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**Instituto de Investigaciones Biomédicas**  
**Facultad de Medicina**

**Caracterización de células germinativas de *Taenia crassiceps***

**TESIS**

Que para obtener el grado de Licenciado en  
Investigación Biomédica Básica presenta el alumno  
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# Índice

<b>I.</b>	<b>Resumen.....</b>	<b>5</b>
<b>II.</b>	<b>Introducción.....</b>	<b>7</b>
II.1	Los organismos invertebrados.....	7
II.1.1	Simetría corporal.....	8
II.1.2	Patrones de desarrollo.....	9
II.1.3	Formas de locomoción y soporte del cuerpo.....	10
II.2	Modos de vida, simbiosis y parasitismo.....	11
II.3	Los platelmintos.....	13
II.4	Céstodos.....	14
II.4.1	Clasificación taxonómica.....	16
II.5	Ténidos.....	18
II.6	<i>Taenia solium</i> .....	19
II.7	El modelo animal de cisticercosis murina por <i>Taenia crassiceps</i> .....	20
II.8	Cariotipos de céstodos.....	22
II.9	Secuenciación de genomas.....	22
<b>III.</b>	<b>Hipótesis.....</b>	<b>25</b>
<b>IV.</b>	<b>Objetivos de la tesis.....</b>	<b>25</b>
IV.1	Objetivo general.....	25
IV.2	Objetivos específicos.....	25
<b>V.</b>	<b>Materiales y Métodos.....</b>	<b>26</b>
V.1	Obtención de cisticercos y aislamiento de células.....	26
V.2	Marcaje con EdU de células proliferativas en el cisticerco completo..	26
V.3	Montaje completo de los cisticercos .....	26
V.4	Inmunofluorescencia en cisticercos completos y en cortes histológicos.....	27
V.5	Marcaje con CFDA-SE de la suspensión celular.....	27
V.6	Citometría de flujo.....	27
V.7	Cultivo <i>in vitro</i> de las células germinativas.....	28
V.8	Visualización de los cromosomas de WFU y ORF.....	28
<b>VI.</b>	<b>Resultados.....</b>	<b>28</b>
VI.1	Identificación de células proliferativas de <i>Taenia crassiceps</i> .....	28



VI.2	Localización de DDX4/VASA (PL10) por inmunofluorescencia en cortes histológicos y montaje completo de cisticercos de la cepa ORF de <i>Taenia crassiceps</i> .....	32
VI.3	Caracterización por citometría de la suspensión celular obtenida de los cisticercos de <i>Taenia crassiceps</i> .....	34
VI.4	Cultivo de la suspensión celular obtenida de los cisticercos de <i>Taenia crassiceps</i> .....	36
VI.5	Cariotipo de <i>Taenia crassiceps</i> .....	38
<b>VII.</b>	<b>Discusión.....</b>	<b>42</b>
<b>VIII.</b>	<b>Conclusiones.....</b>	<b>47</b>
<b>IX.</b>	<b>Bibliografía.....</b>	<b>48</b>
<b>X.</b>	<b>Anexos.....</b>	<b>53</b>

## I. Resumen

Los parásitos son organismos de gran interés científico, no sólo por su capacidad para infectar a los humanos, sino porque se trata del modo de vida más común en la naturaleza. Además, participan en numerosas interacciones ecológicas con otros organismos y presentan diversas estrategias que han desarrollado a lo largo de su historia evolutiva para su coexistencia con el hospedero. Una característica de los céstodos, todos ellos parásitos, los cuales son una clase importante de los platelmintos, es la aparición del tegumento que marca la frontera entre el gusano y el hospedero. Los céstodos, así como en el resto de los platelmintos, poseen una extraordinaria capacidad regenerativa relacionada con la existencia de células totipotenciales (neoblastos). En esta tesis de investigación, se definió como objetivo principal la identificación, el aislamiento, la caracterización y el cultivo de las células replicativas de *Taenia crassiceps* que pueden estar relacionadas con los neoblastos. La *T. crassiceps* es una especie que afecta a roedores y lagomorfos en su fase larvaria y cánidos en su fase adulta. Este ténido está cercanamente emparentado con *Taenia solium*, que en su fase larvaria puede afectar gravemente la salud humana causando la neurocisticercosis y afecta la porcicultura rústica causando la cisticercosis porcina. La cisticercosis por *T. crassiceps* ha sido ampliamente utilizada como modelo experimental para el estudio de la cisticercosis humana y porcina. Para la realización de esta tesis se emplearon metodologías adaptadas de estudios similares en otros platelmintos de vida libre, tales como la incorporación del análogo de timidina (EdU), el marcaje con un anticuerpo contra DDX4/VASA el cual ha sido identificado en células germinales de la planaria, la utilización de la citometría de flujo para la separación de las poblaciones celulares del tejido larvario, asimismo se probaron distintas condiciones de cultivo. También se determinó el número cromosómico de los citones replicativos. Nuestros resultados muestran que las células replicativas están presentes principalmente en regiones proliferativas de la pared vesicular y del escólex del cisticerco. Se observó que los anticuerpos contra DDX4/VASA reconocen a una proteína muscular del cisticerco y no a la helicasa que se ha utilizado para identificar las células de línea germinal. Se estudiaron las poblaciones de citones obtenidas por disgregación del tejido parasitario por medio de citometría de flujo y se logró caracterizar los estadios del ciclo celular de algunas poblaciones. Se establecieron las condiciones para el cultivo *in vitro* de los citones aislados durante al menos 14 días. Finalmente se obtuvieron los cariotipos de las cepas WFU y ORF de *T. crassiceps*, encontrándose que la ausencia de escólex en la cepa ORF no se debe a una aneuploidía (pérdida de un par cromosómico) como se ha propuesto anteriormente. Esta tesis contribuye información básica al respecto de características de las células proliferativas de *T. crassiceps*, que será complementada en estudios posteriores para el establecimiento de líneas celulares estables de este parásito.

## I. Summary

Parasites are organisms of great scientific interest, not only due to their capacity to infect humans, but also because parasitism is the most common lifestyle in nature. Also, the variety of ecological interactions with other organisms and their strategies acquired or developed during their evolution to coexist with their hosts. One characteristic of cestodes, which are an important class of Platyhelminthes, is the apparition of the tegument, which is a structure that establishes the frontier between the worm and the host. Cestodes, as in other flatworms, have a remarkable regenerative capacity related to the existence of totipotential cells (neoblasts). In this research thesis, the main objective was the identification, isolation, characterization, and cultivation of replicative cells of *Taenia crassiceps* that may be related to the regenerative capacity in these organisms. The *Taenia crassiceps* is a species which infects lagomorphs and rodents in its juvenile stage and canids in the adult stage. This taeniid is closely related to *Taenia solium* which in its larval stage can have a harmful impact on human health, causing neurocysticercosis and affecting rustic pig farming by causing porcine cysticercosis. *Taenia crassiceps* cysticercosis has been widely used as an experimental approach for the study of human and porcine cysticercosis. The methodologies used were adapted from similar studies in other free-living worms such as the incorporation of an analog of thymidine (EdU), the identification of the target of the antibody anti-DDX4/VASA which has been involved with germinative cells of planarians, the utilization of flow cytometry to separate cell populations of the tegument and the standardization of *in vitro* culture conditions. Finally, the chromosomic number of replicative cells in the larvae was determined. Our results show that replicative cells are mainly present in the proliferative regions of the vesicle and in the scolex of the cysticerci. It was observed that the antibodies directed against DDX4/VASA recognize a muscular protein of the cysticerci and not the helicase which has been used to identify germinal cells. The cyton populations obtained by tissue disaggregation were studied and the cell cycle of some populations could be observed. The *in vitro* culture conditions were determined and the isolated cytons could be maintained for at least 14 days. Finally, the karyotypes of the WFU and ORF strains were obtained, finding that the absence of scolex in the ORF strain is not due to an aneuploidy (loss of a chromosome pair), which has been proposed previously. This thesis contributes basic knowledge about the characteristics of proliferative cells of *T. crassiceps*, which may be complemented in future studies to obtain stable cell lines of this parasite.

## II. Introducción

### II.1 Los organismos invertebrados

En la naturaleza los organismos invertebrados son, con mucho, los más abundantes. Existe una gran variedad de especies que son el resultado de centenas de millones de años de evolución. La fecha de aparición de algunos grupos de invertebrados ha sido difícil de elucidar debido a que sus tejidos blandos han dejado muy pocos registros fósiles. Sin embargo, se dispone de una amplia variedad de fósiles de algunos grupos como los artrópodos, braquiópodos o moluscos. De los 32 *phyla* de metazoarios actuales, 14 son exclusivamente marinos, lo que apoya la idea de que la invasión de los ambientes terrestres significaba un reto para muchos organismos y solamente algunos pudieron desarrollar sistemas de intercambio de gases o de regulación osmótica para poder sobrevivir en el ambiente terrestre [8, 39].

La vida en la Tierra indudablemente comenzó en el mar, la oportunidad que representa este nicho para albergar vida es única debido a las propiedades fisicoquímicas del agua. Existe evidencia de la presencia de invertebrados en estratos correspondientes al periodo Proterozoico (hace 2,500 Ma), se puede afirmar que durante el periodo Ediacarano (~635 Ma) aparecieron algunos organismos invertebrados marinos, ya que los fósiles de la fauna durante este periodo representan las primeras evidencias de *phyla* modernos [8, 13]. Un claro ejemplo es el hallazgo de animales con simetría bilateral en la formación geológica de Ediacara. Una especie (*Ikaria wariootia*) es consistente con las predicciones basadas en los estudios filogenéticos de invertebrados modernos, respaldando la idea de que ya existían algunos *phyla* que precedían a los que se han encontrado en los registros fósiles correspondientes al periodo Proterozoico (Fig. 1) [16]. Para el estudio de cualquier organismo, fósil o actual, ha sido necesario establecer diferentes criterios de clasificación que agrupen la gran cantidad de organismos descritos. Por ello se han tomado en consideración la simetría corporal y el patrón de desarrollo.



Figura 1. Reconstrucción de *Ikaria wariootia* según el fósil de rastro encontrado en el sur de Australia (tomada de Evans et. al., 2020).

### II.1.1 Simetría corporal

La clasificación con base en la simetría corporal se divide en dos; aquellos que son bilateralmente simétricos (Fig. 2a), usualmente acompañado de cefalización, que consiste en la congregación de órganos y tejidos en uno de los extremos del organismo, lo que define un eje anteroposterior del animal. Los organismos bilaterales entonces pueden dividirse en dos secciones simétricas cuando se realiza un corte paralelo al eje anteroposterior, el cual resulta en una imagen en espejo del cuerpo. Sin embargo, un corte perpendicular con respecto a su eje crea dos imágenes disimilares incluso pasando por el centro. La segunda forma de simetría corporal que se observa en la naturaleza es la radial (Fig. 2b), la cual ocurre en organismos en los que es posible realizar un corte desde cualquier lado, siempre y cuando pase por su centro y se divide igualitariamente el cuerpo. Los cnidarios y los equinodermos en sus fases adultas, son ejemplos de esta clase de simetría [8, 23 38, 39].

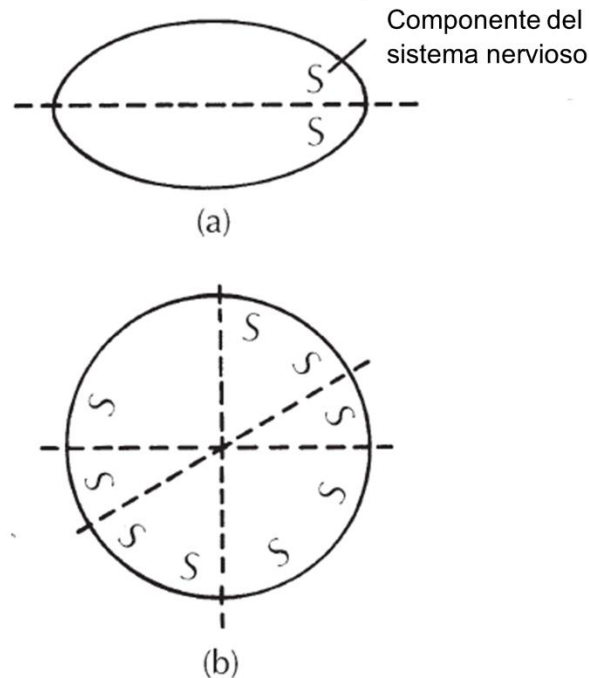


Figura 2. Diferentes tipos de simetría corporal. a) Simetría bilateral y b) radial (tomada y traducida de Pechenik, 2014)

### II.1.2 Patrones de desarrollo

Para la clasificación con base en los patrones de desarrollo de los metazoarios se utilizan los términos diploblásticos o triploblásticos, que están basados en el número de capas germinales durante su embriogénesis, las cuales dan lugar a distintos tejidos y sistemas. Los organismos diploblásticos muestran dos capas dentro del embrión; el ectodermo, que es la capa más superficial y el endodermo, la capa más interna. La mayoría de los metazoarios son triploblásticos, en los que ocurre una tercera capa además del endodermo y del ectodermo. Esta tercera capa se denomina mesodermo, la cual yace entre las otras dos. Dentro de la clasificación de los organismos triploblásticos existen tres tipos de *bauplan* (plan organizacional del cuerpo), basándose en la presencia de una cavidad interna llena de fluido independiente del tracto digestivo, que por su origen durante la embriogénesis permite clasificarlos como acelomados, pseudocelomados o celomados. Los acelomados carecen de esa cavidad; en los pseudocelomados la aparición del espacio interior se desarrolla del blastocelo, dando lugar a una cavidad que no está cubierta por tejido derivado del mesodermo. En el último grupo de animales triploblásticos se encuentran los celomados, la aparición de este tipo de arquitectura, denominada celoma, permitió el desarrollo de nuevas estructuras en el cuerpo, incluyendo una cavidad para el resguardo de distintos órganos

corporales, así como un medio de circulación de fluidos para el funcionamiento del esqueleto hidrostático. La formación del celoma puede ocurrir por dos procesos distintos, lo que permite distinguir los grupos de los protostomados, en los cuales se origina el celoma por la aparición y elongamiento de una hendidura en el mesodermo denominada esquizocelo y los deuterostomados, en los cuales el celoma se forma por la evaginación del arquenterón hacia el blastocelo embrionario. Debido al origen del celoma en estos organismos, a partir del arquenterón, el cual es una parte del tejido que también da origen al intestino, en ocasiones se le denomina también como enterocelo. Existen otras características que pueden ayudar a distinguir entre los protostomados y deuterostomados. Otro ejemplo es el origen embrionario de la boca, la cual surge en los protostomados a partir del blastoporo y además es la primera abertura que aparece durante su desarrollo embrionario; en cambio, en los deuterostomados la boca se desarrolla después de la aparición del ano a partir del blastoporo y se considera una segunda abertura en otra parte del embrión [8, 23, 38, 39].

### **II.1.3 Formas de locomoción y soporte del cuerpo**

Entre las notables adaptaciones evolutivas de los invertebrados también podemos destacar las diferentes formas de locomoción que han aparecido, que están relacionadas con diferentes estructuras de soporte desarrolladas a lo largo de la evolución. Existen tres tipos básicos de sistemas de soporte, los esqueletos hidrostáticos, los exoesqueletos y los endoesqueletos. El esqueleto hidrostático se basa en las propiedades de los líquidos, la incompresibilidad del agua y su capacidad de asumir la forma del recipiente. Haciendo uso de los músculos longitudinales y circulares, de la cavidad hueca del cuerpo, de una membrana deformable y del fluido interno se puede obtener locomoción basada en el antagonismo de las contracciones musculares, que producen cambios en la presión interna del fluido para la elongación de los músculos previamente contraídos y del cuerpo, permitiendo recuperar su forma inicial y avanzar sobre el sustrato en el que se encuentra (Fig. 3). Uno de los *Phylum* que utiliza el esqueleto hidrostático y que ha sido de los más estudiados por sus características únicas es el de los platelmintos, los cuales son organismos frecuentemente utilizados como modelos experimentales para diferentes disciplinas científicas. En contraste, los organismos con esqueleto “duro” (endoesqueleto o exoesqueleto) en los invertebrados previenen la deformación del cuerpo, confiriendo ventajas como un aumento de tamaño y de coordinación del movimiento. Un ejemplo de organismos que utilizan el exoesqueleto son los artrópodos. Asimismo, un ejemplo del uso de un endoesqueleto ocurre en los cordados, organismos que presentan una notocorda durante alguna etapa de su desarrollo. La notocorda es un conducto protector que se extiende a lo largo del cuerpo del animal para conducir y proteger el sistema nervioso; también provee soporte durante la locomoción del organismo [8, 27, 39].

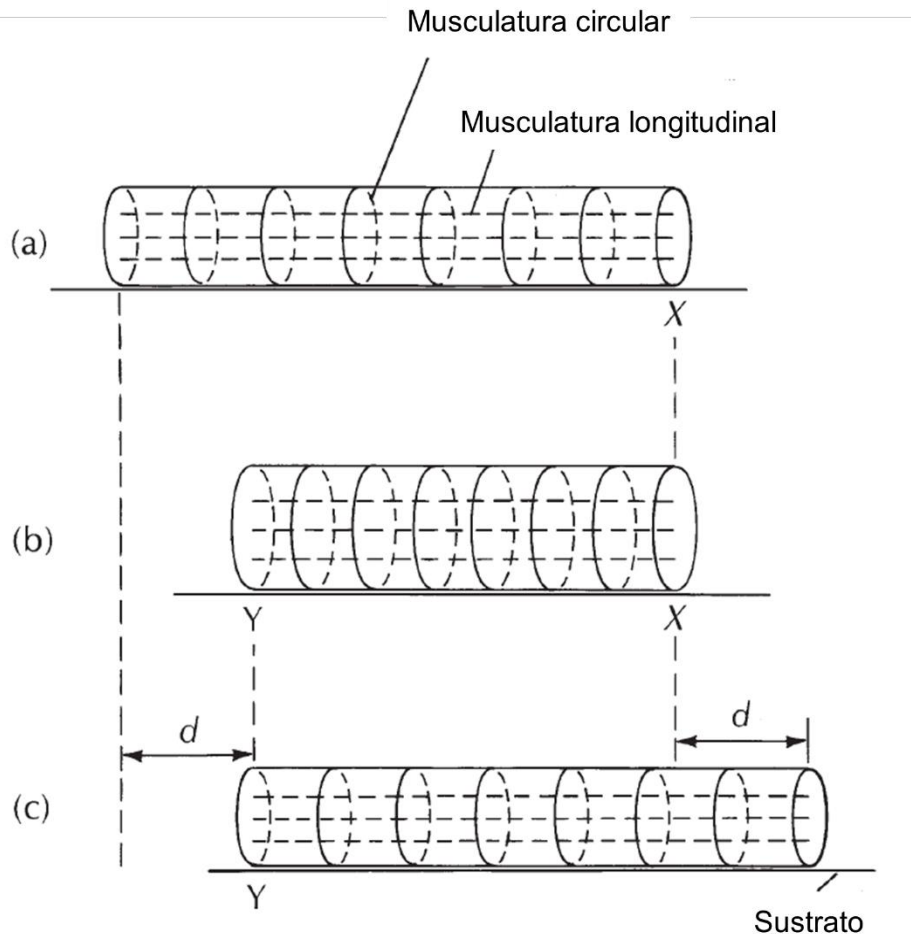


Figura 3. Representación esquemática del movimiento de un gusano con músculos longitudinales y circulares que le permiten la contracción y relajamiento de la cavidad corporal llena de fluido. (a) Representación de las musculaturas circular y longitudinal; (b) Ocurre la contracción de los músculos longitudinales con un anclaje en la posición X y se contrae la parte posterior formando un punto de anclaje en Y; (c) se relajan los músculos longitudinales soltando el anclaje en X. Los anclajes se deben a la contracción de los músculos circulares para poder avanzar la distancia  $d$  en la parte anterior del organismo (tomada y traducida de Pechenik, 2014).

## II.2 Modos de vida, simbiosis y parasitismo

En los animales existe una gran diversidad en los patrones de asociación que se pueden clasificar como homogéneas o heterogéneas; estos términos se refieren a las interacciones entre organismos de la misma o de diferente especie, respectivamente. Para referirse a todas las relaciones entre especies se utiliza el término simbiosis, sin embargo, distintos términos tratan de abarcar el espectro de interacciones, basándose en criterios tales como el beneficio o daño de un miembro



al otro. Los términos que permiten la identificación del tipo de relación simbiótica son: foforesis, mutualismo, comensalismo y parasitismo. Foforesis se refiere a la relación en la cual un organismo transporta a otro; comensalismo se refiere a las relaciones en donde ambos organismos comparten su alimento; mutualismo se refiere a las relaciones en donde ambos organismos resultan beneficiados de su interacción; finalmente en el parasitismo uno de los organismos, denominado parásito, recibe un beneficio a costa del bienestar del otro, llamado huésped u hospedero. Para que una relación parasítica tenga éxito, se requiere que el daño conferido tenga un impacto moderado sobre la capacidad reproductiva del hospedero (parásito eficiente) [32, 45, 54]. El parasitismo es la relación simbiótica antagonista más exitosa que podemos encontrar en la Tierra, ya que se estima que entre el 50-70% de las especies se relacionan a través del parasitismo [35]. Existen registros fósiles del parasitismo que se remontan al periodo Cámbrico temprano, los cuales se encontraron en el sur de China. Esta asociación consistía de un braquiópodo en el cual estaban incrustados varios “tubos parasíticos”, con una orientación en dirección de las corrientes de flujo laminar que el hospedero generaba para alimentarse, resultando en la disminución de la biomasa del braquiópodo [66]. Algunos ejemplos de los efectos que pueden provocar los parásitos son lesiones mecánicas, manipulación del sistema inmunológico o robo de nutrientes del hospedero. Además, dependiendo de la localización del parásito se les denomina de distinta manera, “ectoparásitos” son aquellos que habitan en la parte externa del hospedero y “endoparásitos” son los que viven dentro del organismo. Las características que han aparecido en los diferentes organismos parásitos durante la evolución son dependientes del sitio de invasión del hospedero y además pueden ser afectados por la convivencia con otros organismos y sus hábitos.

Otra adaptación al hospedero es la especificidad, esta característica varía entre parásitos y puede exhibir diferentes grados de exclusividad con un organismo, un ejemplo es *Taenia solium* que solamente alcanza su forma adulta como parásito en el tubo digestivo del ser humano [31, 32]. En contraste, una especie cercanamente relacionada: *Taenia crassiceps*, puede parasitar distintas especies de cánidos y dar lugar a huevos fértiles. Existen ocasiones donde un parásito puede encontrar un hospedero paraténico (o de transporte) en el cual no sufre ningún cambio con respecto a su ciclo de vida, pero puede funcionar como un puente ecológico entre un hospedero intermediario y uno definitivo [45]. También existen los hospederos reservorios que se caracterizan por ser organismos alternativos en donde los parásitos pueden continuar con su ciclo de vida a pesar de no estar en un hospedero ideal para su desarrollo, sin embargo, debido a la carencia de hospederos principales puede continuar su transmisión.

## II.3 Los platelmintos

Los platelmintos son organismos acuáticos, mayoritariamente de vida libre (Turbellaria) aunque existen tres grupos que mantienen un modo de vida parasitario. Algunos afectan al ser humano y son de importancia médica (Monogenea, Digenea y Cestoda). Se conocen aproximadamente 30,000 especies de platelmintos [9]. Su característica principal es que son gusanos aplanados; la diversidad morfológica de estos organismos es amplia y pueden carecer de caracteres en común. En el caso de los platelmintos parásitos, la adquisición de un cuerpo aplanado les permitió invadir sitios tubulares dentro del hospedero, como los tubos digestivos, en donde se alojan para su desarrollo, nutrición y reproducción. Son organismos acelomados, triploblásticos y bilateralmente simétricos [38]. El *bauplan* de los platelmintos es único, poseen estructuras que permiten la circulación de fluidos basados en el movimiento de cilios pertenecientes a células altamente especializadas denominadas células flama, las cuales están conectadas a tubos colectores y que participan en procesos de excreción y osmorregulación. Otra estructura única en los platelmintos es la aparición del tegumento, la cual está conformada de una superficie externa membranosa y es metabólicamente activa. Por debajo del tegumento, y separados por la llamada membrana basal, existen citones subtegumentales, glandulares y sensoriales que forman un tejido laxo con una característica excepcional: se trata de un sincicio en el que todas las estructuras celulares están interconectadas por lo que sólo existe especialización regional; a ello se debe que en lugar de utilizar el término “célula” se utilice el de “citón”. El tegumento también funciona como un medio de comunicación con el exterior, ya que a través de él se mueven productos de excreción/secreción, inmunomodulares (en el caso de aquellos parásitos), etc [54]. El sistema nervioso de los platelmintos es variable entre las diferentes clases, puede estar muy simplificado y en algunos casos carecen de ojos o también pueden poseer un sistema nervioso centralizado, formado por un ganglio cerebral conectado longitudinal y transversalmente a lo largo del cuerpo del organismo [39]. Con respecto a la forma de reproducción de los platelmintos, pueden ser sexuales o asexuales, inclusive algunas especies pueden utilizar uno u otro método sexual o asexual durante su ciclo de vida, un ejemplo es *Taenia crassiceps*, que pueden reproducirse asexualmente en el hospedero intermediario (roedores) por medio de gemación, mientras que dentro de su hospedero definitivo (cánidos) puede reproducirse solamente por reproducción sexual. Los sistemas digestivos de los platelmintos pueden estar conformados por un intestino ciego el cual puede ser recto o ramificado y pueden poseer bocas con diferente morfología como una faringe protráctil o incluso una probóscide que les permite la adquisición de alimento que se almacena para su digestión en un intestino o enterón. También existen algunos platelmintos parásitos que carecen completamente de tubo digestivo, debido a que llevan a cabo la adquisición de

nutrientes a través del tegumento. Otra capacidad relevante de los platelmintos es su regeneración de tejidos, que no sólo implica la reparación de una parte del cuerpo, sino la formación de órganos, estructuras o incluso de otro organismo completo. Esta habilidad se le atribuye a la presencia de una población denominada neoblastos, que son equivalentes a las células troncales de otros organismos. Los neoblastos son células versátiles capaces de retomar programas de diferenciación propios de tejidos especializados [3, 4, 38, 42, 43]. Entre la gran diversidad de platelmintos, destacan, por su biología, aquellos que han adoptado el parasitismo como su modo de vida, no solamente por estar involucrados en el ámbito médico, sino por ser organismos especiales dentro del reino animal habiendo adquirido complejas estrategias para su supervivencia dentro de los hospederos, ya que han coevolucionado durante largos periodos y bajo presiones selectivas que han resultado en mecanismos altamente especializados para la invasión y permanencia en distintos órganos y tejidos de los hospederos, influyendo importantemente en la ecología de los hábitats en donde se encuentran, debido a su influencia sobre distintas cadenas tróficas [8, 35, 45].

## II.4 Céstodos

Los gusanos pertenecientes a la clase Cestoda, pueden dividirse en dos subclases, Cestodaria y Eucestoda, los primeros no se dividen en segmentos, tienen un solo juego de órganos reproductivos, carecen de escólex (órgano de fijación) y tienen una larva decacanto (10 ganchos), mientras que los miembros del segundo grupo poseen un cuerpo elongado y acintado, además carecen de tubo digestivo. Residen principalmente en el tracto digestivo de los hospederos donde hay disponibilidad de nutrientes para afrontar la demanda energética por su alta tasa de crecimiento. Los órdenes de mayor interés médico pertenecen a la subclase Eucestoda [54]. El origen de los céstodos sigue siendo incierto hasta el día de hoy, aunque se ha demostrado que el origen del modo de vida parasitario entre los platelmintos de vida libre, incluyendo los tres grupos principales: Digenea, Monogenea y Cestoda, es monofilético [11]. Es decir, que el parasitismo surgió entre los platelmintos de vida libre en una sola ocasión. En esos análisis filogenéticos el grupo hermano a los tres taxa parásitos son los Seriata. Los céstodos poseen diversos órganos de sujeción que se pueden clasificar en tres grupos, bothria, bothridia y acetábula, que se han adaptado dependiendo de las presiones selectivas a las cuales se han enfrentado durante su evolución, principalmente por la forma del órgano (*v. gr.* intestino) de la especie que parasitan. Pueden además poseer ganchos, pliegues, glándulas, tentáculos o diferentes variantes que les facilitan la fijación dentro del hospedero [10, 45 y 54]. Existen excepciones en algunos organismos como *Fimbriaria fasciolaris* en el cual su escólex pierde la función de sujeción debido al desarrollo

de un pseudoescólex a partir de la porción anterior del estróbilo. Además de las modificaciones del escólex para la fijación al tubo digestivo del hospedero, los céstodos han desarrollado una adaptación única entre los diferentes platelmintos: el tegumento. Esta estructura además de funcionar como una cubierta protectora es una capa metabólicamente activa, formada por un sincicio conectado a los citones subtegumentales, lo que define dos regiones: el citoplasma distal y el citoplasma perinuclear, las cuales han sido extensamente descritas ultraestructuralmente [5, 18, 55]. En el citoplasma distal se pueden encontrar vesículas, que están involucradas en el intercambio de material con la membrana o con el medio externo, en esta región también se pueden observar las microtricas, que se dividen en dos secciones, el eje basal, que posee un núcleo formado por delgados filamentos lo que confiere flexibilidad y la punta distal, que se observa electrón-densa en el microscopio electrónico y puede tener distintas formas según la especie (Fig. 4). Se ha estimado que el factor de amplificación de la membrana externa del tegumento debido a las microtricas, para distintos céstodos, puede variar entre 2.2 a 16.3 [55]. Si se toma en cuenta que la punta distal de la microtrica no está involucrada en la absorción, se puede obtener el factor de amplificación funcional, el cual resulta en un intervalo de 1.73 a 11.75, el cual es comparable con las microvellosidades intestinales.

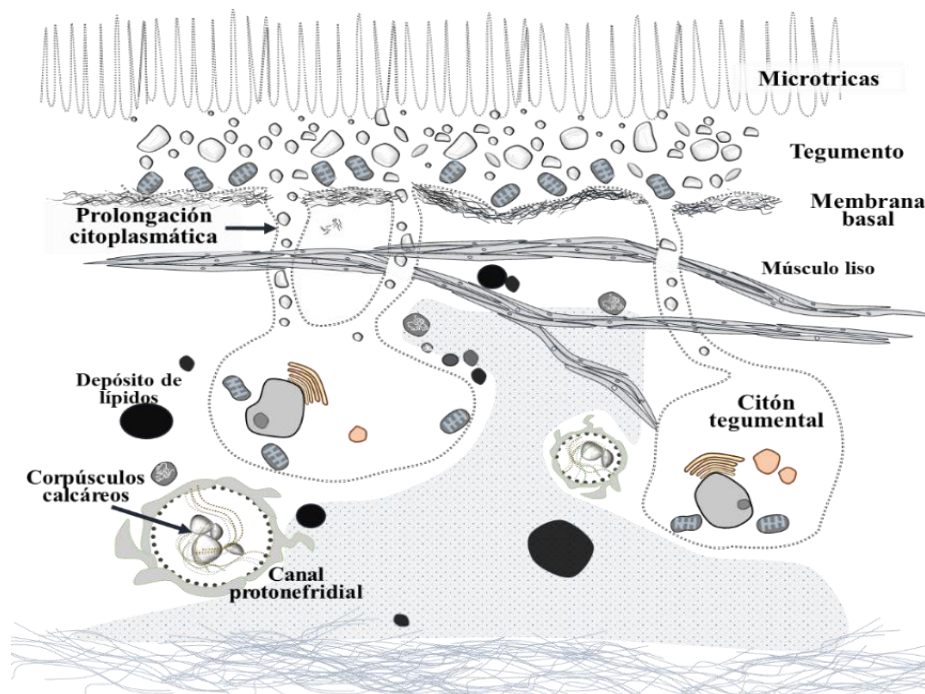


Figura 4. Esquema representativo del tegumento en un céstodo (tomado de la tesis doctoral de Flores-Bautista, 2019) [18].

El desarrollo de la estrobilación en los céstodos es único y consiste en una serie de segmentos que contienen los órganos reproductivos de cada sexo a los cuales se les denomina proglótidos. Conforme se va desarrollando el gusano adulto se producen proglótidos o segmentos que constituyen unidades reproductivas separadas. El proceso de estrobilación consiste en la producción de nuevos proglótidos y en su maduración conforme avanzan hacia el extremo posterior del gusano adulto. Cuando los proglótidos maduros están llenos de huevos se les denomina grávidos. Este proceso de reproducción le permite a los céstodos liberar una gran cantidad de huevos que pueden infectar al siguiente hospedero en su ciclo de vida [45, 54]. En varias especies, los gusanos adultos, son capaces de producir cientos de miles de huevos diarios. Un extraordinario ejemplo de este proceso de reproducción es el organismo *Polygonoporus giganticus*, el cual es un céstodo que habita el intestino de los cachalotes y puede medir hasta 30 metros de largo, con cada proglótido de hasta 5 metros de longitud [67].

#### **II.4.1 Clasificación taxonómica**

La taxonomía de los distintos órdenes de céstodos actuales ha tenido cambios a lo largo de la historia. Recientemente se realizó el recuento del número de especies totales estimándose en 4,810 hasta 2017, con un número total de 833 géneros. Sin embargo, constantemente se siguen describiendo nuevas especies de céstodos. Las especies que forman el orden Cyclophyllidea son las más numerosas, incluyendo más del 50% de los céstodos. La descripción de especies se basa principalmente en caracteres morfológicos (tipo de órgano de sujeción, número de ganchos, disposición de los órganos reproductivos, forma de los proglótidos, etc.), pero recientemente se ha integrado la biología molecular para poder realizar estudios filogenéticos de los distintos órdenes que conforman la clase Cestoda [10]. Los marcadores utilizados para la clasificación de estos organismos fueron dos genes nucleares (28S rDNA y 18S rDNA) y dos genes mitocondriales (COI y 16S rDNA) los cuales se han secuenciado para más de 950 especies, logrando separar en 19 órdenes a los céstodos, de los cuales los Eucestoda conforman 17 de estos órdenes [10, 11]. El nombre de los órdenes, los hospederos principales en su ciclo de vida, los genomas secuenciados y el número de géneros y de especies se muestran a continuación, con especial énfasis en el orden Cyclophyllidea, en donde se encuentran la familia Taeniidae (Tabla 1).

Tabla 1. Información de los órdenes actuales de céstodos

Orden	Hospederos principales	Número de géneros	Número de especies	Especies con genomas en WormBase ParaSite
Amphilinidea	Peces óseos, tortugas	6	8	N/A
Bothriocephalidea	Peces óseos	48	132	N/A
Caryophyllidea	Peces óseos	42	122	N/A
Cathetocephalidea	Elasmobranquios	3	6	N/A
Cyclophyllidea	Aves, mamíferos, lagartijas, serpientes, anfibios	437	3,034	<i>Echinococcus granulosus</i> , <i>E. multilocularis</i> , <i>E. oligarthrus</i> , <i>E. canadensis</i> , <i>Hymenolepis microstoma</i> , <i>H. diminuta</i> , <i>Mesocoeloides corti</i> , <i>Taenia asiatica</i> , <i>T. solium</i> , <i>T. multiceps</i> , <i>T. saginata</i>
Diphylloidea	Elasmobranquios	6	59	N/A
Diphyllobothriidea	Mamíferos	18	70	<i>Spirometra erinaceieuropaei</i> , <i>Dibothriocephalus latus</i> , <i>Schistocephalus solidus</i>
Gyrocotylidea	Holocéfalos	1	10	N/A
Haplobothriidea	Peces óseos	1	2	N/A
Lecanicephalidea	Elasmobranquios	29	90	N/A
Litobothriidea	Elasmobranquios	1	9	N/A
Nippotaeniidea	Peces óseos	1	6	N/A
Onchoproteocephalidea	Grupo I: Peces óseos, lagartijas, serpientes, anfibios, tortugas y mamíferos Grupo II: Elasmobranquios	Grupo I: 68 Grupo II: 11	Grupo I: 316 Grupo II: 246	N/A
Phyllobothriidea	Elasmobranquios y holocéfalos	24	69	N/A
Rhinebothriidea	Elasmobranquios	22	136	N/A
Spathebothriidea	Peces óseos	5	6	N/A
Tetrabothriidea	Aves y mamíferos	6	70	N/A
"Tetraphyllidea" relics	Elasmobranquios	25	104	N/A
Trypanorhyncha	Elasmobranquios	81	315	N/A

## II.5 Ténidos

Dentro de los organismos que pertenecen al orden Cyclophyllidea se encuentran los integrantes de la familia Taeniidae, la cual está conformada por 4 géneros y 50 especies. Son parte de los gusanos parásitos de mayor importancia médica y veterinaria. Los adultos parasitan carnívoros, usualmente en el intestino delgado mientras que los hospederos intermediarios suelen ser las presas de los hospederos definitivos. Existen ocasiones en las que los carnívoros pueden infectarse con el estadio larvario del organismo [32, 45, 54].

Los taénidos pueden tener distintos tipos de larvas: el cisticerco es una vesícula llena de líquido, que rodea un solo escólex invaginado (Fig. 5B); el estrobilocerco es una larva con el escólex usualmente evaginado, conectado por un estróbilo largo, sólido y segmentado a una pequeña vesícula llena de líquido (Fig. 5D); el coenuro/cenuro es una bolsa parecida al cisticerco, pero dentro de ella se desarrollan varios escólices que se mantienen unidos a la pared de la vesícula (Fig. 5A); el quiste hidatídico es una larva similar al cisticerco, sin embargo, una diferencia fundamental es que lleva a cabo el proceso de gemación dentro de la misma vesícula en la cual se depositan escólices que pueden formar a un adulto en el hospedero definitivo (Fig. 5C); finalmente, el quiste alveolar es otro tipo de larva la cual también puede ser denominada “quiste hidatídico multilocular”, la cual produce un quiste sin uniformidad y sin una membrana definida en el tejido que parasita. Produce una estructura poliquística con vesículas proliferativas que se “posan” en un estroma denso y fibroso, de este tejido larvario se producen escólices [54].

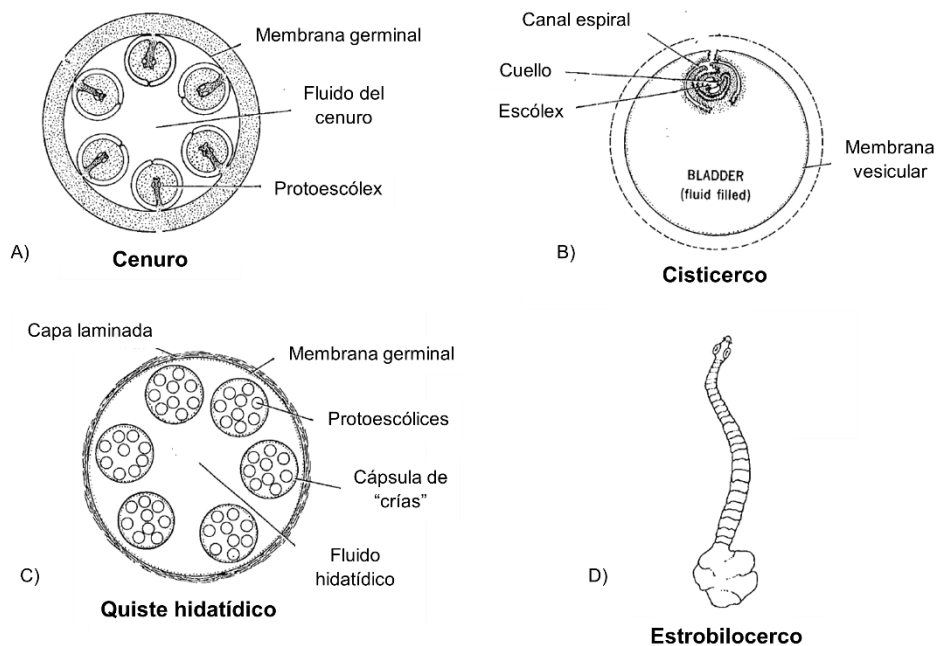


Figura 5. Tipos de larvas que ocurren en los taénidos. A) Cenuro, B) Cisticerco, C) Quiste hidatídico y D) Estrobilocerco (tomada y modificada de Smyth, 1962).

## II.6 *Taenia solium*

Uno de los organismos pertenecientes a la familia Taeniidae más conocidos es *Taenia solium*, el cual es un parásito adaptado a las condiciones que presenta el tejido de sus hospederos (humano o porcino). Su ciclo de vida se basa en dos organismos vertebrados que han convivido gracias al establecimiento de la cría de animales, los humanos albergan al gusano adulto mientras que el cerdo es el hospedero intermediario de la larva cisticerco [31]. Existen dos hipótesis acerca de la aparición de la parasitosis por *T. solium* en el humano y en el cerdo; la primera consiste en que la adquisición de la tenia fue debido a la íntima relación de los humanos con los cerdos que fueron domesticados hace unos 15,000 años, ya que eran portadores de la larva y era común el consumo de su carne. Una segunda hipótesis que cuenta con evidencia molecular muy sólida muestra que los homínidos carroñeros primitivos se alimentaban de los restos de las cazas llevadas a cabo por hienas y otros cánidos, de quienes adquirieron estos parásitos. Una especie relacionada, *Taenia saginata*, fue similarmente adquirida de los depredadores felinos (leones) [7].

En el caso de la *T. solium*, el ser humano es el único hospedero definitivo; accidentalmente puede actuar también como hospedero intermediario cuando se ingieren alimentos con contaminación fecal. Esta infección por la larva (cisticerco) se denomina cisticercosis y puede ocurrir en distintos sitios como el cerebro, músculo, ojo, tejido subcutáneo. Cuando el cisticerco se encuentra en el sistema nervioso central, la enfermedad se denomina neurocisticercosis, que es la principal infección parasitaria del sistema nervioso del humano [18, 51]. La investigación llevada a cabo en este organismo ha revelado una gran cantidad de información acerca de su fisiología, relaciones ecológicas, evolución y también sobre la inmunología del humano y del cerdo. En diversos países se han diseñado programas dedicados a la erradicación de la cisticercosis. En México la teniasis/cisticercosis constituía un considerable problema de salud pública y de economía en la producción de carne de cerdo; la modernización de la explotación porcina, el desarrollo de herramientas diagnósticas y preventivas, así como el establecimiento de una normativa para la salud en relación con esta parasitosis han logrado que la teniasis/cisticercosis ya no constituya una amenaza para la salud pública actual [49].



## II.7 El modelo animal de cisticercosis murina por *Taenia crassiceps*

Debido a la dificultad para la obtención de muestras de parásitos provenientes de infecciones naturales y de su mantenimiento *in vitro*, se ha adoptado el modelo de infección de *T. crassiceps* para el estudio de la biología de la larva y en algunos casos también del adulto. La similitud de *T. crassiceps* con *T. solium* ha permitido el estudio de la biología básica de la larva, de las interacciones hospedero-parásito, el desarrollo de vacunas y de tratamientos contra la cisticercosis, no solo en el humano sino también en el cerdo.

*Taenia crassiceps* es un céstodo íntimamente emparentado con *T. solium*, su ciclo de vida requiere dos hospederos; la fase larvaria (cisticerco) se desarrolla en roedores pequeños (v. gr. *Apodemus sylvaticus*, *Microtus arvalis*, *Mus musculus*, *Rattus norvegicus*) y la fase adulta ocurre en cánidos (v. gr. *Canis lupus*, *Canis lupus familiaris*, *Vulpes lagopus*, *Vulpes vulpes*) (Fig. 6) [41]. Los cisticercos de *T. crassiceps* tienen una característica importante para su utilización como modelo animal, ya que es capaz de reproducirse asexualmente en el hospedero intermediario mediante gemación [21]. Esta particularidad ha permitido su mantenimiento en el laboratorio mediante la transferencia de cisticercos de un ratón infectado a otro sano (naïve) lo que ha permitido mantener en el laboratorio varias cepas de esta especie por más de 60 años. Se han establecido distintas cepas que se utilizan experimentalmente. Una de ellas es WFU la cual es considerada silvestre, pero también existe una muy particular, denominada ORF, la cual ha perdido la capacidad de infectar a su hospedero definitivo debido a la carencia de escólex en comparación con las otras cepas KBS o WFU [17]. Puesto que ORF posee una velocidad reproductiva más acelerada [20], ha sido ampliamente utilizada en el estudio de diversos aspectos de la relación hospedero-parásito en la cisticercosis.

El mantenimiento de la *T. crassiceps* en condiciones de laboratorio consiste en la inyección de por lo menos 10 larvas pequeñas (0.5-1.0 mm de diámetro) en el peritoneo de ratones hembra de la cepa BALB/c de tres meses de edad. El tiempo de incubación y el sitio de infección óptimo, se determinó por distintos ensayos de inoculación que demostraron que la inyección peritoneal permitía la obtención de una gran cantidad de larvas después de 45 a 90 días [21, 64].

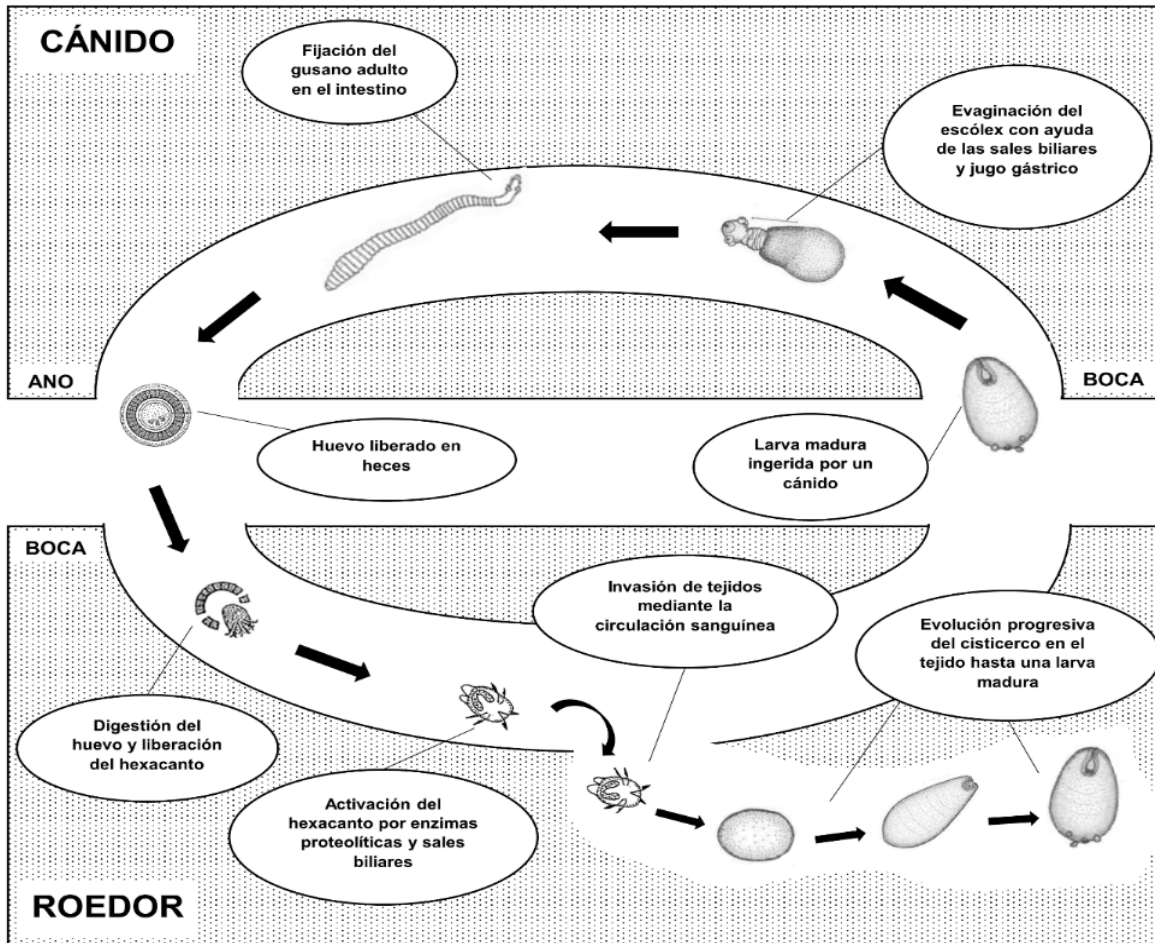


Figura 6. Ciclo de vida de *Taenia crassiceps* en su hospedero definitivo (cánido) y en su hospedero intermediario (roedor) (elaboración propia tomando ilustraciones de Smyth, 1962 y Freeman, 1962).

La cepa ORF ha sido comúnmente empleada en distintos grupos de investigación. Se ha intentado determinar la diferencia entre la cepa silvestre y la cepa mutante carente de escólex. Se ha propuesto que la pérdida del escólex es debida a una anomalía cromosómica, la falta del par cromosómico II debido a una nulisomía, lo cual es considerado un evento catastrófico durante la historia evolutiva del cisticerco de la cepa ORF [52]. También se ha estudiado la replicación celular mediante la incorporación de análogos de nucleótidos o incubación con colchicina y la síntesis de RNA en este organismo [53], con la intención de describir a detalle el sistema de células replicativas que pueden estar involucradas en el mantenimiento de los distintos tejidos que forman a la larva o al adulto. Sin embargo, no hay más estudios actuales al respecto que hayan podido determinar las poblaciones involucradas en la homeostasis del tejido.

## II.8 Cariotipos de céstodos

Los cariotipos de algunos céstodos han sido detalladamente reportados por distintos autores. Las técnicas utilizadas comúnmente para la visualización de cromosomas consisten en la utilización de células sexuales del adulto, la incubación con colchicina y la tinción de los cromosomas para su observación bajo el microscopio [58]. Recientemente se han incorporado otras técnicas modernas como la hibridación *in situ* (FISH) o la utilización de sondas fluorescentes (DAPI) para el mapeo y descripción detallada de los cromosomas [36]. Los primeros estudios realizados sobre cariotipos de céstodos se centraban únicamente en su número cromosómico [25, 46, 58]. Conforme se adaptaban técnicas de citogenética originadas en otros organismos, se pudieron realizar descripciones más formales sobre la estructura y composición de los cromosomas de céstodos. Una de las limitantes para el estudio de los cariotipos es la adquisición de células replicativas, ya que su presencia es escasa o inexistente en algunas etapas del desarrollo. El aislamiento celular es una tarea difícil de realizar puesto que se trata de tejidos sinciciales [55]. Los números cromosómicos en céstodos van desde los 6 hasta los 28 pares y la mayoría de las especies presentan una ploidía de  $2n=16$  o 18. Sin embargo, los organismos del orden Cyclophyllidea tienen una mayor diversidad de cariotipos [58]. En el caso de *T. crassiceps*, se han reportado dos números de cromosomas en su cariotipo [52]. Estos reportes destacan la urgente necesidad de la corroboración de los números cromosómicos para entender la biología básica del parásito y contribuir al conocimiento sobre los céstodos para su utilización como modelos experimentales. Además de que pueden funcionar como herramientas para el establecimiento de las relaciones filogenéticas entre los organismos que conforman este orden. Es por ello que en el presente trabajo de tesis se decidió caracterizar el cariotipo de *T. crassiceps*.

## II.9 Secuenciación de genomas

El acceso a las tecnologías de secuenciación masiva ha permitido la caracterización de una gran cantidad de genomas, entre ellos, el de algunos céstodos (Fig. 7). Comenzando con la creación de la base de datos de información genómica de *Schmidtea mediterranea* [44] y con la publicación de los genomas completos de *Schistosoma mansoni* y *japonicum* [6, 60], el acervo de información genómica de los platelmintos ha crecido notablemente durante la última década. Los parásitos de importancia médica y veterinaria han sido seleccionados para su caracterización genómica. La comparación de los genomas ha permitido comprender el origen filogenético de estos organismos, así como también las adaptaciones a la vida parasitaria que han sucedido durante su historia evolutiva. Los genomas de estos

organismos parásitos son accesibles gracias a un proyecto organizado por el Consorcio Internacional de Genomas de Helmintos, que pueden encontrarse en la base de datos WormBase Parasite, donde se encuentran registrados 197 genomas, de los cuales 19 pertenecen a céstodos [26]. Cabe mencionar que los primeros cuatro genomas de céstodos fueron publicados en el año 2013; entre ellos se describió el genoma de *T. solium* que fue realizado por un consorcio liderado por el Dr. Lacleite [62]. En 2019, el registro de genomas creció importantemente, con 17 organismos nuevos registrados en la base de datos. El desarrollo de metodologías que permitan mejorar la calidad de la secuenciación y del armado facilitará el diseño de nuevos fármacos para el tratamiento de enfermedades causadas por estos organismos, así como la producción de vacunas y de métodos diagnósticos.

Se han descrito las células germinativas en planaria y más recientemente en otros organismos parásitos como digeneos y céstodos. Se denominan células “germinativas”, “replicativas”, “troncales”, “de regeneración” o “neoblastos”, dependiendo del organismo que se discute, sin embargo, tienen diversas funciones en común, siendo una de ellas la capacidad regenerativa y de crecimiento indefinido, que es una capacidad característica de los platelmintos. Las células germinativas en la clase Cestoda han sido descritas en organismos como *Mesocestoides corti*, *Hymenolepis diminuta*, *Echinococcus multilocularis*, *Taenia solium* y *Taenia crassiceps* [28, 29, 33, 37, 47, 53, 65]. A pesar de que se reconoce la existencia de células germinales en estas especies de céstodos, solamente se han logrado caracterizar en *E. multilocularis* y a *H. diminuta*, mediante la utilización de métodos de eliminación de células replicativas (radiación o incubación con hidroxurea), junto con la utilización de marcadores específicos de la línea germinal como *mcm2*, *h2b* y *ago2* que se han identificado en planaria y en otros organismos parásitos [29, 47]. Otro marcador de la línea germinal que se ha identificado en platelmintos de vida libre es el gen *ddx4/vasa*, el cual ha sido reemplazado en el genoma de los céstodos por al menos dos genes descritos como *pl10*, que han tomado las funciones de *vasa* en el mantenimiento de la población replicativa [62].

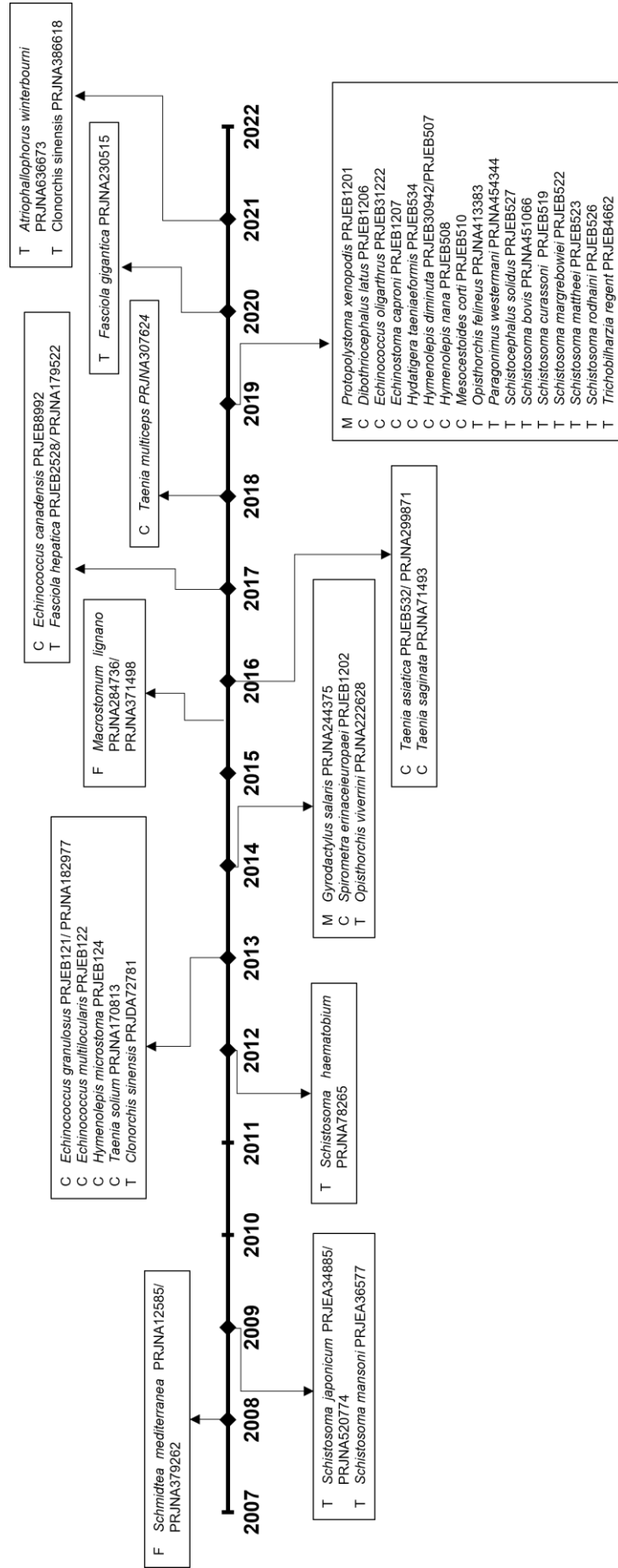


Figura 7. Línea del tiempo con los genomas de platelmintos de interés que se han caracterizado. F, Platelminotos de vida libre; M, Monogenea; C, Cestoda; T, Trematoda

### **III. Hipótesis**

Se pueden identificar poblaciones de citones germinativos del cisticerco de *Taenia crassiceps* mediante el rastreo de la incorporación del análogo de timidina (EdU), la presencia del marcador DDX4/VASA, además de su caracterización mediante citometría de flujo, hasta lograr el aislamiento y cultivo *in vitro* de una línea de citones replicativos.

### **IV. Objetivos de la tesis**

#### **IV.1 Objetivo general**

Avanzar en la identificación, aislamiento, caracterización y cultivo de los citones germinativos de cisticerco de *Taenia crassiceps*.

#### **IV.2 Objetivos específicos**

- a. Utilizar el marcador EdU para identificar la población celular replicativa (citones) en el cisticerco de *T. crassiceps*.
- b. Realizar estudios de localización de la proteína DDX4/VASA en el tejido del cisticerco para determinar su utilidad como marcador de células germinales.
- c. Aislar y cultivar la población celular replicativa (citones) en el tejido del cisticerco para establecer un método de cultivo apropiado.
- d. Caracterizar mediante citometría de flujo las poblaciones de citones que existen en el tejido del cisticerco.
- e. Determinar la ploidía y realizar la descripción del cariotipo de los citones replicativos de WFU y ORF en el tejido del cisticerco.

## **IV. Materiales y Métodos**

### **V.1 Obtención de cisticercos y aislamiento de citones**

Los cisticercos de las cepas WFU y ORF de *Taenia crassiceps*, se mantuvieron por pasaje intraperitoneal de ratones infectados a ratones no infectados. Normalmente se utilizan hembras de la cepa BALB/c de 4-6 semanas de edad. Se inyectaron intraperitonealmente 10 cisticercos por ratón y después de 90 días se sacrificaron para la recuperación de las larvas. Los cisticercos aislados se lavaron tres veces con PBS esterilizado para ser utilizados inmediatamente. Para el fraccionamiento del tejido y el aislamiento de los citones se utilizó un protocolo descrito anteriormente con algunas modificaciones [29, 61]. En breve, se fragmentan los cisticercos por tamizado a través de una organza y una coladera de té. Posteriormente se incubó la suspensión de tejido con una solución de maceración que contenía tripsina-EDTA 0.25% (Gibco) durante 30 minutos a 37 °C y el macerado se centrifugó a 4,000 rpm durante cinco minutos (Eppendorf, 5415C). Se retiró el sobrenadante y se resuspendió la pastilla con 500 µL de medio RPMI 1640 suplementado (Gibco), finalmente se realizó un conteo celular con cámara de Neubauer, antes de realizar citometría de flujo, inmunofluorescencia o cultivo.

### **V.2 Marcaje con EdU de células proliferativas en el cisticercos completo**

Para el marcaje de células proliferativas en cisticercos WFU y ORF, se utilizó 5-etinil-2'-desoxiuridina (EdU) (Thermo Fisher Scientific) siguiendo un protocolo ya establecido anteriormente [48]. Se incubaron los cisticercos recién obtenidos del peritoneo de ratón con medio suplementado con EdU (25µM) por 5 horas a 37 °C, posteriormente se cambió por medio fresco y se dejó incubar por 5 horas más para terminar el marcaje de DNA.

### **V.3 Montaje completo de los cisticercos**

Para mantener la morfología original de los cisticercos y observar la presencia de células proliferativas por microscopía de fluorescencia se utilizó un protocolo reportado anteriormente con algunas modificaciones [28, 29, 47]. Se fijaron los cisticercos incubados con EdU utilizando formaldehído al 4% en solución salina fisiológica pH 7.4 (PBS) durante una hora a temperatura ambiente con agitación moderada. Se colocaron los cisticercos en una placa de 48 pozos y se realizaron cinco lavados con PBS para eliminar el exceso de EdU. Para la permeabilización de los cisticercos se incubaron en acetona (≥99.9%, Sigma) por cinco minutos en agitación y se realizaron cinco lavados con PBS. Para la detección del EdU

se utilizó el kit Click-iT™ EdU Alexa 555 (Life Technologies) siguiendo las instrucciones del fabricante. Para la visualización de núcleos celulares se incubaron los cisticercos con DAPI (1µg/mL) durante 30 minutos y se realizaron cinco lavados con PBS. El montaje de los cisticercos se llevó a cabo en placas de 96 pozos, colocando un cisticerco por pozo con una solución de glicerol al 30% en PBS (Sigma).

#### **V.4 Inmunofluorescencia en cisticercos completos y en cortes histológicos**

La obtención de cortes histológicos se realizó mediante la inclusión de los cisticercos previamente fijados con formaldehído y congelados a -70 °C en Tissue-Tek (Sakura). Se obtuvieron cortes histológicos de 10 µm de grosor utilizando un criotomo (Tissue-Tek, modelo Cryo3 Plus). Los cortes obtenidos se montaron en laminillas SuperFrost y se permeabilizaron con TritónX-100 (0.25% Tritón-PBS) durante 30 minutos. En cambio, los cisticercos completos se permeabilizaron con acetona (≥99.9%) por 10 minutos; posteriormente se realizaron tres lavados con PBS y se incubaron con el anticuerpo α-VASA (policlonal de conejo, Abcam ab13840) a una dilución de 1:200, seguido por la incubación con un anticuerpo secundario acoplado a un fluorocromo Alexa 568 (policlonal de cabra, Thermo Fisher Scientific A-11011) a una dilución de 1:1000. Para observar los núcleos de las células se utilizó una tinción con DAPI (1µg/mL). Las muestras se visualizaron y fotografiaron utilizando un microscopio de epifluorescencia (Olympus, modelo IX71) y un microscopio confocal (Nikon, modelo AR1+ STORM).

#### **V.5 Marcaje con CFDA-SE de la suspensión celular**

Para la visualización de las poblaciones celulares de los cisticercos, se incubó la suspensión celular con medio RPMI 1640 adicionado con CFDA-SE (Thermo Fisher Scientific) siguiendo las instrucciones del fabricante, a una concentración de 5 y 10 µM durante 15 minutos a 37 °C. Posteriormente se realizaron tres lavados con PBS a 3,000 rpm durante cinco minutos y se prepararon para citometría de flujo.

#### **V.6 Citometría de flujo**

Para la visualización de las poblaciones celulares de los cisticercos de *T. crassiceps*, se marcaron con CFDA-SE, DAPI y DDX4/VASA. Se fijaron las células por 30 minutos con formaldehído (4% FA-PBS) y se realizaron tres lavados con PBS a 3,000 rpm por 5 minutos, se permeabilizaron con acetona durante 5 minutos y se lavaron tres veces con PBS por centrifugación a 3,000 rpm por 5 minutos. Las muestras se resuspendieron en 300 µL y se visualizaron utilizando el citómetro de flujo (Cytotflex S, Beckman Coulter).



### **V.7 Cultivo *in vitro* de las células germinativas**

Las células obtenidas por el método de aislamiento con EDTA-Tripsina se mantuvieron en cultivo en placas de 12 pozos, en 2 mL de medio RPMI 1640 suplementado (Gibco) con 10% SFB a 37 °C y en una atmósfera de 5% CO<sub>2</sub>. Se realizaron cambios de medio cada dos días. Nuestros intentos de conteo celular resultaron especialmente difíciles debido al pequeño tamaño de los cuerpos celulares.

### **V.8 Visualización de los cromosomas de WFU y ORF**

Con el objeto de visualizar y realizar el conteo de los cromosomas de *T. crassiceps* durante su estado larvario, se adaptaron dos métodos que se habían descrito para planarias y otros céstodos [24, 36]. Se incubaron cisticercos en una solución de colchicina 0.25% (en medio RPMI 1640 suplementado con 1% de suero bovino fetal) durante 6 horas a 37° C. Posteriormente se realizó un lavado de 20 minutos con agua desionizada a temperatura ambiente y finalmente se fijaron con metanol:ácido acético 3:1 durante 30 minutos a 4°C. Una vez obtenidos los parásitos fijados, se colocaron en laminillas SuperFrost (Thermo Fisher Scientific) y se presionaron para disminuir su grosor utilizando un cubreobjetos. Después de montar los cisticercos, se dejaron incubar toda la noche a 4°C y se sumergieron en nitrógeno líquido. Posteriormente, se removió el cubreobjetos intentando no dañar el tejido. Finalmente se permeabilizó durante 5 minutos con PBS-Tritón X-100 (1%) y se tiñó con DAPI 1:500 por 20 minutos para montar los portaobjetos con la muestra en VectaShield (Sigma). Las muestras se visualizaron y fotografiaron en un microscopio de epifluorescencia (Olympus, modelo IX71) y en un microscopio confocal (Nikon, modelo AR1+ STORM).

## **V. Resultados**

### **VI.1 Identificación de células proliferativas de *Taenia crassiceps***

Una característica de las células germinativas que permite su identificación en el tejido larvario es su alta tasa de replicación, por lo tanto, se decidió utilizar una tinción con EdU, que es un reactivo que permite marcar a todas aquellas células que estén incorporando nucleótidos para la síntesis de DNA necesaria en la duplicación celular. Se realizaron estos ensayos utilizando cisticercos de dos cepas de *T. crassiceps*: ORF y WFU. La primera es una cepa mutante que ha perdido la capacidad de formar el escólex y la segunda es una cepa a la que se podría considerar silvestre, ambas son capaces de reproducirse asexualmente mediante gemación. Sin embargo, la velocidad de proliferación es mayor en ORF que en

WFU; la cantidad de gemas en WFU es menor y más lento el proceso de gemación. Las micrografías obtenidas inicialmente por microscopía de epifluorescencia y confocal de la cepa ORF, demostraron un enriquecimiento de células proliferativas en el polo germinal de los cisticercos completos marcados con EdU, específicamente en los sitios donde se encuentran las gemas nacientes y en su parte más distal (Fig. 8 ). En contraste, en el tejido de la vesícula madre, la pared vesicular mostraba una tinción mucho menor de EdU.

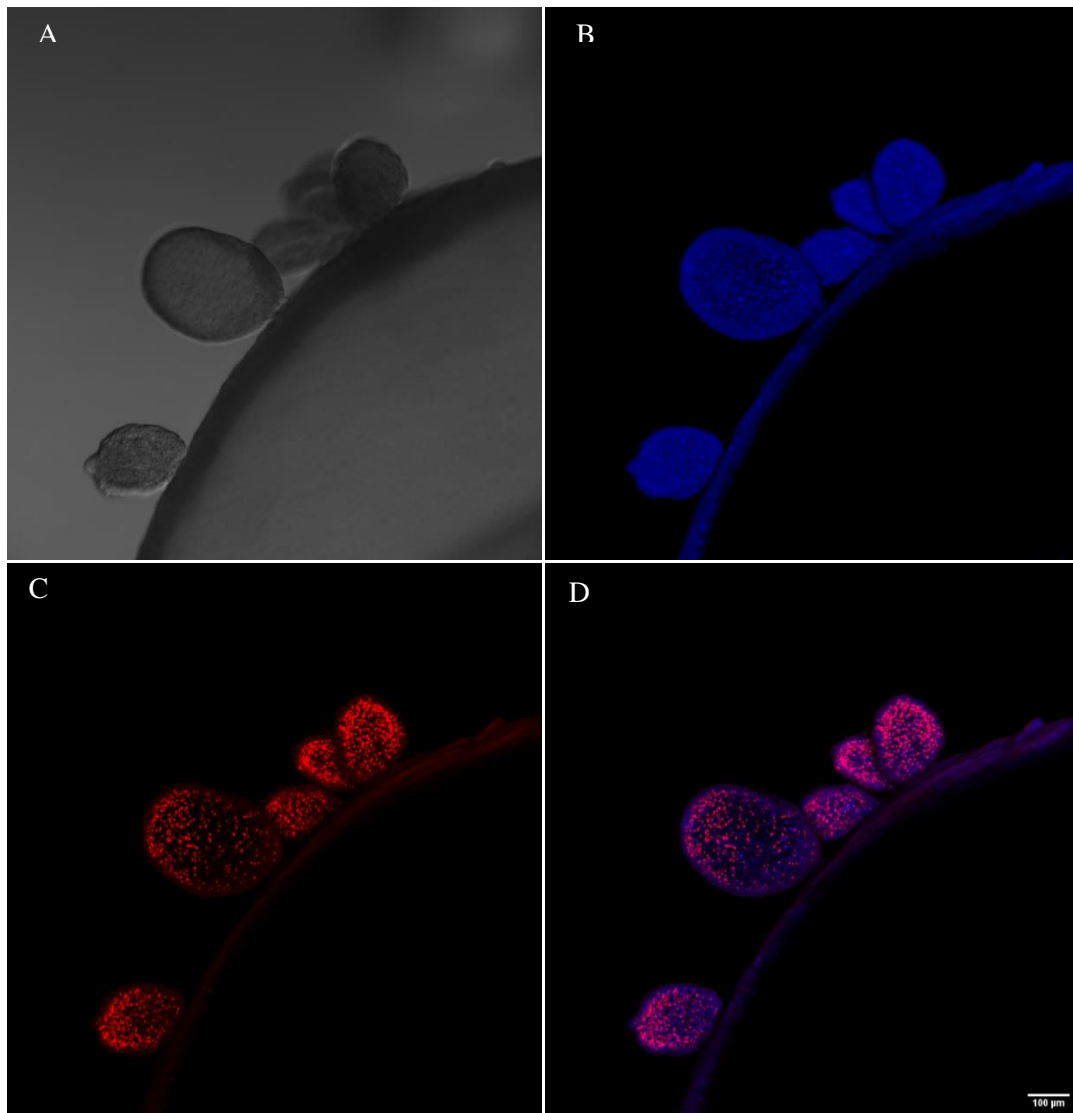


Figura 8. Localización por microscopía confocal de células proliferativas en la pared vesicular de una larva de la cepa ORF de *Taenia crassiceps*. A) Polo germinal mostrando gemas por microscopía confocal DIC; B) imagen equivalente con tinción de DAPI; C) imagen equivalente con tinción de EdU; D) Imagen sobrepuesta de las tinciones con EdU y DAPI. Las micrografías se obtuvieron con un microscopio confocal con los láseres C-FL DAPI (325-375 nm) y C-FL Red (530-560 nm).

En el cisticerco de la cepa WFU la tinción de las gemas con EdU y DAPI fue idéntica a la observada para ORF (teniendo en cuenta que WFU posee una menor cantidad de gemas), sin embargo, se observó también el marcaje con EdU en el escólex (Fig. 9), tanto en las ventosas como en el cono rostelar (Fig. 10). De manera similar a la cepa ORF, la pared vesicular mostró una tinción mucho más pobre del EdU.

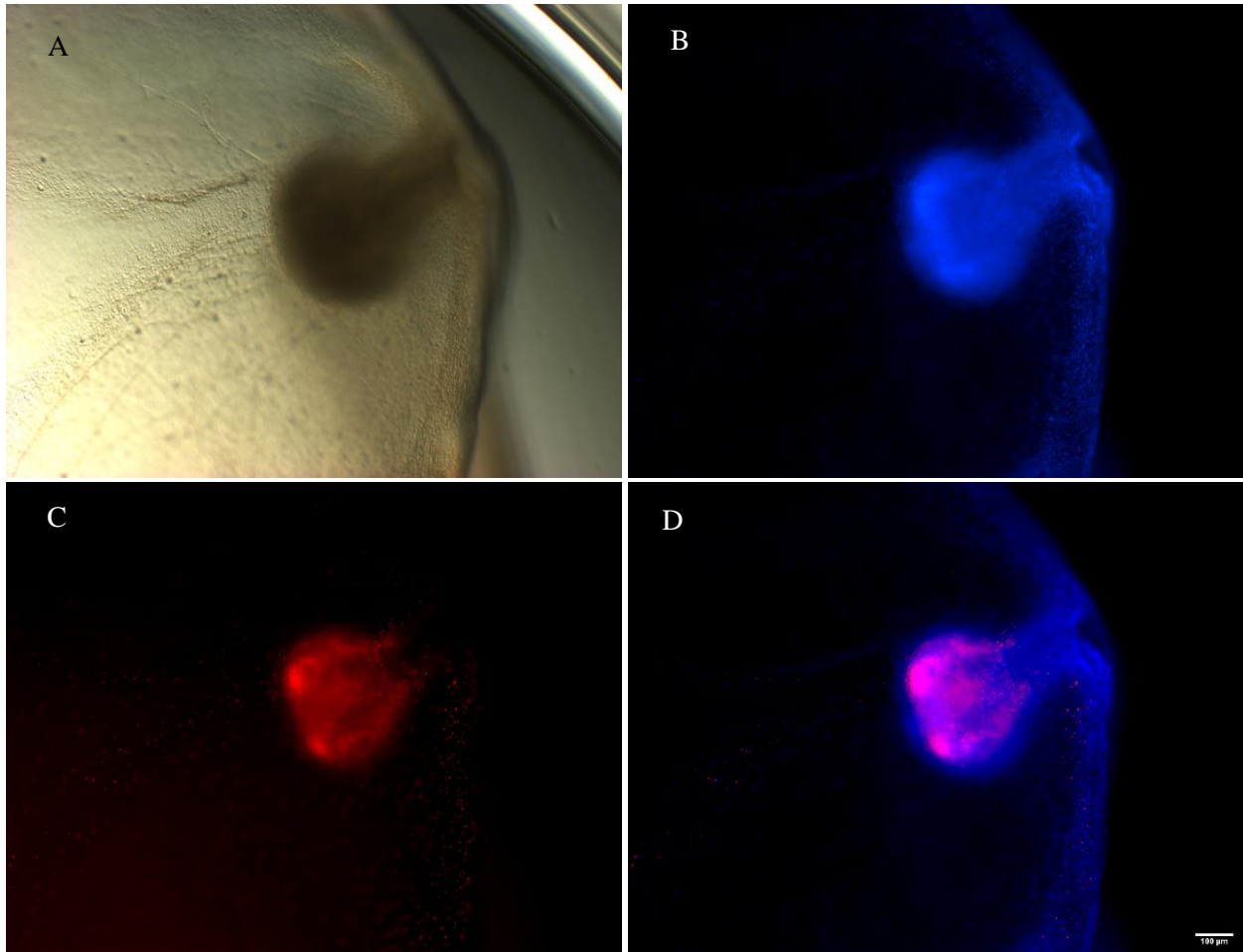


Figura 9. Escólex sin evaginar de cisticerco de la cepa WFU de *Taenia crassiceps*. A) Escólex observado por contraste de fases; B) DAPI; C) EdU; D) Imagen compuesta de EdU y DAPI. Las micrografías se obtuvieron con un microscopio invertido de epifluorescencia con los filtros para observar DAPI (358 nm) y Alexa (568 nm).

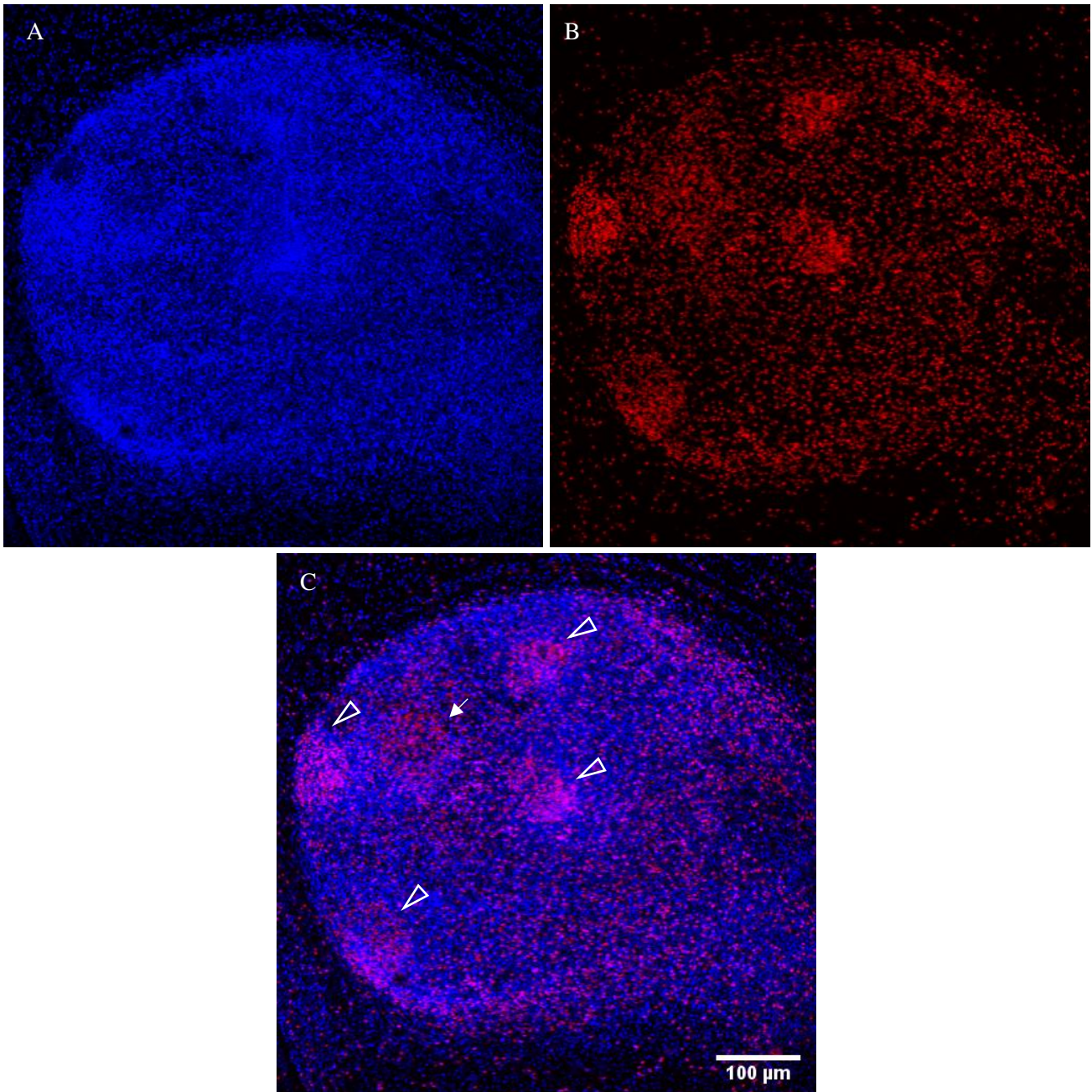


Figura 10. Escólex sin evaginar de cisticerco de la cepa WFU de *Taenia crassiceps*. A) DAPI B) EdU; C) Imagen compuesta de EdU y DAPI. Los triángulos indican la posición de las cuatro ventosas y la flecha muestra el sitio del cono rostellar del escólex del cisticerco. Las micrografías se obtuvieron con un microscopio confocal con los láseres C-FL DAPI (325-375 nm) y C-FL Red (530-560 nm).



## VI.2 Localización de DDX4/VASA (PL10) por inmunofluorescencia en cortes histológicos y montaje completo de cisticerco de la cepa ORF de *Taenia crassiceps*

El marcador VASA que corresponde a una helicasa, ha sido ampliamente utilizado como marcador de células germinales en células de mamífero y de planaria [12, 50]. En platelmintos, VASA corresponde con una familia de proteínas denominadas DDX. En el caso de los céstodos esta familia se denomina PL10. Las inmunofluorescencias realizadas en cortes histológicos de cisticercos con el anticuerpo policlonal  $\alpha$ -DDX4/VASA, mostraron que la proteína está presente en el músculo liso en la región subtegumental de la pared vesicular. Se pueden diferenciar las regiones de la pared vesicular: en la zona más apical se concentra la señal de DAPI (azul) y por debajo de la membrana basal se observa la red de músculo liso (rojo) (Fig. 11). Para comprobar los resultados de los cortes histológicos, se realizó un montaje completo del cisticerco y la morfología observada coincidió con las micrografías de los cortes histológicos, evidenciando la red de músculos lisos en la región subtegumental de la pared vesicular del cisticerco (Fig. 12).

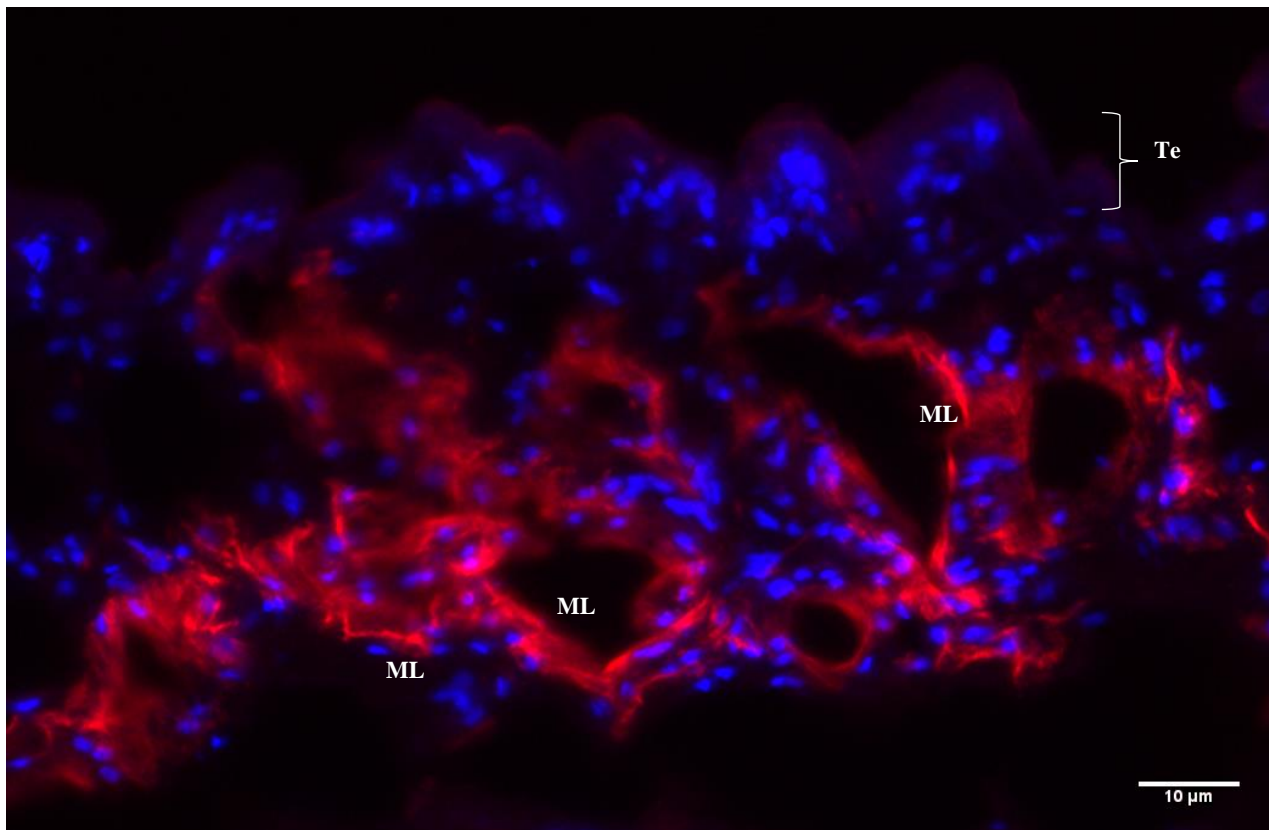


Figura 11. Corte histológico de la pared vesicular de un cisticerco de la cepa WFU de *Taenia crassiceps* marcada con DAPI (azul) y  $\alpha$ -DDX4/VASA (rojo). Te, tegumento; ML, músculo liso. Las micrografías se obtuvieron con un microscopio invertido de epifluorescencia con los láseres para observar DAPI (358 nm) y Alexa (568 nm).

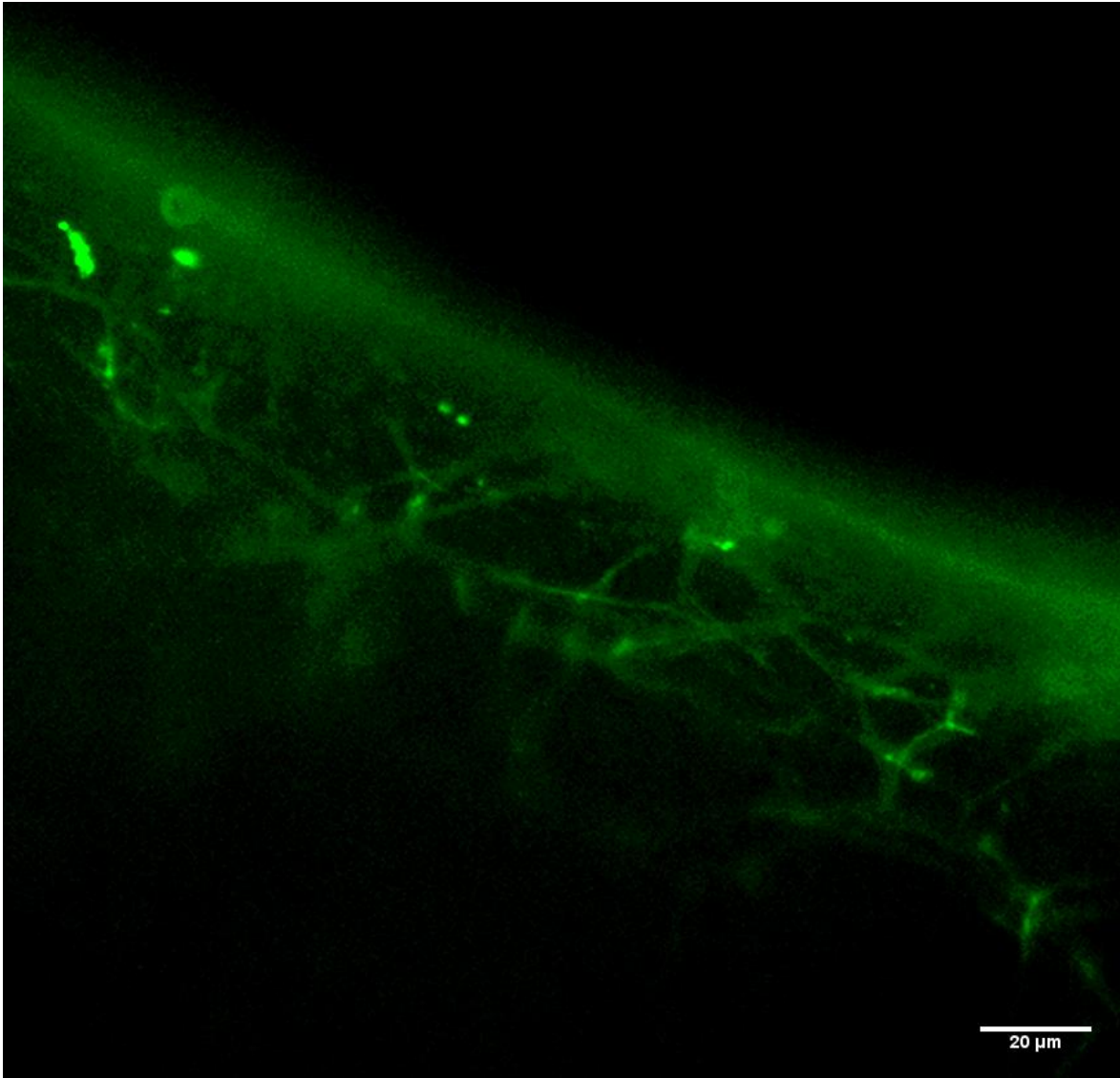


Figura 12. Inmunofluorescencia de la pared vesicular de un cisticerco WFU de *T. crassiceps* utilizando un suero policlonal  $\alpha$ -DDX4/VASA. Se observa la red de músculo liso en la región subtegumental de la pared vesicular. Las micrografías se obtuvieron con un microscopio invertido de epifluorescencia con filtro para FITC (488 nm).

### VI.3 Caracterización por citometría de la suspensión celular obtenida de los cisticercos de *Taenia crassiceps*

La utilización de marcadores generales también podría permitirnos la identificación de células germinativas presentes en el tejido del cisticerco, por ello se intentó el uso de CFDA-SE y DAPI para identificar células individuales por medio de citometría de flujo. El marcador celular CFDA-SE permite el marcaje de esterasas y de otras proteínas intracelulares. Los ensayos de marcaje con CFDA-SE utilizando dos concentraciones (5 y 10  $\mu\text{M}$ ) permitieron discernir la fracción celular de los cisticercos de la cepa ORF de *T. crassiceps* de otros fragmentos tisulares resultantes de la maceración del tejido. La citometría mostró una población de fragmentos que podrían corresponder con citones propiamente dichas, así como otros fragmentos que podrían corresponder con restos celulares (Fig. 13). Desafortunadamente no se logró realizar el ensayo equivalente con los cisticercos de la cepa WFU.

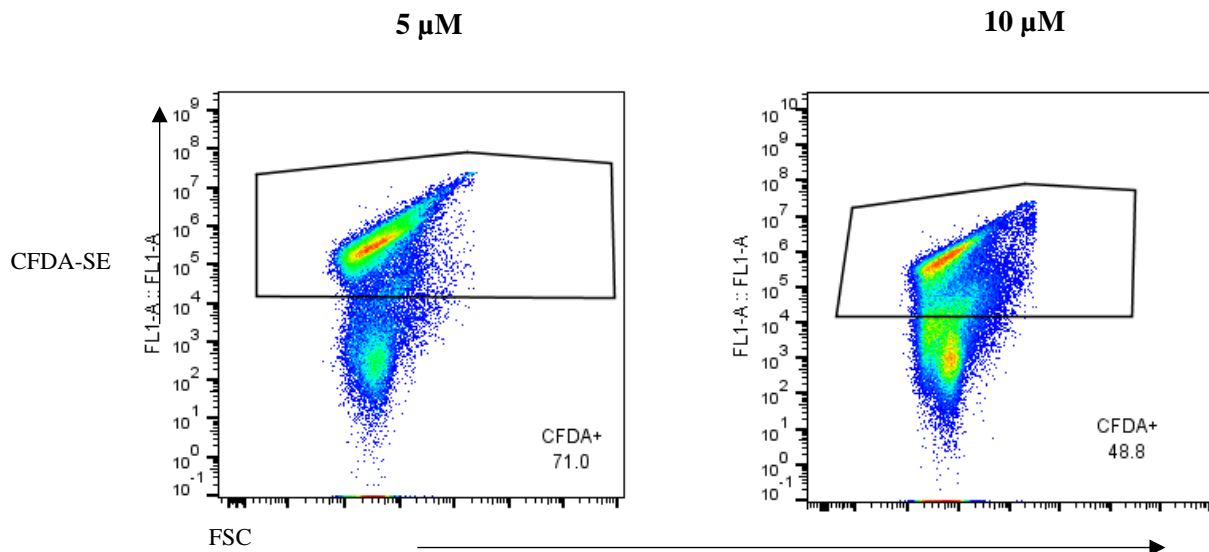


Figura 13. Células de cisticercos de la cepa ORF teñidas con dos concentraciones de CFDA-SE.

Se utilizó también la tinción por DAPI que permitió observar las distintas fases del ciclo celular en ambas cepas. En las figuras 15 y 16 se muestran los resultados correspondientes para ORF y WFU, respectivamente. Resultados preliminares mostraron que el 63 al 76% de la población muestreada se encontraba en fase G1, el 7 al 9% en fase S y el 15 al 19% en fase G2 en la cepa ORF. También se obtuvieron las poblaciones para la fase G1 (75-77.7%), S (5.09-8.24%) y G2 (13-14.5%) de la cepa WFU (Fig. 15). Sin embargo, la validación de estos porcentajes requiere análisis más exhaustivos.

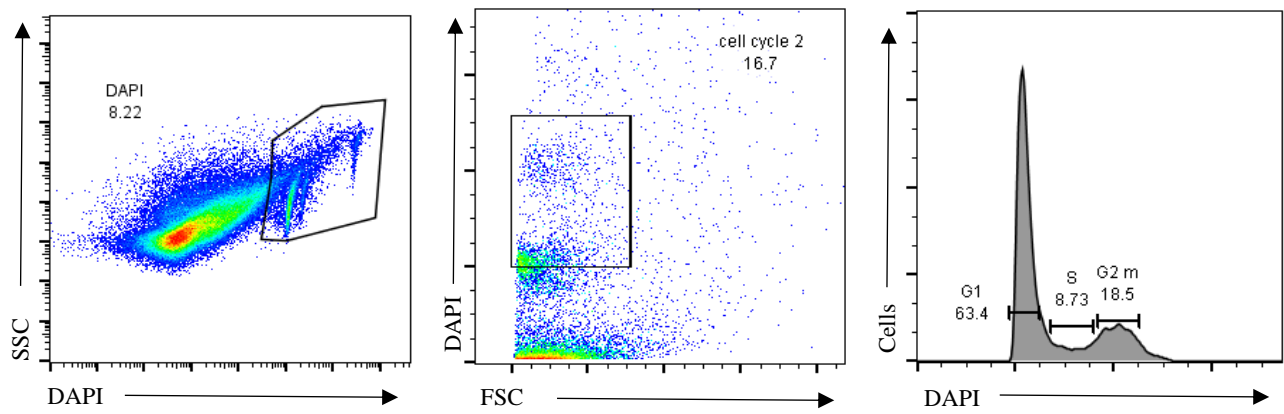


Figura 14. Células de cisticercos de la cepa ORF, DAPI+ en tres fases distintas del ciclo celular.

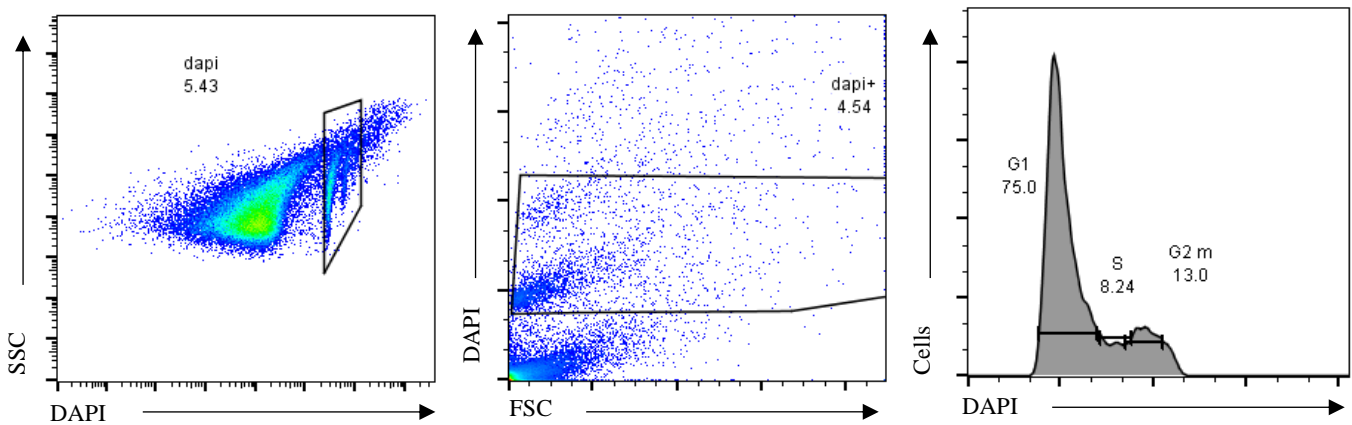


Figura 15. Células de cisticercos de la cepa WFU, DAPI+ en tres fases distintas del ciclo celular.

Finalmente, también se realizaron tinciones con un anticuerpo dirigido a DDX4/VASA de la suspensión celular obtenida a partir de la maceración de los cisticercos. La población celular positiva al anticuerpo se identificó y cuantificó, encontrándose que sólo una proporción pequeña del total de células aisladas (3.84%) en la cepa ORF podría corresponder a la presencia de citones positivos a DDX4/VASA. En la cepa WFU se encontró una población de citones DDX4/VASA+ de 3.66% (Fig. 16). En la figura 16 A y B se debe considerar que los citones positivos a DDX4/VASA sólo incluyen las indicadas dentro del rectángulo y marcadas con color rojo.



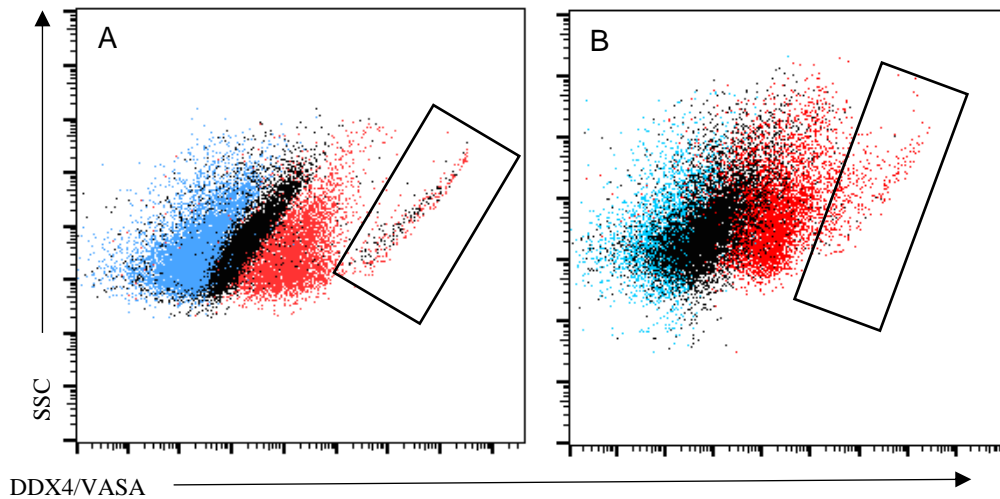


Figura 16. Citometría de flujo de la suspensión celular obtenida por aislamiento celular de los tejidos de los cisticercos WFU y ORF. A: ORF y B: WFU. Se muestran resultados de un experimento representativo. Azul: control sin teñir; Negro: anticuerpo secundario; Rojo: VASA+

En resumen, los resultados de citometría utilizando los marcadores CFDA-SE y DAPI, permitieron identificar citones individuales a partir de los cisticercos macerados. Este es un resultado de gran interés considerando que se trata de un tejido sincicial que resulta después del tratamiento que aplicamos en la producción de fragmentos de los citones. En particular la tinción con DAPI permitió la diferenciación de las diferentes etapas del ciclo celular. Es concebible que la depuración de esta técnica permita realizar la separación de citones individuales por FACS con base en marcadores de la línea germinal que se hayan utilizado en otros platelmintos o también con EdU. Es necesario señalar que para desarrollar una línea celular estable también es necesario el establecimiento de condiciones de cultivo óptimas, así como la obtención de una suspensión celular limpia. Una gran parte de nuestras fracciones celulares obtenidas está conformada por corpúsculos calcáreos, lo que podría limitar la obtención de una línea celular germinal.

#### VI.4 Cultivo de la suspensión celular obtenida de los cisticercos de *Taenia crassiceps*

El tratamiento de los tejidos de cisticerco con EDTA-Tripsina permitió el aislamiento y cultivo de citones viables por hasta 14 días. La suspensión celular obtenida estuvo compuesta por numerosos fragmentos celulares, corpúsculos calcáreos y una gran cantidad de otras estructuras que no es posible diferenciar por su morfología. La mayoría de los citones obtenidos eran muy pequeños (1-2  $\mu\text{m}$ ) y poseían un núcleo grande y muy poco citoplasma. Para la obtención de la fracción celular de los cisticercos de ambas cepas se llevó a cabo un proceso de centrifugación diferencial.

Inicialmente, la fracción se centrifugó a 2,000 rpm durante 5 minutos para sedimentar la mayor parte de los corpúsculos calcáreos (Fig. 17). Posteriormente se llevó a cabo una centrifugación a 4,000 rpm que permitió obtener una población heterogénea de fragmentos, citones y unos pocos corpúsculos calcáreos (Fig. 18 A). Posteriormente, esta fracción heterogénea fue cultivada *in vitro* por 14 días lográndose observar algunos agregados celulares (Fig. 18 B). En resumen, logramos establecer las condiciones para la disgregación y separación de citones de los cisticercos, sin embargo, no se logró mantener los cultivos por más tiempo debido a problemas de contaminación bacteriana. Asimismo, debido a las limitaciones sufridas durante el confinamiento por la pandemia, no fue posible llevar a cabo los experimentos con los cisticercos de la cepa WFU.

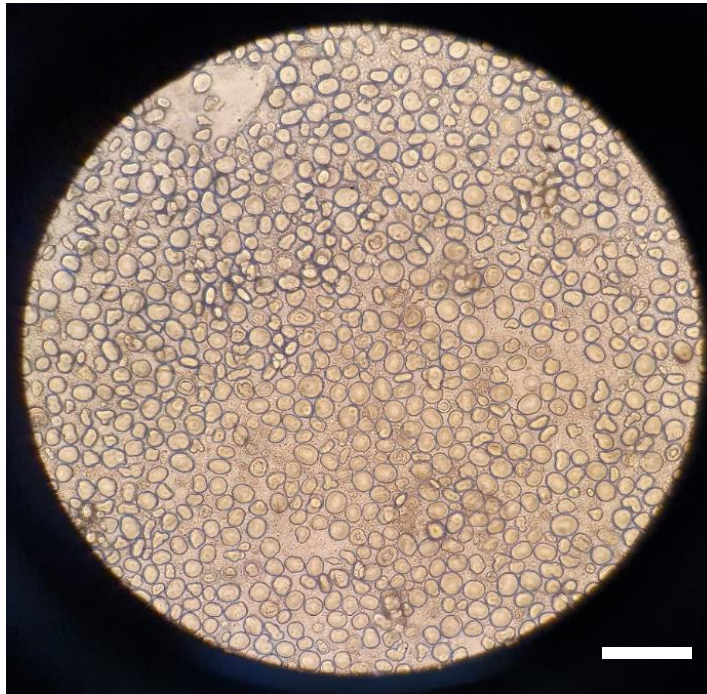


Figura 17. Corpúsculos calcáreos obtenidos por centrifugación del lisado del tejido de cisticerco de la cepa ORF a 2,000 rpm durante 5 minutos. Microscopía de campo claro, 40X. (Escala aproximada 5  $\mu$ m)

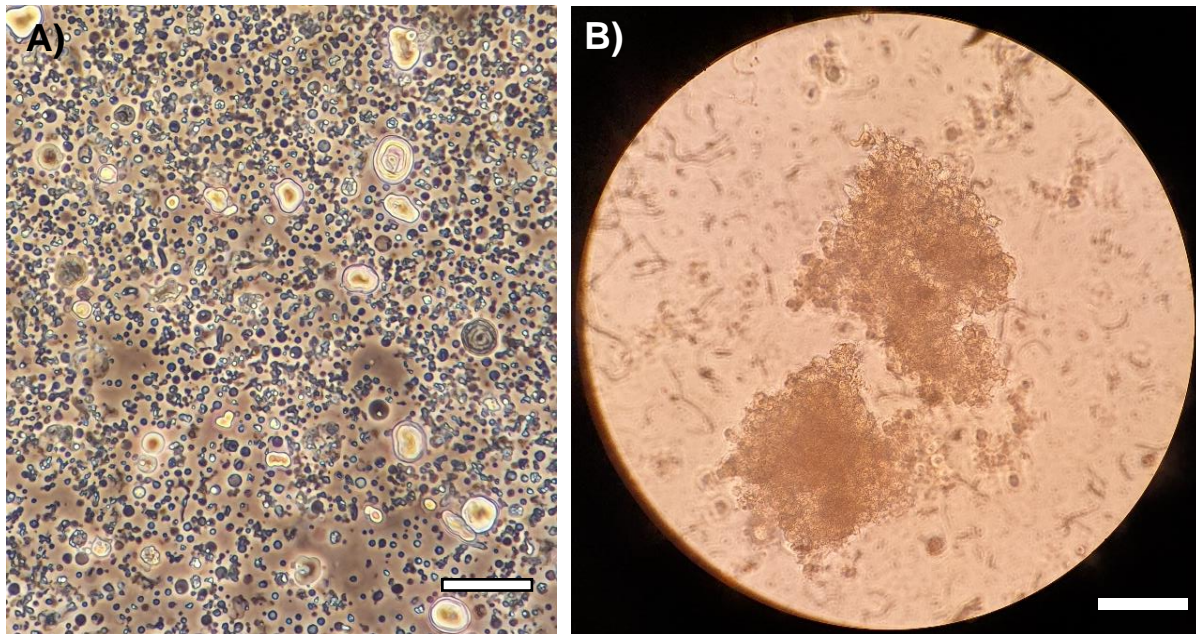


Figura 18. Fracción celular obtenida de los cisticercos de *Taenia crassiceps*. Después de la eliminación de la mayor parte de los corpúsculos calcáreos, se llevó a cabo una centrifugación a 4.000 rpm. A) Fracción heterogénea formada por citones, diversos fragmentos celulares y una pequeña cantidad de corpúsculos calcáreos; B) Agregados celulares después de 14 días en cultivo. Microscopía de campo claro, 40x (Escala aproximada 5  $\mu$ m)

### VI.5 Cariotipo de *Taenia crassiceps*

La información genómica de diferentes platelmintos parásitos ha sido publicada recientemente y ha permitido conocer más a fondo las adaptaciones genéticas para sobrevivir dentro de sus hospederos [14, 62]. Para complementar la información genómica que se ha obtenido de *T. crassiceps*, se realizó un registro fotográfico de los cromosomas de células mitóticas que probablemente formen parte del sistema de citones proliferativos en el cisticerco de las cepas ORF y WFU. El único registro previo discute que la diferencia entre ambas cepas radica en una aneuploidía. Específicamente, se ha propuesto una nulisomía del par cromosómico II en la cepa ORF con respecto a la cepa KBS (la cual también puede ser considerada silvestre). De un total de 602 micrografías se pudo realizar un conteo de los cromosomas. Se hallaron diferentes “juegos cromosómicos” en el tegumento de ambas cepas y se determinó su frecuencia. A pesar de la variabilidad del número cromosómico, la moda siempre fue de 16 cromosomas como se había reportado antes, sin embargo, se pudieron hallar casos de 17 y 18 cromosomas en ambas cepas los cuales están

representados por  $2n=16$ ,  $2n+1=17$  y  $2n=18$  (Figs. 19 y 20). En ninguna de las fotografías se observaron 14 cromosomas como se había descrito previamente.

Debido al tamaño de los cromosomas de *T. crassiceps* solamente se pudo realizar el conteo y no la descripción morfológica de los cromosomas ya que son de un tamaño menor al que permite obtener una buena resolución mediante microscopía óptica y confocal. Solamente se logró completar el apareamiento en una célula con 17 cromosomas, en la cual únicamente hace falta un integrante de uno de los pares (Fig. 21). No obstante, hacen falta experimentos para determinar si las observaciones realizadas por microscopía de epifluorescencia no son un artefacto debido a la manipulación del tejido y por la falta de resolución en las fotografías.



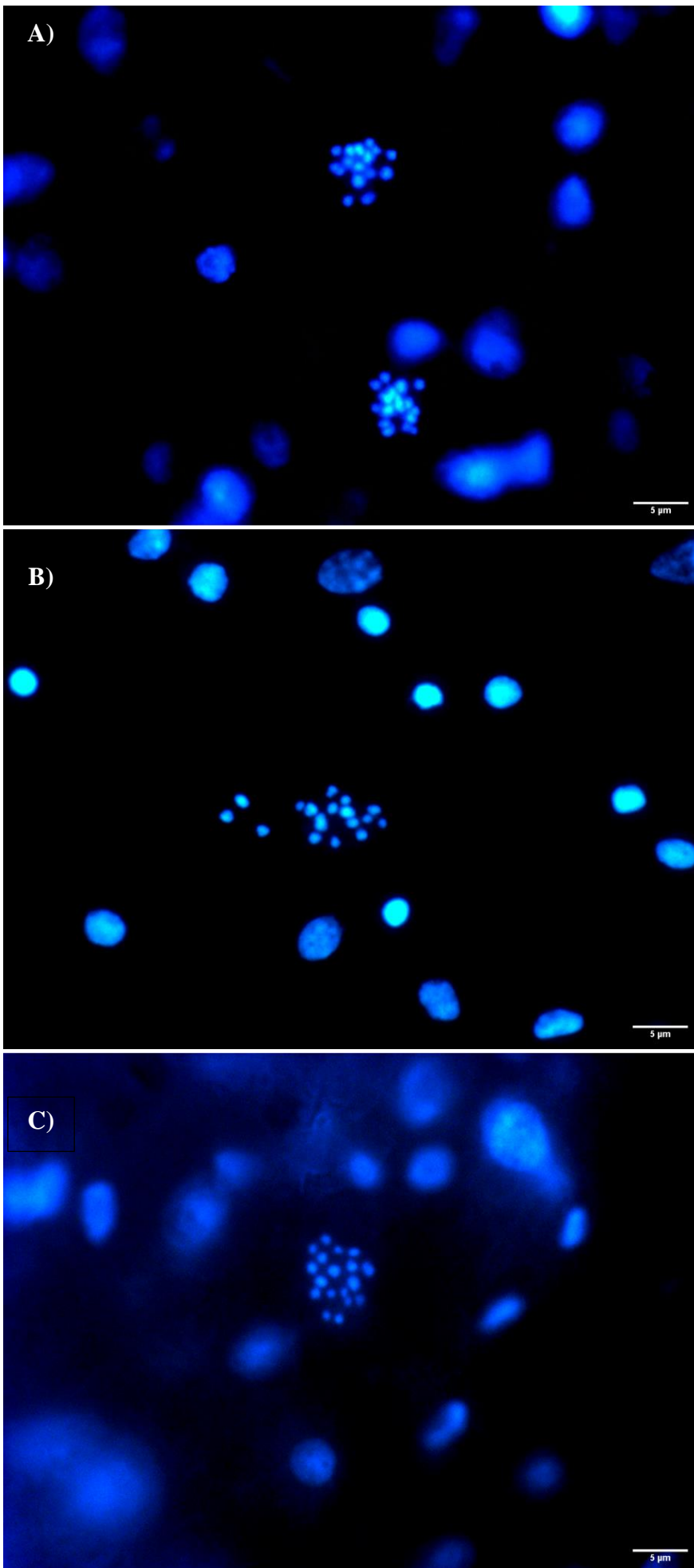


Figura 19. Micrografías representativas de los distintos números cromosómicos hallados en los cisticercos de la cepa ORF. Se pudieron observar tres juegos distintos de cromosomas en células mitóticas ubicadas en el tegumento. A)  $2n=16$ , B)  $2n+1=17$  y C)  $2n=18$ . Microscopía de epifluorescencia, 100x.

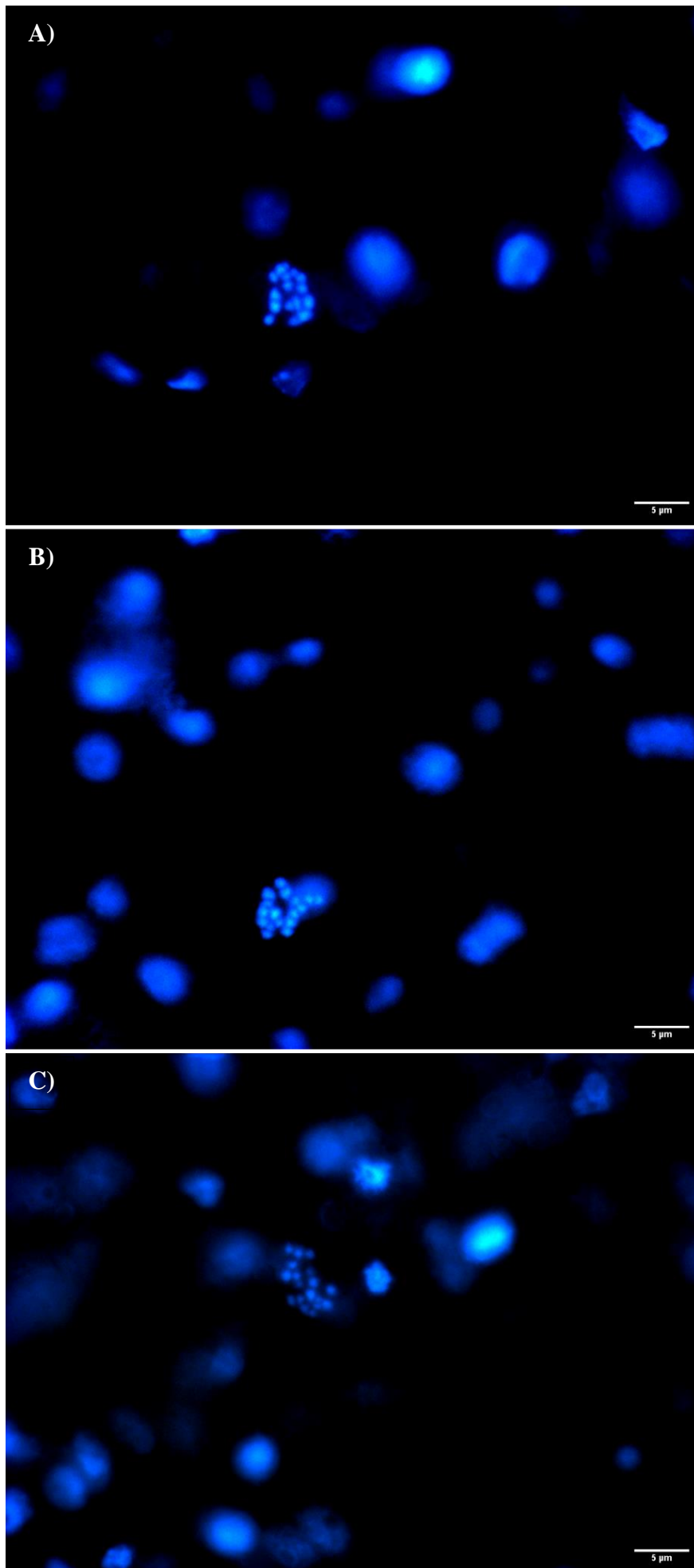


Figura 20. Micrográficas representativas de los distintos números cromosómicos hallados en los cisticercos de la cepa WFU. Se pudieron observar tres juegos distintos de cromosomas en células mitóticas ubicadas en el tegumento. A)  $2n=16$ , B)  $2n+1=17$  y C)  $2n=18$ . Microscopía de epifluorescencia, 100x.

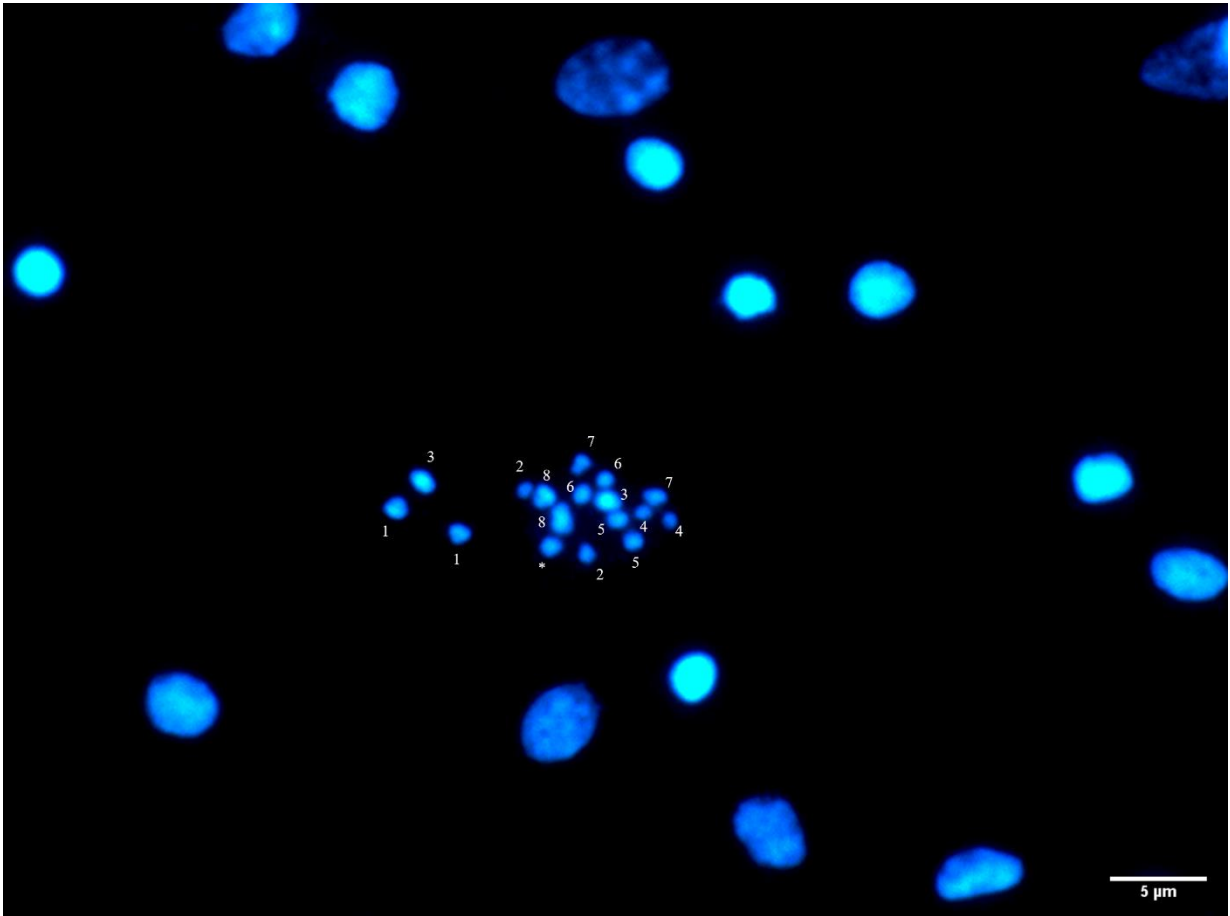


Figura 21. Cromosomas mitóticos apareados de *T. crassiceps*. Se muestran los distintos pares de cromosomas visualizados bajo microscopía de fluorescencia en el tegumento de un cisticerco de la cepa ORF. Se distingue claramente la falta de un par cromosómico resultando en una ploidía de  $2n+1=17$ . Microscopía de epifluorescencia, 100x

## VI. Discusión

Los platelmintos tienen una extraordinaria capacidad regenerativa debida a la abundante presencia de células troncales en sus tejidos. Desde hace varios siglos se conoce el ejemplo de las planarias que son capaces de regenerar porciones considerables del cuerpo y tejidos especializados [43]. En estos organismos, a las células troncales se les ha denominado células germinativas, que han sido caracterizadas principalmente en turbelarios [3]. El interés por el estudio de la capacidad regenerativa de los platelmintos se ha extendido a la descripción de células multipotenciales en embriones, formas larvarias y en adultos, incluyendo algunos parásitos platelmintos, que han recibido considerable atención como

agentes causales de enfermedad [28, 29, 33, 37, 47, 65]. Se han utilizado como modelos experimentales para el estudio de la respuesta inmunológica, para el desarrollo de vacunas o tratamientos experimentales, entre otros temas. A pesar de que se había perdido el interés por el estudio de su biología básica, recientemente se ha retomado la experimentación en tremátodos, e incluso en céstodos para el aislamiento y descripción de las células germinativas o troncales [28, 29, 37, 47].

Las principales técnicas para el estudio de los sistemas de regeneración se basan en la eliminación de células replicativas por medio de irradiación, cultivo con hidroximetilurea o silenciamiento de genes asociados al fenotipo germinal. Además, se han utilizado marcadores de replicación como análogos de nucleótidos (*v. gr.* BrdU, EdU), sondas para hibridación (FISH) o citometría de flujo y más recientemente por scRNA-seq. Esto ha resultado en el establecimiento de líneas celulares capaces de regenerar larvas completas e infecciosas en *Echinococcus granulosus* y en *Echinococcus multilocularis* [1, 2, 15, 22, 59]. En nuestro grupo de investigación se ha decidido obtener una línea celular de *T. crassiceps*, para la experimentación *in vitro*. Es por ello que se ha realizado una revisión exhaustiva de los antecedentes donde se reportan células replicativas en el cisticerco o en el adulto y también en *T. solium*, ya que poseen características fisiológicas similares entre ambas especies.

Los resultados de varios autores demuestran la presencia de células que incorporan los análogos de nucleótidos en la pared vesicular, en el cuello y en el escólex del cisticerco [37, 53, 65]. También se ha intentado realizar previamente la regeneración de un cisticerco completo de *T. crassiceps* mediante la inyección de agregados celulares en el peritoneo del ratón [61]. Estos antecedentes respaldan la idea de que *T. crassiceps* posee citones con capacidades del sistema germinal. Además de la incorporación de timidina tritiada, BrdU o EdU, se han propuesto marcadores de línea germinal como vasa, piwi o tudor los cuales se han empleado en otros platelmintos [40, 50, 56]. En *T. crassiceps* no existen reportes del empleo de marcadores de la línea germinal.

En el presente proyecto de tesis se diseñó un plan de trabajo para abordar tres preguntas principales acerca de la biología básica de *T. crassiceps*:

1.- ¿Dónde se encuentran las células replicativas en el tejido de cisticercos de las cepas WFU y ORF?

2.- ¿Se pueden utilizar EdU, DAPI y DDX4/VASA como marcadores de células proliferativas y de línea germinal?

3.- ¿Es posible desarrollar un procedimiento para el aislamiento y cultivo eficiente de células de la línea germinal?



La primera aproximación para demostrar la presencia y localización de células replicativas en el cisticerco de *T. crassiceps* consistió en la utilización de EdU. La incorporación de este análogo de timidina demostró que en el tejido del cisticerco existe una población de citones altamente replicativa (Figs. 8, 9, 10 y 11). La abundancia de la tinción con EdU en las gemas nacientes del cisticerco destacan la importancia las células replicativas en la reproducción asexual de la larva. Es probable que la localización de una población de células replicativas en esta región esté relacionada con la demanda biosintética del tejido para el desarrollo de una nueva larva. En la pared vesicular también se observó la incorporación de EdU, aunque en menor abundancia que en las gemas. La tinción en esta región puede ser debida a la presencia de una población de células progenitoras a partir de la cual se desarrollan varios tipos celulares, incluyendo los citones subtegumentales, que son abundantes en la pared vesicular. Este progenitor “multipotencial” podría estar involucrado en la reproducción asexual de la larva y también en el recambio constante de citones que conforman el tejido del cisticerco, como se ha descrito en otros platelmintos [4, 28, 29 y 47].

Estas primeras evidencias están respaldadas por los experimentos antes mencionados de incorporación de análogos radiactivos o de BrdU, que coinciden con la distribución del marcaje en el cuello, escólex y pared vesicular. En el caso de *E. multilocularis*, el cual es un céstodo filogenéticamente cercano con *T. crassiceps* y *T. solium*, se ha observado que las células que incorporan EdU están presentes en la capa germinal, a partir de la cual se desarrollan no solo las cápsulas hijas y los protoescólices, sino también los demás tipos celulares del quiste hidatídico [29].

Una vez confirmada la presencia y la localización de las células replicativas en el tejido del cisticerco, se procedió a utilizar vasa que es un marcador de línea germinal en planaria [50]. La proteína VASA es una helicasa de RNA que en el caso de los taénidos como *T. crassiceps* corresponde a una familia de genes conocida como pl10. En nuestro grupo se ha utilizado un anticuerpo dirigido a VASA de mamíferos que resultó en el reconocimiento de una proteína de aproximadamente 100 kDa, la cual se localizó en la musculatura de la zona subtegumental de la larva (Fig. 12 y 13). El análisis reciente de espectrometría de masas llevado a cabo purificando la banda reconocida por los anticuerpos anti-VASA, confirmó que la proteína de alrededor de ~100 kDa no corresponde a la familia pl10 sino a paramiosina, que es una proteína de 110 kDa asociada funcionalmente al complejo actina-miosina en el músculo de los invertebrados; cuya función permite el mantenimiento del tono muscular sin gasto energético [63]. Su reconocimiento por el anticuerpo parece ser un resultado espurio y podría deberse a su capacidad para unir C1q que a su vez se une a la porción Fc de las inmunoglobulinas [30]. Por lo tanto, se debe descartar el uso de anticuerpos anti-DDX4/VASA para la identificación de células de línea germinal en cisticercos de *T. crassiceps*.

También se realizaron intentos para identificar poblaciones celulares en el tejido del cisticerco a través de citometría de flujo, haciendo uso de los marcadores fluorescentes CFDA-SE y DAPI. El primero permite un marcaje intracelular mediante su unión a residuos amino de diversas proteínas, mientras que el segundo permite la visualización de DNA. El marcaje con CFDA-SE resultó en la separación parcial de poblaciones celulares heterogéneas del cisticerco (Fig. 14), sin permitir la separación de poblaciones específicas. Al respecto, se debe considerar que el tejido de la larva es un sincicio y por lo tanto este marcador puede incluir restos de tejido con tamaños similares a los citones. Es por ello que la utilidad de CFDA-SE es limitada y representa una opción poco eficiente para la separación de citones individuales. En contraste, el DAPI permitió la visualización de citones individuales en las tres fases del ciclo celular: G1, S, G2/M (Figs. 15 y 16). A pesar de que el DAPI permitió mejorar la separación de las poblaciones celulares, también se observó una gran cantidad de restos celulares o de fragmentos de tejido. Para futuros experimentos de citometría de flujo se requiere adaptar una metodología adicional que permita “limpiar” la suspensión celular de escombros y de esta manera enriquecer el número de citones totales. Como estrategia adicional para observar las poblaciones germinales del cisticerco, se había utilizado el marcaje con el anticuerpo contra DDX4/VASA, dando como resultado una fracción poco numerosa de eventos positivos a este marcador (Fig. 17). Esto probablemente se deba a que el anticuerpo reconoce la musculatura del cisticerco, como se demostró antes (Figs. 12 y 13).

Después de haber estudiado las poblaciones de células replicativas mediante el marcaje con EdU, DDX4/VASA, CFDA-SE y DAPI, se intentó realizar cultivos celulares a partir de los macerados de cisticercos. Las suspensiones celulares obtenidas permitieron el mantenimiento del cultivo hasta por 14 días. Este resultado es alentador ya que sugiere que las condiciones de cultivo empleadas son razonablemente adecuadas. Los agregados celulares resultantes no se mantuvieron durante más tiempo por problemas de contaminación, sin embargo, fueron muy parecidos a los obtenidos previamente [61]. Estos agregados probablemente son una mezcla de citones y de fragmentos tisulares resultantes de la maceración del tejido. Asimismo, se obtuvo una gran proporción de corpúsculos calcáreos en la suspensión celular, por lo que la pureza de los citones que se obtuvieron no fue óptima. Se necesitará eliminar del macerado obtenido a los corpúsculos calcáreos en experimentos futuros, que van más allá del alcance de esta tesis de licenciatura para implementar un método de selección más eficiente de las células proliferativas del cisticerco. Cabe mencionar que el desarrollo de este proyecto de tesis está planeado como una etapa inicial de mi formación como investigador que será continuado durante mis estudios de posgrado.

Como parte de mi estancia en este grupo para realizar la tesis de licenciatura tuve también la oportunidad de participar en el equipo que elucidó el genoma de las cepas WFU y ORF de *T. crassiceps*. Ambas cepas han sido ampliamente utilizadas como sistemas modelo para el estudio de una diversidad de aspectos de la cisticercosis que se describieron en la introducción. En particular, se ha debatido la idea de que la cepa ORF perdió la capacidad de formar el escólex debido a un evento genómico catastrófico; se ha propuesto que esta cepa perdió el par II cromosómico, resultando en una variante aneuploide. Sin embargo, durante el desarrollo del proyecto de caracterización genómica quedó claro que las diferencias entre ambas cepas son mínimas, lo que indica que la cepa ORF no perdió un par cromosómico. Para confirmar este hallazgo se decidió llevar a cabo la determinación del cariotipo de WFU y ORF. Por consiguiente, en la presente tesis se describe también la metodología y los resultados de la caracterización de los cariotipos.

La incubación de las larvas con colchicina nos permitió observar figuras mitóticas en el tejido. Las micrografías obtenidas representan pruebas de la presencia de células mitóticamente activas que pueden formar parte del sistema germinal en el cisticerco (Figs. 20 y 21). También se contabilizaron los cromosomas encontrados en cada una de las cepas por la técnica de dispersión de cromosomas (chromosome spreading) en el contexto del tejido completo (Tabla 2). Se encontraron en ambas cepas células con 16, 17 y 18 cromosomas, representados por las ploidías  $2n$  o  $2n+1$ , en ambas cepas (ORF y WFU). Una posible explicación de este hallazgo es que el número cromosómico de *T. crassiceps* sea variable, como se ha reportado en distintos platelmintos parásitos [58], específicamente en céstodos. Otra hipótesis es que *T. crassiceps* posea cromosomas B, los cuales son fragmentos que se han separado de las cromátides originales con algunos genes [57]. Los cromosomas B pueden estar presentes con una frecuencia de entre 1 a 10 fragmentos extra y por lo tanto afectan la ploidía observada del organismo cuando se realiza el conteo por microscopía. Cualquiera de las dos hipótesis están sujetas a comprobación. Sin embargo, para los objetivos de los proyectos genómicos, lo que vale la pena resaltar es que no se observaron diferencias en los cariotipos de ambas cepas. Cabe destacar, que a pesar de que se han publicado diferentes reportes sobre el cariotipo de una misma especie de céstodo, no siempre coinciden las ploidías observadas. Hasta el momento no hay más publicaciones que indaguen en este fenómeno acerca de la variabilidad de los cariotipos en platelmintos parásitos, específicamente en céstodos. Las micrografías obtenidas de las células replicativas marcadas con EdU en el tejido del cisticerco de *T. crassiceps* sugieren la existencia de una población o poblaciones de citones involucradas en el constante recambio celular del tejido. Por ello, la búsqueda de marcadores de la línea germinal, como se planteó originalmente, se mantiene como un objetivo válido, que pretendo abordar durante mi proyecto de posgrado.

En resumen, los resultados aquí descritos tienen una gran similitud con otros obtenidos previamente en *T. crassiceps* mediante la incorporación de BrdU, que demostraron una incorporación similar a los ensayos realizados con EdU en el tejido larvario. Esto permitió describir a detalle la localización de esta población replicativa en las gemas nacientes y en las ventosas del escólex, así como en otros organismos, incluyendo la larva de *E. multilocularis* que tiene tipos celulares similares también identificados mediante incorporación de EdU o mediante la depleción de esta población celular por métodos químicos (hidroxiurea). Asimismo, nuestros resultados son similares a los descritos para las formas adultas de *T. solium*, *H. diminuta* y *M. corti*. Además, como se detalló arriba, se descarta el uso de anticuerpos específicos para el marcador vasa de mamíferos. Finalmente, los resultados de la caracterización cariotípica demostraron que no hay diferencias en el número cromosómico entre las cepas WFU y ORF; similarmente a lo observado en otros organismos céstodos.

## VII. Conclusiones

- Se logró identificar citones replicativos mediante la incorporación de EdU en las larvas pertenecientes a las cepas WFU y ORF de *T. crassiceps* y se describieron sus diferencias con respecto al órgano de sujeción y el polo de gemación.
- Se determinó la localización de las células positivas a DDX4/VASA en cortes histológicos y en la larva completa, determinando que el marcaje ocurre en la musculatura del cisticerco. Estos hallazgos descartan la utilidad de esta proteína como marcador de células germinativas.
- Se visualizaron las poblaciones celulares del cisticerco mediante citometría de flujo, utilizando marcadores como CFDA-SE y DAPI, que permitió identificar citones en diferentes fases del ciclo celular (G1, S y G2/M).
- Se aislaron y se mantuvieron *in vitro* los citones aislados del tejido del cisticerco de *T. crassiceps* durante 14 días y se logró la formación de agregados.
- Finalmente se visualizaron los cromosomas de *T. crassiceps*, tanto en la cepa silvestre (WFU) y en la cepa mutante (ORF) y se determinó la frecuencia de los distintos juegos cromosómicos encontrados en las distintas células replicativas que se localizan en el tegumento del cisticerco.

Estos hallazgos son de utilidad para futuros esfuerzos de establecer una línea de células germinativas del cisticerco de *Taenia crassiceps*.

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## IX. Anexos

### Manuscritos publicados

1.- Ríos-Valencia D. G., Navarrete-Perea J., **Calderón-Gallegos A.**, Flores-Bautista J. y Laclette J. P. (2021). To Be or Not to Be a Tapeworm Parasite: That Is the Post-Genomic Question in “*Taenia solium* Cysticercosis, Current State of the Art in Cysticercosis and Neurocysticercosis”, J. Morales-Montor, A. Landa and L.I. Terrazas (editores), IntechOpen (London, UK).

2.- Bobes R. J., Estrada K., Rios-Valencia D. G., **Calderón-Gallegos A.**, De La Torre P., Carrero J. C., Sánchez-Flores A y Laclette J. P. (2022). *The genomes of two strains of Taenia crassiceps the animal model for the study of human cysticercosis. Frontiers in Cellular and Infection Microbiology.*

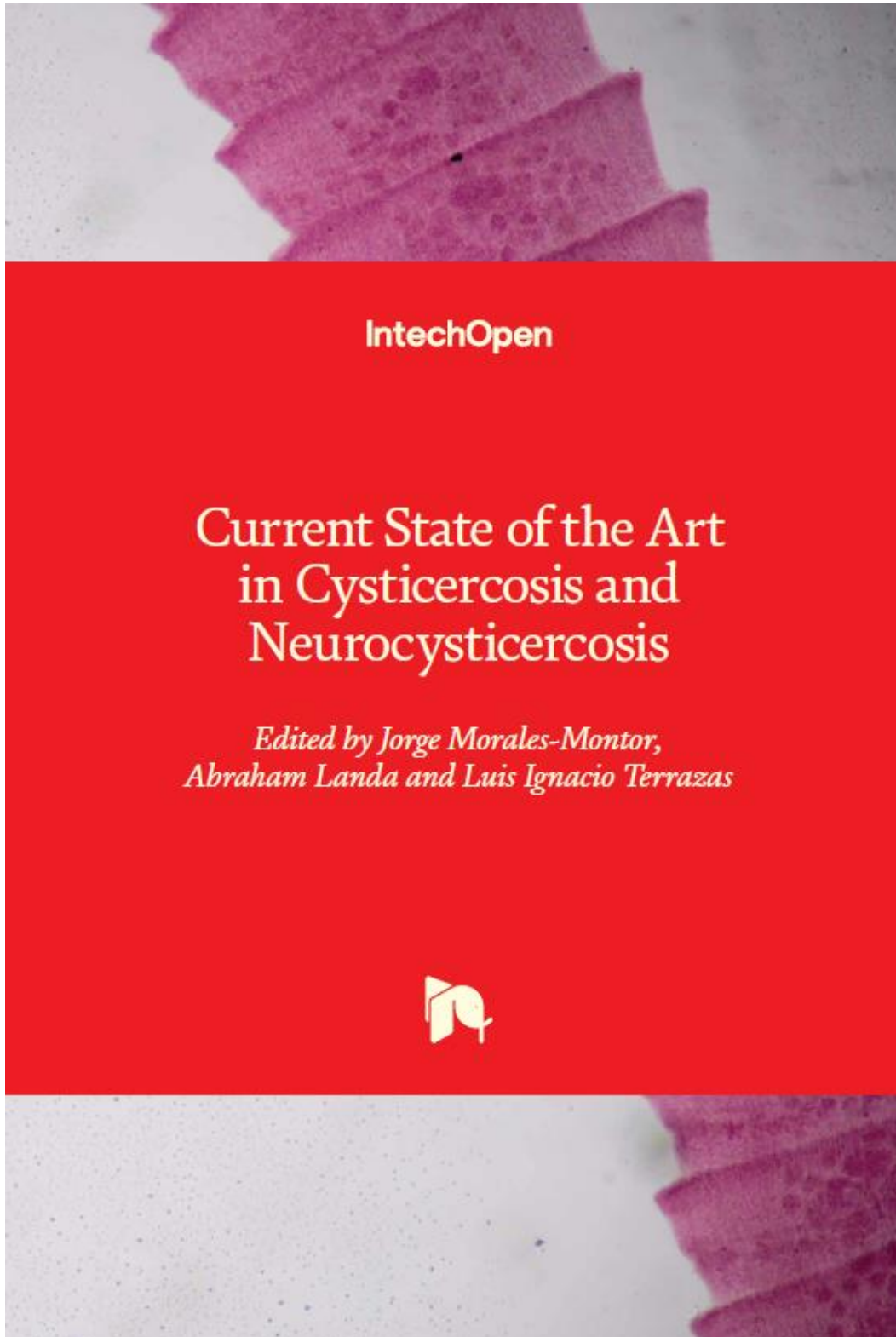
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## Anexo 1



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# Current State of the Art in Cysticercosis and Neurocysticercosis

*Edited by Jorge Morales-Montor,  
Abraham Landa and Luis Ignacio Terrazas*

Published in London, United Kingdom

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# Contents

<b>Preface</b>	<b>XIII</b>
<b>Section 1</b>	
Clinical Cysticercosis	<b>1</b>
<b>Chapter 1</b>	<b>3</b>
Cardiac Cysticercosis: Current Trends in Diagnostic and Therapeutic Approaches <i>by Ane Karoline Medina Néri, Danielli Oliveira da Costa Lino, Sara da Silva Veras, Ricardo Pereira Silva and Geraldo Bezerra da Silva Júnior</i>	
<b>Section 2</b>	
Recent Advances in Cysticercosis Research: Vaccine Immune Response and Immunodiagnosis	<b>17</b>
<b>Chapter 2</b>	<b>19</b>
Development of an Oral Vaccine for the Control of Cysticercosis <i>by Marisela Hernández, Anabel Ortiz Caltempa, Jacquelynne Cervantes, Nelly Villalobos, Cynthia Guzmán, Gladis Fragoso, Edda Sciutto and María Luisa Villareal</i>	
<b>Chapter 3</b>	<b>35</b>
Regulation of the Immune Response in Cysticercosis: Lessons from an Old Acquainted Infection <i>by Jonadab E. Olgún and Luis Ignacio Terrazas</i>	
<b>Chapter 4</b>	<b>53</b>
The Long Road to the Immunodiagnosis of Neurocysticercosis: Controversies and Confusions <i>by Marcela Esquivel-Velázquez, Carlos Larralde, Pedro Ostoa-Saloma, Víctor Hugo Del Río Araiza and Jorge Morales-Montor</i>	
<b>Section 3</b>	
New Leading Compounds as Possible Drug Targets in Cysticercosis	<b>69</b>
<b>Chapter 5</b>	<b>71</b>
<i>Taenia solium</i> microRNAs: Potential Biomarkers and Drug Targets in Neurocysticercosis <i>by Matías Gastón Pérez</i>	

<b>Chapter 6</b>	<b>87</b>
Development of New Drugs to Treat <i>Taenia solium</i> Cysticercosis: Targeting 26 kDa Glutathione Transferase	
<i>by Rafael A. Zubillaga, Lucía Jiménez, Ponciano García-Gutiérrez and Abraham Landa</i>	
<b>Section 4</b>	<b>105</b>
The Host-Parasite Interaction	
<b>Chapter 7</b>	<b>107</b>
To Be or Not to Be a Tapeworm Parasite: That Is the Post-Genomic Question in <i>Taenia solium</i> Cysticercosis	
<i>by Diana G. Ríos-Valencia, José Navarrete-Perea, Arturo Calderón-Gallegos, Jeannette Flores-Bautista and Juan Pedro Lactette</i>	
<b>Chapter 8</b>	<b>123</b>
Hormones and Parasites, Their Role in <i>Taenia solium</i> and <i>Taenia crassiceps</i> Physiology and Development	
<i>by Marta C. Romano, Ricardo A. Valdez, Martín Patricio, Alejandra Aceves-Ramos, Alex I. Sánchez, Arlet Veloz, Pedro Jiménez and Raúl J. Bobes</i>	

## Chapter 7

# To Be or Not to Be a Tapeworm Parasite: That Is the Post-Genomic Question in *Taenia solium* Cysticercosis

Diana G. Ríos-Valencia, José Navarrete-Perea,  
Arturo Calderón-Gallegos, Jeannette Flores-Bautista  
and Juan Pedro Laclette

### Abstract

Cestode parasites rely on their host to obtain their nutrients. Elucidation of tapeworm genomes has shown a remarkable reduction in the coding of multiple enzymes, particularly those of anabolic pathways. Previous findings showed that 10–13% of the proteins found in the vesicular fluid of *Taenia solium* cysticerci are of host origin. Further proteomic characterization allowed identification of 4,259 different proteins including 891 of host origin in the parasite's protein lysates. One explanation for this high abundance and diversity of host proteins in the parasite lysates is related to the functional exploitation of host proteins by cysticerci. Supporting this concept is the uptake of host haptoglobin and hemoglobin by the parasite, as a way to acquire iron. Surprisingly, internalized host proteins are minimally degraded by the parasite physiological machinery. Additional proteomic analysis demonstrated that these host proteins become part of the organic matrix of calcareous corpuscles; as 60–70% of the protein content are host proteins. In this review, a collection of available genomic and proteomic data for taeniid cestodes is assembled, the subject of the use and processing of host proteins is particularly addressed; a sketchy and unique cell physiological profile starts to emerge for these parasitic organisms.

**Keywords:** *Taenia solium*, Cestoda, Genome, Proteome, Host proteins, Calcareous Corpuscles

### 1. Introduction

Tapeworms are invertebrate metazoans producing zoonotic parasite diseases in animals and humans. These parasites have a worldwide distribution, but they especially affect human populations in developing countries and are considered neglected diseases [1]. Their larvae, known as metacestodes (including forms such as cysticercus in *Taenia solium* or hydatid or alveolar cysts in *Echinococcus* sp.) cause the highest morbidities due to tapeworms [2, 3], since they can produce generalized organ failure or seizures and can even result in patient's death [4–7].

Tapeworms produce long-term infections, being able to survive within its host for several years [8], maintaining a dynamic and complex host-parasite relationship [9]. Their lifecycles involve two host (intermediate and final) and include several developmental stages: embryo, larvae and adult stage [10] that can lodge in different tissues of their hosts producing diseases with a wide range of clinical presentations [11].

After description of the genomes of four tapeworms in 2013 [12], molecular studies of these organism have entered an integrative era; including approaches involving genomics, transcriptomics and proteomics [13]. These approaches are presented as promising avenues for the discovery of new pathways to improve our understanding of parasite diseases caused by cestodes, in the hope of developing better surveillance, treatment and control guidelines.

This chapter reviews current perspectives in the study of flatworms; special emphasis is placed on the genomics and proteomics of cestodes and taeniid parasites. The conspicuous and abundant presence of host proteins is particularly considered for taeniid larval forms.

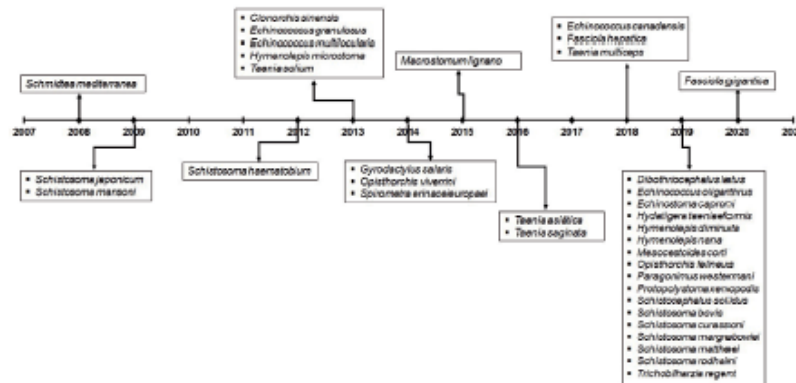
## 2. The Platyhelminth genome

Access to massive sequencing technologies allowed characterization of entire genomes for some of the most relevant flatworms; being the free living *Schmidtea mediterranea* the first trematode to be reported in 2008 [14]. The timeline of all flatworms genome projects that have been published to date clearly shows the advent of the post-genomic era of flatworms (**Table 1**). A rapid characterization of the genomes of parasites with medical importance, such as *Schistosoma japonicum*, *S. mansoni*, *Clonorchis sinensis*, among others, followed by four cestodes: *Hymenolepis microstoma*, *Echinococcus granulosus*, *E. multilocularis* and *Taenia solium* [12]. Subsequently, the International Helminth Genomes Consortium carried out a project with a goal of 50 helminth genomes. These genomes are currently deposited in the WormBase Parasite database, where users can access 197 genomes [15], including 44 Platyhelminthes: 4 free-living flatworms, 20 trematodes, 19 cestodes and 2 monogeneans (**Figure 1**). This platform also allows searching protein domains and Gene Ontology terms,

	Genome size (Mb)	No. of Genes	Longest scaffold size (Mb)	N50 length (Mb)	N90 length (kb)	GC content (%)
<i>T. solium</i>	122.3	12490	0.7	0.07	5.3	43
<i>T. multiceps</i>	240	12890	10.5	44.8	8500	43.7
<i>T. saginata</i>	169	13,161	7.3	0.58	29.4	43.2
<i>T. asiatica</i>	168	13,323	4.2	0.34	14.3	43.1
<i>E. multilocularis</i>	115	10345	20.1	13.8	2900	42.2
<i>E. granulosus</i>	114.9	10231	16	5.2	200	42
<i>E. canadensis</i>	115	11449	0.574	0.075	3.8	42
<i>E. oligarthrus</i>	86	8756	16	10.2	11.6	41
<i>H. microstoma</i>	141.1	10241	2.4	0.5	82	35.9
<i>H. diminuta</i>	177	15169	6.9	2.3	412.2	35.3

**Table 1.**  
Statistics of completed genome sequencing for several tapeworms.





**Figure 1.**  
 Timeline of flatworms genome characterization [12, 14–39].

as well as performing comparative analysis of genes and alignments of RNA-Seq data sets, specific to the life stage genomes, among other useful functions [40, 41].

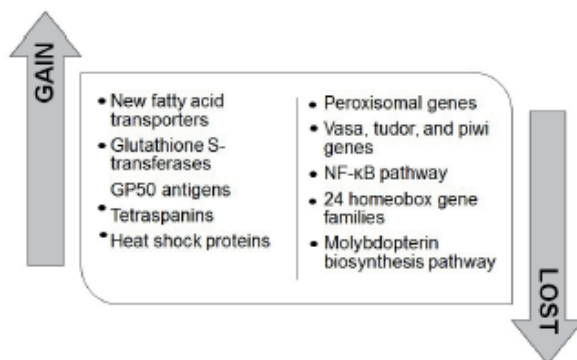
Other teneid genomes have been reported outside the International Helminth Genomes Consortium during the past five years: *T. asiatica*, *T. saginata* [31] and *T. multiceps* [34], *E. canadensis* [32], *E. oligarthrus* [35], as well as *Hymenolepis diminuta* [36]; circumstances appear prone to greatly improve our understanding of the biology and evolution in those organisms, as well as to solve old unanswered questions on their host-parasite relationships. Availability of this genomic information allows integrative studies on this ancient lineage of organisms. **Table 1** includes the basic statistics of reported assemblies for several tapeworms of medical or veterinary importance, being *E. oligarthrus* the smallest assembly (86 Mb) and *T. multiceps* the largest one (240 Mb). The average GC content of these genomes is 35–43.7%, similar to trematode genomes [23] but different to bacterial genomes whose GC range content is 13.5%–74.9% [42]. As a reference, GC average content of vertebrates is 46% [43]; mice 41.7% [44] whereas human genome is 40.9% [45].

### 3. Gene gain/reduction along tapeworm evolution

The genomic data of the first four tapeworm genomes sequenced [12] permitted identification of reduction events for groups of genes such as Wnt, which corroborated some data that suggested the loss of these genes in trematodes [46]. Moreover, other genes as Nek kinases, peroxisomal genes and ParaHox members, as well as neuropeptides and G-protein coupled receptors (GPCRs) [15].

The loss of approximately 10 Hox gene families in tapeworms during their evolutionary pathway apparently affected the morphology of those organisms, i.e., the lack of eye-cups and gut [12]; Hox genes such as *pax3/7*, *gbx*, *hbn* and *rax* are mainly involved in neuronal development or eye development [47–50], as well as ParaHox genes in the formation of the digestive tract [51]. Another type of proteins absent in cestodes are those related to germ cells such as *piwi*, *tudor* and *Vasa*, although the latter have been found possible orthologues in the PL10 family [12].

Tapeworms have developed a specialized detoxification system that includes a single cytochrome p450 gene [12, 52], as well as a redox homeostatic system based on thioredoxin glutathione reductase and the expansion of glutathione



**Figure 2.** Gains and losses of genes in taeniids. Phylogenetic study carried out with the genomes of the cestodes allowed finding important aspects about how these organisms acquired or lost some of their genomic traits to adapt to the conditions of their current environments.

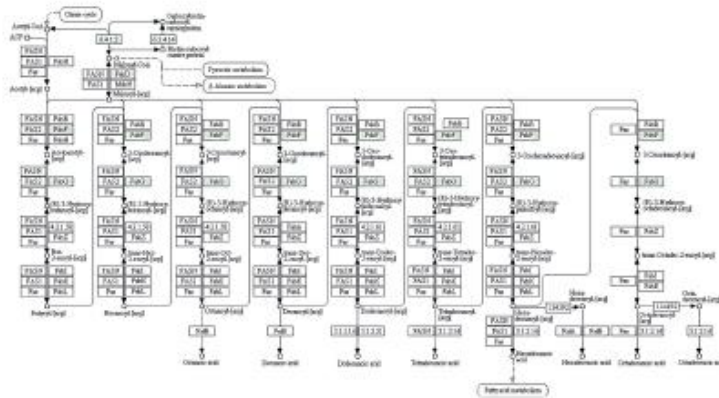
S-transferases [53–55]. In addition, there was an expansion of some very specific protein families such as non-canonical heat shock proteins, with *Echinococcus* and *T. solium* having the highest number of genetic expansions in the cytosolic clade Hsp70 [12] suggesting that tapeworms have different mechanisms from nematodes to overcome stress [16]. In addition, taeniids have an expansion in some families of antigens such as GP50 [12, 15]. These antigens are useful for diagnostics; for example, coenurosis in goats [56] or cysticercosis in pigs [57]. For diagnosis of human cysticercosis, the use of GP50 as a diagnostic target allows a 100% specificity and 90% sensitivity using serum samples of patients [58, 59]. Some of the main gains and losses of genes in taeniids are summarized in **Figure 2**.

Our current knowledge on cestode’s and taeniid’s genomes is still limited but the speed of genomic data acquisition can advance significantly in this new era. We envisage a better understanding of these host–parasite interactions, at a molecular/evolutionary level that can help us unravel events that have permitted the adaptations of these platyhelminths to the host environment.

#### 4. Metabolic adaptations of tapeworms

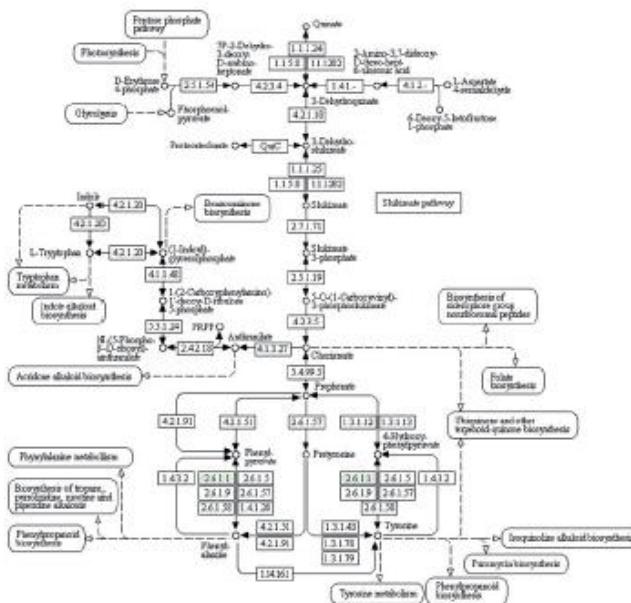
A great impact of having available complete tapeworm genomes is the characterization of the metabolic pathways in these organisms. Now we know that taeniid tapeworms cannot synthesize fatty acids and cholesterol de novo [25, 60]. For example, KEGG analysis for fatty acid biosynthesis in *T. solium* clearly shows that most of the components of the pathway are absent (**Figure 3**). Therefore, these parasites cannot carry out biosynthesis of fatty acids and are obligated to acquire host fatty acids through specific transporters [63]. Moreover, no genes related to the  $\beta$ -oxidation pathway were found in *Echinococcus* and *Hymenolepis*, although experimental data suggest that other flatworms do carry out this metabolic process [64] for utilization of lipids as a source of energy. It is clear that their major energy source are carbohydrates such as glucose and glycogen. This is supported by the fact that most enzymes participating in carbohydrate catabolism are expressed.

The synthesis of pyrimidines is also absent for taeniids [65], indicating that they acquire pyrimidines from their hosts. The biosynthesis of purines shows a similar landscape [15]. Parasitic flatworms are considered auxotrophic for eight



**Figure 3.** KEGG analysis of the fatty acid biosynthesis in *T. solium*. Enzymes available for this pathway are acetyl-CoA carboxylase (6.4.1.2), S-malonyltransferase (FabF), 3-Oxoacyl-[acyl-carrier-protein] synthetase II (FabF) and Ketoacyl-acyl carrier protein (FabG) [green squares] [61, 62].

of the nine amino acids that are essential for humans (Phe, His, Lys, Leu, Met, Thr, Trp, and Val). Cestodes have a limited ability to synthesize amino acids, as an example, serine and proline are absent in *E. multilocularis* [16]; biosynthesis of lysine and the aromatic amino acids (Phe, Trp and Tyr) are also absent in most cestodes (Figure 4). Arginine is also an essential amino acid in helminths including flatworms, as they do not have all the necessary enzymes of the urea cycle to process



**Figure 4.** KEGG analysis of phenylalanine, tyrosine and tryptophan biosynthesis in *T. solium*. The only enzymes that are present in the *T. solium* genome are indicated in green within boxes [61, 62].

ornithine, which is the precursor of arginine [15]. In summary, these parasitic organisms rely on their host for the acquisition of fatty acids, nucleosides and most amino acids. Metabolically speaking, they show highly simplified genomes.

## 5. Taeniid larval tissues contain large amounts of host proteins

The presence of host proteins in the tissues of the cystic larval forms of taeniids has been known for a long time [66–70]. It has been proposed that the mechanism for the uptake of these proteins is fluid pinocytosis in the cysticerci of *T. crassiceps* [69]. Moreover, in addition of entering the host proteins, these parasites can also secrete them [70, 71]. The biological role of those uptaken host proteins remains elusive, however, uptake of host albumin has been proposed to be involved in the maintenance of host-parasite osmotic pressure [68] and uptake of host immunoglobulins has been proposed as a mechanism of immune evasion and even as a source of amino acids [72].

Recent quantitative estimates indicated that host proteins might represent 11–13% of the protein content in the vesicular fluid of *T. solium* cysticerci, with albumin and immunoglobulins being the most abundant proteins. The use of high-throughput proteomics, allowed identifying 891 proteins of host origin from a total of 4,259 in a *T. solium* cysticerci whole protein extract [73]; thus, host proteins might represent up to 19% of the total protein species in the larval tissue lysates. Moreover, a fraction of these uptaken host proteins are intact and perhaps functionally active in the tissues of taeniid larvae [71].

## 6. Utilization of host proteins by cysticerci; iron chaperons and IgG

A known trait of parasitism is the use of the host as a provider of resources; sugars, amino acids, nucleosides, vitamins, coenzymes and/or microelements are good examples of resources that a parasite can acquire from its host. However, considering the abundance and diversity of host proteins present in the tissues of taeniid larvae, a pertinent question would be: are these parasites benefited by the accumulation of host proteins, beyond simply serving as a source of amino acids or as osmotic regulators? We have explored a couple of prospects: the use of host iron chaperones for the management of the parasite's iron necessities, as well as the use of host immunoglobulins as a source of amino acids [70, 71, 74].

Iron is an essential element for virtually all living organisms. Pathogens have evolved mechanisms to uptake iron from their hosts. Usually, iron is uptaken from plasma proteins: hemoglobin (heme prosthetic group) or haptoglobin-hemoglobin complexes, hemopexin (heme prosthetic group), transferrin or lactoferrin (iron), ferritin (iron), etc. In fact, the constant battle between host and pathogens for this element is well-studied [75, 76]. Hcpidin, the hormone that control iron levels in mammals, was first discovered as an antimicrobial peptide [76, 77]. In this light, it is expected that cestodes would acquire iron from their host, however, the mechanism remains elusive. Some evidence have suggested that hemoglobin or the haptoglobin-hemoglobin complexes could serve as an iron source for the cysts [78]. To support this notion, we have documented the immunolocalization of haptoglobin, hemoglobin, hepcidin and ferritin in the cyst; immunoblotting using crude larval extracts confirmed the finding [73]. We also showed that haptoglobin-hemoglobin complexes were detected in crude larval extracts in their expected molecular weight, indicating that those complexes are only marginally degraded. In fact, free haptoglobin purified from cysts protein lysates has been shown to retain

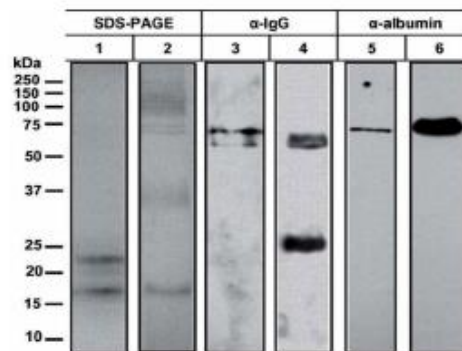


their hemoglobin binding activity, suggesting that the cyst are acquiring iron from those sources. However, future studies are needed to understand how the uptake is performed (is there a specific receptor?), how the heme prosthetic group or iron is removed from those complexes? and which parasite proteins are performing those roles.

Another aspect related to the host's protein uptake by tapeworm's larvae, is the utilization of these proteins as a source of amino acids. Internalization of IgG has been traced using a metabolically labeled (Leu-3H) IgG produced *in vitro* using a mice hybridoma [71]. Through *in vitro* culture of *T. crassiceps* (a closely related species of *T. solium*) cysts in the presence of (Leu-3H) mice IgG, uptake of the immunoglobulin can be monitored. Metabolic labelling also allowed tracking incorporation of Leu-3H into newly synthesized cyst proteins. The biochemical analyses revealed that within the tissue extracts, no other radiolabeled proteins were found. The two bands corresponding to the heavy (50 kDa) and light (25 kDa) chains remained intact after 3 days of culture. This would imply that these proteins are negligible used as a source of amino acids for the biosynthesis of the larvae's own proteins. Furthermore, the integrity and functionality of the Igs was conserved, as shown by SDS-PAGE and western blots marked with the Igs purified from tissue extracts. This finding led the research into a new direction: If immunoglobulins (and perhaps other uptaken host proteins) are only a minor source of amino acids [71], what is the fate of uptaken host proteins?

## 7. The calcareous corpuscles as a final deposit for host proteins

The tracking of metabolically radiolabeled IgG demonstrated that cysticerci do not significantly use these proteins as a major source of amino acids [71]. A possibility was that these proteins could end in the calcareous corpuscles (CC), that are known as a waste of toxic metabolites and other materials. These CC are microscopic calcifications occurring in the lumen of protonephridial canals, resulting after accretion of mineral salts (calcium carbonate and calcium phosphate) on an organic matrix composed by polysaccharides and other macromolecules [79, 80]. The CC



**Figure 5.** Immunological identification of host IgG and albumin recovered from the protein matrix of calcareous corpuscles of *T. solium* cysticerci. Lanes 1 and 2 correspond to Coomassie blue staining of protein extract from CC and silver staining of porcine serum respectively. Lanes 3 and 5 are western blots of protein extracts obtained from CC, lanes 4 and 6 are gels run with porcine serum, these blots were revealed with an  $\alpha$ -IgG coupled to HRP (3 and 4) or sheep  $\alpha$ -albumin and then with a rabbit  $\alpha$ -sheep IgG coupled to HRP (5 and 6). This figure was originally published in [70].

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## Anexo 2.



# The genomes of two strains of *Taenia crassiceps* the animal model for the study of human cysticercosis

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### **Conflict of interest statement**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

### **Author contribution statement**

KE performed the hybrid genome assembly, gene prediction, RNAseq differential expression and GO/KEGG enrichment analysis; PT performed initial sequencing, PT, DRV and RJB maintained and isolated the cysts in mice, RJB, KE, DRV, ACG and JCC carried out the gene annotation, differential protein expression analysis, ASF and KE did the comparative genomics (SNP and synteny analyses). JPL and ASF conceived the project. RJB, KE and DRV prepared the first draft and ASF and JPL developed the final version. JPL provided the budget from grants. All authors approved the submitted version.

### **Keywords**

*Taenia crassioeps*, ORF, WFU, Comparative genomics, RNA-Seq, differential expression, Cysticercosis, animal model. 2

### **Abstract**

Word count: 274

Human cysticercosis by *Taenia solium* is the major cause of neurological illness in countries of Africa, Southeast Asia, and the Americas. Publication of four cestode genomes (*T. solium*, *Echinococcus multilocularis*, *E. granulosus* and *Hymenolepis microstoma*) in the last decade, marked the advent of novel approaches on the study of the host-parasite molecular crosstalk for cestode parasites of importance for human and animal health. *Taenia crassioeps* is another cestode parasite, closely related to *T. solium*, which has been used in numerous studies as an animal model for human cysticercosis. Therefore, characterization of the *T. crassioeps* genome will also contribute to the understanding of the human infection. Here, we report the genome of *T. crassioeps* WFU strain, reconstructed to a noncontiguous finished resolution and performed a genomic and differential expression comparison analysis against ORF strain. Both strain genomes were sequenced using Oxford Nanopore (MinION) and Illumina technologies, achieving high quality assemblies of about 107 Mb for both strains. Dotplot comparison between WFU and ORF demonstrated that both genomes were extremely similar. Additionally, karyotyping results for both strains failed to demonstrate a difference in chromosome composition. Therefore, our results strongly support the concept that the absence of solex in the ORF strain of *T. crassioeps* was not the result of a chromosomal loss as proposed elsewhere. Instead, it appears to be the result of subtle and extensive differences in the regulation of gene expression. Analysis of variants between the two strains identified 2,487 sites with changes distributed in 31 of 65 scaffolds. The differential expression analysis revealed that genes related to development and morphogenesis in the ORF strain might be involved in the lack of solex formation.

### **Contribution to the field**

The last decade has started the genomic era for Platyhelminthes; from only one genome available in 2008, we now have access to the genomes of 45 species, including 19 cestodes. Elucidation of the genomes of two strains of *T. crassioeps* (WFU and ORF) will improve the knowledge about the genomic similarities and differences that exist between taeniid species, allowing novel approaches to a number of unsolved questions on tapeworm infections, including human neurocysticercosis caused by *T. solium*. We identified several conserved proteins for all cestodes as well as some proteins that are unique to the *Taenia* genus and to the *T. crassioeps* species. These proteins are potential targets for novel drug design and vaccine or diagnostic tools development. Availability of high quality, chromosome level, assemblies of *T. crassioeps* strains, can also contribute to the definition of the usefulness and limits of this animal model, for improving the understanding of human cysticercosis. Our results also show that the absence of the solex in the ORF strain, a structure that gives rise to the adult worm, was not due to a chromosomal loss as proposed earlier, but to more subtle changes in the expression of genes involved in embryonic development.

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**Ethics statements**

***Studies involving animal subjects***

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In review

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Generated Statement: The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/supplementary material.

In review



1 **The genomes of two strains of *Taenia crassiceps* the animal model**  
2 **for the study of human cysticercosis**

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23 **Keywords:** *Taenia crassiceps*, ORF, WFU, comparative genomics, RNA-seq,  
24 differential expression, cysticercosis, animal model.

25

26 **Abstract**

27 Human cysticercosis by *Taenia solium* is the major cause of neurological illness in  
28 countries of Africa, Southeast Asia, and the Americas. Publication of four cestode  
29 genomes (*T. solium*, *Echinococcus multilocularis*, *E. granulosus* and *Hymenolepis*  
30 *microstoma*) in the last decade, marked the advent of novel approaches on the  
31 study of the host-parasite molecular crosstalk for cestode parasites of importance  
32 for human and animal health. *Taenia crassiceps* is another cestode parasite,  
33 closely related to *T. solium*, which has been used in numerous studies as an  
34 animal model for human cysticercosis. Therefore, characterization of the *T.*  
35 *crassiceps* genome will also contribute to the understanding of the human  
36 infection. Here, we report the genome of *T. crassiceps* WFU strain, reconstructed  
37 to a noncontiguous finished resolution and performed a genomic and differential  
38 expression comparison analysis against ORF strain. Both strain genomes were  
39 sequenced using Oxford Nanopore (MinION) and Illumina technologies, achieving  
40 high quality assemblies of about 107 Mb for both strains. Dotplot comparison  
41 between WFU and ORF demonstrated that both genomes were extremely similar.  
42 Additionally, karyotyping results for both strains failed to demonstrate a difference  
43 in chromosome composition. Therefore, our results strongly support the concept  
44 that the absence of scolex in the ORF strain of *T. crassiceps* was not the result of a  
45 chromosomal loss as proposed elsewhere. Instead, it appears to be the result of  
46 subtle and extensive differences in the regulation of gene expression. Analysis of  
47 variants between the two strains identified 2,487 sites with changes distributed in  
48 31 of 65 scaffolds. The differential expression analysis revealed that genes related  
49 to development and morphogenesis in the ORF strain might be involved in the lack  
50 of scolex formation.

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## 59 Introduction

60 The tapeworm family Taeniidae has been extensively studied, particularly because  
61 of the importance of *Taenia solium*, *Taenia asiatica* (Wang et al. 2016, Gripper &  
62 Welbum 2017), *Echinococcus granulosus* and *Echinococcus multilocularis* (Battelli  
63 2009, Deplazes et al. 2017) as public health threats. Other species also have  
64 veterinary importance such as *Taenia saginata* and *Taenia pisiformis* (Yang et al.  
65 2012, Silva & Costa-Cruz 2012).

66 *T. solium* is the cestode parasite that generates a more significant economic and  
67 health burden on humans and pigs, this parasite, is responsible of porcine  
68 cysticercosis, human teniasis and neurocysticercosis, considered the most  
69 frequent parasite disease of the central nervous system (Garcia & Modi, 2008;  
70 Fleury et al., 2010; Carpio et al., 2018), and a neglected tropical disease by the  
71 World Health Organization in 2010 (WHO, 2010). Humans acquire cysticercosis  
72 through fecal-oral contamination with *T. solium* eggs from human tapeworm  
73 carriers. The most common places for the establishment of the larval form in the  
74 intermediate host are the skeletal muscles, the ocular system and the central  
75 nervous system. Cysticercosis is of great economic and public health importance,  
76 causing morbidity and mortality in countries and regions with deficient sanitary  
77 conditions. Many of these developing countries are located in Southeast Asia,  
78 Africa and the Americas (Ito et al. 2003, Flisser et al. 2006, Lustigman et al. 2012,  
79 Zammarchi et al. 2013, Ito 2015). In the last decades, cysticercosis has spread to  
80 Europe, the United States and Australia, mainly due to the increase in human  
81 migration from endemic regions (O'Neal & Flecker 2015, Gabriël et al. 2015). *T.*  
82 *solium* has been globally classified as the main foodborne parasite by the United  
83 Nations Food and Agriculture Organization (FAO) in 2014 and the World Health  
84 Organization (Torgerson et al. 2015).

85 *Taenia crassiceps*, a close relative of *T. solium*, can be easily maintained in most  
86 animal facilities and the cysticerci can be manipulated *in vivo* and *in vitro*, providing  
87 a suitable model for the study of several aspects of human cysticercosis (Willms &  
88 Zurabian 2010). Thus, the intraperitoneal murine infection by *T. crassiceps* has  
89 been widely used as a model of cysticercosis in studies of the host immune  
90 response, diagnosis, evaluation of anthelmintic drugs, vaccine development  
91 (Larralde et al. 1990; Toledo et al., 2001; Vargas-Villavicencio et al. 2005; Sciutto  
92 et al. 2011; Mahanty et al. 2013); sex and genetic influence on the susceptibility to  
93 infection (Sciutto et al., 1991), influence of the parasite on the hormonal status of  
94 the infected host (Morales-Montor et al., 2008), development of practical methods  
95 for transfection of exogenous genes (Moguel et al., 2015), among other aspects.  
96 Besides, *T. crassiceps* infections in humans are very rare, isolated cases have

97 been reported in immunosuppressed individuals (Heldwein et al. 2006, Willms &  
98 Zurabian 2010; Lescano and Zunt, 2013; Desplazes et al., 2019). Human  
99 infections by *T. crassiceps* include subcutaneous and intramuscular (Francois,  
100 1998; Maillard, 1998; Heldwein, 2006; Goesseringer, 2011), intraocular (Shea,  
101 1973; Arocker-Metinger, 1992; Mougeot, 1996; Chuck, 1997) and cerebellum  
102 locations (Ntoukas et al. 2011).

103 As an animal model, the metacestode stage of *T. crassiceps* is usually maintained  
104 through serial intraperitoneal passages of cysts from the peritoneal cavity of  
105 infected to recipient naïve mice, where they reproduce asexually by budding  
106 (Freeman, 1962; Esch and Smyth, 1976; Good & Miller 1976, Willms & Zurabian  
107 2010). Definitive hosts for *T. crassiceps* are foxes, groundhogs, wolves, and dogs,  
108 intermediate hosts include field rats, mice and other small rodents, (Loos-Frank  
109 2000).

110 Several strains of *T. crassiceps* have been reported, such as WFU (Everhart et al.  
111 2004), HYG (Sally et al. 1976) or KBS (Dorais & Esch 1969), isolated from natural  
112 infections. The cysticercus possesses an invaginated scolex, which evaginates  
113 when ingested by the definitive host and develops into the adult worm. In contrast,  
114 the ORF strain of *T. crassiceps*, isolated in 1962 (Freeman et al., 1962), lacks an  
115 invaginated scolex and has been considered a mutant strain. It has been proposed  
116 that ORF suffered a chromosomal loss that impairs scolex formation (Smith et al.,  
117 1972), thus producing sterile larvae that cannot develop into adult worms in the  
118 wild (Mount, 1968; Dorais and Esch, 1969); can only be propagated in the  
119 laboratory and are considered safe for human manipulation (Freeman 1962).

120 In terms of genomic resources, the first genome reported for a flatworm was the  
121 free living *Schmidtea mediterranea* in 2008 (Robb et al. 2008). Since then, several  
122 genomes have been published including trematodes of medical importance such  
123 as *Schistosoma japonicum* (Zhou et al. 2009), *S. mansoni* (Berriman et al. 2009)  
124 and *Clonorchis sinensis* (Wang et al. 2011; Huang et al. 2013). In 2013, the  
125 genomes of four cestodes: *Hymenolepis microstoma*, *Echinococcus granulosus*, *E.*  
126 *multilocularis* and *Taenia solium* were reported (Tsai et al. 2013). Afterwards, the  
127 International Helminth Genomes Consortium started a project for genome  
128 characterization whose database (WormbaseParasite) already provides 45  
129 Platyhelminthes and 19 cestodes (Helminth & Consortium, 2019).

130 In the last five years the genomes of other taeniidae have been reported: *T.*  
131 *asiatica*, *T. saginata* (Wang et al. 2016), *T. multiceps* (Li et al. 2018), *E.*  
132 *canadensis* (Maldonado et al. 2017), *E. oligarthrus* (Maldonado et al. 2019), *H.*  
133 *diminuta* (Nowak et al. 2019), *H. microstoma* (Olson et al, 2020). Availability of  
134 these genomes has increased the knowledge about gene numbers and



135 composition of these organisms, improving our understanding of their biology and  
136 pathogenic mechanisms.

137 Here we report the high resolution characterization for the genome of *T. crassiceps*  
138 WFU strain and a comparison analysis against ORF strain. Both genomes were  
139 compared in order to find differences that could be related to the lack of scolex  
140 development. Our results provide evidence that the absence of scolex in the ORF  
141 strain was not the result of a catastrophic event such a chromosomal loss  
142 proposed elsewhere. Instead, it appears to be the result of subtle differences in the  
143 regulation of gene expression or unidentified mutations in specific genes.

## 144 **Materials and Methods**

### 145 **Parasite culture**

146 Cysticerci from *T. crassiceps* strains WFU and ORF were propagated in the  
147 peritoneum of 4-week-old female BALB/c mice, which were inoculated  
148 intraperitoneally with 10 cysticerci and after 90 days were sacrificed for the  
149 recovery of the larvae. The cysticerci were thoroughly washed with sterile  
150 Phosphate Buffer Saline (PBS), pH 7.4, and then frozen at -70°C until use. The  
151 use and humanitarian handling of animals in this project was authorized by the  
152 Institutional Committee for the Care and Use of Laboratory Animals (CICUAL),  
153 UNAM with the register number 6329.

### 154 **Chromosome spreading for *Taenia crassiceps* karyotyping**

155 The protocol for spreading chromosomes was adapted from (Guo et al; 2018 and  
156 Špakulová et al; 2018). Cysticerci recovered from the peritoneum of BALB/C mice  
157 with three months of infection were selected and placed in 16-well culture plates  
158 with RPMI-1640 media supplemented with 10% FBS and colchicine (0.25% w/v)  
159 during 5 h at 37 °C. After incubation, cysticerci were washed twice with PBS and  
160 then placed in deionized water for 20 min and then fixed with a Methanol-Acetic  
161 acid solution (3:1) for 30 minutes. The chromosomes were spread by crushing the  
162 cysts between two slides with strong physical pressure. The slides were then  
163 frozen with liquid nitrogen and washed twice with PBS before being permeabilized  
164 with PBS-Triton X-100 (1%) for 5 min. Finally, the spread was stained with DAPI  
165 (1:500) for 20 minutes. Slides were mounted in Fluoroshield (Sigma) and observed  
166 under an inverted fluorescence microscope (Olympus IX71). Image analysis was  
167 made using FIJI software.

### 168 **DNA and RNA isolation and sequencing**

169 Fresh cysticerci (both WFU and ORF strains) obtained from the peritoneum of mice  
170 were used for DNA and RNA extraction after three times washing in PBS, pH 7.4.  
171 A 1 mL of supernatant from the PBS recovered cysticerci was centrifuged at  
172 12,000 g. The pellet was resuspended in 200  $\mu$ L of Biofluid & Solid Tissue Buffer,  
173 added with 20  $\mu$ L of Proteinase K and 2  $\mu$ L de RNAsa (10 mg/mL), and incubated  
174 at 55°C for one hour. The lysis reaction was transferred using a bore-tip to a tissue  
175 grinder for 10 minutes and 1 mL of phenol was added and after 2 minutes, the  
176 solution was centrifuged at 12,000 g for another 2 minutes. A 350  $\mu$ L volume of the  
177 supernatant was transferred to a clean tube and 1mL of chloroform was added.  
178 Chloroform addition and centrifugation was repeated twice and 250  $\mu$ L of the  
179 supernatant were recovered and placed in 150  $\mu$ L of RNase-free water. DNA was  
180 extracted using the Zymo Quick-DNA™ HMW MagBead Kit (Catalog No. D6060)  
181 according to the vendor's protocol. The same protocol was adapted for RNA  
182 extraction, skipping the 55 °C incubation and harsher disruption using high-speed  
183 vortexing.

184 Different Illumina sequencing libraries were prepared using the extracted DNA from  
185 both WFU and ORF strains. Two Nextera XT DNA libraries, one with ~150 bp  
186 insert size and another with a ~500 bp insert size. Also, two Illumina Mate Paired  
187 libraries with 3-4 kb and 4-6 kb insert sizes, respectively. All libraries were  
188 prepared following the vendor's protocols. For Oxford Nanopore sequencing, the  
189 DNA libraries for WFU and ORF DNA samples were prepared using the SQK-  
190 LSK109 using 1 $\mu$ g of DNA for each sample and following the vendor's protocol.  
191 Sequencing was performed using a whole minION flow cell (v9.4) for each sample.  
192 Basecalling was done by the program Guppy v4.0.14 with default parameters.

193 RNA sequencing libraries were prepared using the Illumina TruSeq RNA Sample  
194 Prep Kit v2 (Illumina) following the manufacturer's protocol. High quality RNA  
195 samples from WFU and ORF strains, three biological replicates for each one with  
196 RIN numbers ranging from 8 to 10 were used for NGS library construction. The  
197 libraries were sequenced using the Illumina NextSeq 500 platform with a paired-  
198 end configuration using 150 cycles (2x75 reads).

#### 199 **Genome assembly, post-assembly improvement, gene prediction and** 200 **annotation**

201 A *de novo* genome assembly for WFU strain was performed using a hybrid  
202 approach with two different sequencing technologies. The first assembly was  
203 obtained using the AllPaths-LG v.52448 (Butler et al., 2008) assembler using  
204 default parameters, with Illumina paired-end and mate pair libraries. Then, a  
205 second *de novo* assembly was obtained using Oxford Nanopore long reads and  
206 Canu v.1.9 (Koren et al., 2017) assembler. This assembly was corrected using two

207 error correction tools, first Racon v1.3.1 (Vaser et al. 2002) with 3 iterations and  
208 later 3 iterations of Pilon v.1.23 (Walker et al. 2014), both used the illumina libraries  
209 employed in the first assembly. Finally, both assemblies were merged using the  
210 Ntjoin software v.1.0.8 (Coombe et al. 2020). The latest version of the genome can  
211 be found with the accession number JAKROA000000000, associated with the  
212 BioProject PRJNA807072.

213 For gene prediction, we first used RepeatModeler v1.0.11 and RepeatMasker  
214 v4.08 (Smit and Green. 2013) to softmasking the genome. We used Braker2 v2.1.6  
215 (Brůna et al. 2021) and AUGUSTUS v3.3.2 (Stanke et al. 2006) using a set of  
216 30,000 proteins that were obtained from the most similar orthologs with *T. solium*,  
217 *E. multilocularis* and *E. granulosus* and with the support of 10 Gb of RNAseq data.  
218 The protein annotation was achieved using an in-house pipeline with the following  
219 steps: first we used Blastp v2.11.0 (Camacho et al. 2009) to align these against the  
220 curated protein database Swiss-Prot and assign the function of the protein with the  
221 greatest similarity. We used Hmmscan v3.3.2 (Eddy, 2009) to search protein  
222 domains using the Pfam-A database, additionally we used SignalP v4.1 (Petersen  
223 et al., 2011) to identify annotated signal peptides. Finally, we enriched the  
224 annotation with the GO and KEGG database, assigning the GO terms associated  
225 with each protein and their relationship with metabolic pathways, respectively.

#### 226 **SNPs Analysis**

227 For variant calling and annotation, we used BWA v0.7.17 (Li and Richard2009) to  
228 map the Illumina DNA sequencing of the ORF strain vs the WFU genome. Then we  
229 used Freebayes v1.2.0 (Garrison and Gabor, 2012) to analyze the BAM resulting  
230 from the previous alignment and generate the VCF variants file.

231 Annotation of the variants was performed using SnpEff v4.3t (Cingolani et al.,  
232 2012); the program takes the WFU annotation file in GFF format and its genome as  
233 a database and the resulting VCF from the variant call.

#### 234 **Comparative genomics**

235 For the comparative genomic analysis, the genomes available at Genbank for *E.*  
236 *multilocularis* (GCA\_000469725.3) and *H. microstoma* (GCA\_000469805.3) were  
237 used. The Proteinortho v6.02 (Lechner et al., 2011) was used to obtain protein  
238 clusters of WFU genomes analyzed here with the following parameters: E-value for  
239 blast: 1e-05, minimum percent identity of best blast alignments: 25, minimum  
240 coverage of best blast alignments in percent: 50, minimum similarity for additional  
241 hits: 0.95. The results from the protein orthologous was also used to verify the

242 annotation. Additionally, we used the Circos v0.69-8 (Krzywinski et al., 2009)  
243 package for circular data visualization.

#### 244 **Differential expression analysis**

245 We used Bowtie2 v2.3.4.3 (Langmead and. Salzberg, 2012) to map the sequences  
246 from WFU and ORF RNAseq libraries to the coding sequences (CDS) of WFU  
247 strain. Subsequently, the counting matrices were obtained with Express v1.5.1  
248 (Roberts et al., 2011) and finally the EdgeR v3.24.3 (Robinson et al., 2010)  
249 package was used to obtain the results of the differential expression analysis.

#### 250 **Gene Ontology Analysis**

251 We used the R package TopGO v2.46.0 (Alexa and Rahnenfuhrer, 2010) to  
252 perform enrichment analysis of the differentially expressed gene set.

### 253 **Results**

#### 254 **Genome assembly and curation**

255 The complete genome for the *T. crassiceps* WFU strain was sequenced using  
256 genomic DNA obtained from cysts and reconstructed through a hybrid approach  
257 using Illumina and Nanopore technologies. The initial assembly produced 179  
258 scaffolds for a total of 118.7 Mb. However, several of the shorter scaffolds  
259 presented repetitive patterns or high similarity to regions in larger scaffolds. After  
260 performing the comparison analysis at sequence level, we confirmed that 114  
261 scaffolds belonged to telomeric or collapsed regions. Therefore, the curated final  
262 assembly we obtained included only 65 scaffolds for a total of 107.05 Mb. The  
263 statistics of the two genomic assemblies are shown in Table 1.

#### 264 **Noncontiguous finished resolution for *T. crassiceps* genome and 265 comparative genomics**

266 We compared the ten largest scaffolds in the curated assembly of *T. crassiceps*  
267 with data from genomes of two closely related species with chromosome resolution  
268 assemblies. A CIRCOS plot comparison between *H. microstoma*, *E. multilocularis*  
269 and *T. crassiceps* is shown in Figure 1A. Three *T. crassiceps* scaffolds presented a  
270 similar degree of completeness and synteny to *E. multilocularis* chromosomes:  
271 scaffolds 1-3 of *T. crassiceps* vs. scaffolds 2, 4 and 3 from *E. multilocularis*,  
272 respectively. This suggested that these scaffolds might correspond to whole  
273 chromosomes. However, none of the compared *T. crassiceps* scaffolds showed a  
274 clear correspondence to *H. microstoma* scaffolds demonstrating a larger  
275 phylogenetic distance.



276 An extensive comparison of the identified proteins was carried out with five other  
277 species of cestodes to analyze their orthology with *T. crassiceps*. The proteomes  
278 of four taeniid species (*T. solium*, *E. multilocularis*, *E. granulosus* and *E.*  
279 *canadiensis*), as well as *H. microstoma* were compared against *T. crassiceps*  
280 proteins (Supplementary File 1). A total of 4,665 ortholog groups were found in all  
281 species (cestode pan-genome); 5,221 were found in the five species of the family  
282 *Taeniidae*; 6,842 ortholog groups were shared with *T. solium* which is the closest  
283 species with *T. crassiceps*. Finally, 2,822 proteins were unique to *T. crassiceps*  
284 and did not cluster with other proteins in any other genome. Among these, 2,173  
285 didn't have a functional annotation.

#### 286 **Genomic variation between *T. crassiceps* WFU and ORF strains**

287 Using the curated assembly of *T. crassiceps* WFU genome as a template, we  
288 evaluated the differences of the ORF strain. A dotplot analysis indicated that both  
289 assemblies were extremely close (Figure. 1B); no differences among them were  
290 found that might suggest that a catastrophic genomic event happened in the ORF  
291 strain, such as the loss of a complete chromosome. As for the differences in both  
292 strains, using short read mapping with the Illumina sequencing, we found 2,487  
293 site variants in ORF, distributed in 31 of the 65 scaffolds in the WFU curated  
294 assembly. Of these, 155 variants affected 147 protein coding genes resulting in  
295 missense or frameshift mutations. Variants were mainly single-base substitutions,  
296 insertions or deletions. Since long read sequencing was also done for the ORF  
297 strain, we searched for larger structural changes but none was found. All the  
298 information regarding the variants between the two strains can be found in the  
299 Supplementary File 1.

#### 300 **WFU and ORF karyotype determination**

301 It has been proposed that the lack of scolex in the ORF strain was due to an  
302 aneuploidy: a loss of a pair of chromosomes. Therefore, we also carried out a  
303 chromosome spreading to karyotype the metaphase nuclei of both strains.  
304 Interestingly the number  $2n = 16$  or  $18$  appeared with similar frequencies. This  
305 observation has been reported elsewhere (Špakulová et al., 2011). Whatever the  
306 number of chromosome pairs might be, we were unable to observe differences in  
307 both strains (not shown). It is worth mentioning that the morphologic resolution we  
308 achieved did not allow us to observe if differences in the chromosome structure  
309 existed.

#### 310 **Differential expression analysis between ORF and WFU strains of *T.*** 311 ***crassiceps***

312 To elucidate the molecular basis of the phenotypic differences between WFU and  
313 ORF in relation with the lack of scolex development, we performed a differential  
314 expression analysis. A total of 231 genes were found differentially expressed in the  
315 ORF strain. Among them, 123 and 109 genes were up and down-regulated,

316 respectively (Supplementary File 1). Using a GO term enrichment analysis of the  
317 59 down-regulated genes that had functional annotation in ORF, different protein  
318 families were identified. Interestingly, some of them were related to embryonic  
319 digestive tract morphogenesis, mesenchymal cell differentiation, maintenance of  
320 animal organ identity, establishment of protein localization, among others  
321 (Supplementary File 1). Differentially expressed genes were also characterized by  
322 GO revealing a particular enrichment for both up and down-regulated genes  
323 related to integral membrane proteins in the cellular component category (Figure  
324 2). In the same category, a considerable number of genes related to cytoskeleton  
325 were down-regulated. For up-regulated genes (Figure 3), in the biological  
326 processes category, most of the differentially expressed genes were grouped  
327 within response to stimulus. In total, 45 UF genes were also down regulated in  
328 ORF (Supplementary File 1).

### 329 Differentially expressed genes and genomic variation

330 Analysis of genes with different expression levels whose coding sequence  
331 contained SNPs allowed the identification of 17 genes, including 5 of unknown  
332 function. Among the annotated genes, 3 were up-expressed and 7 were down-  
333 expressed in ORF, including some related with development, such as homeobox  
334 IRX-5 (TcrWFU\_07074), rolling stone [rost] (TcrWFU\_02139), as well as genes  
335 participating in the cell cycle: ubiquitin carboxyl terminal hydrolase 16  
336 (TcrWFU\_00103) and others involved in signal transduction pathways: Axin-1  
337 (TcrWFU\_07383) that participates in the Wnt/ $\beta$  catenin pathway (Supplementary  
338 File 1).

339

### 340 Discussion

341 The present work describes the characterized genomes and RNAseq results of two  
342 *T. crassiceps* strains (WFU and ORF), that are widely used as animal models for  
343 the study of human cysticercosis. The use of *T. crassiceps* as an adequate animal  
344 model seems justified in the light of our results of ortholog proteins in both species  
345 shown here; 6,842 ortholog groups were shared with *T. solium* demonstrating a  
346 high evolutionary closeness with *T. crassiceps*.

347 As mentioned above, the ORF strain lost the capacity to develop a scolex,  
348 structure that gives rise to the adult worm. It has been proposed that the absence  
349 of the scolex in the ORF strain was due to the loss of a pair of chromosomes  
350 (Smith et al., 1972). We undertook a genomic and transcriptomic characterization of  
351 these two strains as a way to approach this proposal. Initially, a dotplot analysis  
352 using the two assemblies showed minimal differences between both strains.

353 Moreover, our karyotyping results did not reveal differences between the  
354 chromosome number in both strains, such as the absence of a whole chromosome  
355 pair (aneuploidy) reported previously (Smith et al., 1972). Even without a full  
356 chromosome resolution, the genomic information from both strains revealed no  
357 differences or massive structural changes that might support this catastrophic  
358 chromosomal event. Only minor differences were found in the genome drafts of  
359 both strains, including gene number, GC content and SNPs number. Instead, we  
360 hypothesize that the loss of scolex is the result of changes in the expression of  
361 genes involved in the development of this crucial structure in the ORF strain. In  
362 order to identify the network of genes resulting in the lack of scolex, we decided to  
363 analyze differences in gene expression (up or down-regulated) in the ORF strain.  
364 Considering that the scolex is the structure that gives rise to the adult stage of the  
365 parasite, we explored a diversity of genes related to embryonic development,  
366 formation of the anteroposterior axis, cell division, establishment of mitotic spindle  
367 orientation, among others.

368 The genome of *T. crassiceps* WFU strain was reconstructed using long and short  
369 read sequencing technologies. This allowed us to generate a noncontiguous high  
370 resolution genome, which was compared to the ORF strain and other cestode  
371 genomes with similar or higher resolution. According to the BUSCO completeness  
372 analysis, the reconstructed genome had a 78.5% completeness which is similar to  
373 the *E. multilocularis* genome (GCA\_000469725.3). It is expected that genomes  
374 from parasitic species have a lower completeness due to a lack of proteins that are  
375 present in other free living eukaryotes. Interestingly, the ten largest fragments have  
376 a size similar to chromosomes in other species and five of them had a similar  
377 arrangement to chromosomes in *E. multilocularis*, which is a manually curated  
378 genome and a closely related species to *T. crassiceps*; both are included within the  
379 same family (Taeniidae). Three *T. crassiceps* chromosomes (scaffolds 1-3)  
380 presented a very similar synteny to *E. multilocularis* but some of the comparisons  
381 suggested that other large fragments of *T. crassiceps* can also be arranged on the  
382 structure of other chromosomes in *E. multilocularis* (Figure 1A), although  
383 rearrangements cannot be ruled out. Therefore, other approaches like optical  
384 mapping can be used to resolve the assembly contiguity in the near future.  
385 Unfortunately, the current fragmentation of the *T. solium* genome, didn't allow us to  
386 include it in the comparison. We also evaluated the orthology between other  
387 cestodes and reported a pangenome of 5,221 ortholog groups found among five  
388 species of the Taeniidae family. Despite that more than half of the proteins (6,842  
389 ortholog groups) were shared between *T. solium* and *T. crassiceps*, there were  
390 2,822 unique proteins for the latter, where 2,173 remained without functional  
391 annotation and deserve further exploration to elucidate their role in this parasite.



392 A total of 232 genes were found with a change in their expression level, 140 of  
393 them had annotated function. Our RNA-seq results indicated that 123 were  
394 upregulated and 109 genes were downregulated in ORF, in comparison to the  
395 WFU strain, considered as the wild-type strain in this work. Those changes in  
396 expression included zinc finger proteins, transcription factors, oncosphere  
397 antigens, homeoboxes, etc., that had been identified in previous studies on other  
398 cestode species. For example, genes that encode for homeobox B7 (HoxB7) and  
399 the zinc finger protein are mainly correlated with processes of cell differentiation  
400 and segmentation in the adult stage, since they are presented distinctively in adult  
401 worms of cestode species. Some of these genes with a differential expression in *T.*  
402 *crassiceps* have also been found up or downregulated in other cestodes (Olson et  
403 al., 2018).

404 In the ORF strain, down-regulated genes included one homeobox that has been  
405 related to the strobilation process in cestodes. Strobilation is a process that occurs  
406 in adult worms in which new proglottids are produced from the neck region. Around  
407 34 genes are related with this process, including 12 with known function (Wnt,  
408 TGFbeta/BMP or G-protein signaling pathways) and 22 missing functional  
409 annotation (Prado-Paludo et al., 2020). This suggested that some of the  
410 unidentified proteins in the *T. crassiceps* genome that are up or down-regulated  
411 could also participate in the strobilation. In the WFU strain of *T. crassiceps*, the  
412 higher expression of some of these genes could be related to the development of  
413 the adult phase.

414  
415 A study of the expression of specific genes of each stage in *H. microstoma* shows  
416 the differential presence of proteins in several body regions of this cestode (Olson  
417 et al., 2018). In this case, one of the proteins that are up-regulated in the larva in  
418 comparison with the adult worm was frizzled (fzd), which plays an important role in  
419 the Wnt signaling pathway. Our data showed that frizzled (TcrWFU\_07188) was  
420 down-regulated in the ORF strain. Proteins up-regulated in ORF such as  
421 Dystrobrevin-1 (TcrWFU\_10303) and aquaporin-4 (TcrWFU\_02255) have been  
422 found in the *Echinococcus* encystment process playing a role of vasopressor and  
423 water reabsorption (Fan et al., 2020). These mechanisms have been related to the  
424 transport of proteins, carbohydrates, and other substances (Park et al., 2015).  
425 Down-regulated in the ORF strain (in comparison to WFU) whose function could be  
426 related to its inability to form a scolex, were seven genes involved in embryonic  
427 development and morphogenesis (Supplementary File 1). Among them,  
428 transcription factor 21 (TcrWFU\_01181), a member of a subfamily of basic-helix-  
429 loop-helix proteins (bHLH) showed a high differential expression (LogFC = -2.02).  
430 This factor has been reported to play an important role in embryonic development  
431 of mesodermal tissues (Quaggin et al, 1998). Since the mesoderm gives rise to the

432 notochord and the neural tube, it is also involved in the definition of the  
433 anteroposterior axis (Corallo et al., 2015). Another down-regulated protein (LogFC  
434 = - 2.23) in ORF is the Tyrosine protein-kinase src-1 (TcrWFU\_10053), which  
435 together with Wnt, plays an essential role in embryonic development contributing to  
436 spindle orientation and endoderm specification in early development (Bei et al.,  
437 2002). Noteworthy, this gene is exclusively expressed in some head neurons of the  
438 nematode *Caenorhabditis elegans*, but not in its tail (Takashi, et al, 2003).  
439 Activated CDC42 kinase 1 (TcrWFU\_02666), which is also down-regulated in the  
440 ORF strain (LogFC = -2.56), is a non-receptor tyrosine-protein and  
441 serine/threonine-protein kinase, implicated in reorganization of actin cytoskeleton  
442 for the regulation of the cellular protruding activity during cell spreading, mainly in  
443 neuronal development. Two other proteins also related to embryonic development,  
444 that were found down-regulated in ORF were the FMRF amide-activated amiloride-  
445 sensitive sodium channels (TcrWFU\_03780 and TcrWFU\_07460), whose LogFC  
446 were = -2.12 and -2.02, respectively. These proteins, which are voltage and cyclic  
447 nucleotide-gated ion channels, have been shown to be expressed prominently in  
448 the neuronal tissue of *D. melanogaster* (Marx et al., 1999). Since, the attachment  
449 of the worm to the surface of the intestinal epithelium would require some kind of  
450 anterior sensorial organ in the scolex of *T. crassiceps*, the deficiency of this  
451 channel in ORF could also be involved in scolex development. Finally, the other  
452 down-regulated genes in ORF also included the potassium/sodium  
453 hyperpolarization-activated cyclic nucleotide gated channel [(LogFC = -2.6)  
454 (TcrWFU\_06892)], mediating responses to stimuli; and the fibroblast growth factor  
455 receptor-like 1 [(LogFC = -2.08) (TcrWFU\_04317)], involved in the development of  
456 organisms and expressed in the anterior region (Lamb et al., 1995, Teven et al.,  
457 2014).

458 Regarding proteins that are up-regulated in the ORF strain, one was the G protein-  
459 signaling modulator 2 [(LogFC = 2.43) (TcrWFU\_07618)] belonging to a group of  
460 proteins that have the ability to activate G proteins. They are proteins involved in  
461 different biological processes, for example, cell division, establishment and  
462 organization of mitotic spindle also playing an important role in asymmetric cell  
463 divisions via NuMA (Du et al., 2001; Zhu et al, 2011; Kiyomitsu and Cheeseman, 2012).  
464 Some reports focus on G protein regulators (GPRs) in asymmetric positioning of  
465 the mitotic spindle in the early *C. elegans* embryo (Manning, 2003).

466 Proteins related to maintenance of animal organ identity were also upregulated in  
467 ORF (Supplementary Table 2); among them, Fibronectins type III domain  
468 [(TcrWFU\_10230, TcrWFU\_10661, TcrWFU\_10672 and TcrWFU\_08319) (LogFC  
469 = 7.03, 8.13, 4.09 and 7.31)] are conserved proteins widely distributed in different  
470 species, three of them are reported as oncospherical proteins. In *Trichinella spiralis*,

471 these proteins may be involved in the mechanism allowing invasion of epithelial  
472 cells in the intestine (Ren et al., 2013). Also, an oncospherical fibronectin type III  
473 domain has been used to develop a successful vaccine against porcine  
474 cysticercosis (Flisser et al. 2004; González et al., 2005; Gauci et al., 2012). Finally,  
475 ORF cysticerci have a quicker reproductive rate in the peritoneal cavity of mice  
476 (Everhart et al., 2004; Fragoso et al., 2008; Willms and Zurabian 2010), suggesting  
477 that upregulation of proteins related to cell proliferation and differentiation are  
478 involved. These findings allow focusing future studies to determine the causative  
479 agent of the lack of scolex in ORF.

#### 480 Genomic variation analysis of differentially expressed genes

481 In addition to the genes that were found differentially expressed between the two  
482 strains (Supplementary Table 1), we identified another group of 17 genes that were  
483 slightly down-regulated in ORF, containing moderate and high impact SNPs and  
484 other variations (Supplementary File 1). For example, the gene that codes for  
485 Iroquois-class homeodomain protein IRX-5 (TcrWFU\_07074), which is a  
486 transcription factor that has been associated with craniofacial development through  
487 modulating the migration of progenitor cells in the branchial arches of *Xenopus*  
488 *laevis* embryos; mutation of this gene prevents the correct craniofacial  
489 development causing dysmorphism (Bonnard et al., 2012). In ORF, we found that  
490 the SNP on IRX-5 contains a missense change (c.290T>C) with respect to WFU,  
491 suggesting that this mutation could affect its function and contribute to the lack in  
492 the development of the scolex.

493 Other gene with a high impact change (frameshift c.260delG) was the ubiquitin  
494 carboxyl-terminal hydrolase 16 [(UBP16) (TcrWFU\_00103)], resulting in an amino  
495 acid deletion which might affect its important role in the deubiquitination of histone  
496 H2A, necessary for the progress of M phase in the cell cycle (Cai et al., 1999, Joo  
497 et al., 2007). On the other hand, UBP16 has been observed in *X. laevis* embryos,  
498 playing a role in the regulation of HOX genes through deubiquitination of histone  
499 H2A, which leads to the correct development of the anterior-posterior embryonic  
500 pattern (Joo et al., 2007). Huntingtin (TcrWFU\_07407), a large protein with a  
501 molecular mass of 348 kDa, included a SNP involving an amino acid deletion  
502 (c.1726delA). The normal function of this protein is not fully understood, however, it  
503 has been reported that targeted elimination of this protein in mice produces early  
504 embryonic lethality. All of its orthologs have a similar size and contain HEAT  
505 repeats: huntingtin, elongator factor 3, PR65/A (a regulatory subunit of PP2A) and  
506 Tor1 (Schulte et al., 2011). These are highly conserved proteins in vertebrates  
507 (Baxendale et al., 1995; Tartari et al., 2008). HEAT repeats are believed to mediate



508 protein-protein interactions (Takano et al., 2002); within huntingtin, its distribution  
509 could confer a scaffolding role for the formation of protein complexes.

510 Another downregulated gene in the ORF strain was Axin-1/DIX (TcrWFU\_07383);  
511 which has been described as a protein with multiple functions, including the  
512 regulation of Wnt/beta-catenin (cWnt) signaling pathway. Axin-1/DIX in ORF strain  
513 presented a SNP (c.1131delC) producing a frameshift of high-impact effect. Being  
514 a versatile scaffold protein involved in the separation of the centrosome and the  
515 spindle assembly in mitosis, its malfunction in the Wnt/beta catenin (cWnt)  
516 signaling pathway could impede important roles in different biological processes,  
517 including cell growth, differentiation, polarity formation (Petersen and Reddien,  
518 2009). Axins could interact with a beta-catenin paralog, limiting their accumulation;  
519 having highly segregated expression patterns along the anteroposterior axis in *E.*  
520 *multilocularis* and *H. microstoma*, this would indicate that beta-catenin destruction  
521 complexes act during larval metamorphosis (Montagne et al., 2019).

522 Another down-regulated gene also containing a high impact SNP in the ORF strain  
523 was the so-called rolling stone protein [rost] (TcrWFU\_02139). The rost gene has  
524 been identified in *Drosophila*, related to the regulation of a network of proteins  
525 involved in the process of differentiation of the body wall musculature and in the  
526 fusion of myoblasts to myotubes (Paululat et al., 1995, 1997). Rost in the ORF  
527 strain showed a SNP producing a frameshift (c.213delA).

528 Taken together, the above data suggest that the lack of development of the scolex  
529 of the ORF strain of *T. crassiceps* could result from the action of multiple genes  
530 involved in the development of the anterior part of the parasite. Although a detailed  
531 scheme cannot be advanced at this point, something that can be ruled out is the  
532 proposal that the lack of scolex was due to a catastrophic genomic change, such  
533 as the loss of complete chromosomes (Smith et al., 1972). Instead, our results  
534 suggest that it was due to a series of smaller changes, including single point  
535 mutations or differential expression of gene networks. Survival of this strain in  
536 natural conditions appears to be impractical. The role of specific genes in the  
537 formation of the scolex could be approached through gene silencing experiments  
538 on WFU cysts (Guerrero-Hernández et al., 2021).

539 Elucidation of the genomes of two strains of *T. crassiceps* will improve our  
540 knowledge about the genomic similarities and differences that exist between  
541 taeniid species, allowing novel approaches to a number of unsolved questions on  
542 tapeworm infections, including human neurocysticercosis caused by *T. solium*. We  
543 identified several conserved proteins for all cestodes as well as some proteins that  
544 are unique to the *Taenia* genus and the *T. crassiceps* species. In this respect,  
545 improving the resolution of the *T. solium* genome is a must for future studies of

546 comparative genomics within Taeniidae. Comparison between genomes might  
547 become a pipeline for the identification of potential targets and the design of new  
548 drugs, diagnostic tools or vaccines. Finally, availability of these high quality  
549 assemblies of *T. crassiceps* (WFU and ORF) can also contribute to defining the  
550 usefulness and limits of this animal model for the improvement of our  
551 understanding on human cysticercosis.

#### 552 **Authors contributions**

553 KE performed the hybrid genome assembly, gene prediction, RNAseq differential  
554 expression and GO/KEGG enrichment analysis; PT performed initial sequencing,  
555 PT, DRV and RJB maintained and isolated the cysts in mice, RJB, KE, DRV, ACG  
556 and JCC carried out the gene annotation, differential protein expression analysis,  
557 ASF and KE did the comparative genomics (SNP and synteny analyses). JPL and  
558 ASF conceived the project. RJB, KE and DRV prepared the first draft and ASF and  
559 JPL developed the final version. JPL provided the budget from grants. All authors  
560 approved the submitted version.

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#### 564 **Conflict of interest**

565 The authors declare that they have no known competing financial interests or  
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580 **References**

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938 **Figure Legends**

939 Figure 1. Comparative genomics between strains of *Taenia crassiceps* and with  
940 different cestode species. (A) Comparative genomics of three cestode genomes  
941 [*Hymenolepis microstoma* (HMN); *Echinococcus multilocularis* (EmW) and *Taenia*  
942 *crassiceps* WFU (TcrWFU)]. Scaffold sequences used for this comparison are  
943 available in the NCBI and WormBase databases. Genomic fragment names are  
944 those from the original source annotation (B) Dotplot comparison of WFU vs ORF  
945 strains at genomic level demonstrated a clear synteny discarding large structural  
946 variations.

947 Figure 2. Gene ontology enrichment analysis in the *T. crassiceps* ORF strain.

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In review



	Initial assembly	Curated assembly
Total bases	118.70 Mb	107.05 Mb
Total number of scaffolds	179	65
N50 / L50	6.70 Mb / 5	10.55 Mb / 4
N90 / L90	186.59 kb / 41	1.46 Mb / 18
Ave. scaffold size	663.16 kb	1.65 Mb
Largest scaffold	19.05 Mb	19.05 Mb
BUSCO (eukaryota dataset) completeness	78.5%	78.5%
Predicted coding genes	12,089	10,585
Annotated proteins	9,603	9,603
rRNA and tRNA	348 rRNA (18S(22), 5.8S(305) y 28S(21)) y 43 tRNA	76 rRNA (18S(4), 5.8S(67) y 28S(5)) y 43 tRNA
Gene average length	5,533.7 bases	5,568 bases
Protein average length	523.17 residues	503.52 residues
Average exon number per gene model	7.76	8.78
Exon mean size	201.4	201.6 bases
Intron mean size	626.7	626.8 bases

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966 **Table 1.** *T. crassiceps* assemblies and genomic statistics

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Figure 1.JPEG

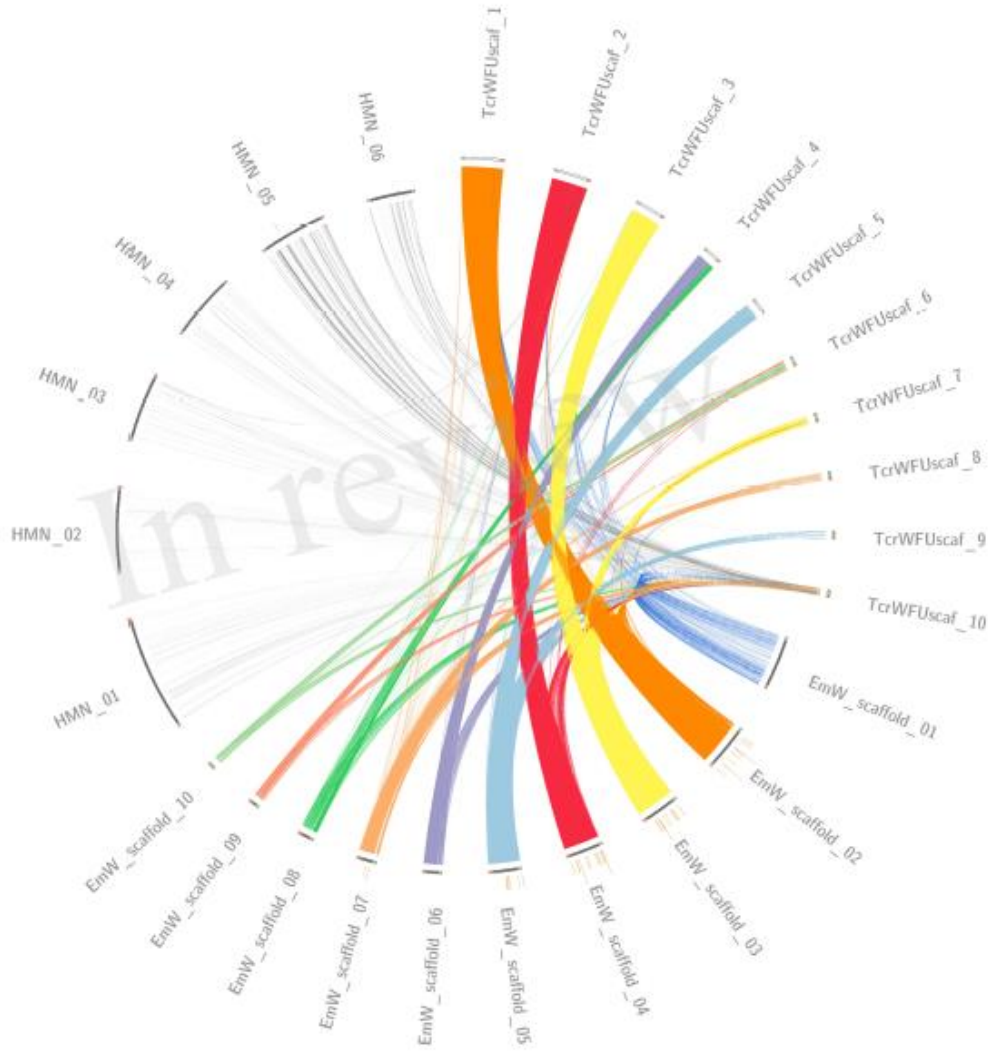


Figure 2.JPEG

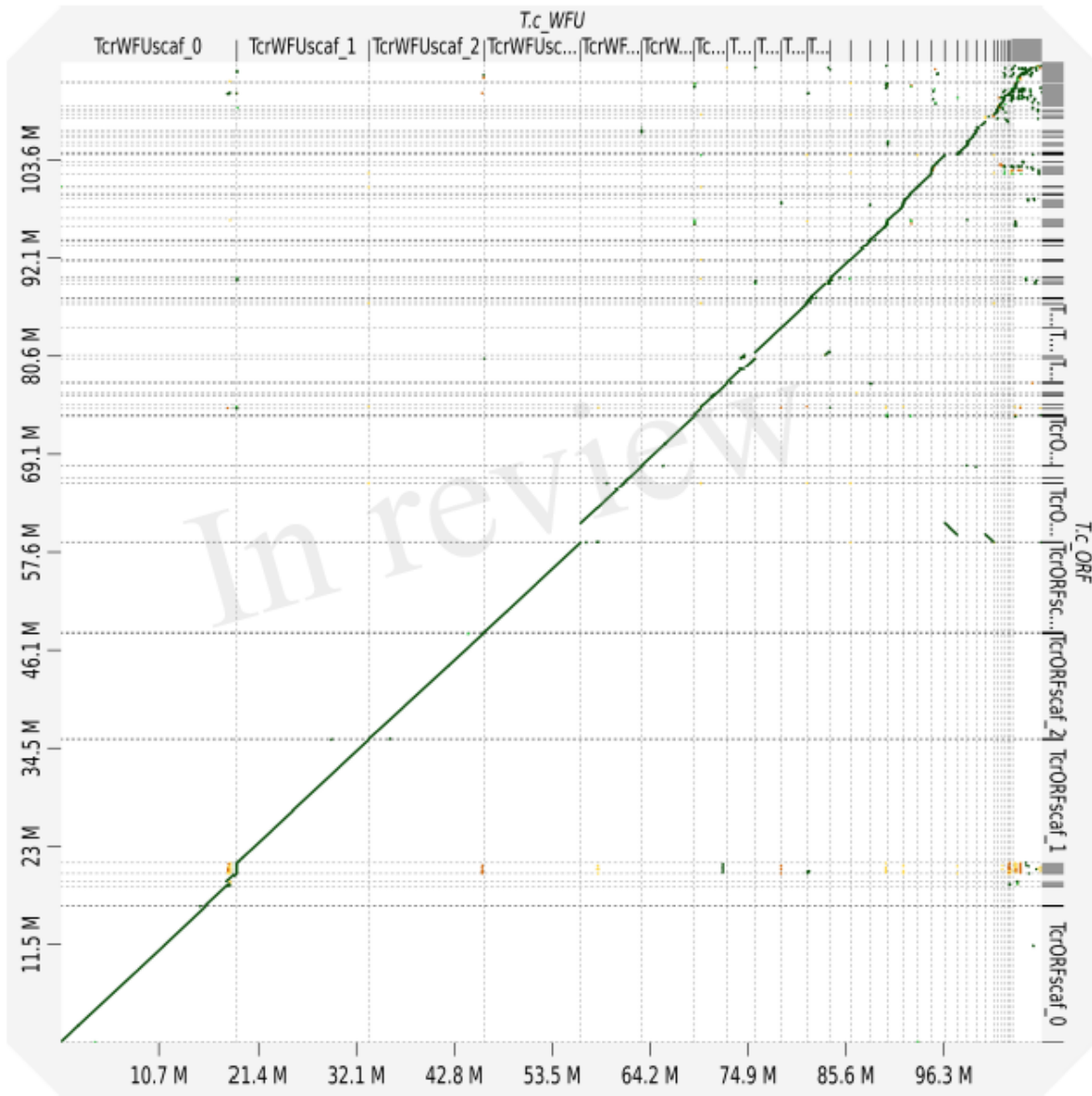
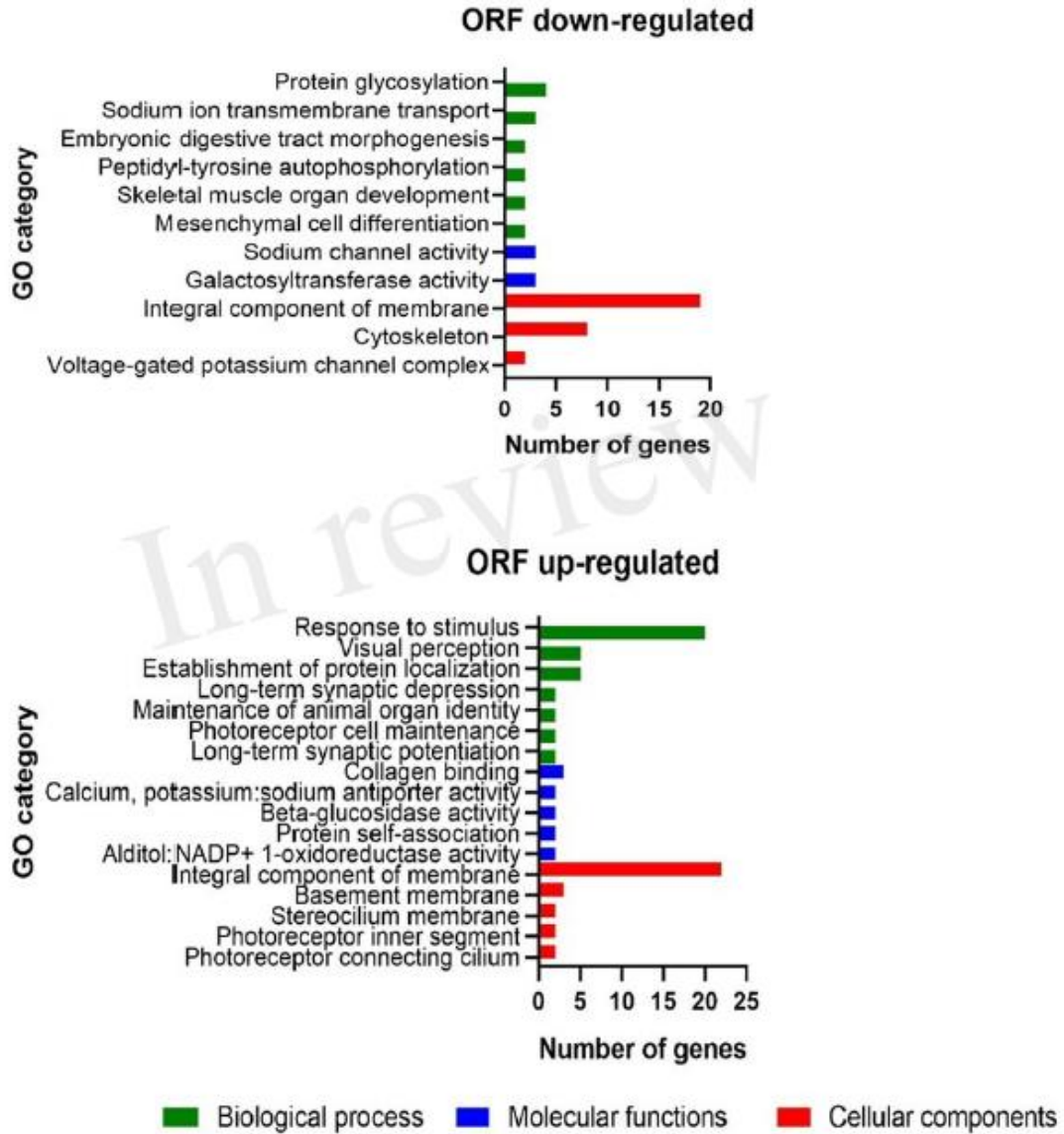


Figure 3.JPEG



Article

## Intranasal Methylprednisolone Ameliorates Neuroinflammation Induced by Chronic Toluene Exposure

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**Abstract:** Inhalants are chemical substances that induce intoxication, and toluene is the main component of them. Increasing evidence indicates that a dependence on inhalants involves a state of chronic stress associated to the activation of immune cells in the central nervous system and release of proinflammatory mediators, especially in some brain areas such as the nucleus accumbens and frontal cortex, where the circuits of pleasure and reward are. In this study, anti-neuroinflammatory treatment based on a single dose of intranasal methylprednisolone was assessed in a murine model of chronic toluene exposure. The levels of proinflammatory mediators, expression levels of Iba-1 and GFAP, and histological changes in the frontal cortex and nucleus accumbens were evaluated after the treatment. The chronic exposure to toluene significantly increased the levels of TNF- $\alpha$ , IL-6, and NO, the expression of GFAP, and induced histological alterations in mouse brains. The treatment with intranasally administered MP significantly reduced the expression of TNF- $\alpha$  and NO and the expression of GFAP ( $p < 0.05$ ); additionally, it reversed the central histological damage. These results indicate that intranasally administered methylprednisolone could be considered as a treatment to reverse neuroinflammation and histological damages associated with the use of inhalants.

**Keywords:** toluene; neuroinflammation; histological damage; intranasal administration; methylprednisolone

### 1. Introduction

Inhalant abuse is a worldwide problem especially common in youths from marginalized populations [1]. These substances are widely available and accessible to children and adolescents, in whom they have euphorogenic and highly toxic effects, which may be greater than in adults [2]. Toluene is the main substance contained in inhaled drugs [3,4], and its exposure is related to different neurological alterations [5,6]. The addiction within inhalant abuse underlies a neurochemical and neuroanatomical circuit of pleasure and reward. In this circuit, the nucleus accumbens, the hippocampus, the frontal cortex, and



the amygdala are the most important brain areas. Of them, the nucleus accumbens is critical for the beginning and the maintenance of the reinforcement of the drug abuse behavior [7]. Considering these reasons, in this study, histological analysis was performed in mouse frontal cortices (FCs) as well as nucleus accumbens (NA).

At cellular levels, chronic toluene administration impact cell viability by decreasing neurogenesis and promoting apoptosis [6]. Moreover, chronic toluene exposure produces several cognitive deficits, including learning and working memory impairment [8,9]. Among other effects, toluene inhalation induces changes in GABA and glutamate receptors, although the mechanisms that underlie these effects remain to be determined [10–13].

Neuroinflammation is the complex inflammatory response of neural tissue that initially participates in the brain fix and resolution process [14] but has also been attributed to the pathogenesis of a number of central nervous system diseases [15]. Neuroinflammation may be induced by toluene inhalant abuse due to the production of reactive oxygen species and glial cell activation [16]. In this way, different studies have demonstrated that drugs of abuse, such as toluene, interact with the neuroimmune system and alter immune gene expression and signaling, which in turn contribute to various aspects of addiction [17]. It has been reported that toluene exposure enhances IL-1 $\beta$  mRNA while decreasing TGF- $\beta$  mRNA expression in the medial prefrontal cortex [16]. In addition, low toluene concentrations in mice may increase the expression of mRNA of TLR-4 and NF-KB mRNA in the hippocampus [18]. Furthermore, an increase in the expression of cell-specific markers ionized calcium binding adaptor molecule (Iba-1) and glial fibrillary acidic protein (GFAP) has been reported following exposure to toluene [16,19]. It has also been reported that repeated toluene administration (1500 ppm for 4 h/7 days) increased the expression of cytokine mRNA such as TNF- $\alpha$ , TGF- $\beta$ , and glial markers such as GFAP in the rat hippocampus and cerebellum [20]. In mice chronically exposed to toluene, rapid absorption occurs. Once absorbed, toluene can readily cross the blood–brain barrier, resulting in brain damage by triggering neuroinflammation through the release of damage-associated molecular patterns.

Despite the worldwide use of toluene, the treatments for inhalant users are limited, and there is a clear need for more effective treatment options [21]. Some of these treatments include psychological and psychosocial interventions [22], and only a few studies have analyzed pharmacological approaches for the inhalant treatment [23]. In addition, in postmortem analysis of brains from patients undergoing inhalant addiction, it had been shown that gliosis, microglia migration and proliferation, cerebral atrophy, and myelin loss are the main effects of toluene exposure; it is important to say that for all the mentioned processes, neuroinflammation is critical for their establishment [24]. Altogether, these data suggest that neuroinflammation is a therapeutic target to reduce, at different levels, the harmful effects of toluene exposure.

Steroids are the drugs with the highest anti-inflammatory capacity and are commonly used in a wide range of pathologies such as allergies, asthma, neurodegenerative and autoimmune diseases, etc. [25,26]. Glucocorticoids are a class of steroids which regulate various cellular functions including, development, homeostasis, cognition, and the inflammatory response [27]. Despite the efficiency of glucocorticoids in controlling inflammation, high systemic doses are required to achieve central therapeutic doses required to control neuroinflammation, which may cause relevant adverse side effects [28]. Thus, its use is restricted to very particular neuroinflammatory conditions that endanger the patient's life.

In recent years, the intranasal (IN) route of administration has been recognized as a route to treat neurological disorders. The nasal pathway represents a non-invasive administration route of active pharmaceutical ingredients for local, systemic, and CNS action [29]. IN delivery not only avoids first-pass metabolism but also circumvents passage through the blood–brain barrier by allowing the transport of drugs from the nasal cavity to the brain through the nasal and trigeminal nerves [30]. On the other hand, the mucosa



and lamina propria are characterized by their extensive vascularization; likewise, the leaky epithelium provides an optimal absorption mechanism for the drug delivery [31]. To our knowledge, there are no reports on the use of the IN drug administration route to control toluene-associated neuroinflammation.

Considering that it has been reported that the repeated administration of different drugs of abuse in rodents causes a progressive increase in locomotor activity (Valjent et al., 2010) that is greater in magnitude compared to that induced by a single administration (a phenomenon known as locomotor sensitization), it is important to evaluate the impact of toluene inhalation and MP treatment on the addiction behavior. This activating effect of locomotion is easily perceptible and has a neurobiological substrate that is in part common with the one responsible for the reward effects. Thus, in this study, the impact of the anti-neuroinflammatory treatment in the addiction behavior was also evaluated.

The aim of this study was to evaluate the efficiency of the IN delivery of methylprednisolone (MP) to control the neuroinflammation induced by the chronic exposure of toluene in mice and its impact on the addiction behavior.

## 2. Materials and Methods

### 2.1. Mice

Male Swiss Webster mice of 30–35 days of age were employed in this study. Mice were obtained from Instituto Nacional de Psiquiatría Ramon de la Fuente Muñiz, México City, México, and housed at the same institution in an animal room maintained at  $22 \pm 3^\circ\text{C}$  with a 12/12 h light–dark cycle. The age of mice approximately corresponds to human adolescence, which is the stage of life at which people generally start drug addictions. All mice were kept in plexiglass boxes with food (Teklad Sari-Chips® 7090, Envigo, Indianapolis, USA) and water (filtered, acidified, and sterilized) *ad libitum*.

Experimental procedures were conducted following the guidelines of the Institutional Committee of the Care and Use of Experimental Animals of the IIB at the Universidad Nacional Autónoma de México (UNAM) and of the U.S. National Institutes of Health. Experimentation protocol was approved by the animal safety and ethical committee of the IIB, UNAM (Protocol Number approval ID 140, approved in 4 April 2018).

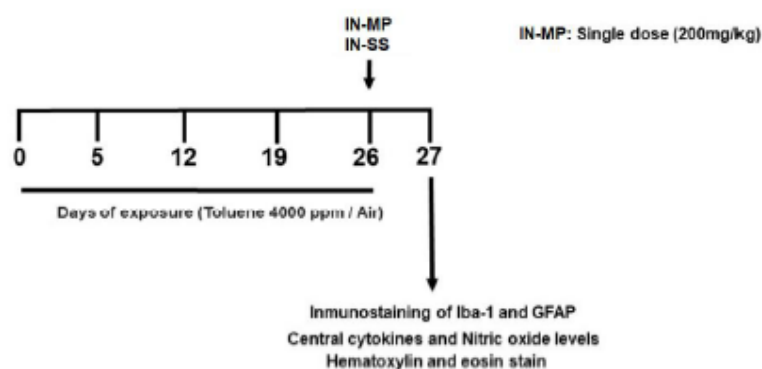
### 2.2. Chronic Toluene Exposure

Mice were exposed to 4000 ppm toluene or air (control group) in an inhalation chamber, which consist in a 27 L glass cylinder with a polycarbonate lid. The lid contained an injection port in the outside part and a fan and a wire mesh in the inside part of the chamber. Animals are placed in the bottom of the chamber and an amount of toluene was dropped in the filter paper localized above of the wire mesh, then the fan was turned on. Exposure to toluene or air was conducted 30 min a day for 4 weeks, from Monday to Friday. A photoionization detector (2020 Combo Pro, Inficon, New York, NY, USA) were used to confirm the toluene concentration inside the inhalation chamber. The toluene exposure time and concentration were chosen according to previous studies from our lab, where behavioral and neurochemical alterations have been imitated by repeated toluene exposure [6,9,31,32,39].

### 2.3. Effect of Intranasal Methylprednisolone Administration

Two hours after the last toluene or air (0 ppm toluene) exposure, mice received a single dose of either intranasal isotonic saline solution (IN-SS) or intranasal methylprednisolone (IN-MP) (200 mg/kg). We employed a commercially available formulation of methylprednisolone for intravenous use (methylprednisolone sodium succinate (Pfizer, New York City, USA) and dissolved it with the ampule of injectable water and 2% benzyl alcohol that was provided. Mice received 10  $\mu\text{L}$  in each nostril of the MP solution (200 mg/kg) adjusted to the weight of each mouse. Intranasal doses were applied with a micropipette, placing the tip and slowly releasing the solution into the nostril with the mouse

face up in the palm of the hand. Mice were lightly anesthetized with inhaled sevoflurane (10 s) before administration. The dose was ensured to be fully absorbed before the mouse was returned to the cage. Mice were randomly assigned in four experimental groups: toluene + saline solution (TSS), toluene + methylprednisolone (TMP), air + saline solution (ASS), and air + methylprednisolone (AMP). Mice of each group were anesthetized (90 mg of ketamine and 10 mg/kg of xylazine) and perfused with physiological saline followed by 4% paraformaldehyde solution previously cooled to 4 °C, and then euthanized (Figure 1). The expression of ionized calcium binding adaptor molecule (Iba-1) and glial fibrillary acid protein (GFAP) was performed by immunofluorescence analysis in the FC and NA. Hematoxylin and eosin staining were developed to assay histological changes as well as cellular infiltrates in FC and NA. The central level of cytokines (tumor necrosis factor TNF- $\alpha$ , interleukin IL-1 $\beta$  and IL-6) was measured in brain homogenates. Slices of the areas of interest—frontal cortex and nucleus accumbens—were selected according to a histological atlas [33]. Frontal cortex slices were made at 2.34 mm from Bregma, and for the nucleus accumbens, slices were made at 1.42 mm from Bregma.



**Figure 1.** Experimental design. At the beginning animals were exposed to toluene 4000 ppm or air for four weeks. Two hours after the last exposure the animals received a single dose of intranasal MP (IN-MP) or intranasal SS (IN-SS). One day later, the expressions of Iba-1 and GFAP were assayed by immunofluorescence and central cytokines and nitric oxide levels were evaluated by enzyme-linked immunosorbent assay (ELISA) and Griess reaction, respectively. Histological analysis was performed with hematoxylin and eosin staining 24 h after the treatment.

#### 2.4. Central Inflammatory Mediators

A mixture of ketamine (90 mg/kg) and xylazine (10 mg/kg) was used to anaesthetize all mice. Mice were submandibular bled before being euthanized. Mice were perfused by cardiac puncture with 250 mL of NaCl (0.15 M) to discard the presence of peripheral molecules in central tissues. Brains were removed and processed to determine the protein concentration and cytokine levels (TNF- $\alpha$ , IL-1 $\beta$  and IL-6) and nitric oxide (NO), following the procedure previously described [34].

Lysis buffer (50 mM HEPES, 150 mM NaCl, 1% Nonidet-p40, 0.5% sodium deoxycholate, 0.1% sodium dodecyl sulphate (SDS)) containing complete protease inhibitors (Roche, Basel, Switzerland) was employed to homogenate Snap-frozen full brains. Samples were then centrifuged at 16,000 $\times$  g for 15 min at 4 °C and supernatants were collected and kept at -80 °C until analysis. The total amount of proteins in the soluble extract was measured using the Lowry method to normalize the central levels of cytokines [35].

#### 2.5. Cytokine Enzyme-Linked Immunosorbent Assay (ELISA)

The concentrations of the proinflammatory cytokines IL-1 $\beta$ , IL-6, and TNF- $\alpha$  in brain extracts were measured using commercial kits (BioLegend, San Diego, CA, USA),

following the procedures previously reported [36]. ELISAs were performed in 96-well flat-bottomed MaxiSorp microtiter plates (Nunc, Roskilde, Denmark). The capture antibody was placed in the microplates for 18 h at 48 °C and then washed with phosphate-buffered saline (PBS)–Tween 20 (0.05%) and blocking for 60 min at room temperature with 2% PBS bovine serum albumin (BSA). Plates were then incubated for 2 h at room temperature for 2 h with standard or samples, washed three times, and incubated at room temperature for 1 h with the detection antibodies at room temperature. Antibodies bounded were revealed using a 1:1000 dilution of avidin-horseradish peroxidase (HRP) and 3,3',5,5'-tetramethylbenzidine (TMB). The optical density was read before and after the reaction was stopped with H<sub>2</sub>SO<sub>4</sub>·2N at 450 and 630 nm, respectively, using an DR-200Bc microplate reader (Diatek Instruments, Wuxi, China). Results were expressed in pg/mL per mg of protein in the respective soluble extract. Groups of 4–5 mice for each experimental condition were employed.

#### 2.6. Nitric Oxide Assay

Nitric oxide assay was carried out by indirect measurement of nitrite quantification (NO) using the Griess reaction [37]. The analysis was performed using 96-well MaxiSorp flat-bottom microtiter plates (Nunc, Roskilde, Denmark) where 25 µL of nitrite standards were initially added in duplicate at concentrations between 1.87 and 100 µM/mL followed by 25 µL of the samples in duplicate, then 25 µL of sulfanilamide was added to each well and incubated for 15 min at room temperature with constant shaking, and finally, 25 µL of the NED reagent was added to each well and the absorbance was measured at 10 min at 540 nm. The total amount of proteins in the soluble extract was measured using the Lowry method to normalize the central levels of NO [35]. The results were expressed in µM/mL per mg of protein in the respective soluble extract. Groups of 4–5 mice for each experimental condition were employed.

#### 2.7. Immunofluorescence (IFC) Analysis

Immunohistological studies were performed using 30 µm-thick frozen brain coronal sections that were obtained through cryo-sectioning and preserved in phosphate-buffered saline (PBS) 1x until analysis. After the treatment with citrate buffer (0.01 M citric acid, 0.05% Tween 20, pH 6.0) at 70 °C for 50 min, samples were washed thoroughly with a solution of 2% immunoglobulin (Ig)G-free albumin (Sigma, St Louis, MO, USA) in TBS for 20 minutes at room temperature. Brain sections were then incubated overnight at 48 °C with either rabbit anti-GFAP (Invitrogen, Carlsbad, CA, USA) or anti-Iba-1 (Wako Chemicals Inc., Richmond, VA, USA) in TBS-2% BSA to detect astrocytes and microglia, respectively. After washing, sections were incubated for 1 h at room temperature with AlexaFluor 594 goat anti-rabbit IgG (Molecular Probes, Eugene, OR, USA) diluted in TBS-2% BSA. Sections were mounted onto glass slides in Vectashield medium (Vector Laboratories, Burlingame, CA, USA) containing 4',6-diamidino-2-phenylindole (DAPI) to visualize nuclei. The mean fluorescence intensity was quantified using Image J software (National Institute of Health, Bethesda, MD, USA). Three mice for each experimental condition were analyzed.

#### 2.8. Histological Analysis

Hematoxylin-eosin staining was carried out to assay histological changes and cell infiltrates. Briefly, brain sections were fixed with 4% paraformaldehyde for 24 h; subsequently, they were postfixed for 24 h more and embedded in paraffin. Using a microtome, sections with 10 µm thicknesses were obtained from both FC and NA and the slices were mounted onto glass slides. Once the brain sections were obtained, they were deparaffinized and rehydrated on an alcohol gradient, washed with distilled water, and stained with hematoxylin for 10 min. For the eosin staining, the glass slides, initially stained with hematoxylin, were washed in distilled water and treated with 1% acid alcohol and

saturated lithium carbonate solution; finally, the slides were placed in an eosin solution for 30 s. Photographs were obtained using a digital camera attached to a light microscope (Nikon DIGITAL SIGHT DS-Ri1, Nikon DIGITAL SIGHT DS-Ri1, Nikon, Tokyo, Japan).

### 2.9. Analysis of Addictive Behavior by Measuring the Locomotor Activity

To study the addictive behavior induced by chronic toluene inhalation, the locomotor activity of mice in the exposure chamber placed on a surface marked with 4 X 4 cm quadrants was recorded during the last 5 min of exposure, on day 1 and every 7 days during the following 28 days. The total number of quadrants that animals crossed during the test period was recorded.

Other group of mice that received the same schedule described above were treated on day 28 with saline or with methylprednisolone. The locomotor activity of all mice was measured 24 h after treatments.

### 2.10. Statistical Analysis

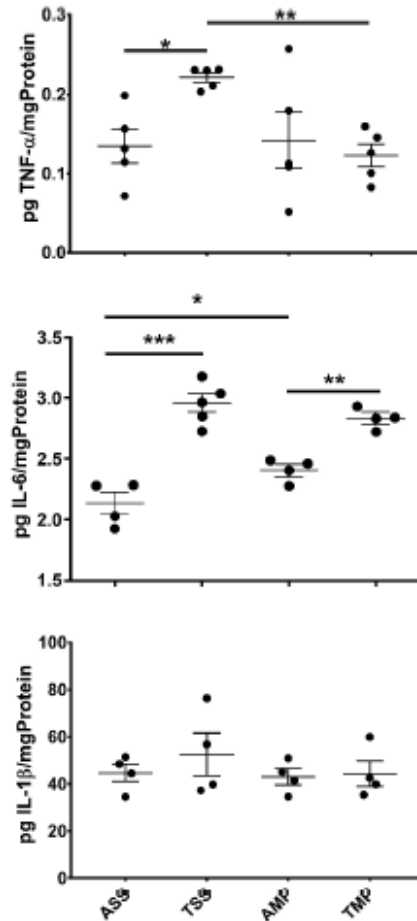
All data are expressed as the mean  $\pm$  standard error. To evaluate the anti-neuroinflammatory effects of IN-MP administration, in animals previously exposed to toluene, ANOVA followed by Tukey test were performed. A difference was considered statistically significant at P-value less than 0.05. All analyses were carried out using the Sigma-Stat program (version 3.5, Jandel Scientific, California, USA).

## 3. Results

### 3.1. Effect of MP on the Central Levels of Cytokines

To evaluate the effect of IN delivery of MP on neuroinflammation induced by toluene exposure, central levels of proinflammatory cytokines were assayed (Figure 2). The exposure to toluene significantly increased the level of TNF- $\alpha$  and IL-6 in brain homogenates and did not affect the level of IL-1 $\beta$ . The administration of MP on the group previously exposed to toluene significantly reduced the levels of TNF- $\alpha$  but not IL-6 in brain homogenates 24 h after the treatment. No effect was observed in the levels of IL-1 $\beta$ . A slight but statistically significant increase in IL-6 levels was observed in the air MP-treated (AMP) group compared with air saline-treated (ASS) group.





**Figure 2.** Central cytokine levels (pg/mg protein) in soluble extract from brain of mice chronically exposed to toluene and analyzed by ELISAs test. All results are showed as the mean  $\pm$  standard error of groups values. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . ANOVA followed by Tukey's test was performed. Air + saline solution (ASS); toluene + saline solution (TSS); air + methylprednisolone (AMP); toluene + methylprednisolone (TMP).

### 3.2. Intranasal Delivery of MP Effectively Reduced Central Levels of Nitric Oxide

The effect of MP on the NO is shown in Figure 3. The results showed that chronic toluene exposure significantly enhanced the NO levels in brain homogenates that were significantly reduced after MP treatment.

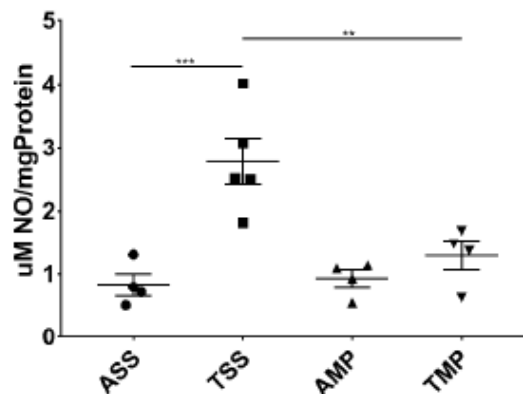


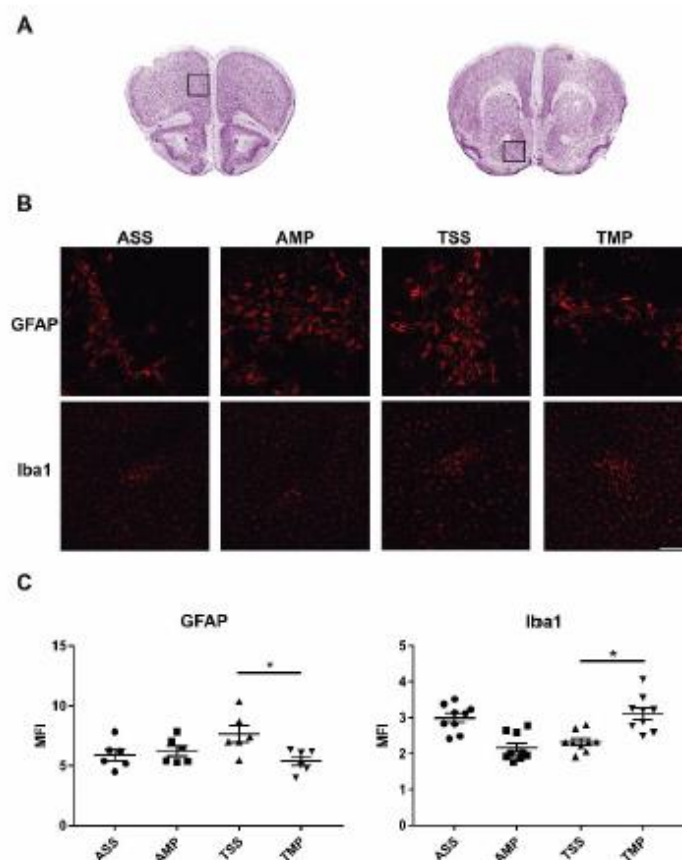
Figure 3. Central nitric oxide levels ( $\mu\text{M}/\text{mg}$  protein) in soluble extract from brain of mice repeatedly exposed to toluene and measured by Griess reaction. All results are shown as the mean  $\pm$  standard error of groups values. \*  $p < 0.05$ , \*\*  $p < 0.01$ . ANOVA followed by Tukey's test were performed. Air + saline solution (ASS); toluene + saline solution (TSS); air + methylprednisolone (AMP); toluene + methylprednisolone (TMP).

### 3.3. Expression of Iba-1 and GFAP in FC and NA after 24 of Treatment

Two hours after the chronic toluene exposure, mice received IN-SS or IN-MP. Twenty-four hours later, the effect of MP on the activation of microglia and astrocytes was evaluated in the NA and FC. Figure 4A shows an overview of the two regions (frontal cortex and nucleus accumbens) that were evaluated. Figure 4B shows a representative image of the expression of GFAP and Iba-1. As depicted, repeat toluene administration increased the immunoreactivity of GFAP ( $p = 0.09$ ) in NA and FC. Meanwhile, MP treatment decreased the mean fluorescence intensity of GFAP expression, and no changes were observed in the AMP group (Figure 4C).

Toluene treatment does not significantly modify the expression of Iba-1 ( $p > 0.05$ ) in both areas, in comparison to AMP or ASS groups. In contrast, in the TMP group, the expression of Iba1 was significantly increased compared to the TSS group ( $p < 0.001$ ), probably due to the establishment of a M2 polarized microgliosis (Figure 4C).

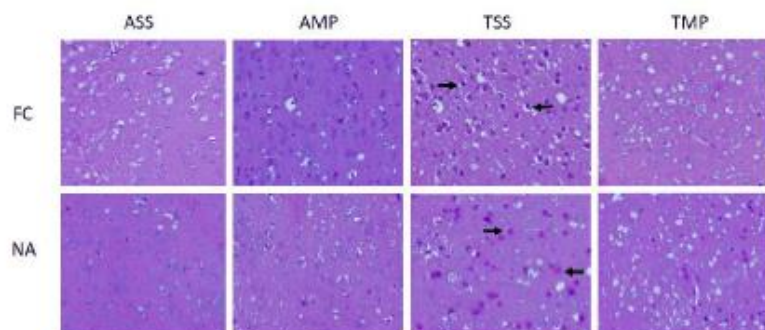




**Figure 4.** Analysis of brain GFAP and Iba-1 expression in exposed to toluene and non-exposed to toluene mice 24 h after the IN-MP administration. (A) Histological representation of analyzed areas. Squares points out areas in frontal cortex (left) and nucleus accumbens (right) which were analyzed. Images taken from “The mouse brain in stereotaxic coordinates” Reproduced from [30], OXFORD UNIV PRESS INC, 2020. (B) Representative images of GFAP and Iba-1 immunofluorescence stains. Scale bar represents 100  $\mu\text{m}$ . (C) The mean fluorescence intensity (MFI) of GFAP and Iba-1 in frontal cortex and nucleus accumbens were quantified using the Image J software. All results are showed as the mean  $\pm$  standard error of groups values. \*  $p < 0.05$ . ANOVA followed by Tukey’s test. Air + saline solution (ASS); air + methylprednisolone (AMP); toluene + saline solution (TSS); toluene + methylprednisolone (TMP).

#### 3.4. Reduced Histological Alterations by Intranasal Methylprednisolone

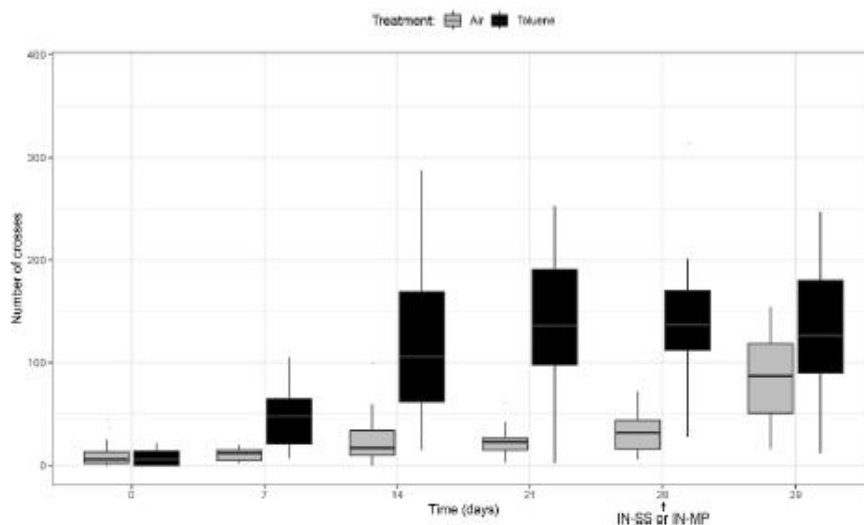
Hematoxylin-eosin staining was performed to describe histological changes as well as cell infiltration induced by the toluene administration at the level of FC and NA (Figure 5). In the brains of mice that were only exposed to air, there were no abnormalities in the FC or in the NA. In the brain sections of the toluene-administered mice, nuclear pyknosis and cytoplasmic compaction, highly suggestive of apoptosis, were detected. In addition, hydrotropic degeneration, alteration in nuclear contours, and cell rupture—all characteristic cellular processes of necrosis—were found. Similar results were found in the NA (Figure 5). IN administration of MP results in a decrease in brain impairment in both areas.



**Figure 5.** Histological examination of brains of mice repeatedly exposed to toluene and those with a previous exposure toluene and then treated with methylprednisolone. Hematoxylin-eosin stains of brain sections at frontal cortex (FC) and nucleus accumbens (NA). Scale bar represents 100  $\mu$ m. Air + saline solution (ASS); air + methylprednisolone (AMP); toluene + saline solution (TSS); toluene + methylprednisolone (TMP). Arrows show nuclear damage in TSS group in both FC and NA regions. Treatment with IN-MP reduced nuclear damage (TMP).

### 3.5. Analysis of Addictive Behavior Measuring the Locomotor Activity

Figure 6 shows that exposure to 4000 ppm of toluene produced a significant increase in the locomotor activity from the first week of exposure that is maintained from day 14 to day 28. At day 28, one dose of MP was IN administered to all mice (air and toluene treated). One day later, the locomotor activity was recorded to measure the anti-neuroinflammatory effect on mice behavior. As shown in Figure 6, MP treatment did not modify the locomotor activity of toluene-treated mice. Moreover, MP significantly increased the locomotor of those mice only treated with saline isotonic solution.



**Figure 6.** Mean  $\pm$  SD of the number of crosses performed by 68 mice, half treated with air and the other half with toluene for 28 days. A group of 30 mice were then treated with one dose of IN-MP (200 mg/kg) and the locomotor activity was registered 24 h later. Values were compared by a T-student test.

#### 4. Discussion

Based on the results obtained in the present study, chronic toluene exposure induced marked neuroinflammation characterized by increased levels of NO, TNF- $\alpha$ , and IL-6, as well as increased expression of GFAP. Moreover, histological alterations were observed by the toluene administration. Interestingly, IN-MP not only decreased the central levels of nitric oxide but also the levels of TNF- $\alpha$ . In addition, the expression of GFAP was significantly reduced after the IN-MP treatment. As a result, MP administration was able to reduce histological alterations produced by the chronic toluene treatment.

Clinical and neuroradiological findings sustain the central damage that is caused by repeated use of inhalants such as toluene [38]. The initial injury conceivably results in the expression of damage signals (DAMPs) and activation of the neuroinflammatory response. Once triggered, the sustained neuroinflammation induced by toluene may cause a succession of secondary brain-damage events leading to neuronal damage, such as the enhancement in apoptotic markers [6]. The present results are consistent with the literature on the neuroinflammatory effect of the toluene. Thus, repeated toluene exposure in rats enhances IL-1 $\beta$  in the FC [16]. Previous reports had shown how chronic exposure to toluene activated the NF- $\kappa$ B signaling pathway through TLR-4 activation mediated by the expression of DAMPs [18]. Moreover, other works also demonstrated that toluene promoted the expression of TNF- $\alpha$  in different brain areas, such as the frontal cortex, hippocampus, and the substantia nigra [39]. Similarly, this study corroborates a previous work where repeated exposure to toluene induces oxidative stress, enhancing nitric oxide and reactive oxygen species production as well as reducing superoxide dismutase activity and glutathione levels in the FC and hippocampus [40]. Astrocytes and microglia are the main cells that respond to toxic damage in the central nervous system during toluene exposure. Experimental models have been used for the study of gliosis caused by toluene. From the present study, repeated doses of 4000 ppm of toluene administration enhance GFAP expression in mouse brains. In line with these results, in a rat study, toluene administration (1500 ppm for 4 h on 4–10 days) augmented GFAP immunoreactivity in the hippocampus and cerebellum [20]. Likewise, the mRNA of neuroimmune markers TNF- $\alpha$ , TLR-4, Iba-1, and GFAP have been found to be increased in the hippocampus of mice previously treated with a low level of toluene [19]. Likewise, toluene 8000 ppm, twice a day for five days increases the GFAP levels in the FC [16]. Altogether, these data corroborate that toluene can induce neuroinflammation. In our study, the chronic administration of toluene was able to increase several inflammatory conditions, such as NO, IL-6, TNF- $\alpha$ , and the expression of GFAP. No increase in IL-1 $\beta$  or Iba-1 could be attained. The production of IL- $\beta$  requires two signals, one triggered by the recognition of DAMPs that induces the expression of the pro-IL-1 $\beta$  and the second coordinated by the activation of the inflammasome (which activates caspase 8 that cut the pro-IL-1, leading to the mature and active form of this inflammatory cytokine). It is possible that the inflammasome activation could not occur in our model induced by chronic inhalation of toluene. In contrast, in models of toluene with fewer administrations (10 exposures), the activation of NLRP3 inflammasome with the mRNA expression levels of IL-1 $\beta$  has been observed [16]. It is possible that the chronicity in the toluene administrations lead to different outcomes in the production of IL- $\beta$ .

Herein, we explore the potential of anti-neuroinflammatory treatment using intranasal glucocorticoid administration. MP was selected considering that it is a synthetic glucocorticoid, extensively used orally or parenterally for its anti-inflammatory and immunosuppressive effects [26]. It has been widely administered to control the inflammatory process in several pathological diseases and it is the most common glucocorticoid employed for the treatment of relapses in multiple sclerosis [44–46]. However, high doses of MP have been used to reach the required concentration in the central nervous system to control neuroinflammation that may lead severe negative side effects that limit its use [47]. The intranasal (IN) route is a feasible alternative for drug delivery directly to the CNS [48–50] through the olfactory and trigeminal nerve structures avoiding the blood–brain



barrier. In our research group, we recently demonstrated that intranasally administered glucocorticoids are more effective to control the neuroinflammation that accompanied different diseases, in comparison to intravenously administered ones [36,51]. In the murine model of multiple sclerosis, we found that MP intranasally administered was able to reduce the inflammatory cytokines as well as the expression of activation markers of glia and astrocytes (Iba1 and GFAP, respectively) and improved the clinical score [27]. In this study, the treatment with only one dose of IN-MP significantly reduced some inflammatory features, such as TNF- $\alpha$ , ON, and astrocytes activation, results that are expected when potent anti-inflammatory drugs are employed [52]. Indeed, the damage induced by chronic exposure to toluene is partially reverted as soon as 24 h after IN-MP. This effect may be the result of the rapid reduction in neuroinflammation through the repression of inflammatory transcription factors together with the endothelial repair through the strengthen cell-to-cell contacts [53,54]. However, MP treatment could only partially reduce inflammation by lowering TNF- $\alpha$  and NO levels and maintaining slightly increased levels of the pleiotropic cytokine IL-6. IL-6 is a pro-inflammatory cytokine that is frequently accompanied by different neurological diseases and leads to astrogliosis [41]. However, when the inflammatory process begins to cease, IL-6 behaves as an anti-inflammatory cytokine inhibiting the expression of TNF- $\alpha$ - or IL-1 $\beta$  induced by ICAM-1 expressed in primary astrocytes. Additionally, it has been reported that IL-6 functions as an anti-inflammatory cytokine, helping to maintain the blood-brain barrier integrity in neuroinflammatory conditions. In line with these anti-inflammatory functions, IL-6 also induces the survival, proliferation, differentiation, and regeneration of neurons, influences the synaptic release of neurotransmitters, and promotes astrogliogenesis and oligodendrogenesis [42]. Thus, the high levels of this cytokine in MP-treated mice may reflect the restorative conditions of the inflamed brain. Although a single dose of MP showed benefits reducing some inflammatory markers, it is also likely that more time or additional doses of MP will be required to reverse the values of IL-6. A recent study evaluated minocycline, an anti-inflammatory agent, on the effects induced by toluene at the level of the FC. Similar to our results, minocycline also reduced neuroinflammatory marker IL-1 $\beta$ , while this drug was able to reduce the increased levels of GFAP induced by toluene [16]. A direct comparison is hard to conduct, on the aims of Cruz et al. study was to prevent the inflammatory response induced by toluene, the experiments were conducted in rats, the administration of minocycline was intraperitoneal and the neuroinflammation was evaluated in the FC. In the present study, the objective was to revert the brain damage already induced by toluene, mice were employed, the administration of the steroid was performed intranasally, and the whole brain was used to analyze the proinflammatory cytokines. Despite these differences, both studies show evidence that toluene can induce inflammation at the cerebral level and anti-inflammatory drugs are able to reduce some of those parameters of neuroinflammation. This study tries to conduct an approximation to evaluate the therapeutic potential of MP in the neuroinflammatory process induced by toluene; consequently, the whole brain was employed to analyze some neuroinflammation markers; however, a more specific analysis of the brain area is currently underway in our laboratory.

Exposure to toluene produces marked histological changes by altering the lipid structure of cells, as well as by interacting with different cellular proteins [39]. In patients who have been exposed for more than 10 years, chronic toluene usage leads to demyelination and axonal degeneration [43]. Moreover, there is evidence showing that toluene administration triggers tissue damage due to an increase in oxidative stress as well as the expression of proapoptotic proteins [6,40]. As we hypothesized, the reduction in neuroinflammation was reflected in greater integrity of the neuronal tissues, as observed in the histological profile, where less pyknotic and necrotic cells were observed in the group previously exposed to toluene as shown in Figure 5. The increased Iba-1 expression induced by MP could also contribute to this histological improvement. Indeed, it has been

extensively reported that steroids promote the activation of alternative activated microglia, M2 microglia [55].

In the present study, chronic exposure to toluene produced behavioral sensitization reflected in a progressive increase in locomotor activity (Figure 6). It is important to highlight that this increase was significant from the first week of exposure ( $p < 0.001$ ), which allowed establishing the rapid effect of toluene to induce these changes. Our results coincide with those reported by other authors that found in a rat model that chronic exposure to toluene for 12 days for half an hour significantly increased locomotor activity. In our study, the administration of MP had no effect in reversing the behavioral sensitization induced by toluene. Moreover, MP administered to mice that only received air significantly increased their locomotion. These results are compatible with two aspects: on the one hand, the control of neuroinflammation does not reverse the addictive behavior at least in a short time. Additionally, the increased movements associated with the administration of the glucocorticoid MP may be due to changes in the mice metabolism resembling the result of the "corticoid-euphoria" reported in humans after glucocorticoids treatment [56]. Our results disagree with other reports that suggest that neuroinflammation caused by cell damage due to drug abuse could reinforce addictive behaviors [57,58]. Further research using different glucocorticoids schedules will be necessary to establish the relationship between neuroinflammation and addiction behavior.

Overall, the results obtained in this study showed that MP mitigated the proinflammatory markers and brain damage induced by the exposure to toluene, which allows us to propose the interest of evaluating other glucocorticoids and different administration regimens for the control of secondary damage induced by sustained neuroinflammation induced by chronic consumption of toluene, which could impact the quality of life of inhalant users.

**Author Contributions:** Conceptualization, M.F.G.-V., N.P.-M., and E.S.; methodology, N.I.P.-O., A.E.-C., and B.M.B.; validation, A.C.-G., G.F., and M.T.-R.; formal analysis, M.F.G.-V., N.P.-M., and E.S.; writing—original draft preparation, M.F.G.-V., G.F., and E.S.; writing—review and editing, N.I.P.-O., A.E.-C., G.F., and E.S.; funding acquisition, E.S. All authors have read and agreed to the published version of the manuscript.

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**Institutional Review Board Statement:** The study was conducted according to the guidelines established by the Committee on the Care and Use of Experimental Animals of the IIB at the Universidad Nacional Autónoma de México (UNAM) and by the U.S. National Institutes of Health. Experimentation protocol was approved by the animal safety and ethical committee of the IIB, UNAM (Protocol Number approval ID 140).

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## Anexo 4.

### Vaccine

#### Towards the development of an epitope-focused peptide vaccine for SARS-CoV-2 --Manuscript Draft--

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<b>Abstract:</b>	<p>Highlights</p> <ul style="list-style-type: none"> <li>- A RBD-based synthetic peptide was shown safe when injected to mice and hamsters.</li> <li>- The vaccine candidate elicited antibodies that sustained for up to 2 months in mice.</li> <li>- Neutralizing antibodies and antigen-specific CD4+CD8+-IFN<math>\gamma</math> responses were detected.</li> </ul>
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October 26, 2021

**Dr. Gregory A. Poland**  
**Editor-in-Chief**  
***Vaccine***

Dear Dr. Poland,

Enclosed please find the manuscript titled "Towards the development of an epitope-focused peptide vaccine for SARS-CoV-2," that we are submitting to be considered for publication as a full-length paper on *Vaccine*.

In our article, we report a platform for the development of a peptide-based vaccine against SARS-Cov-2 and an evaluation of its safety and immunogenicity. Our peptide-based vaccine targets one of the most immunogenic peptides in the RBD domain of the SARS-Cov2 virus. As you will notice, it proved to induce a robust immune response; furthermore, it was safe when parenterally administered to rodents. The results reported in this study support the feasibility of developing a peptide-based vaccine against SARS-Cov2. Given the low cost of our platform and its immunogenicity, it could provide a better alternative to control COVID-19 in medium- and low-income countries once it is optimized.

We hope you will find our manuscript of interest for the readers of your prestigious journal.

Best regards,

Dr. Edda Sciuotto



## Declaration of interest

### Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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## Towards the development of an epitope-focused peptide vaccine for SARS-CoV-2

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**Abstract**

The rapid spread of COVID-19 on all continents and the mortality induced by SARS-CoV-2 virus, the cause of the pandemic coronavirus disease 2019 (COVID-19) has motivated an unprecedented effort for vaccine development. Inactivated viruses as well as vaccines focused on the partial or total sequence of the Spike protein using different novel platforms such as RNA, DNA, proteins, and non-replicating viral vectors have been developed. The high global need for vaccines, now and in the future, and the emergence of new variants of concern still requires development of accessible vaccines that can be adapted according to the most prevalent variants in the respective regions.

Here, we describe the immunogenic properties of a group of theoretically predicted RBD peptides to be used as the first step towards the development of an effective, safe and low-cost epitope-focused vaccine. One of the tested peptides named P5, proved to be safe and highly immunogenic. Subcutaneous administration of the peptide, formulated with alumina, induced high levels of specific IgG antibodies in mice and hamsters, as well as an increase of IFN- $\gamma$  expression by CD8<sup>+</sup> T cells in C57 and BALB/c mice upon *in vitro* stimulation with P5. Neutralizing titers of anti-P5 antibodies, however, were disappointingly low, a deficiency that we will attempt to resolve by the inclusion of additional immunogenic epitopes to P5. The safety and immunogenicity data reported in this study support the use of this peptide as a starting point for the design of an epitope restricted peptide vaccine.



### Highlights

- A RBD-based synthetic peptide was shown safe when injected to mice and hamsters.
- The vaccine candidate elicited antibodies that sustained for up to 2 months in mice.
- Neutralizing antibodies and antigen-specific CD4+CD8+-IFN $\gamma$  responses were detected.

94 1. Introduction

95 Vaccination has been demonstrated highly effective against SARS-CoV-2  
96 coronavirus. However, despite all vaccines produced, there is still a need for more  
97 effective and safe vaccines against COVID-19, the cause of the worst pandemic  
98 public-health crisis in a century. Its rapid spread has promoted development of  
99 several vaccines in less than one year. Except for inactivated virus vaccines  
100 (Sinopharm and Sinovac), all have targeted the full length Spike (S) protein [1, 2].  
101 mRNA-1273 (Moderna), 2BNT162b2 (Pfizer/BioNTech), ChAdOx1-S (University of  
102 Oxford/Astra Zeneca), Gam-COVID-Vac; Sputnik V (Gamaleya Institute), Ad5-nCoV  
103 (Cansino Biological Inc), Ad26.COVS.2.S (Janssen Pharmaceutical/Johnson &  
104 Johnson) and NVX-CoV2373 (Novavax). All have proven effective in preventing  
105 severe COVID-19 [1,3]. The RBD domain located at C-terminal domain in S the  
106 protein, has also been considered as an effective target-vaccine [1]. RBD comprises  
107 the domain responsible for the entrance of the virus through its association to the  
108 human receptor of angiotensin-converting enzyme 2 (hACE2) [4]. Indeed, antibodies  
109 against RBD are highly effective to block the receptor binding [5]. Thus, RBD has  
110 been expressed under different molecular platforms [6, 7, 8, 9, 10] and as a tandem-  
111 repeat dimeric RBD protein (ZF2001) formulated with alum-based adjuvant has been  
112 already accepted for human used [11].

113 Despite the biotechnological success of all vaccines developed in record time,  
114 many challenges remain to be solved to overcome the COVID-19 pandemic  
115 emergency. Population in many countries still remain without access to vaccine  
116 protection, including those sectors with high probability of morbidity and mortality.

117 The absence of immunity in a substantial portion of the world population offers the  
118 appropriate niche for the virus to evolve into new and eventually more pathogenic or  
119 more infectious variants, that can affect not only non-immune but also vaccinated  
120 individuals.

121 Therefore, the importance of achieving worldwide immunity as recommended  
122 by OMS against this new virus is clear, in order to interrupt transmission. Available  
123 vaccines continue to be effective against new variants of concern albeit the levels of  
124 protection have decreased. However, to compensate their decreasing efficiency,  
125 disregarding OMS recommendations, different developed countries have approved  
126 the use of a third dose of vaccine to reinforce the immunity of their populations.  
127 Favored by the lack of a global health coordination, the pandemic still poses a  
128 considerable threat. It is necessary a continued effort on vaccine development, to  
129 promote a global population immunity with an emphasis in supporting the poorest  
130 countries with more vaccines [12], as well as to become prepared against possible  
131 future changes in the virus.

132 Here, we propose the development of a reproducible and secure, epitope  
133 focused, synthetic peptide vaccine, containing crucial B-and T-cell epitopes of RBD,  
134 which may provide an affordable and scalable vaccine. Initial design relied on  
135 bioinformatic analysis of the spike protein, resulting in 6 short peptide sequences  
136 whose immunogenicity was assessed in mice. Of these, one (Peptide 5) was  
137 selected for a more extensive study on its humoral and cellular immunogenic  
138 properties. This work may be considered a simple and secure platform for the  
139 development of an effective anti-COVID-19 vaccine.

140 **2. Materials and Methods**

141 *2.1. Mice*

142 Mice from 9-16 weeks old used in this study were obtained from two different  
143 sources. Male and female BALB/c and female C57BL/6 were kindly provided by F.  
144 Rosetti from the Instituto Nacional de Ciencias Médicas y Nutrition Salvador Zubirán  
145 animal facilities. Other BALB/c mice were bred and obtained in the animal facilities  
146 of the Biomedical Research Institute. Eight to 10-week-old female and male golden  
147 Syrian hamsters were purchased from Facultad de Ciencias, UNAM animal facilities.

148 All procedures performed on animals in this study were conducted in accordance  
149 with national (Norma Oficial Mexicana, NOM-062-ZOO-1999) and institutional  
150 regulations for the use and care of laboratory animals, following two protocols  
151 approved by the Institutional Committee (approval numbers 6343 and 6345).

152 *2.2. Bioinformatic analysis*

153 *Epitope prediction from the RBD of the SARS-CoV-2 spike protein*

154 The sequence of the SARS-CoV-2 spike glycoprotein was obtained from the  
155 GenBank database (Gene ID: 43740568) (<https://www.ncbi.nlm.nih.gov>), and the  
156 RBD including residues 331 to 524 of the S protein [13] was analyzed for epitope  
157 prediction. We employed the Immune Epitope Database (IEDB) and IEDB-3D  
158 (<http://www.iedb.org/>) using the Bepipred Linear Epitope Prediction 2.0 with the  
159 scoring threshold set to 0.5 to Predict linear B cell epitopes and ElliPro: Antibody  
160 Epitope Prediction algorithms to predict discontinuous B cell epitopes using PDB ID:  
161 7DF3 chain B, with a minimum score of 0.5 and maximum distance of 6 Å. To

162 determine if the predicted epitopes were exposed to the protein surface and the  
163 degree of contact to ACE2, tridimensional structures of the SARS-CoV-2 S protein  
164 (PDB ID: 7DF3, and the SARS-CoV-2 S RBD/ACE2 complex (PDB ID: 7DF4) were  
165 used. The homotrimeric complex (chains A-C) of protein S was visualized and the  
166 exposed residues of the predicted epitopes were identified by calculating the solvent  
167 accessible area with Swiss-PdbViewer software [13]. In addition, the residues of the  
168 predicted epitopes exposed to ACE2 were identified knowing the polar (hydrogen  
169 bonds) and hydrophobic (van der Waals) interactions established in the  
170 cocrystallized complex RBD/ACE2 (PDB ID: 7DF4). The SARS-CoV-2 S RBD  
171 residues bound to ACE2 were identified using the Discovery Studio  
172 ([https://www.3ds.com/products-services/biovia/products/molecular-modeling-  
173 simulation/biovia-discovery-studio/](https://www.3ds.com/products-services/biovia/products/molecular-modeling-simulation/biovia-discovery-studio/)) software, establishing as geometric parameters  
174 of the interacting atoms, the following thresholds: 3.5 Å for hydrogen bond and 4.0  
175 Å for hydrophobic interactions.

### 176 2.3. *Peptides*

177 Peptides were purchased from GenScript (NJ, USA) or from Synpeptide Co  
178 (Shanghai, China); specifications from both manufacturers indicated >95% purity.  
179 Peptides were dissolved at 5 mg per mL according to their physicochemical  
180 properties: peptides 1 and 2 were dissolved in 0.9% saline solution (ISS), peptides  
181 3 and 4 in sterile water, and peptides 5 and 6 in DMSO (1%) and sterile water. The  
182 helper peptide was prepared at 2 mg per mL in DMSO (1%) and sterile water.

### 183 2.4. *Immunization*

o

184 Groups of six to eight mice received three doses of each peptide diluted in saline  
185 together with a single adjuvant by subcutaneous (sc) or intranasal (int) routes, every  
186 7 days as described in figures legends and tables. For s.c. vaccination, mice were  
187 immunized in the dorsal flank (27Gx13 mm needle) with a final volume of 100  $\mu$ L,  
188 and for int. vaccination mice received a maximum of 12.5  $\mu$ L per nostril.

189 Adjuvants employed were AddaVax (MF59 analog, Invivogen) or aluminum  
190 hydroxide [Al (OH)<sub>3</sub>] (kindly donated by J. Garza-Ramos, PRONABIVE México).  
191 AddaVax or Al (OH)<sub>3</sub> were mixed 1:1 with each peptide to a final volume of 100  $\mu$ L.

192 Additionally, a group of nine mice were subcutaneously administered with  
193 peptide 5 at 50  $\mu$ g, plus influenza vaccine (Fluzone ®, SanofiPasteur) at 10  $\mu$ g mixed  
194 with aluminum hydroxide (1:1) to a final volume of 300  $\mu$ L. Mice were kept with food  
195 and water *ad libitum* and monitored daily and weighted before each immunization  
196 and sacrificed. Blood samples were taken before immunization, and 7 days after the  
197 last immunization to determine antibody levels of individual mice. The resulting sera  
198 were frozen at -20°C until use. Broncho-alveolar fluids were also recovered 7 days  
199 after the last immunization and kept at -70°C until use for antibody level  
200 determination.

201 Groups of five to six hamsters including males and females were immunized s.c.  
202 or int. with peptide 5 mixed with aluminum hydroxide or AddaVax (1:1) at a final  
203 volume of 100  $\mu$ L. The animals were immunized three times at an interval of seven  
204 days, using doses of 50, 100 or 200  $\mu$ g.

205 2.5. *Antibody detection by ELISA*



206 Serum antibody levels were determined by indirect ELISA, using the respective  
207 peptide as antigen source, following a previously described procedure with minor  
208 modifications [14]. Binding of the peptide or protein target to the wells was carried  
209 out using 100  $\mu$ L of each peptide (5  $\mu$ g/mL) in carbonate buffer. The plates were  
210 incubated overnight at 4°C, washed and then incubated with 100  $\mu$ L of each serum  
211 diluted 1:50 in PBS-BSA 1% for one h at 37°C. Antibodies were detected with 100  
212  $\mu$ L of goat anti-mouse IgG (H+L) alkaline-phosphatase conjugate (Sigma), diluted to  
213 the optimum concentration (1:5000) and followed by substrate (p-nitrophenyl  
214 phosphate, Sigma, 1 mg/mL). The reaction was stopped using 50  $\mu$ L/well of NaOH  
215 2N, and absorbance values were determined at 405 nm in a microplate  
216 spectrophotometer reader (Epoch, Biotek, USA). Results were the average of  
217 triplicate cultures.

218 2.6. *Cross-reactivity between anti-peptide 5 antibodies and the Spike protein*  
219 *RBD domain*

220 For human sera, ELISA plates were coated with Peptide 5 at 5  $\mu$ g per mL in  
221 citrate buffer or with recombinant RBD expressed in eukaryotic cells (kindly provided  
222 by UDIBI, at the National Polytechnic Institute) at 1  $\mu$ g per mL in carbonate buffer.  
223 Five sera from COVID-19 convalescent and 46 hospitalized patients were obtained  
224 in the Instituto Nacional de la Nutrición, Instituto Nacional de Enfermedades  
225 Respiratorias and Instituto Nacional de Neurología y Neurocirugía. Sera from  
226 healthy donors obtained in 2014 were used as negative controls. Sera were diluted  
227 in PBS containing 1% skimmed milk, incubated for 2 h at 25 °C and, after washing  
228 5 times, the plates were developed with horseradish-peroxidase conjugated goat

229 anti-human IgG (1:10,000, 45 min.) and the absorbance at 450 nm was evaluated.  
230 Results were the average of triplicate determinations.

### 231 2.7. *Virus neutralization assay*

232 The assay was performed according to [15]. Fifty microliters of 2-fold serial  
233 diluted mouse sera were preincubated with an equal volume of SARS-CoV-2  
234 (MOI=0.1) at 37 °C for 1 h. and the mixture was then added to VERO E6 cells seeded  
235 in 96-well plates for 3 days at 37 °C and 5% CO<sub>2</sub>. The resulting cytopathic effect  
236 (CPE) was visualized every day under the microscope. The cells were washed and  
237 fixed with ethanol:acetone (1:1) for 15 min. and finally stained with violet crystal for  
238 20 min. Positive and negative controls were included in the assay. Results were the  
239 average of three sera per group.

### 240 2.8. *Cellular Immune Response*

241 Briefly, splenocytes were obtained from peptide P5 immunized or unimmunized  
242 control mice, and cultured in 24-well plates with 2 x10<sup>6</sup> cells per well. To evaluate  
243 the antigen-specific T cell response, cells were first incubated with Cell Trace Violet  
244 (CTV) (Invitrogen Inc, City State), for 15 min at 37 °C, washed and then stimulated  
245 with peptide 5 (10 µg/ml), anti-CD3+ anti-CD28 antibodies (1 µg/ml) as a positive  
246 activation control or as a negative control, with RPMI complete medium alone, for  
247 three days. Cells were harvested and stained for 20 minutes at room temperature  
248 with anti-CD4 APC, anti-CD8 PE and with Zombie NIR, as viability dye (all from  
249 Biolegend). Next, cells were permeabilized (Tonbo Permeabilization Kit) for 1 h at  
250 room temperature and Fc receptors were blocked with anti CD16/32 (Biolegend) for  
251 20 minutes at 4°C followed by intracellular staining with anti-IFN<sub>γ</sub> BV510

252 (Biolegend), for 30 min 4°C. Samples were acquired in an Atunne NxT Acoustic  
253 Focusing Flow Cytometer (Thermo Scientific) and analyzed with Flow Jo 10 software  
254 (Tree Star Inc). Results were the average of triplicate cultures.

### 255 2.9. *Clinical and pathological evaluation in vaccinated and non-vaccinated* 256 *mice and hamsters*

257 To evaluate the safety of the vaccine candidate peptides, the number of deaths  
258 or apparent undesirable clinical signs were registered. Body weights of male and  
259 female immunized and non-immunized mice and hamsters of all treatment groups  
260 were evaluated along the study period. Three mice or hamsters included in each of  
261 the treatment groups were humanly sacrificed under anesthesia on day 7 after the  
262 last immunization. The liver, heart, brain, lungs were fixed in 10% formaldehyde and  
263 embedded in paraffin. Three µm thick serial-sections were prepared from non-  
264 consecutive areas, stained with hematoxylin and eosin (H&E), and at least two  
265 sections per tissue for each animal were examined for the presence of histological  
266 abnormalities (magnification: 400× and 100×).

267

## 268 **3. Results**

### 269 3.1. *Bioinformatic identification of RBD vaccine epitopes*

270 Identification of highly immunogenic epitopes within RBD is essential for the  
271 development of an effective peptide vaccine against COVID-19. Six different  
272 epitopes (P1-P6) with high antigenic score were predicted between residues 407 to  
273 506 of the RBD domain (Fig. 1). All of the epitopes were highly surface exposed, but  
274 neither P2 nor P6 interact with ACE2, and P1 only had a polar interaction to ACE2

275 at Q42. The epitope P3 can potentially associated with ACE2 mainly with polar  
276 interactions (F490, Q493, S494, G496, T500 and Y505) and some hydrophobic  
277 interactions (Q493 and G502).

278 While P5, had the highest number of residues theoretically interacting with ACE2  
279 (A475, G476, N487, F490 and Q493 via hydrogen bonds and Q474, G476, N487,  
280 F490, and Q493 via hydrophobic interactions) (Supplementary Table 1).

### 281 3.2. *Antibody responses to the RBD synthetic peptides*

282 The predicted peptides were synthesized and tested for their ability to elicit  
283 specific IgA and IgG antibodies in BALB/c and C57BL/6 mice. The mice were  
284 immunized either subcutaneous (s.c.) or intranasal, three times every seven days  
285 and bled before the first immunization as well as seven days after the last  
286 immunization before being humanly sacrificed. Broncho-alveolar fluids were also  
287 recovered. For the statistical analysis, mice were grouped by body weight.

288 Both P4 and P5 peptides elicited a significant IgG response in s.c. vaccinated  
289 BALB/c and C57BL/6 mice. Only sera that were positive to peptide 5 recognized a  
290 recombinant RBD produced in eukaryotic cells (Table 1). Intranasal administration  
291 failed to elicit detectable levels of IgA antibodies in serum (Supplementary Table 2)  
292 and bronchoalveolar fluid (data not shown). Thus, peptide P5 was selected for  
293 subsequent analysis.

### 294 3.3. *Reported mutations within peptide 5 sequence*

295 The mutations reported worldwide in the Global Initiative on Sharing All Influenza  
296 Data (GISAID; <https://www.gisaid.org>) [16] for P5 were identified (Supplementary

297 Table 3). The update shows that the entire P5 sequence has mutations; the reported  
298 occurrence allows assessing the mutational influence for each P5 amino acid. The  
299 relevant amino acids for being part of the worldwide circulation variants are: T478K  
300 (Delta variant), E484K (Beta, Gamma and Iota variants), E484Q (Kappa variant).  
301 Also, S477N and F490S should be considered for high incidence.

302 Mutations were ordered according to their reported occurrence. Considering the  
303 most abundant mutation (the first on the left in each mutation), most of the  
304 substitutions were conservative mutations, corresponding to similar biochemical  
305 properties (e.g., size, polar, hydrophobic, or aromatic amino acid) compared to P5.  
306 However, when considering amino acid charges, three mutations in the polar amino  
307 acids can significantly change the electronic structure of P5, because they change  
308 from neutral to polar basic (T478K, N481K) and from acidic to basic (E484K)  
309 (Supplementary Table 3).

310

#### 311 *3.4. Differential antibody responses between mice strains immunized with* 312 *peptide P5*

313 To define the optimal P5 dose inducing the highest specific antibody response,  
314 female C57BL/6 and BALB/c mice were immunized 3 times. Similarly, high levels of  
315 IgG were observed in C57BL/6 after s.c. immunization with all doses of P5. In  
316 contrast, there was a dose dependent antibody response to P5 in BALB/c mice  
317 (Table 2). Thus, subcutaneous immunization induced high antibody responses in  
318 both strains of mice, with a higher antibody response at the lower dose of P5 in  
319 C57BL/6 mice, the most inflammatory strain. Furthermore, intranasally immunized

320 mice elicited low but significant IgG specific antibody levels in C57BL/6 mice for all  
321 doses used. Finally, insignificant levels of anti-P5 IgA antibodies were detected in  
322 sera from s.c. or intranasally immunized mice (Supplementary Table 4).

### 323 *3.5. Sexual dimorphism in the antibody response induced in peptide P5* 324 *immunized mice*

325 Initial experiments pointed to the existence of sexual dimorphisms in the antibody  
326 levels induced by peptide P5 in mice (data not shown). This was further explored by  
327 immunizing female and male BALB/c mice using the same protocol described above.  
328 As shown in Supplementary Table 5, significantly higher levels of specific IgG  
329 antibodies were induced in female than in male mice after s.c. immunization with 3  
330 doses of peptide P5.

### 331 *3.6. Aluminum hydroxide is more effective adjuvant than AddaVax for* 332 *vaccination of mice*

333 To evaluate the best formulation for the vaccine, we performed experiments  
334 employing an oil-based adjuvant (AddaVax) or aluminum hydroxide  
335 (Aluminatrihydrate). Both adjuvants are approved for use in humans. Production of  
336 specific IgG anti-P5 antibodies was similar when mice were immunized s.c. using  
337 either adjuvant. Higher levels of antibodies were found in C57BL/6 mice relative to  
338 BALB/c, independent of the adjuvant used (Table 3). Low levels of specific IgA  
339 antibodies were detected in broncho-alveolar fluids of mice after s.c. and intranasal  
340 administration (Supplementary Table 6), but neither adjuvant induced detectable  
341 levels of IgA antibodies in serum (data not-shown).



342        *3.7. Aluminum hydroxide was more effective than AddaVax for the induction of*  
343                    *anti-P5 IgG antibodies in hamsters*

344        Considering that hamsters are natural hosts for SARS-CoV-2, the  
345 immunogenicity of P5 was also evaluated in hamsters. High levels of specific IgG  
346 antibodies were induced by s.c. immunization of hamsters with 3 doses of P5 using  
347 AddaVax as adjuvant and they were dose dependent for P5 (Table 4). However,  
348 higher levels of specific IgG antibodies were induced in hamsters immunized with  
349 the lower dose of P5 using aluminum hydroxide as adjuvant. No sexual dimorphism  
350 in the antibody response was observed in hamsters under these immunization  
351 protocols. Finally, no IgG levels were detected in the sera after intranasal  
352 immunization of hamsters with P5 (Table 4).

353        *3.8. No clinical and pathological features were associated with peptide P5*  
354                    *immunization*

355        No deaths or apparent clinical signs were found in any group of mice or hamsters  
356 treated with 3 doses of P5 in combination with either of the two adjuvants. The weight  
357 of both species of animals remained statistically unchanged, before and after  
358 immunization with P5 (Suppl. Fig. 1). Furthermore, after histological inspection of  
359 control and immunized mice, no abnormalities were found in the brains, kidneys,  
360 spleen, pancreas, heart, small and large intestines (data not shown). The most  
361 important hepatic alteration was vacuolar degeneration that occurred in 100% of the  
362 samples and in mild to moderate grades (Suppl. Fig. 2 A, C). Some hepatocytes  
363 were found with prominent karyomegaly and nuclei, and pyknotic nuclei in two  
364 individuals. These findings were also found in the control group, so they are not

365 associated with treatment. In the lung. The most important alteration was alveolar  
366 macrophage hyperplasia, which occurred in 80% of individuals and was associated  
367 with antigenic stimulation independent of treatment (Suppl. Fig.2 B, D). As observed  
368 in mice no histological abnormalities were found in hamsters (data not shown).

### 369 3.9. Immunogenicity of peptide P5 vs. RBD

370 In order to compare the relative effects of s.c. immunization using peptide P5  
371 and recombinant RBD, specific antibody levels were determined at different time  
372 points after 3 immunizations with each antigen. As shown in Fig. 2A, similar levels  
373 of IgG antibodies were induced by peptide P5 and RBD, and remained detectable  
374 up to 60 days after the last immunization.

### 375 3.10. Anti-peptide P5 antibody virus neutralization assay

376 The capacity of antibodies elicited by P5 peptide immunization to interfere with  
377 the entrance of SARS-CoV-2 virus to the cells was measured. BALB/c mice were  
378 immunized three times on days 0, 7 and 14 with 50 µg of peptide P5 mixed with  
379 Al(OH)<sub>3</sub>. Sera from three mice per group was collected on day 14, 21 and 44 days  
380 after the first immunization and serial dilutions were incubated with the SARS-CoV-  
381 2 virus and VERO E6 cells. Immunization significantly increased neutralization  
382 antibody titers ( $4 \pm 0$  and  $4.74 \pm 0.58$  at 21 and 44 days, respectively), whilst only a  
383 tendency was obtained after the second immunization, as shown in Fig. 2B.

### 384 3.11. Antibodies in sera from COVID-19 patients and convalescent individuals 385 recognize peptide P5

386 Recent studies have demonstrated that sera from infected patients can recognize  
387 the RBD domain of SARS-CoV-2 [28]. To test if SARS-CoV-2 infection induces

388 antibodies that also recognize P5, 46 sera from infected individuals were obtained  
389 from hospitals treating COVID-19 patients. All sera from convalescent individuals  
390 and 89% of sera from COVID-19 patients recognized peptide P5 and RBD (Fig. 3).  
391 This is in agreement with our observation that about half of the sera from mice  
392 immunized with P5 induced antibodies that react with both, P5 and RBD. (Fig.3)

393       3.12. *Immunization of mice with human influenza vaccine reduced the*  
394 *antibody response to peptide P5*

395       As the influenza vaccine may be administered in combination with a COVID-19  
396 vaccine, particularly during future cold seasons, the effect of co-immunization of  
397 mice with peptide P5 plus human influenza vaccine was explored. Co immunization  
398 with influenza vaccine and the peptide reduced the antibody response to peptide P5,  
399 but not to the influenza vaccine (Suppl. Fig. 3).

400       3.13. *Cellular immune response to the RBD synthetic peptide P5*

401       To evaluate antigen specific T cell responses, splenocytes from 3 times  
402 immunized and unimmunized mice were re-stimulated *in vitro* for 72 h with peptide  
403 P5 (10 µg/ml). Intracellular IFN $\gamma$  production of CD4+ and CD8+ T cell subpopulations  
404 was analyzed by flow cytometry (Fig. 4). Our results showed that significant IFN $\gamma$   
405 production was observed only in CD8+ T cells from mice that were s.c. immunized  
406 using aluminum hydroxide as adjuvant (Table 5).

407       To evaluate the kinetic of the cellular response along the time, splenocytes from  
408 peptide P5 immunized mice were tested at different times after 3 immunizations  
409 schedule with P5-aluminum hydroxide. As shown in Fig. 2C, whilst the number of

410 CD4+ T cells secreting IFN $\gamma$  was only slightly increased during the evaluation period,  
411 the number of IFN $\gamma$  secreting CD8+ T cells was robustly increased after a week,  
412 and then gradually decreased to similar levels as the CD4+ T cells.

413 Taken together, these findings reinforce our decision to use aluminum hydroxide  
414 as the optimal adjuvant to induce both, a specific humoral response, as well as an  
415 IFN $\gamma$  producing T cell response.

416

417 **4. Discussion**

418

419 At present, several vaccines have been authorized for emergency use and only  
420 two (Pfizer and Moderna) have been assigned for marketing authorization, as they  
421 finished comprehensive safety and efficacy studies for the regulatory authorities of  
422 each country. However, despite great international efforts, availability of vaccines in  
423 many countries continues to be a problem, mostly in developing countries.

424 The S protein of SARS-CoV-2 is the major target of most anti-COVID-19 vaccines  
425 currently available. However, previous studies have reported enhanced respiratory  
426 disease in other coronaviruses infections, such as SARS-CoV and MERS-CoV, due  
427 to phenomenon of antibody-dependent enhancement (ADE) induced by IgG specific  
428 antibodies to the spike protein [4, 17]. The use of the S1 subunit, RBD domain or  
429 certain epitopes within it, could induce an efficient immune response against the  
430 most critical regions interacting with ACE2 receptor, avoiding potential ADE effects.

431 *In silico* predictions of immunogenicity can be used for a rational design of multi-  
432 epitope peptide-based vaccines but their effectiveness still needs evaluation. In this  
433 study, immunogenic properties of 6 peptides predicted by *in silico* analysis of the S  
434 protein were tested through immunization of mice. A peptide of 34 aa named P5,  
435 induced the highest levels of specific IgG antibodies in two strains of mice, as well  
436 as in hamsters. Differences in the magnitude of the responses were observed  
437 depending of the route of administration, dose, number of doses, adjuvants  
438 employed in vaccine formulation, animal species, as well as strain and gender in  
439 mice.

440 Peptide P5 induced strong humoral and cellular immune responses when  
441 subcutaneously administered to both C57BL/6 and BALB/c mice. Similar responses  
442 were produced in hamsters. The lower dose of peptide was the most effective for the  
443 stimulation of both humoral and cellular responses. Although most of the  
444 experiments reported here were performed using 3 immunizations, mice also elicited  
445 a significant antibody response after the second dose of antigen. Indeed, detectable  
446 antibodies induced by two subcutaneous immunizations of P5 using aluminum  
447 hydroxide as adjuvant elicited both ELISA positive and virus neutralizing antibodies,  
448 which were maintained for at least 80 days after the last immunization, suggesting  
449 that peptide P5 includes relevant B-cell epitope(s) for the induction of a sustained  
450 and effective humoral and cellular response.

451 As in many viral infections, cellular immunity plays an important role in resistance  
452 to SARS-CoV2 [18]. Indeed, an inverse association has been reported in COVID-  
453 19 between the severity of the disease and the percent of activated and proliferating  
454 virus-specific CD8+ T cells, such as spike-specific CD8 + T cells [19]. Thus, the  
455 observation that peptide P5 induced a proliferative response of CD8+/IFN $\gamma$  mouse  
456 cells is particularly significant. The T cell response was evaluated when P5 was  
457 administered with aluminum hydroxide as adjuvant, but not with AddaVax (Table 5).  
458 This is of particular practical relevance as aluminum salts have been used for the  
459 formulation of vaccines for almost 100 years. In addition to its safety, the low cost  
460 and lack of intellectual property claims makes aluminum hydroxide an ideal adjuvant  
461 for development of vaccines, particularly in developing countries.



462 An interesting sexual dimorphism in the antibody response of mice was also  
463 observed; being females more responsive than males. Gender differences in favor  
464 of women in the risk of contracting and dying from COVID-19 have been documented  
465 in different countries and similar data were observed in recent epidemics, including  
466 severe acute respiratory syndrome (SARS) and in the Middle East respiratory  
467 syndrome (MERS) [20, 21]. The mechanisms underlying sex differences in vaccine-  
468 induced innate and adaptive immunity has implicated hormonal, genetic, and even  
469 microbiota differences between males and females [22]. Generally, testosterone has  
470 an immunosuppressive effect while estrogen has an immunoenhancing effect on the  
471 immune system [23, 24]. The X chromosome may be also involved in the sex-related  
472 immune function [25]. Thus, a dimorphic response to vaccine-induced humoral  
473 immunity similar to that reported here for peptide P5 immunized mice seems to be  
474 frequent, and has been described for other vaccines [22, 26, 27, 28].

475 An important consideration for COVID-19 vaccines is the occurrence of mutations  
476 in the spike protein. So far, all reported variants were conservative mutations  
477 compared to P5; however, three mutations involving polar amino acids must be  
478 considered for further experiments when considering their charges and mutational  
479 occurrence, because, they change from polar neutral to basic (T478K, N481K) and  
480 from acidic to base (E484K), such mutations may require appropriate modifications  
481 in P5 sequence in order to maintain its potential as a protective vaccine, as is the  
482 case for all COVID-19 vaccines (Supplementary Table 3).

483 Finally, we have addressed the possibility of vaccine induced immunopathology,  
484 due to acute pro-inflammatory components in the vaccine or in its adjuvants. In this

485 respect, peptide P5 did not induce any behavioral or macroscopic evidence of  
486 discomfort in the animals. In addition, there were no significant histological  
487 differences between the organs of vaccinated and control mice. Some microscopic  
488 findings observed during necropsies, were not correlated with the treatment and are  
489 probably due to the maintenance conditions in our animal facilities. The possibility of  
490 pathology due to Anti-peptide P5 Dependent Enhancement remains to be evaluated.

491 In conclusion, this study suggested that a short peptide (34 amino acids) whose  
492 sequence was taken of RBD, shows immunogenic properties demanded for the  
493 development of a vaccine against SARS-CoV2. At present, 43 vaccine candidates  
494 based on protein subunits are being tested in clinical assays, including 15 based on  
495 RBD or peptide antigenic sequences identified after analyzing different proteins of  
496 SARS-CoV 2 [[https://www.who.int/publications/m/item/draft-landscape-of-covid-19-](https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines)  
497 [candidate-vaccines](https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines)]. Our goal was to increase its immunogenic capacity by including  
498 recently reported adjacent epitopes.

#### 499 **Declaration of Competing Interest**

500 The authors declare that they have no known competing financial interests or  
501 personal relationships that could have appeared to influence the work reported in  
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#### 516 **Author contributions**

517 All authors should have made substantial contributions to all of the following: (1) the  
518 conception and design of the study ES, JPL, GF, JCT performed the experiments  
519 JCT, SR, CC, LM, JHA, GG, ACG, FZF, MRR, JCAM, SO, RO, GD, FPG, RZG, NV,  
520 RVR, DAAN, LC, JCC, MJR, RVH, DV, DG, RSV, GM, JZ, DR, analysis and  
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523 for important intellectual content ES, JPL, GF, GS, JCT, MR, NF, RMEP, (3) final  
524 approval of the version to be submitted ES, JPL, GF, JCT, RMEP, RJB.

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- 630
- 631
- 632
- 633

634 **Figure Legends**

635 Fig. 1. Prediction of immunogenic peptides within RBD. Upper panel shows the  
636 amino acid sequences for peptides 1 to 6. Lower panel shows 3D homotrimeric  
637 complex of the SARS-CoV-2 S protein (PDB ID: 7DF3), each polypeptide chain is  
638 indicated in red, blue and gold. Peptides are located in the RBD and correspond to  
639 the apical region of the virus and the position of the 6 peptides are indicated by blue  
640 spheres.

641 Fig. 2. Humoral and cellular immune response sustained. Antibodies induced by s.c.  
642 immunization with P5 or RBD. **A)** Subcutaneous immunization of mice was carried  
643 out in groups of six to twelve BALB/c mice female mice, using 50 µg of peptide 5 or  
644 12.5 µg of RBD, in the presence of alumina. Each mouse received three doses of  
645 peptide 5 or RBD each 7 days. Anti-peptide 5 or anti-RBD IgG antibodies were  
646 determined at the indicated time points by ELISA. Mean + SEM are shown and  
647 statistical differences are depicted as \*P < 0.05. **B)** Microneutralizing antibody (MN)  
648 titers against SARS-CoV-2 virus. Mice were immunized s.c. three times at weekly  
649 intervals with P5, and sera were obtained at different time points. Neutralization of  
650 SARS-CoV-2 infection (MOI=0.1) by individual diluted sera (n=3) from mice was  
651 determined. Sera from convalescent patient were used as controls. Solid bars  
652 represent geometric mean log<sub>2</sub> titer with SEM shown by error bars. Statistically  
653 different between before and after immunization (\*P<0.05). **C)** Splenocytes from  
654 BALB/c mice s.c. immunized with P5 adjuvanted either with Aluminum hydroxide  
655 were recovered at weeks 1, 5 or 14 after the last immunization. Cells were  
656 restimulated *in vitro* with P5 (10 µg/ml), anti-CD3+ anti-CD28 antibodies (1 µg/ml)

657 as positive control or medium alone (W/S) for 72h. The mean and SD of the  
658 intracellular IFN $\gamma$  production of CD4+ and CD8+ T cell subpopulations, were plotted.  
659 Relative index (RI) was calculated with respect to non-immunized mice.

660 Fig. 3. Cross-reactivity between peptide 5 and RBD. IgG antibodies against peptide  
661 5 or RBD were determined in sera from six COVID-19 recovered-convalescents  
662 subjects, 20 other patients with active COVID-19, and from 6 mice immunized with  
663 Peptide 5 as described above. Data are expressed as the mean  $\pm$  SD of the IgG  
664 levels that recognized peptide 5 or the recombinant RBD.

665 Fig. 4. Cellular immune response induced by P5. Splenocytes from BALB/c mice s.c.  
666 immunized with P5 adjuvanted either with Aluminum hydroxide or ADDAVAX were  
667 recovered 7 days after the last immunization. Cells were restimulated in vitro with P5  
668 (10  $\mu$ g/ml), anti-CD3+ anti-CD28 antibodies (1  $\mu$ g/ml) as positive control or medium  
669 alone (W/S) for 72h. **A)** Graphs show the gating strategy used to analyze CD4+ and  
670 CD8+ T cells. **B)** Intracellular IFN $\gamma$  production of CD4+ and CD8+ T cell  
671 subpopulations was analyzed by flow cytometry. \*Statistically different between  
672 before and after immunization ( $P < 0.05$ ).

#### 673 **Suppl. Fig. Legends**

674 Suppl. Fig. 1. Subcutaneous immunization with peptide 5 does not modify the weight  
675 of mice. Mice of each experimental group were weighed on their arrival to the animal  
676 facilities and before each immunization (shown by an arrow in the Fig.).

677 Suppl. Fig. 2. Similar histopathological alterations found in (A, C) liver or B, D) lung  
678 from control and peptide 5 immunized BALB/c mice. Abbreviations: VD, vacuolar

679 degeneration; FN, focal necrosis; AlVMH, alveolar macrophage hyperplasia; LnfLP  
680 Lymphoplasmotic inflammation; P5, peptide 5; Sc: Subcutaneous immunization; IN:  
681 Intranasal Immunization.

682 Suppl. Fig. 3. Levels of IgG obtained before and seven days after the last  
683 immunization. Subcutaneous co-immunization of influenza vaccine (IV) and P5  
684 depleted the levels of antibodies elicited by P5 alone but not against influenza  
685 vaccine. Individual values and Mean (black solid line) of the serum IgG levels of  
686 mice immunized SC with 50 µg of P5 with Aluminum hydroxide or with 50 µg of  
687 P5- Aluminum hydroxide + 10 µg of Influenza Vaccine. \*Statistically different  
688 between before and after immunization ( $P < 0.05$ ).

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693 Table 1. Levels of sera IgG and IgA in mice subcutaneously immunized with different peptides derived from the RBD domain of the  
 694 Spike protein of SARS-CoV-2 virus

	Sera levels of IgG					
	Recognizing the specific peptide		Recognizing the RBD domain		Recognizing the specific peptide	
	Before Immunization	After Immunization	Before Immunization	After Immunization	Before Immunization	After Immunization
Immunizing with:						
P1	0.20±0.02	0.19±0.04	0.26±0.09	0.240.02±	0.16±0.10	0.22±0.11
P2	0.25±0.06	0.17±0.01	0.19±0.03	0.23±0.01	0.09±0.02	0.17±0.05
P3	0.25±0.12	0.25±0.10	0.24±0.10	0.24±0.09	0.090.02±	0.18±0.09
P4	0.44±0.07	1.69±1.60*	0.16±0.08	0.28±0.04	0.08±0.03	0.20±0.08
P5	0.22±0.02	1.10±0.34*	0.23±0.06	2.21±1.38*	0.17±0.00	0.17±0.09
P6	0.14±0.02	0.14±0.05	ND	ND	0.29±0.09	0.45±0.31

695

696 Mean ± SD of the Optical Density read at 405 nm of the ELISA to detect IgG and IgA level of immunoglobulins of six female mice  
 697 subcutaneously immunized with 50 µg of the respective peptide with ADDAVAX. Each mouse received three doses of the vaccine  
 698 each 7 days. Mice were bled before and seven days after the last immunization. ND: not determined. \*Statistically different between  
 699 before and after immunization (P<0.05).



700

701 Table 2. Effect of different doses of P5 on the level of specific antibodies in Intranasal  
 702 and Subcutaneously immunized mice

Mouse Strain:	Anti-P5 IgG antibodies					
	P5 ( $\mu$ g/mouse)	Subcutaneous		P5 ( $\mu$ g/mouse)	Intranasal	
		Before Immunization	After		Before	After
C57Bl/6J	50	0.25 $\pm$ 0.04	2.72 $\pm$ 0.01*	10	0.25 $\pm$ 0.05	0.41 $\pm$ 0.10*
BALB/c	50	0.21 $\pm$ 0.01	0.32 $\pm$ 0.01*	10	0.27 $\pm$ 0.08	0.29 $\pm$ 0.02
C57Bl/6J	100	0.16 $\pm$ 0.01	2.90 $\pm$ 0.03*	50	0.38 $\pm$ 0.16	0.55 $\pm$ 0.02*
BALB/c	100	0.30 $\pm$ 0.01	1.43 $\pm$ 1.20*	50	0.21 $\pm$ 0.01	0.29 $\pm$ 0.07
C57Bl/6J	200	0.31 $\pm$ 0.01	2.09 $\pm$ 0.80*	100	0.26 $\pm$ 0.04	0.37 $\pm$ 0.10*
BALB/c	200	0.33 $\pm$ 0.02	2.82 $\pm$ 0.06*	100	0.21 $\pm$ 0.08	0.19 $\pm$ 0.01

703 Mean  $\pm$  SD of the Optical Density read at 405 nm of ELISA to detect levels of IgG anti-P5  
 704 antibodies in female mice immunized with different doses of P5 adjuvanted with Addavax.  
 705 Each mouse received three doses of the vaccine each 7 days. Mice were bled before and  
 706 seven days after the last immunization. \*Statistically different between before and after  
 707 immunization (P<0.05).

708

709

710

711 Table 3. The optimal humoral immune response was induced by subcutaneous immunization  
712 with peptide 5 and aluminum hydroxide

			Anti-peptide 5 IgG	
			Before	After
			Immunization	
	Mouse Strain:	Adjuvant		
Subcutaneous immunization	C57BL/6J	ADDAVAX	0.21±0.01	2.58±0.20*
	BALB/c	ADDAVAX	0.25±0.04	0.32±0.02
	C57BL/6J	AL(OH)3	0.26±0.06	2.68±0.28*
	BALB/c	AL(OH)3	0.16±0.03	0.81±0.54*
Intranasal Immunization	C57BL/6J	ADDAVAX	0.25±0.05	0.37±0.10
	BALB/c	ADDAVAX	0.27±0.07	0.19±0.01
	C57BL/6J	AL(OH)3	0.26±0.06	0.36±0.14
	BALB/c	AL(OH)3	0.16±0.06	0.17±0.02

713

714 Mean ± SD of the Optical Density read at 405 nm of ELISA to detect sera levels of  
715 IgG anti-P5 antibodies from female mice immunized with P5 adjuvanted either with  
716 Aluminum hydroxide or ADDAVAX. Each mouse received three doses of the  
717 vaccine each 7 days. Mice were bled and sacrificed seven days after the last  
718 immunization. The level of IgG antibodies in the group in separates groups of two  
719 BALB/C, C57BL/6J or non- immunized mice, were determined. \*Statistically different  
720 between before and after immunization (P<0.05).

721 Table 4. Effect of adjuvant and dose of P5 on the levels of IgG antibodies in subcutaneous and intranasal immunized female and male  
 722 hamsters

		Sera IgG levels				
		Before	After	Route	Before	
		Immunization	Immunization		Immunization	
Adjuvanted with:	Sex	Dose	Route		After	
		( $\mu$ g)			Immunization	
ADDAVAX	M	10	SC	ND	ND	0.17 $\pm$ 0.08
	F	10	SC	ND	ND	0.21 $\pm$ 0.07
	M	50	SC	0.19 $\pm$ 0.02	1.45 $\pm$ 0.09*	0.20 $\pm$ 0.08
	F	50	SC	0.19 $\pm$ 0.02	1.42 $\pm$ 0.45*	0.29 $\pm$ 0.09
	M	100	SC	0.13 $\pm$ 0.03	3.45 $\pm$ 0.35*	0.17 $\pm$ 0.04
	F	100	SC	0.13 $\pm$ 0.03	3.72 $\pm$ 0.07*	0.29 $\pm$ 0.09
Aluminum hydroxide	M	200	SC	0.13 $\pm$ 0.01	3.36 $\pm$ 0.34*	ND
	F	200	SC	0.13 $\pm$ 0.01	3.59 $\pm$ 0.06*	ND
	M	50	SC	0.19 $\pm$ 0.03	3.76	ND
	F	50	SC	0.19 $\pm$ 0.03	3.06 $\pm$ 0.49*	ND
	M	100	SC	ND	ND	0.20 $\pm$ 0.038
	F	100	SC	ND	ND	0.26 $\pm$ 0.01

740 Mean  $\pm$  SD of the Optical Density read at 405 nm of ELISA to detect sera levels of IgG anti-P5 in male and female hamsters immunized  
 741 with different doses of P5 with either ADDAVAX or AL(OH)<sub>3</sub>. Each hamster received three doses of the vaccine each 7 days. A pooled

742 serum from a group of non-immunized hamsters was employed and samples from each immunized hamster was obtained seven days  
743 after the last immunization in those immunized. \*Statistically different between before and after immunization (P<0.05

744

745 Table 5. The optimal cellular immune response was induced by subcutaneous  
 746 immunization with peptide 5 and aluminum hydroxide

	Mouse Strain:	Adjuvant	Cellular immune response (CD8+IFN $\gamma$ + cells)	
			Before Immunization	After Immunization
Subcutaneous immunization	C57BL/6J	ADDAVAX	ND	0.46 $\pm$ 0.47
	BALB/c	ADDAVAX	0.86 $\pm$ 0.68	0.76 $\pm$ 0.03
	C57BL/6J	AL(OH) $_3$	ND	4.4 $\pm$ 2.6*
	BALB/c	AL(OH) $_3$	0.86 $\pm$ 0.68	20.83 $\pm$ 7.3*
Intranasal Immunization	C57BL/6J	ADDAVAX	ND	0.58 $\pm$ 0.05
	BALB/c	ADDAVAX	0.86 $\pm$ 0.68	1.34 $\pm$ 0.6
	C57BL/6J	AL(OH) $_3$	ND	0.60 $\pm$ 0.08
	BALB/c	AL(OH) $_3$	0.86 $\pm$ 0.68	0.75 $\pm$ 0.40

747 Mean  $\pm$  SD of the number of spleen CD8+IFN $\gamma$ + cells from female mice immunized with P5  
 748 adjuvanted either with Aluminum hydroxide or ADDAVAX. Each mouse received three  
 749 doses of the vaccine each 7 days. Mice were bled and sacrificed seven days after the last  
 750 immunization. The numbers of CD8+IFN $\gamma$ + cells in separates groups of two BALB/C,  
 751 C57BL/6J or non- immunized mice, were determined. \*Statistically different between before  
 752 and after immunization ( $P < 0.05$ ).

753

754

Figure 1.tif

Peptide	Sequence
1	N <sub>439</sub> NLDSKVGG <sub>447</sub>
2	V <sub>407</sub> RQIAPGQTGKIAD <sub>420</sub>
3	F <sub>490</sub> PLQSYGFQPTNGVGYQ <sub>506</sub>
4	Y <sub>472</sub> QAGSTPCNGV <sub>483</sub>
5	C <sub>460</sub> LKPFERDISTEIY <sub>472</sub> QAGSTPCNGV <sub>483</sub> EGFNCYF <sub>490</sub> PLQ <sub>493</sub>
6	G <sub>431</sub> CVIAWNS <sub>438</sub>

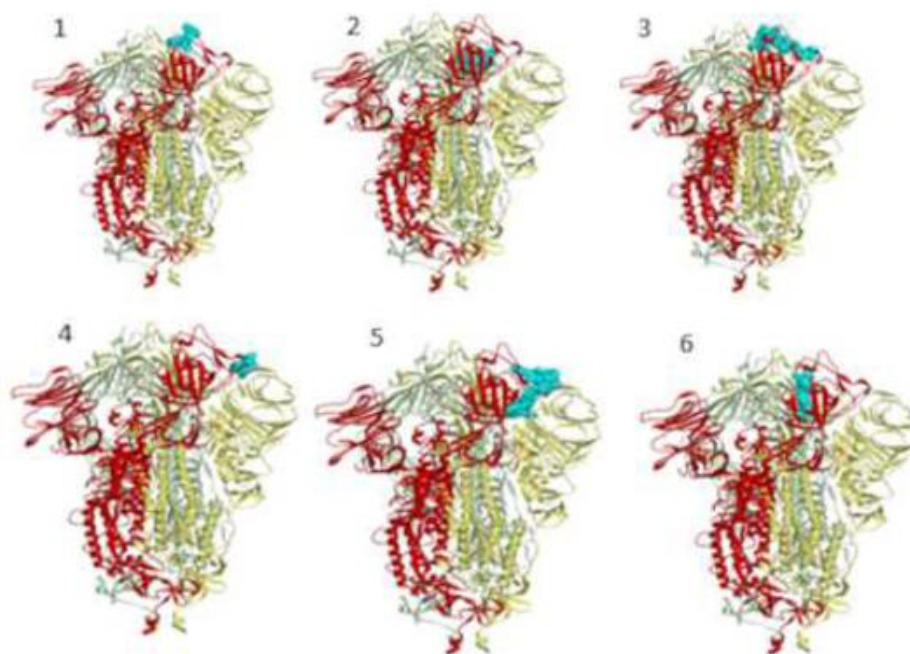
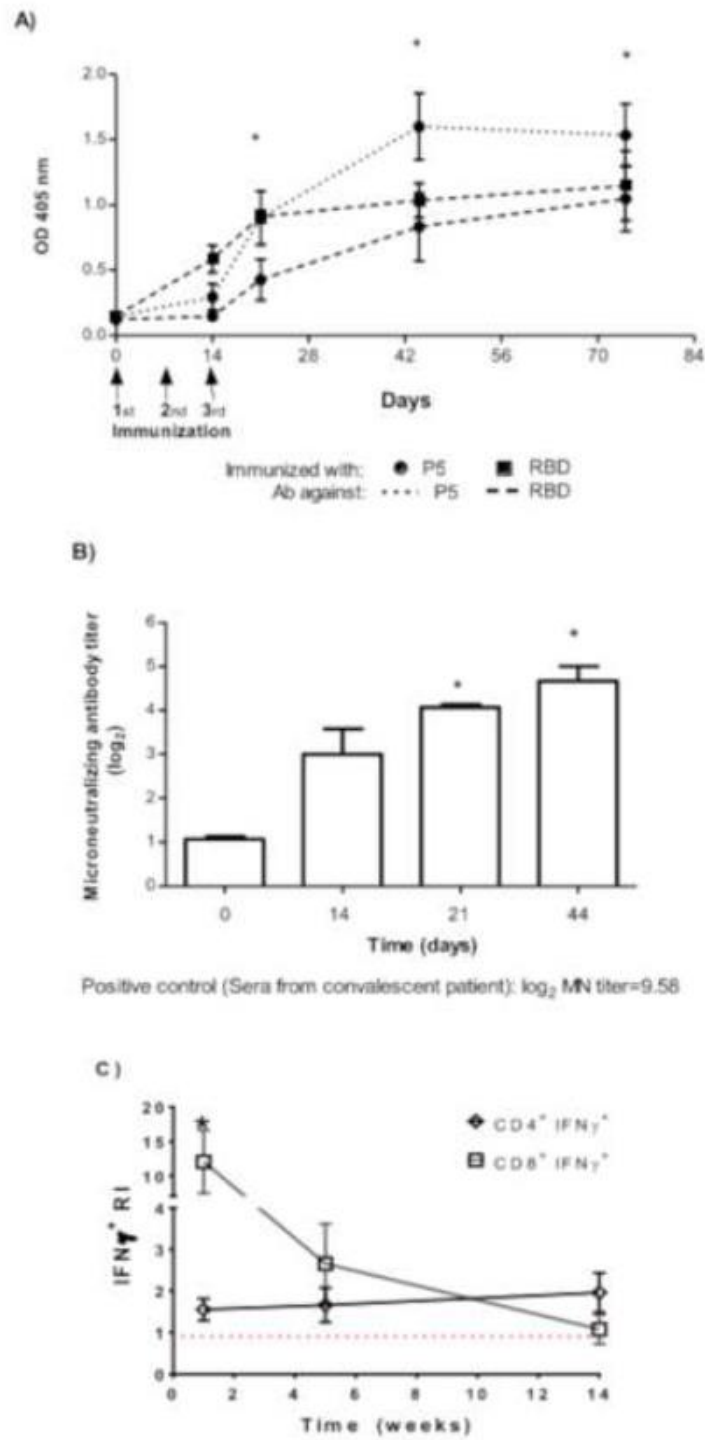




Figure 2

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Figure 4.tif



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Figure 5.tif

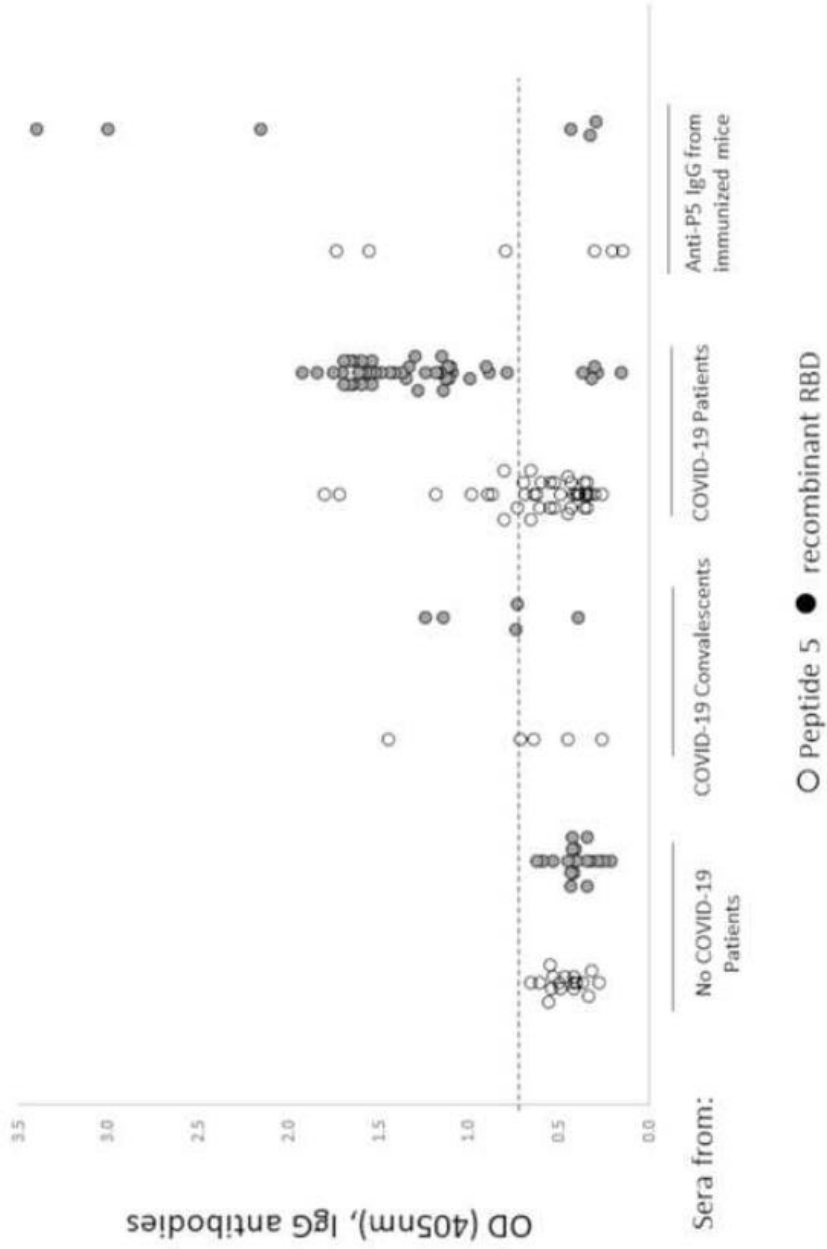


Figure 3

Figure 7.tif

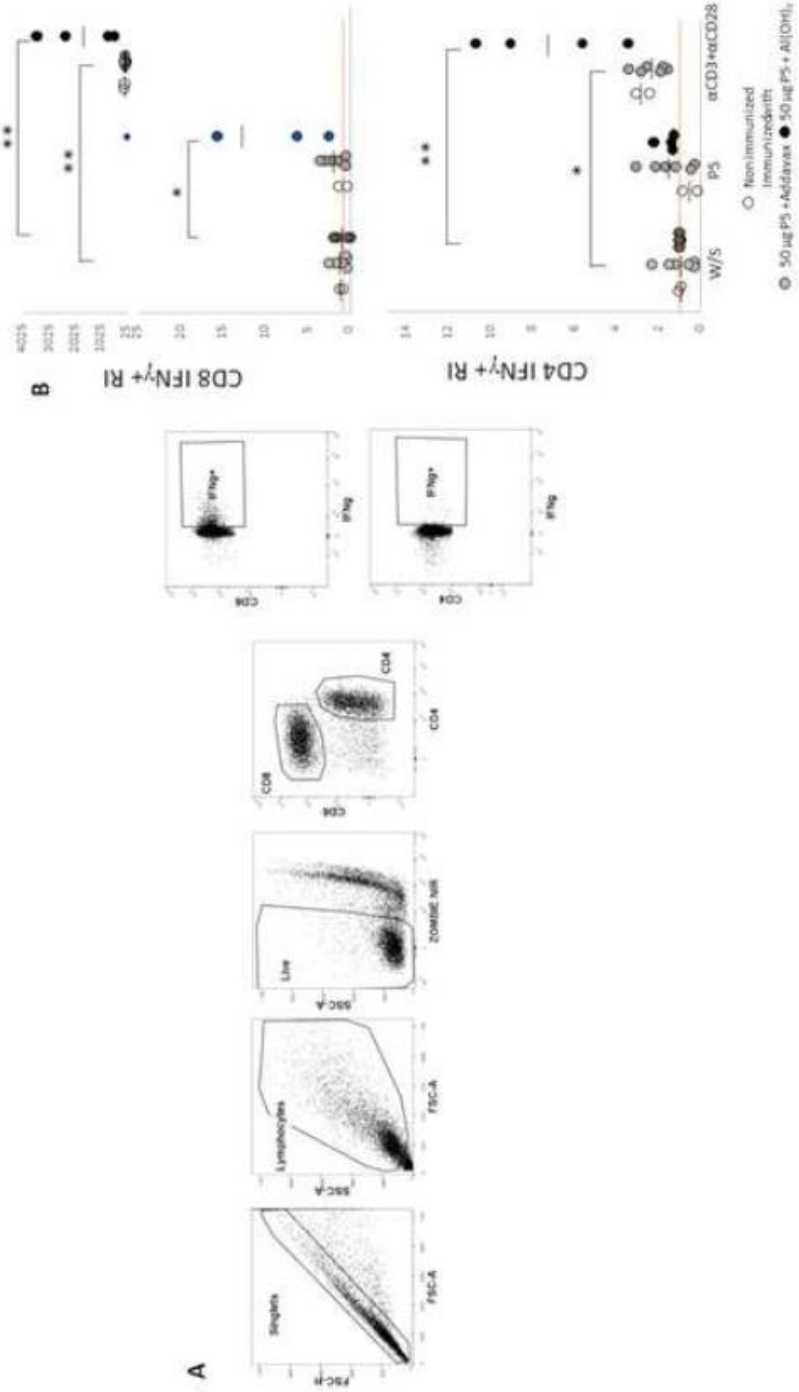


Figure 2.tif

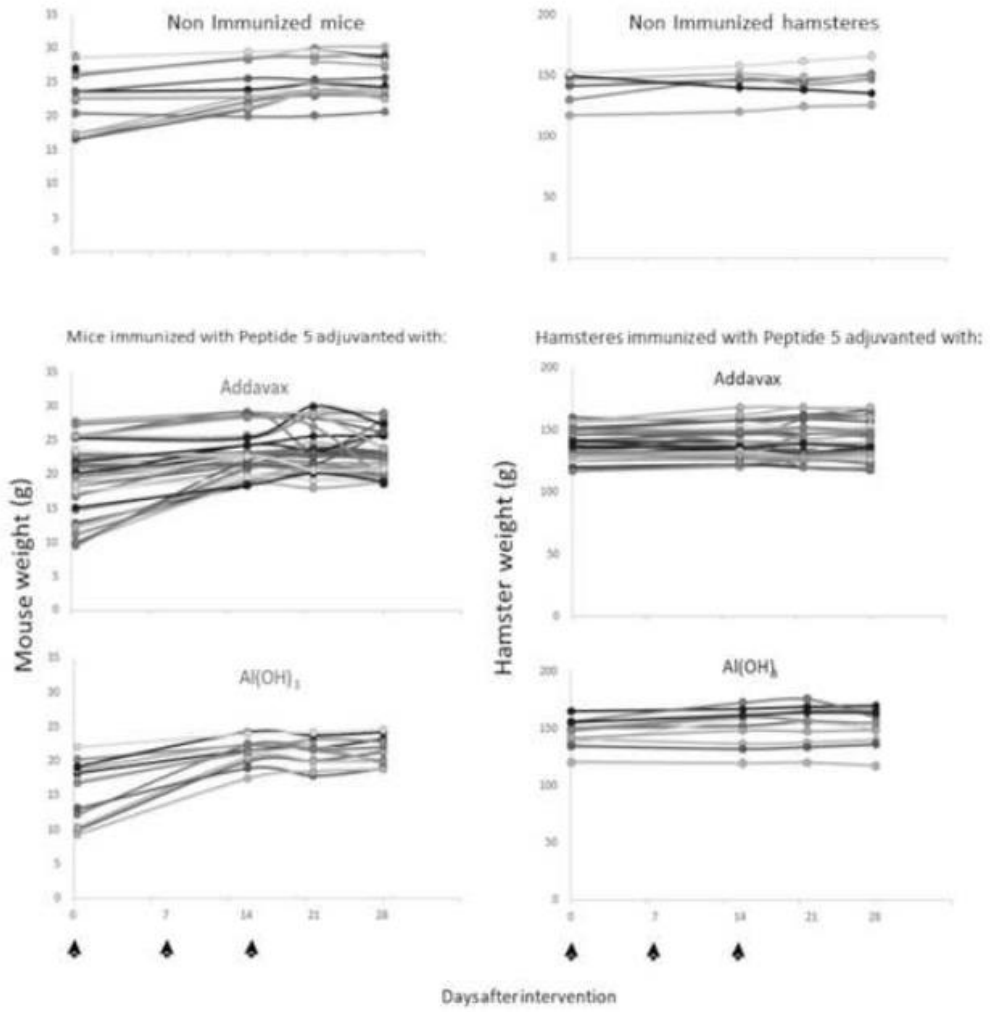




Figure 6.tif

