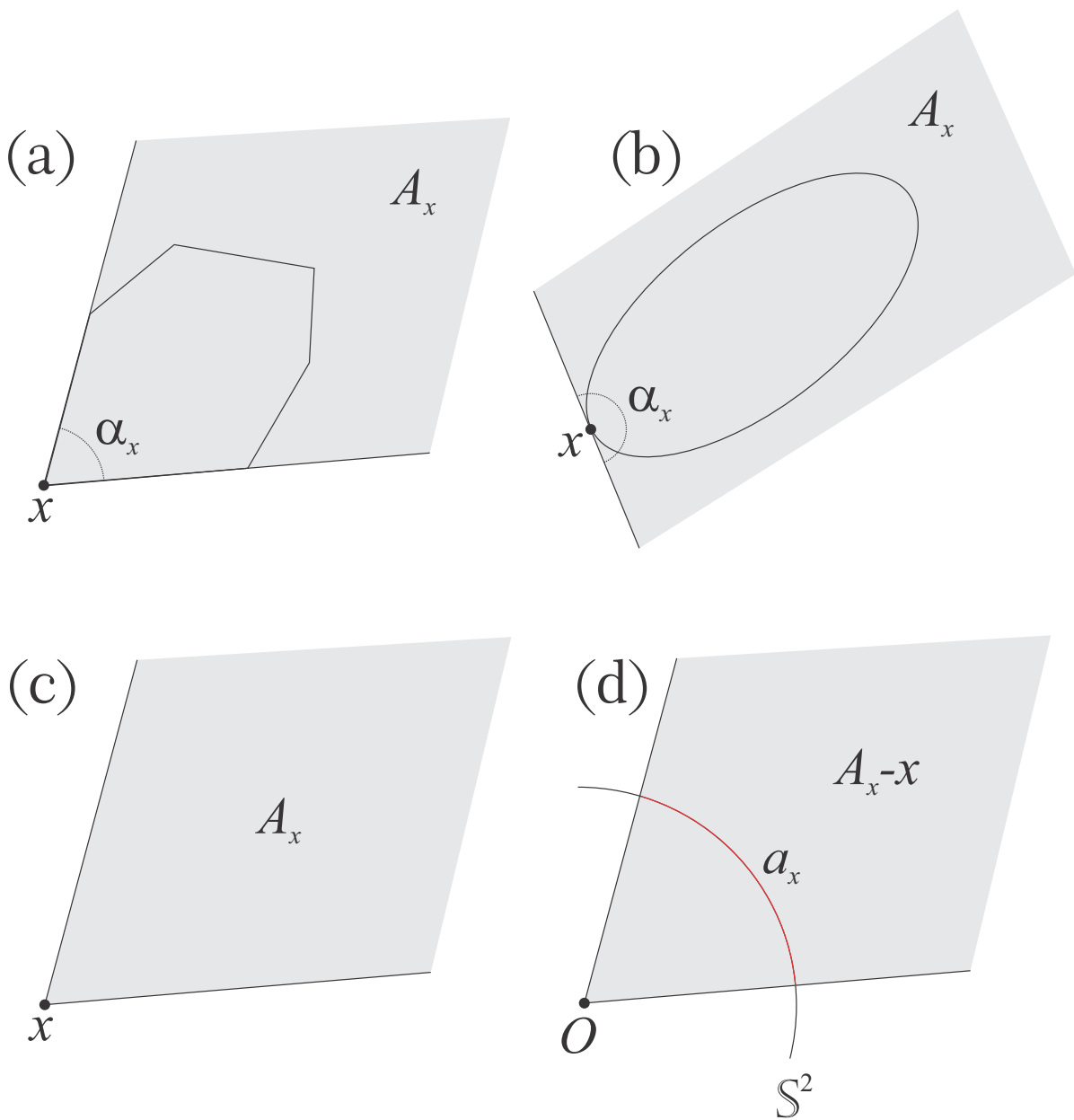


Figure 7.4: (a),(b): two examples of  $A_x$  and  $\alpha_x$ . (c),(d): how  $A_x$ ,  $A_x - x$  and  $a_x$  might look.

975 *Proof.* Let  $x_1, x_2, \dots, x_r$  be distinct points on the boundary of  $C$ . The intersection  
 976  $\cap_{i=1}^r A_{x_i}$  is a closed and convex polygonal region and a quick calculation shows that  
 977  $\sum_{i=1}^r \alpha_{x_i} \geq (r-2)180^\circ$ , where the equality occurs if and only if  $C$  is an  $r$ -agon with  
 978 vertices  $x_1, \dots, x_r$ . Since the result is easily seen to be true if  $C$  is either a triangle  
 979 or a quadrilateral, we can assume that, for any distinct points  $x, y, z$  and  $w$  on the  
 980 boundary of  $C$ ,  $\alpha_x + \alpha_y + \alpha_z > 180^\circ$  and  $\alpha_x + \alpha_y + \alpha_z + \alpha_w > 360^\circ$ .

981 Let  $A_{90^\circ}$  be the set that consists of all points  $x$  on the boundary of  $C$  such that  
 982  $\alpha_x \leq 90^\circ$ , then  $|A_{90^\circ}| \leq 3$ . If  $|A_{90^\circ}| \leq 2$ , we take four points in  $\mathbb{S}^2$  such that they are  
 983 the vertices of a square and that one of them is contained in the arc  $a_x$  determined  
 984 by one of the elements of  $A_{90^\circ}$ . This set of four points hits the interiors of all but  
 985 at most one of the arcs  $a_x$ , so it is possible to find five points in  $\mathbb{S}^2$  which hit the  
 986 interiors of all arcs. If  $|A_{90^\circ}| = 3$ , then  $\sum_{x \in A_{90^\circ}} \alpha_x > 180^\circ$ . By choosing a square  $Q$   
 987 with vertices in  $\mathbb{S}^2$  uniformly at random, with positive probability  $Q$  will be such that  
 988  $v$  is contained in the interior of  $a_x$  for more than two pairs  $(v, x)$  where  $v$  is a vertex  
 989 of  $Q$  and  $x \in A_{90^\circ}$ . Since no arc  $a_x$  with  $x \in A_{90^\circ}$  may contain more than one vertex  
 990 of  $Q$ , every arc appears in at most one of the pairs. This implies that, with positive  
 991 probability, the vertices of  $Q$  hit the interior of every arc  $a_x$  for  $x \in A_{90^\circ}$ , but they  
 992 clearly also hit the interior of every other  $a_x$  and, thus, there is a set of four points (to  
 993 which we can add any other point of  $\mathbb{S}^2$  so that it has five elements) with the desired  
 994 property.  $\square$

995 Let  $x_1, x_2, x_3, x_4, x_5$  be five points as in Lemma 7.2.3. Consider a very large positive  
 996 real number  $\gamma$  to be specified later and let  $S' = S \cup \{\gamma x_1, \gamma x_2, \dots, \gamma x_5\}$ .

997 **Claim 7.2.4.** *If  $\gamma$  is large enough then every point of  $S$  has degree at least 3 in*  
 998  $D_C(S')$ .

999 *Proof.* Let  $s \in S$  and consider an arbitrary line  $\ell$  with  $\ell \cap S = \{s\}$  and an open  
 1000 halfplane  $H$  determined by  $\ell$ , we show that if  $\gamma$  is large enough then  $s$  is adjacent to  
 1001 a point in  $H$ . Assume, w.l.o.g, that  $\ell$  is vertical and that  $H$  is the right half-plane  
 1002 determined by  $\ell$  and let  $x_H$  be the leftmost point of  $C$ . Observe that, by Lemma 7.2.3,  
 1003 for any large enough  $\gamma$  the angular region  $A_{x_H} - x_H + s$  contains at least one of the  
 1004 points  $\gamma x_1, \gamma x_2, \dots, \gamma x_5$ . Now, consider the smallest  $\lambda > 0$  such that the homothet  
 1005  $C_\lambda = \lambda(C - x_H) + s$  contains at least two points of  $S'$  (it exists, since  $C_\lambda$  will contain  
 1006  $s$  and at least one of  $\gamma x_1, \gamma x_2, \dots, \gamma x_5$  if  $\lambda$  is very large). If necessary, perturb  $C'$   
 1007 slightly so that it contains  $s$  and exactly one other element of  $S'$ , then this element  
 1008 lies in  $H$  and is adjacent to  $s$ , as desired. This implies that, for large enough  $\gamma$ , the  
 1009 neighbours of  $s$  are not contained in a closed halfplane determined by a line through  
 1010  $s$ , which is only possible if  $s$  has degree at least 3 in  $D_C(S')$ . Any large enough  $\gamma$  will  
 1011 ensure that this holds simultaneously for every  $s \in S$ .  $\square$

1012 The result clearly holds for  $|S| \leq 8$ , so we assume that  $|S| > 8$ . Let  $X \subset$   
 1013  $\{\gamma x_1, \gamma x_2, \dots, \gamma x_5\}$  be the set of  $\gamma x_i$ 's which are adjacent to at least one point of  $S$   
 1014 and delete the rest of the  $\gamma x_i$ 's from  $D_C(S')$ . It is not hard to see that  $|X| \geq 2$ .

1015 If  $|X| = 2$ , join these two points of by an edge (skip this step if they are already  
 1016 adjacent) and add a vertex  $v$  in the outer face of  $D_C(S')$ , then connect  $v$  to both  
 1017 element of  $X$  and to some point in  $S$  while keeping the graph planar. Otherwise,  
 1018 if  $|X| \geq 2$ , we can add edges between the elements of  $X$  so that there is a cycle of  
 1019 length  $|X|$  going through all of them and the graph remains planar. In any case, the  
 1020 resulting graph is simple, planar, connected, and it has at least  $|S| + 3 > 10$  vertices,  
 1021 all of degree at least three. Nishizeki and Baybars [32] showed that any graph with  
 1022 these properties contains a matching of size at least  $\lceil \frac{1}{3}(n + 2) \rceil$ , where  $n$  is the total  
 1023 number of vertices. Let  $t \leq 5$  denote the number of vertices that do not belong to  
 1024  $S$ . Deleting all vertices not in  $S$  from the graph, we get a matching in  $D_C(S)$  of size  
 1025 at least  $\lceil \frac{1}{3}(|S| + 2 + t) \rceil - t = \lceil \frac{1}{3}(|S| - 8) \rceil$ . This matching translates into a way of  
 1026 covering  $S$  using no more than  $|S| - \lceil \frac{1}{3}(|S| - 8) \rceil$   $2^+$ / $S$ -homothets of  $C$ .  $\square$

## 1027 Chapter 8

# 1028 Further research and concluding 1029 remarks

### 1030 A drawback of the lower and upper densities

1031 Unlike the standard upper and lower densities of an arrangement, the measure theo-  
1032 retic versions introduced in Section 2.2 are in general not independent of the choice  
1033 of the origin. The reason for this is that, for any two points  $O_1$  and  $O_2$ , the measures  
1034 of the balls  $B(O_1, r)$  and  $B(O_2, r)$  may differ in an arbitrarily large multiplicative  
1035 constant for every  $r$ . Although this can be avoided by adding the requirement that  
1036  $\mu(X) \leq c \cdot \text{vol}(X)$  for any compact  $X$  and some constant  $c$ , this defect begs the ques-  
1037 tion: is there a better way of extending the standard definitions to arbitrary Borel  
1038 measures?

### 1039 Bounds in the other direction

1040 The hidden constants  $c_{f,d}$  and  $c_{g,d}$  obtained in the proofs of theorems 4.2.1 and 5.1.1  
1041 increase and decrease exponentially in  $d$ , respectively. We showed in Sections 4.3 and  
1042 5.2 that, under the right conditions,  $\Theta_H(\mu, C) \leq c_{f,d}$  and  $\delta_H(\mu, C) \geq c_{g,d}$  (in the  
1043 case of measures). This yields, in particular, that  $c_{f,d} \geq \Theta_H(C)$  and  $c_{g,d} \leq \delta_H(C)$   
1044 for any  $C$  (we remark that this can also be obtained by considering the restriction of  
1045 the Lebesgue measure to large boxes). Both of these bounds also hold for the hidden  
1046 constants in the case of point sets, as can be shown by taking a sufficiently large  
1047 section of a grid.

1048 **Theorem 8.1.** Let  $C \subset \mathbb{R}^d$  be a convex body and  $\epsilon$  any positive real number.  
1049 Then, for any sufficiently large  $k$ , there is an integer  $N(C, \epsilon, k)$  such that for each  
1050  $N$  with  $N > N(C, \epsilon, k)$  the set  $[N]^d = \{(x_1, x_2, \dots, x_d) \in \mathbb{R}^d \mid x_i \in [N]\}$ <sup>1</sup> of integer  
1051 points inside a  $d$ -hypercube of side  $N$  satisfies  $f(C, k, [N]^d) > (\Theta_H(C) - \epsilon) \frac{N^d}{k}$  and  
1052  $g(C, k, [N]^d) < (\delta_H(C) + \epsilon) \frac{N^d}{k}$ .

---

<sup>1</sup>For each positive integer  $n$ ,  $[n]$  denotes the set  $\{1, 2, \dots, n\}$ .

1053 Since the proof is quite straightforward, we give only a sketch of the bound for  $f$ .

1054 *Proof.* Let  $\delta > 0$ . For any sufficiently large  $k$ , there is an homothet  $C'$  of  $C$  of volume  
 1055 less than  $(1 + \delta)k$  which has the following property: Every homothet  $C_1$  of  $C$  that  
 1056 covers at most  $k$  points of the lattice  $\mathbb{Z}^d$  is contained in a translate  $C_2$  of  $C'$  such that  
 1057 every point covered by  $C_1$  has distance at least  $\sqrt{d}$  from the boundary of  $C_2$ . Also,  
 1058 for any sufficiently large  $N$ , the set  $[1, N]^d = \{(x_1, x_2, \dots, x_d) \mid 1 \leq x_i \leq N\}$  cannot  
 1059 be covered by less than  $(\Theta_H(C) - \delta) \frac{N^d}{(1+\delta)k}$  translates of  $C'$ .

1060 Now, consider a cover of  $[N]^d$  by  $k^-/[N]^d$ -homothets of  $C$  and for each of these  
 1061 homothets take a translate of  $C'$  with the described properties. This way, we get a  
 1062 cover of  $[1, N]^d$  with translates of  $C'$ , and the result follows by taking a small enough  
 1063  $\delta$ . This is not entirely correct, since the  $k^d/[N]^d$ -homothets which are not completely  
 1064 contained in  $[1, N]^d$  may not fit inside a translate of  $C'$  in the desired way, but these  
 1065 become insignificant if we choose  $N(C, \epsilon, k)$  to be large enough.  $\square$

1066 While this shows that the exponential growth of  $c_{f,d}$  and exponential decay of  $c_{g,d}$   
 1067 are necessary, we believe that these bounds are still far from optimal. It might be an  
 1068 interesting problem to try and find point sets or measures for which  $f$  is large (or  $g$   
 1069 is small) with respect to  $\frac{|S|}{k}$  (or  $\frac{\mu \mathbb{R}^d}{\mu(C)}$ ).

1070 **Problem 1.** *What are the optimal values of  $c_{f,d}$  and  $c_{g,d}$ ?*

1071 Given that determination of packing and covering densities tends to be a very  
 1072 difficult problem, one should expect an exact solution to the problem above to be out  
 1073 of reach (for now). Similar questions can be asked for the results in Section 7.2.

1074 **Problem 2.** *Can theorems 7.2.1 and 7.2.2 be improved?*

## 1075 Higher order Voronoi diagrams

1076 In their point set versions, theorems 4.2.1 and 5.1.1 can be interpreted as a kind  
 1077 of structural property of the order- $k$  Voronoi diagram of  $S$  with respect to the (not  
 1078 necessarily symmetric) distance function induced by  $C$ . The cells in this diagram  
 1079 encode the  $k$ -element subsets of  $S$  that can be covered by an homothet of  $C$  which  
 1080 contains exactly  $k$  points of  $S$ . See [3] for more on Voronoi diagrams.

## 1081 Beyond convex bodies

1082 While the assumptions that  $C$  is bounded and has nonempty interior can both easily  
 1083 be seen to be essential to the results obtained in chapters 4 and 5, the convexity  
 1084 hypothesis can be somewhat relaxed:

1085 The *kernel* of a compact connected set  $C \subset \mathbb{R}^d$ , denoted by  $\ker(C)$ , is the set  
 1086 of points  $p \in C$  such that for every other  $q \in C$  the segment with endpoints  $p$  and  
 1087  $q$  is completely contained in  $C$ . We say that  $C$  is *star-shaped* if  $\ker(C) \neq \emptyset$ . Our

1088 results in Chapters 4 and 5 remain true as long as  $C$  is star-shaped and there is an  
 1089 affine transformation  $T$  such that  $B^d \subset \ker(C) \subset C \subset \alpha B^d$  for some  $\alpha = \alpha(d)$  that  
 1090 depends only on  $d$ .

1091 A sufficiently large grid (as in Theorem 8.1) or the restriction of the Lebesgue  
 1092 measure to a large box show that we cannot hope to extend theorems 4.2.1 and  
 1093 5.1.1 to non-convex bodies while keeping the hidden constant independent of  $C$ .

## 1094 Complexity

1095 Even though the reduction to 3-SAT given in Section 6.2 and the proof of NP-hardness  
 1096 in [5] work only in some very particular cases, we conjecture the following.

1097 **Conjecture 3.** *Let  $C$  be a convex body and  $k \geq 3$  an integer, then  $C$ - $k$ -COVER is  
 1098 NP-hard. Similarly, for all  $k \geq 2$ ,  $C$ - $k$ -PACK is NP-hard.*

## 1099 Covering with disjoint homothets

1100 It is natural to ask whether a result along the lines of Theorem 4.2.1 holds if we require  
 1101 that the  $k^-/S$ -homothets in the cover have disjoint interiors. A sufficiently fine grid  
 1102 (in the case of point sets) and the restriction of Lebesgue measure to a bounded  
 1103 box (in the measure case) show that, in general, this is not the case, indeed, unless  
 1104  $\theta(C) = 1$ , the number of interior-disjoint  $k^-/S$ -homothets required in these cases will  
 1105 not be bounded from above by a function of  $\frac{|S|}{k}$  ( $\frac{\mu(\mathbb{R}^d)}{\mu(C)}$ , respectively). Perhaps the  
 1106 most annoying unanswered questions are the following.

1107 **Problem 4.** *Let  $S$  be a finite set of at least  $k$  points in the plane and  $C$  a square. Is  
 1108 the number of disjoint homothets required to cover  $S$  bounded from above by a function  
 1109 of  $\frac{|S|}{k}$ ? Is it  $O(\frac{|S|}{k})$ ? What is the answer if we add the restriction that no two points  
 1110 of  $S$  lie on the same horizontal or vertical line?*

1111 We believe the answer to all the previous questions to be no. In fact, we suspect  
 1112 that a family of examples which exhibit this can be constructed along the following  
 1113 lines:

1114 Set  $k$  to be very large and start by taking a uniformly distributed set of about  $k$   
 1115 points inside the unit square. Choose  $m$  points (with  $m$  much smaller than  $k$ ) inside  
 1116 the square such that the set of their  $2m$   $x$  and  $y$  coordinates is independent over  $\mathbb{Q}$   
 1117 and place  $k$  points around a very small neighborhood of each of these  $m$  points. It is  
 1118 not hard to see that this would work directly (even for  $m = 1$ ) if all the squares in  
 1119 the cover were required to lie inside the unit square. This example can be adapted  
 1120 to measures as well.

1121 For  $k = 2$ , this problem is equivalent to the study of strong matchings; see Section  
 1122 2.6 for details.



1123 **Weak nets for zonotopes**

1124 A centrally symmetric convex polytope is a *zonotope* if all its faces are centrally  
 1125 symmetric<sup>2</sup>. Notice that each face of a zonotope is a zonotope itself. Examples of  
 1126 zonotopes include hypercubes, parallelepipeds and centrally symmetric convex poly-  
 1127 gons.

1128 For zonotopes with few vertices, the following geometric lemma can act as a sub-  
 1129 stitute of 4.1.2, allowing us to construct even smaller weak  $\epsilon$ -nets.

1130 **Lemma 8.2.** Let  $Z \subset \mathbb{R}^d$  be a zonotope and consider two homothets  $Z_1$  and  $Z_2$  of  $Z$   
 1131 with non-empty intersection. If  $Z_1$  is at least as large as  $Z_2$ , then it contains at least  
 1132 one vertex of  $Z_2$ .

1133 *Proof.* We proceed by induction on  $d$ . The result is trivial for  $d = 1$  (here,  $Z \subset \mathbb{R}$   
 1134 simply an interval). Let  $p_1$  and  $p_2$  be the centers of  $Z_1$  and  $Z_2$ , respectively, and  $Z'_2$   
 1135 be the result of translating  $Z_2$  along the direction of  $\overrightarrow{p_1 p_2}$  so that  $Z_1$  and  $Z'_2$  intersect  
 1136 only at their boundaries;  $p'_2$  will denote the center of  $Z'_2$  (see 8.1 a). Now, let  $t_1$   
 1137 and  $t_2$  be the intersection points of the segment  $p_1 p'_2$  with the boundaries of  $Z_1$  and  
 1138  $Z'_2$ , respectively. Consider a facet  $f_1$  of  $Z_1$  which contains  $t_1$ , since  $Z$  is centrally  
 1139 symmetric, there is a negative homothety from  $Z_1$  to  $Z'_2$ , and this homothety maps  
 1140  $f_1$  into a facet  $f_2$  of  $Z'_2$  which contains  $t_2$  and is parallel to  $f_1$ . Let  $h_1$  and  $h_2$  be the  
 1141 parallel hyperplanes that support  $f_1$  and  $f_2$ , respectively, then  $Z_1$  is contained in the  
 1142 halfspace determined by  $h_1$  that contains  $p_1$ , while  $Z_2$  is contained in the halfspace  
 1143 determined by  $h_2$  that contains  $p_2$ . Suppose that  $t_1 \neq t_2$ , then  $p_1, t_1, t_2, p_2$  must lie  
 1144 on the segment  $p_1 p_2$  in that order and, by our previous observation,  $Z_1$  and  $Z_2$  would  
 1145 not intersect (see 8.1 2b), it follows that  $t_1 = t_2$  and, thus,  $f_1 \cap f_2 \neq \emptyset$ . Now, since  $f_1$   
 1146 and  $f_2$  are homothetic  $d - 1$  dimensional zonotopes and  $f_2$  is not larger than  $f_1$ , the  
 1147 induction hypothesis implies the existence of a vertex  $v$  of  $f_2$  contained in  $f_1$ .

1148 Let  $w$  be the vertex of  $Z_2$  which is mapped to  $v$  by the translation from  $Z_2$  to  
 1149  $Z'_2$ , we claim that  $w$  is contained in  $Z_1$ . The positive homothety from  $Z_2$  to  $Z_1$  maps  
 1150  $w$  to a vertex  $w'$  of  $Z_1$ . The points  $p_1, p_2, v, w$  and  $w'$  all lie on the same plane and,  
 1151 since  $Z_2$  is not larger than  $Z_1$ ,  $w'$  is contained in the closed region determined by the  
 1152 lines  $wp_1$  and  $wv$  which is opposite to  $p_2$ . This way,  $w$  belongs to the convex hull of  
 1153 the points  $p_1, v$  and  $w'$ ; since these three points belong to the convex set  $Z_1$ , so does  
 1154  $w$  (see 8.1 c). This concludes the proof.  $\square$

1155 Proceeding as in the proof of Theorem 4.1.1, we get the following corollary, which  
 1156 generalizes a result for hypercubes by Kulkarni and Govindarajan [25].

1157 **Corollary 8.3.** Let  $Z \subset \mathbb{R}^d$  be a zonotope with  $V$  vertices and denote by  $\mathcal{H}_Z$  the  
 1158 family of all homothets of  $Z$ . Then, for any finite set  $S \subset \mathbb{R}^d$  and any  $\epsilon > 0$ ,  $(S, \mathcal{H}_Z|_S)$

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<sup>2</sup>A zonotope is commonly defined as the set of all points which are linear combinations with coefficients in  $[0, 1]$  of a finite set of vectors, but the alternative definition given here, which is widely known to be equivalent, serves our purpose much better.

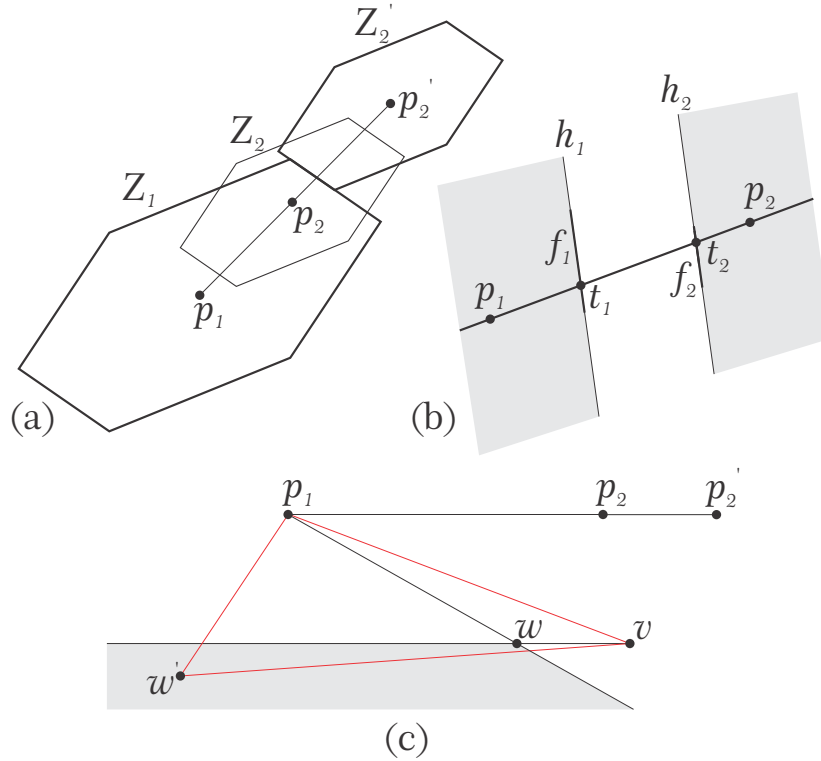


Figure 8.1: (a):  $Z_1$ ,  $Z_2$  and  $Z_2'$  (b): How the configuration would look if  $t_1 \neq t_2$  (c): Region where  $w'$  lies highlighted in grey and triangle  $w'vp_1$  in red.

1159 admits a weak  $\epsilon$ -net of size  $\frac{V}{\epsilon}$ .

1160 We also have the following variant of Lemma 4.2.3.

1161 **Lemma 8.4.** Let  $Z \subset \mathbb{R}^d$  be a zonotope and denote by  $I$  the number of pairs  $(f, v)$   
 1162 where  $f$  is a facet of  $Z$  and  $v$  is a vertex of  $f$ . Let  $P \subset \mathbb{R}^d$  be a finite set and  
 1163 consider a collection of homothets  $\{Z_p\}_{p \in P}$  of  $Z$  such that  $Z_p$  is of the form  $p + \lambda Z$   
 1164 and  $\bigcap_{p \in P} Z_p \neq \emptyset$ . Then there is a subset  $P'$  of  $P$  of size at most  $I$  such that  $\{Z_p\}_{p \in P'}$   
 1165 covers  $P$ .

1166 *Proof.* Assume that  $O \in \bigcap_{p \in P} Z_p$  and that  $O$  is the center of  $Z$ . Let  $(f, v)$  be  
 1167 a pair as in the statement of the lemma and consider the homothet  $Z'$  that results  
 1168 from applying a dilation to  $Z$  with center  $v$  and ratio  $\frac{1}{2}$ , the intersection of  $f$  with  
 1169 this homothet will be denoted by  $f_v$ . Repeating this for every pair  $(f, v)$ , we obtain  
 1170 a decomposition of the facets of  $Z$  into  $I$  interior disjoint regions.

1171 Now, for every pair  $(f, v)$ , let  $P_{f,v}$  consist of all the points  $p \in P$  with the property  
 1172 that the ray  $\overrightarrow{Op}$  has non-empty intersection with  $f_v$ . Note that each element of  $P$   
 1173 belongs to at least one the aforementioned sets. From every  $P_{f,v}$ , choose an element  
 1174 which is maximal with respect to the norm with unit ball  $Z$  and add it to  $P'$ ; it is  
 1175 not hard to see that any homothet of  $Z$  that is centered at this point and contains

1176  $O$  must cover every point in  $P_{f,v}$ . This way,  $P' \leq I$  and  $\{Z_p\}_{p \in P'}$  covers the union of  
 1177 all sets of the form  $P_{f,v}$ , which is  $P$ .  $\square$

1178 Plugging the bounds given by Corollary 8.3 and Lemma 8.4 into the proof of  
 1179 Theorem 4.2.1 we obtain the following: If  $Z \subset \mathbb{R}^d$  is a zonotope with  $V$  vertices  
 1180 and  $I$  is as in the statement of lemma 8.4 then, for any positive integer  $k$  and any  
 1181 non- $\frac{k}{2}/C$ -degenerate finite set of points  $S \subset \mathbb{R}^d$ ,  $f(Z, k, S) = \frac{2VI|S|}{k}$ .

## 1182 Acknowledgements

1183 I am grateful to Jorge Urrutia for many helpful discussions and, particularly, for  
 1184 suggesting that the fatness of the convex body might play an important role in proofs  
 1185 of theorems 4.2.1 and 5.1.1. I am also indebted to my synodals, whose comments  
 1186 have resulted in significant improvements to the presentation of this work.

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