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**ALTERACIONES VISUALES EN LA  
ENFERMEDAD DE ALZHEIMER**

TESIS

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# **VISUAL DISTURBANCES IN ALZHEIMER'S DISEASE**

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REVIEW ARTICLE

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## **CONDITIONS FOR THE REALIZATION OF THE PROJECT**

During my stay in the laboratory I learned different techniques such as Western blot, Immunohistochemistry and ELISA, which I was going to use to develop my research protocol, however due to the COVID-19 contingency I could not obtain conclusive results, so I made a bibliographic review.

During all the confinement I was in contact with my tutors by videoconference or by other electronic means, which allowed me to carry out this work.

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## VISUAL DISTURBANCES IN ALZHEIMER'S DISEASE

### 1. ABSTRACT

Alzheimer's disease AD is a neurodegenerative process that mainly affects people over 65 years of age and is the main cause of dementia, making it a major public health problem.

This disease is characterized by cortical atrophy that affects the visual area and, at the microscopic level, neuronal loss, neurofibrillary tangles containing hyperphosphorylated Tau protein and extracellular senile plaques formed by A $\beta$ .

There are various hypotheses that try to explain the origin of this disease, having models allows generating of new information to understand the pathogenesis of A $\beta$  and the hyperphosphorylated Tau protein during AD.

AD patients manifest ocular dysfunctions such as loss of visual acuity, changes in contrast sensitivity, alterations in complex visual function such as reading, visuospatial function, naming and identifying objects, among others. Changes in the visual morphology also occur, such as a reduction in the number of fibers, a decrease in the diameter and length of the nerve fibers. Microscopically, a reduction in the number of RGC, deposits of A $\beta$  1-40 and A $\beta$  1-42 has been reported in the retinas of patients with postmortem AD. A $\beta$  deposits in the retina can be found earlier than in the brain. Animal models that emulate the clinical characteristics of the disease, such as mouse APP / PS1dE9 and rats TgF344-AD, allows the study of morphological changes in the retina, such as the presence and accumulation of different APP fragments and cellular activity. Knowing that the definitive diagnosis of AD is postmortem, this encourage us to search for new diagnosis tools that help to detect AD at presymptomatic stages using retina.

## 2. INTRODUCTION

### 2.1. Alzheimer's disease

Dementia is a set of signs and symptoms that lead a person to chronic failure in brain function (1). In 2015 worldwide, it was estimated that 47 millions of people were affected by dementia and it is expected that they will increase to 75 million people by 2030 and 131 million people by 2050 (2). Among senile dementia, Alzheimer Disease (AD) is representing the greatest proportion of case with 60 to 70%. Worldwide, there are 5.8 million people diagnosed with AD and it is inferred that this number will increase to 14 million by 2050. However, these numbers are clearly underestimated due to misdiagnosis and lack of accurate early diagnosis (3).

The main risk factors for AD is advanced age and the increase in life expectancy is increasing the prevalence. More than 80% of AD patients are over 75 years of age. The World Health Organization (WHO) has recognized AD as a public health priority.

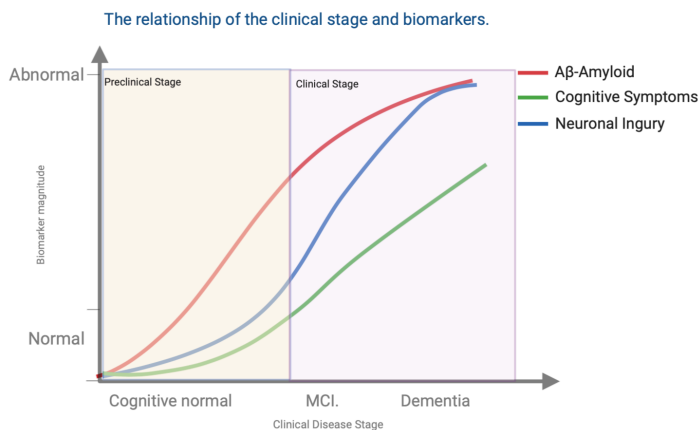
AD is defined in 3 characteristic phases (Supplementary Figure 1); the preclinical phase, mild cognitive impairment and dementia. Here is a gradual and progressive decrease in cognitive functions due to damage that has occurred at the neuronal level. Clinically, it manifests with agnosia, amnesia, followed by apraxia and aphasia, as well as loss of spatial memory and visual impairment (4) until the person becomes totally dependent.

In the preclinical phase, changes in mood, sleep, anxiety and apathy can be found (5). Amnesia can go unnoticed or is attributed to occasional forgetfulness, then the person or their family members can become aware of these oversights. Little by little these “forgetfulness” is becoming more frequent until they interfere with daily life and people cannot carry out their daily routine, forget how to drive a vehicle, or follow instructions. In the advanced stages, if the person leaves her daily environment, she may feel disoriented. In frank dementia, the person is completely disoriented and requires daily supervision (10% of subjects with AD develop Capgras Syndrome). However, activities such as socialization, routine behavior and superficial conversation remain intact. In the final stages of the disease the patient is stiff, mute, with incontinence, sometimes there is hyperreflexia and myoclonic spasms. The survival of the patients ranges from 10 to 20 years once they are diagnosed, the main cause of death of these patients is due to malnutrition, secondary infections, pulmonary embolism, bronchoaspiration or some heart disease. Changes in brain architecture can begin up to 20 years before clinical manifestations occur (Figure 1) (3,6,7).



### 2.1.1. Alzheimer's Disease Classification

The most frequent AD presentation is the sporadic form, which affects people older than 65 years. The percentage of people with AD increases according to age; There are 3% of people with AD between 65 to 74 years, 17% between 75 to 84 years and 32% of people with 85 years or older (3). A familiar or early form occurs at a younger age (40 years) with a Mendelian inheritance pattern representing 4 to 8% of cases (8,9).



**Figure 1. Alzheimer's disease and biomarkers.** It shows the relationship between the different stages of the disease and the biomarkers. Note that neuronal damage begins before clinical manifestations. Modified Hane F et. al. 2016.

This inheritance indicates the role of genetic factors associated with AD. Causing genes were identified for less than 1% of all cases.

Apolipoprotein E (APOE) is the major genetic risk factor for AD, the single amino acid differences among the APOE isoforms modulate APOE structure to profoundly affect its functions (10). Other causes can be caused AD is an extra copy of chromosome 21, mutations in the Amyloid Precursor Protein (APP) gene, or Presenilin 1 (PS1) and Presenilin 2 (PS2) genes. APP generates the  $\beta$ -amyloid peptides found in diffuse plaque characteristic of AD brains (see below). The normal hydrolysis of APP preventing the formation of  $\beta$ -amyloid peptides is performed by the  $\gamma$ -secretase activated by the presenilin proteins (8).

People who have mutations in PS2 are 95% more likely to develop AD than the general population (11). PS1 is found on chromosome 14 and encodes a protein called Presenilin 1 or S182. Mutations in this gene cause early-onset AD, with an early age for the presentation of symptoms (45 years), generating a brief but more marked evolution; with an autosomal dominant inheritance pattern (Ad). Presenilin 2 or STM2 is located on chromosome 1. These proteins provide the aspartate residues at the active site necessary for catalytic activation of  $\gamma$ -secretase which is an enzyme complex. They are found in the endoplasmic reticulum and Golgi apparatus, but can also be found in the nucleus, endosomal system, and plasma membrane (8).

### 2.1.2. Risk factors

Besides age and the causing gene reported above (Supplementary Figure 2), AD family history also represents a risk factor. People who have parents or siblings with AD are more likely to develop this disease and this risk increases if people have more than one family member. The APOE 4 allele was for instance recognized as a genetic risk factor for the disease. ApoE is a 299 amino acid lipoprotein encoded by the *APOE* gene present on chromosome 19 that is expressed in both the liver and brain. ApoE is involved in cholesterol transport and  $\beta$ -amyloid clearance (12).

In the brain, the protein is produced by astrocytes and microglia, and then lipidated by ABCA1 to form lipoproteins. In the extracellular space, lipidized ApoE binds to A $\beta$  leading to parenchymal amyloid plaque formation characteristic of AD. ApoE is endocited by cells with LDL receptors within the brain and facilitates the absorption of A $\beta$  (8).

ApoE has three alleles  $\epsilon$ 2 (Cys112, Cys158),  $\epsilon$ 3 (Cys112, Arg158) and  $\epsilon$ 4 (Arg112, Arg158), therefore during conception, children can inherit any of the 6 possible combinations:  $\epsilon$ 2/ $\epsilon$ 2,  $\epsilon$ 2/ $\epsilon$ 3,  $\epsilon$ 2/ $\epsilon$ 4,  $\epsilon$ 3/ $\epsilon$ 3,  $\epsilon$ 3/ $\epsilon$ 4 and  $\epsilon$ 4/ $\epsilon$ 4. The penetrance of these pairs is different among ethnic groups, the  $\epsilon$ 3 allele being the most represented in 50-90% of the population, while  $\epsilon$ 4 and  $\epsilon$ 2 are only found in 5-35% and 1-5% respectively (8,13). The  $\epsilon$ 4 allele confers a higher risk of presenting AD in the general population (8), approximately 2% of AD patients are homozygous  $\epsilon$ 4/ $\epsilon$ 4 despite their very low representation. However, many AD patients do not carry the  $\epsilon$  4 allele and some carriers of this allele may never manifest the disease, so other environmental factors are required for the development of the disease (3).

Different environmental factors were also identified in AD etiology such as low educational level, smoking, obesity, diabetes mellitus and hypertension. In the case of the In the Mexican population, AD has a higher prevalence in females because women achieve a lower educational level than men, they receive a lower salary which affects their diet, they have less access to health systems and they are more long-lived (14). Modifying some risk factors can help reduce the risk of developing AD, however having late obesity and hypertension after the age of 80 have been associated with a lower risk of developing AD (3). People who have had a Traumatic Brain Injury (TBI) also have a higher risk of presenting AD, compared to the general population (3).

### 2.1.3. Neuropathology of Alzheimer's Disease

AD is characterized by brain changes visible in histopathology. At a macroscopic level (Supplementary Figure 3), there is a progressive, bilateral and diffuse cortical atrophy that

begins in the region of the entorhinal cortex, spreads to the hippocampus, then to the parietal and temporal neocortex prior to affecting the visual areas and showing a symmetric dilation of the lateral ventricles (hydrocephalus ex vacuo) (15). These changes are caused by the pathognomonic lesions of the disease (6). At the microscopic level, neuritic plaques formed from  $\beta$ -amyloid peptides and neurofibrillary tangles (NFT) are identified on brain slices of AD patients. NFT are composed with filaments of hyperphosphorylated Tau protein (16).

$\beta$ -amyloid plaques can also be found on the walls of blood vessels in the cerebral cortex and in the leptomeninges (dura, pia, and arachnoid). This cerebral amyloid angiopathy is associated with neuropil threads, dystrophic neural processes, astrogliosis and activation of microglia (16).

#### 2.1.3.1. Pathogenesis

In 1974, Drachman *et al.* studied the role of cholinergic neurotransmitter system in human cognitive functioning (17) and in the late 1960s it was shown that enzyme deficits led to decreased acetylcholine in AD (18). In 1976 Davies *et al.* studied the activity of enzymes associated with neurotransmitters such as acetylcholine, finding that Cholin Acetyltransferase activity in AD brains was greatly decreased in the amygdala, hippocampus, and cortex, and ACh was dramatically decreased in the cerebral cortex, suggesting that could be a cause of this disease (19).

The cholinergic hypothesis was found by biochemical analyses a decrease in proteins at the cortical level, as well as neurotransmitters such as Acetylcholine (ACh), the enzyme acetylcholinesterase and nicotinic cholinergic receptors. The disease was attributed to the decrease in choline acetyltransferase and acetylcholinesterase activities in the cerebral cortex both enzymes being involved in cognitive function (8). Based on this theory, different treatment developed with various drugs: tacrine, rivastigmine, galantamine, donepezil (which are inhibitors of acetylcholinesterase). Neither of these drugs helps reverse the progression of the disease (20).

A major theory, the Tau hypothesis, incriminate the neurofibrillary lesions formed by the Tau protein in AD brains. The Tau protein is found under 6 isoforms that derive from an alternative splicing of a single gene located on the long arm of chromosome 17 (MAPT) (8,21). Their function is to bind to microtubules to stabilize them allowing thereby the transport of organelles, glycoproteins, neurotransmitters and other important elements for the neuron. Microtubule binding is achieved through a domain of 3 to 4 highly conserved repeats of 18 amino acids located in the carboxyl terminal (c-terminal). The protein is involved in the

polymerization and stability of the microtubules. Tau N-terminal portion contains acidic amino acids followed by a proline-rich basic region (projection domain) that can interact with the cytoskeleton and the plasma membrane (17). In AD brain, the hyperphosphorylated Tau protein polymerizes into Paired Helical Filaments (PHF) and Straight Filaments (SF) forming NFT suppressing thereby the protein function. NFTs are composed of neuronal cytoplasmic Tau protein fibers (10 nm in diameter) with abnormal phosphorylation that are pairs of helical filaments with a periodicity of 80 nm (15). There are other protein components associated with NFTs such as ubiquitin, cholinesterase and A $\beta$  (Supplementary Figure 4) (15).

Dysregulation of the Tau protein affects the regulatory functions of the cytoskeleton such as maintenance of morphology, axonal transport, synaptic dysfunction and neurodegeneration (22). NFT's formation begins in the allocortex of the medial temporal lobe (entorhinal cortex neurons of layer II and hippocampus CA1 and subicular region) before spreading to the association cortex (6) and neocortex in layers III, V and the superficial part of the VI layer (15).

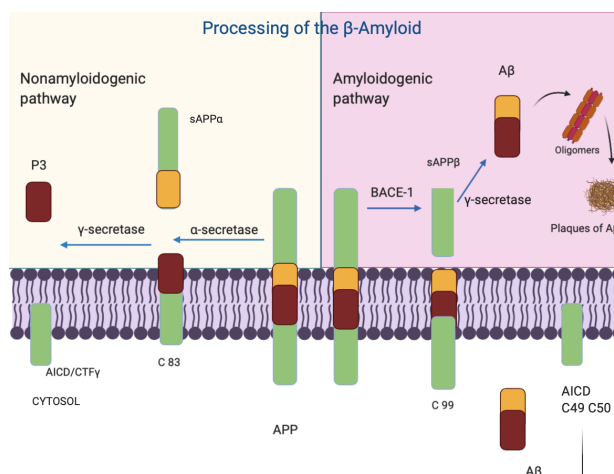
An alternative hypotheses concentrates on the amyloidogenic pathway, which was described by Hardy and Higgins in 1992, arguing that the primary AD trigger is to be found in A $\beta$  deposits caused by an imbalance between its production and elimination (8,23). NFT, neuronal loss, vascular damage and dementia are a consequence of these deposits (23) as indicated by genetic, biochemical and pathological evidence (8,24). The  $\beta$ -amyloid peptide is the primary component in diffuse plaque formation. They weight 4KD and contains 39 to 42 amino acids. There are obtained through the abnormal proteolysis of the type I transmembrane glycoprotein, APP, which is fragmented by  $\alpha$ - and  $\gamma$ -secretases.

In the normal conditions, APP is cleaved near the membrane by the extracellular protease,  $\alpha$ -secretase, releasing a soluble extracellular fragment (sAPP $\alpha$ ). This fragment has several protective functions (8). A second cut into the membrane is made by another protein complex, the  $\gamma$ -secretase, who has one of its catalytic subunits encoded by the PRES1 or PRES2 gene. The second APP cut releases an intracellular peptide called Amyloid Intracellular Domain (AICD) and another small peptide residue between the cut of  $\alpha$ -secretase and  $\gamma$ -secretase (25).

In contrast, in the pathologic amyloidogenic pathway, the first cut is made at a longer distance from the membrane by an aspartyl protease known as  $\beta$ -secretase, obtaining two fragments, one long that is called sAPP $\beta$  and the second that is anchored to the membrane and contains 99 amino acids, CTF $\beta$ , (26). This second fragment is immediately cut by the  $\gamma$ -secretase (multimeric enzyme composed of the APH1, PEN2, nicastrin and PS1 or PS2

subunit), thus generating  $\beta$ -amyloid peptides. This cut is imprecise causing heterogeneity at the C-terminus, with peptides of either 40 or 42 amino acids. A $\beta$ 42 is the most abundant in plaques due to its insolubility and higher fibrillation rate (Figure 2) (6,26). Polymerization of  $\beta$ -amyloid peptides can occur in the form of fibrils, protofibrils, and polymorphic oligomers. A $\beta$  deposition and diffuse plaque formation favor local microglial activation, cytokine activation, reactive astrocytosis, and an inflammatory response, as well as a structural and biochemical change around axons, dendrites, and cell bodies leading to neuronal death, synapse loss and macroscopic atrophy (8). Senile or neuritic plates are a compact and spherical structural complex formed by a central accumulation of a 4Kda with a  $\beta$ -folded sheet configuration called  $\beta$ A4 or A $\beta$  that is surrounded by "rosettes" that contain neurites (formed by neuronal processes, axons or dendrites), Tau immunoreactive neurons, dystrophic and activated microglia (15).

Dense plaques containing A $\beta$  have also been found but do not show an accompaniment by dystrophic neurites called "burned-out plaques" and "end-stage" plaques that are considered remnants of neuritic plaques (15). A $\beta$  deposition generally begins in the cortex and ultimately affects subcortical structures. Vascular amyloid deposits A $\beta$  also tend to occur on the vascular walls of small arteries and arterioles of the leptomeninges that initially do not affect the normal function of the blood vessel. However, there is a greater accumulation of microhemorrhages in the thalamus and lenticular nucleus resulting from uncontrolled blood pressure. These last two theories are strongly intermingled in the formation of the pathognomonic lesions of the disease, suggesting a multi-causality in this disease.



**FIGURE 2. Schematic representation of AB processing.** Representation of AB formation by the amyloidogenic pathway to the right of the image and non-amyloidogenic pathway to the left. Modified Barage S. 2015

## 2.1.4. Vision and Alzheimer Disease

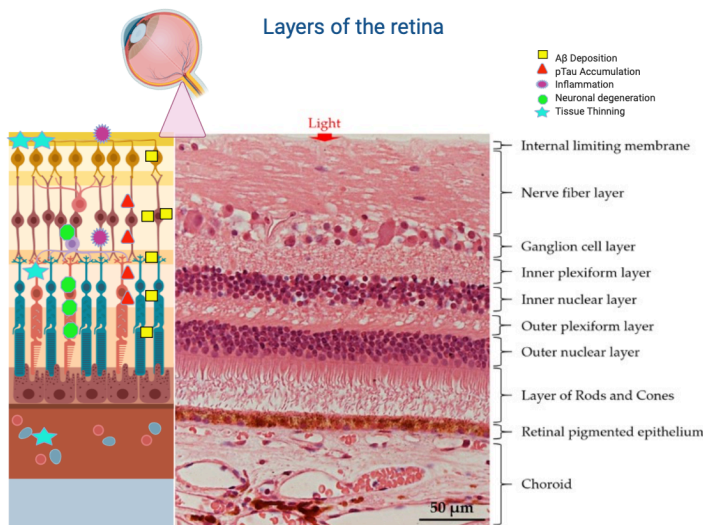
### 2.1.4.1. Retina an extension of the brain

The retina as the Central Nervous System (CNS) derives from the neuroectoderm (24). The retina is a photosensor organ that receives light rays then converts it into signals that reach the brain where they are processed. Retina and brain shares similarities such: presence of

neurons, glial cells, it has a hemato barrier, it has strict control of the proliferation of endothelial cells and the optic nerve axons connect the retina directly to the brain.

Macroscopically the human retina is a transparent layer that is in contact with the choroid and the vitreous humor where 3 structures can be differentiated: the papilla or optic disc, which is the part where the optic nerve enters the eyeball, therefore it is a point blind. Then there is the fovea, which is an area devoid of rods, and finally, the ora serrata, which is the anterior boundary of the retina.

Microscopically it has 10 layers (27); three layers of neural bodies separated by two layers containing the synapses made by the axons and dendrites of the neurons. In the most posterior row of the retina are the photoreceptors, cones and rods (Figure 3).



**Figure 3. Layers of the retina.** In the right part we can see the basic retinal structure. Histological appearance of choroid and retinal layers. The retinal layer harbors five retinal neuronal cells, primarily, the rod- and cone-photoreceptors, the Müller glia, the horizontal cell, the bipolar cell, the amacrine cell, and the Retinal Ganglion Cell, by Ding, S.L.S 2017. The left part shows the manifestation of AD in the human retina Modified Hart N. 2016.

#### 2.1.4.2. Clinical alterations in vision during Alzheimer's Disease

At early stages of the disease visual disturbances such; loss of visual acuity (VA) which is the main clinical manifestation, problems related to color vision and visual field, changes in pupillary response to mydriatics, fixation problems, saccadic eye movements and abnormal follow-up; changes in contrast sensitivity and visual evoked potentials and alterations of some complex visual functions such as reading, visuospatial function, naming and identifying objects were observed (5,28). Hallucinations have also been described mainly in patients with a decrease in visual and cognitive acuity.

Salobar García *et al.* (2019) using the Snellen eye chart, the 28-tone Roth Color Test and the Digital Perception Test (PDT) found a decrease in VA in patients with mild cognitive impairment in the mild and moderate stages of AD, which correlates with the score obtained by the Mini-Mental State Examination (MMSE), therefore a decrease in VA is correlated with a decrease in cognitive ability. They also found a decrease in contrast sensitivity and color

perception. Patients with mild AD had fewer total errors compared to patients with moderate AD, who had 61.71% total errors (29).

#### 2.1.4.3. Morphological changes in vision during Alzheimer's Disease

Studying the retina morphology using Optical Coherence Tomography (OCT) showed a decrease of layer thickness in circular and concentric sectors of the macular region and the fovea of mild AD patients (Supplementary Figure 5) (30). However, when comparing these results with patients with moderate AD, it showed a significant increase in the thickness of the fovea as the thickness of the inner macular ring which could be associated with inflammatory processes and cellular movements (29). Also, patients with AD present a reduction in the number of retinal ganglion cells (RGC) that is associated with intracellular lesions such as the shrunken soma with the vacuolated cytoplasm and with lower density, swelling of the mitochondria and the endoplasmic reticulum (31), which together could explain the disc abnormalities and are mainly found in the upper and lower quadrants of the retina followed by the nasal quadrant(29,32), temporal (31) and the thickness of the nerve cell layer (24,31,33).

Using OCT, A $\beta$  deposits was detected in perimacular areas, perivascular areas in the external plexiform layer, the ganglion cell layer and the nerve fiber layer (30).

The visual pathway is also affected, it presents a thinning in its fibers (33) decrease in the diameter and length of nerve fibers, therefore, axonal degeneration is reflected in a decrease in the number of RGC with its consequent visual cortex condition (Supplementary Figure 6) (32,34).

#### 2.1.4.4. Histological alterations in vision during Alzheimer's Disease

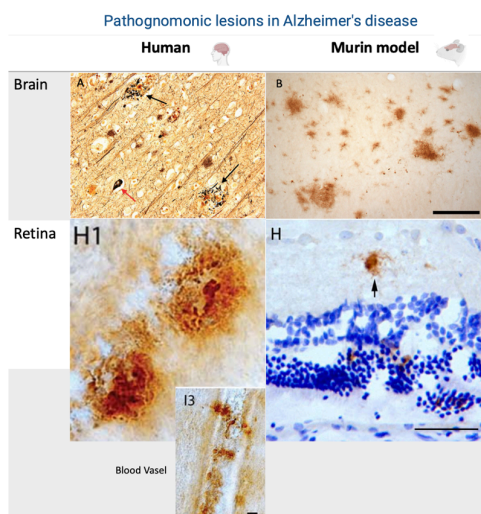
Koronyo-Hamaoui *et al.* identified A $\beta$  in the retina of post-mortem AD patients (35). Retinal deposits are of the type: diffuse, immature, mature plaques, with A $\beta$  1-40 and A $\beta$  1-42, associated with lipid deposits (35). A $\beta$  aggregates with diverse morphology accumulate around a subpopulation of RGC, which are highly photosensitive due to the expression of melanopsin, the melanopsin-expressing retinal ganglion cells mRGCs were observed in AD patients (32). The RGC are the nervous retinal elements which connect the visual receptors to the brain forming the nervous visual system, the RGC send information to the thalamus, hypothalamus and midbrain. They receive information from the photoreceptors through the intermediate bipolar, amacrine and horizontal neurons. There are at least 22 types of RGCs that are specialized to encode aspects such as color, contrast movement, direction of movement, etc (36). One of the most important in neurodegenerative diseases are mRGCs. These cells constitute a system in the mammalian retina used for irradiance detection, regulating non-image

forming functions, such as photoentrainment of circadian rhythms, control of the pupillary light reflex, masking response, light-regulated melatonin secretion, and modulation of the sleep/wake cycle. There are five subtypes of mRGCs differentiated by morphology and function (37).

Degeneration of mRGCs and neurites suggests that A $\beta$  is toxic to retinal cells and aggregation of A $\beta$  with RGC degeneration in the upper quadrant and the Retinal Nerve Fiber Layer (NFL) and Ganglion Cell Layer (GCL) layers can distinguish ocular AD (24).

The suprachiasmatic nucleus is also affected in some patients with AD, this structure receives inputs from the retina and is in charge of regulating the sleep-wake cycle, so this damage could explain the sleep disorders presented by patients with AD, along with the degeneration of the mRGCs (32).

Other studies revealed also that amyloid deposits are associated with blood vessels in the upper quadrant (Figure 4) (35). Frost *et al.* (2013), using retinal photography, demonstrated that there was a correlation between the diameter of the blood vessel and the ramifications and the A $\beta$  deposit in the asymptomatic phase of AD (38).



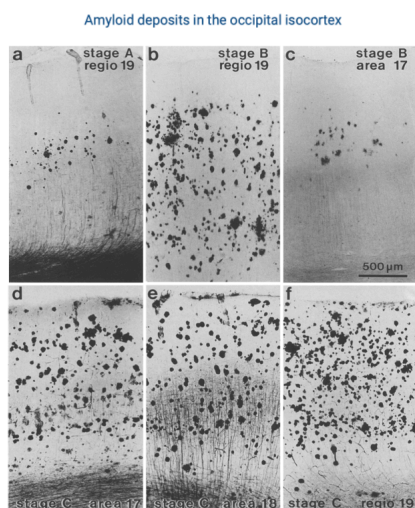
**Figure 4. Pathognomonic lesions in AD.** A. represent a microphotograph of the temporal cortex of an AD patient showing senile plaques (black arrows) and neurofibrillary tangles (red arrow), Perl D, 2010. B. represent a microphotograph showing deposition of A $\beta$  in the mouse brain. 3xTg-AD, LaFerla F, 2005. H1. shows classic mature plaques with a dense central nucleus A $\beta$  and radiating fibrillar arms in a patient with AD. I3. shows deposition of A $\beta$  along a retinal blood vessel in a patient with AD, La Morgia C, 2016. H. shows A $\beta$  plaques in OPL in the rat model TgF344-AD, Tsai Y, 2013.

Senile plaques and NFT have been found in the primary visual cortex specifically in the areas of visual association (V2, V3) than in V1 (Figure 5) (39). In addition, signs of inflammation or collapse of blood vessels, reduction of blood flow, tortuosity (24), decrease in the complexity of the branching pattern and cellular degeneration can be found, being consistent with the Changes in blood flow are also part of the pathogenesis of AD.



#### 2.1.4.5. Physiological alterations in vision during AD

The pattern electroretinogram (PERG) showed delay abnormalities and a reduction in the amplitude N35, N50 and N95 in patients with AD, which could be observed from the early stages of the disease (40). The reduction in wave "b" could be attributed to the reduced number of retinal ganglion cells in patients with A, these results were consistent with what was shown in the ERG of patients with AD in advanced stages, where they also showed an increase in the latency of the response (40).



**Figure 5. Amyloid deposits in the occipital isocortex (layers I to VI) of AD patients post mortem.** a. Stage A displays a few patches of amyloid at mid-cortical level (basal occipital association cortex). b, c Stage B exhibits many amyloid deposits in virtually all association areas of the isocortex and occasionally a few dots in primary areas [b peristriate association cortex, c primary visual field (= area 17)]. d-f Stage C shows an abundance of amyloid in not only the association cortex but also in belt areas and core fields [d striate area (core, area 17), e parastriate area (belt, area 18), f peristriate region (association cortex, region 19)]. By Braak H 1994.

In advanced stages of AD, the ERG has indicated communication problems between groups of retinal neurons, which may be the result of neurotransmission damage, causing an excitation/inhibition imbalance towards the neuron (41). The ERG also showed neuronal hyperexcitability that can be found from the early stages of the disease (41).

Perez *et al.* (2009) also evaluated the integrity of the retina in the APP<sup>swe</sup> / PS1 $\Delta$ E9 Tg mice using ERG, finding results very similar to those observed in humans, showing significant reduction in the amplitudes of a and b waves. These alterations may be the result of A $\beta$  deposition in the retina that affects neuronal transmission (28).

#### 2.1.4.6. Alzheimer's disease in animal models

Both, Tau protein and A $\beta$  deposit are critical elements for the pathogenesis of AD; however, the linkage of these mechanisms is still not well understood. It is important to understand the link between these proteins and the evolution of the disease. To understand the disease different models that mimics AD pathology were developed and here were summarized few ones that are the most used and also where proofs of the vision dysfunctions were described.

#### 2.1.4.6.1. Amyloid models

Amyloid models are the most used models due to the importance of amyloidogenic hypotheses. APP-KO animal models show reduced body size and microcephaly, hypersensitivity to seizures, learning disabilities and LTP, but A $\beta$  is the main protein component of plaques and it is also known that the presence of A $\beta$  can promote appearance of tau pathology (12,42,43). Recent studies in a mouse hippocampal primary neuronal cultures show that A $\beta$  toxicity is Tau dependent (44), these studies will be discussed later.

The Tg2576 model is one of the most well characterized mouse models of AD. It overexpresses a mutant form of APP (isoform 695) with the Swedish mutation (KM670/671NL), resulting in elevated levels of A $\beta$  and ultimately amyloid plaques. This model also presents cognitive deficits associated with age (45).

Hsiao *et al.* studies on swAPP (Tg2576) AD transgenic mouse model demonstrated that A $\beta$  deposition in the hippocampus, cerebellum, and cortex is age-dependent and plays a fundamental role in cognitive processes, and in the retina, they found that A $\beta$  deposition occurred mainly in GCL (45).

The abnormal folding of A $\beta$  and its aggregates generates alterations in receptor-ligand interaction that modulate the activity of microglia and astroglia, which release cytokines, nitric oxide, and other cytotoxic agents in response to interaction with A $\beta$  (40).

Also, A $\beta$  produces an upregulation of NF $\kappa$ B gene in astrocytes, causing C3 a compound of complement complex release, generating neuronal dysfunction through C3aR receptor and intraneuronal calcium signaling, as it produces synaptic excitation and alteration of dendritic morphology (46). Lian H *et al.* demonstrated that the increase in NF $\kappa$ B and C3 activation exhales amyloidogenic pathology and promotes glial inflammation in addition to that C3 also interacts with microglial C3aR receptor causing alterations in cognitive function and deterioration of A $\beta$  phagocytosis (47).

If astroglia comes into contact with A $\beta$ , it generates astrogliosis that favors molecular and functional changes in astrocytes, elimination of A $\beta$  (which produces the release of extracellular proteases such as neprilysin, angiotensin-converting enzyme-1 (ECA-1) and converting enzyme Endothelin-2 (48).

On the other hand, the A $\beta$  oligomers and fibers can bind microglia surface receptors, such as (CD36), toll-like receptor (TLR)-4 and TLR-6, causing their activation and release of proinflammatory cytokines and chemokines, as well as the tumor necrosis factor alpha (TNF $\alpha$ ), interleukin (IL) -1 $\alpha$  and IL-1 $\beta$  (40).

Studies in the swAPP model (Tg2576) showed that early microglial decline is related to A $\beta$  deposition and early mortality (40). A $\beta$  can activate microglia to produce cytokines and neurotoxins that promote neurodegeneration, in contrast, microglia express receptors that promote A $\beta$  clearance and phagocytosis.

Hickman *et al.* (2008) and; Krantic *et al.* (2016) Studied the inflammatory response in a young (1 month old) animal model, where they showed that increased levels of TNF $\alpha$  are found in the hippocampus in the transgenic model before A $\beta$  can be detected, but the beta-C fragment of the Terminal fragment (Beta-CTF) already shows an increase (35,40).

Furthermore, retinal glial cells are responsible for the maintenance of the retina microenvironment, trophic and structural support, homeostasis, and immune response. In situations of stress, it is activated, producing changes in pro and anti-inflammatory markers, as well as in phagocytic activity and cell morphology (49).

Another study showed that retinal injection of A $\beta$  induces degeneration of photoreceptor cells in a WT model and that exposure of EPR cells to A $\beta$  *in vivo* induces oxidative stress (50).

The model APP<sup>swe</sup>/PS1 $\Delta$ E9 was created by co-injection of two vectors encoding mutant APP and mutant PSEN1, respectively. These mice begin to develop A $\beta$  deposits at six months of age, with abundant plaques in the hippocampus and cortex at nine months, culminating at 12 months. Astrocytosis develops along with the A $\beta$  plaques and generates severe gliosis that becomes evident at six months, in addition a modest neuronal loss can be seen, however the NFT are not typical in these animals (51).

In 2009 Pérez *et al.* labelled A $\beta$  plaques with thioflavin-s in APP<sup>swe</sup>/PS1 $\Delta$ E9 transgenic mice, this allowed them to observe A $\beta$  aggregates in the retina at the age of 12 months using immunohistochemistry (28). The aggregates showed radial ramifications with a small central nucleus and their size ranged from 5 to 20 $\mu$ m. The largest aggregates were observed at the age of 15 to 16 months of age and the total number of aggregates was 20 times more than those observed at the ages of 12 to 14 months of age (28). The aggregates are located mainly in the inner (34.7%) and outer (41%) plexiform layer of the retina and showed that the deposition of A $\beta$  aggregates begins earlier in females than in males.

Subsequently Koronyo-Hamaoui *et al.* used the same transgenic mice and evidenced the presence of A $\beta$  in the retina of living mice, marked with curcumin that has a high affinity for A $\beta$  *in vivo* in NFL, RGC, IPL, OPL, INL and some aggregates in the sclera (35). In their study they obtained images of the retina, cerebral cortex and hippocampus at ages 2.5, 5, 9 and 17 months of age, showing that the deposition of A $\beta$  aggregates is dependent on age, however at

the age of 2.5 months a significant number of aggregates were detected in the retina but not in the brain, suggesting that the deposition of A $\beta$  aggregates in the retina precedes the brain, where the first aggregate could be visualized from 5 months of age (35).

#### 2.1.4.6.2. Tau Models

As previously mentioned, the hyperphosphorylated Tau protein generates one of the pathognomonic lesions of the disease that, together with A $\beta$ , favors the appearance of AD. Therefore, this protein must be studied and various models have been created to help understand its impact on the pathology and also the connection between Ab pathways.

The hyperphosphorylated Tau protein is affected by genetic factors, aberrant kinase activation, or chronic stress that leads to excessive aggregation and NFT formation. The NFT cause neuronal synapse failure, danger in axonal transport, cytoskeleton dysfunction, among others (52).

In addition to the formation of A $\beta$  in murine models, hyperphosphorylation of the Tau protein increases, which is why researchers have intracranially injected synthetic A $\beta$  and have seen an increase in the Tau protein (52).

rTg4510 mice ("r" for regulatable) are produced by crossing the 4510-responder line, carrying a human MAPTP301L cDNA downstream of a tetracycline operon-responsive element (TRE), to an activator line expressing a tetracycline-controlled transactivator (tTA) under control of the CaMKII $\alpha$  promoter. This model express high levels of mutant tau, and they develop progressive age-related neurofibrillary tangles, neuronal loss, and behavioral impairments (53).

In rTg4510 mice they demonstrated that the endogenous concentration of A $\beta$  was higher both in the cortex and in the hippocampus, suggesting that the presence of pathogenic Tau may favor the accumulation of A $\beta$  (54).

The Tau P301S (Line PS19) mice develop neuronal loss and brain atrophy by eight months, principally in the hippocampus but spreading to other brain regions, including the neocortex and entorhinal cortex. They develop widespread neurofibrillary tangle-like inclusions in the neocortex, amygdala, hippocampus, brain stem, and spinal cord. Tangle pathology is accompanied by microgliosis and astrocytosis, but not amyloid plaques (53)

Gasparini et al. using transgenic P301S tau homozygous mice showed the expression of the hyperphosphorylated Tau protein in the retina, specifically in NFL and RGC, axons and dystrophic dendrites from one month of age in the homozygous transgenic mouse and from 6 months in the heterozygous model (55)

#### 2.1.4.6.3. Amyloid and Tau models

Mouse and rat transgenic models expression either amyloid plaques and tau tangles were developed.

TgF344-AD rats was generated on a Fischer 344 background by co-injecting rat pronuclei with two human genes driven by the mouse prion promoter: “Swedish” mutant human APP (APP<sup>sw</sup>) and  $\Delta$  exon 9 mutant human presenilin-1 (PS1 $\Delta$ E9) (56,57). This rat express high levels of human APP and show age-dependent increases in levels of detergent-soluble and detergent-insoluble A $\beta$ 40 and A $\beta$ 42 between 6 and 26 months in different parts of the brain (53).

TgF344-AD rat model, using immunofluorescence, showed a presence of A $\beta$  plaques of variable size in the hippocampus and cerebral cortex, as well as in the internal and external plexiform layer of the 19-month-old, only a few plaques were observed at 14 months of age (57).

A thinning of the choroid was also demonstrated, which can be visualized at 14 months of age but becomes evident at 19 months. Hypertrophy was evident in the RPE, and cells binucleates were more evident in TgF344-AD rats of 14 and 19 months of age (57).

This team also showed the presence of inflammation by increased Iba-1 in the choroid, activation of microglia and presence of complement (C3) along the Bruch membrane (57).

The 3xTg-AD mice contain three mutations associated with familial Alzheimer's disease (APP Swedish, MAPT P301L, and PSEN1 M146V). This model is capable of forming plaque and tangle pathology. A $\beta$  deposition is progressive, with intracellular immunoreactivity detected in some brain regions as early as three to four months of age. Extracellular A $\beta$  deposits appear by six months in the frontal cortex and become more extensive by twelve months and presents some changes in the eyes (53).

The 3xTg-AD mice, extracellular A $\beta$  deposits can be seen from 6 months of age and conformational changes of the Tau protein are evident until 10 and 12 months of age, reinforcing the A $\beta$  hypothesis. Furthermore, this same team showed the conformational changes that the Tau protein has from the first stages of the disease to the advanced phase (Supplementary Figure 7) (21).

Using the same model, other group showed the presence of A $\beta$  and NFT plaques, degeneration of ganglion neurons, astrogliosis and activation of microglia in presymptomatic stages of the disease (49)

The TgTauEC bigenic mice are made by crossing an activator line, neuropsin-tTA, with a responder line, Tg(tauP301L)4510. The neuropsin promoter drives the tetracycline transactivator (tTA) transgene preferentially in a subset of neurons in the entorhinal cortex, these mice develop a stereotyped progression of tau pathology as they age (53)

In rTgTauEC mice the presence of A $\beta$  was found to exacerbate the propagation of transneuronal Tau from the entorhinal cortex to the brain regions interconnected by synapses between these two areas and accelerate the degeneration of human neurons expressing Tau (54).

#### 2.1.4.7. *In vitro* models

Another way to study AD is through cell cultures, which allow the pathophysiological study of the disease as well as the gene expression of the target cells.

For this disease, two types of soft matrix scaffolding have been used, 2D and 3D. Neurons derived from iPSCs have an age of fetal development. While 3D organoids allow the generation of self-organized heterogeneous neuronal tissue, they have the advantage of being a complex tissue but have the disadvantage of the complexity of culture technic (58).

Induced pluripotent stem cells (iPSCs) can differentiate into glutamatergic and pyramidal neurons found in the neocortex and hippocampus, since according to the cholinergic hypothesis, the innervation of pyramidal cells by cholinergic neurons is lost early in AD (59).

Vazin and colleagues investigated A $\beta$ -produced neurotoxicity in glutamatergic cortical and GABAergic neurons. They showed that the intermediate form of A $\beta$  is toxic to culture-dependent glutamatergic neurons and that stable oligomeric A $\beta$  has selective neurotoxicity to glutamatergic neurons (60). In 2016, using the single cell technique, sAPP $\alpha$  and A $\beta$  were studied in depth in individual iPSC neurons and iPSC astrocytes. This team suggests that APP expression and/or processing increases as cells move from an immature mitotic fate to a postmitotic and distinct neuronal state and that astrocytes are capable of secreting large amounts of amyloid peptide and that excitatory glutamatergic neurons they secrete high levels of products from the cleavage of APP therefore they could favor the development of AD (61). These studies show cellular toxicity due to pathological dispersion in AD, loss of synapses, and ion imbalance in response to AD aggregates.

Familial AD has also been studied, using neuronal reprogramming with a genetic predisposition to AD. iPSC neurons derived from patients with familial AD have showed an increase in the A $\beta$ 42:40 ratio, as well as hyperphosphorylated Tau (58).

These cultures have also helped to clarify the risk factors for AD, such as Ad mutations in PSEN1, PSEN2 and APP, and genetic variants that favor late-onset AD have been identified,

in addition to Single Nucleotide Polymorphisms (SNP), finding that APOE stimulates the production of APP and A $\beta$  through MAPK signaling and that SLOR1 is a necessary intermediate between signaling of the brain-derived neurotrophic factor (BDNF) and a decreased expression of A $\beta$  (58).

The Tau protein has also been studied in iPSC-derived neurons from fAD cell lines that overexpress or have mutations in APP, finding a link between Tau phosphorylation and A $\beta$  production, but this phosphorylation was not affected in patients with PSEN1 mutated, so it could be suggested that Tau may have an independent role for the A $\beta$  peptide (62).

These types of culture not only represent an advantage for the understanding of the pathology, but for the development and evaluation of new drugs that can help slow down or reverse the pathology.

#### **2.1.5. Diagnosis**

The first pillar for proper care of the disease is an accurate and timely diagnosis (5), however, the definitive diagnosis of this pathology is made postmortem (7,63). In the preclinical phase it is very difficult to make the diagnosis. As AD progresses, certain changes can be evidenced such as an increase in CSF Tau or hypermetabolism in the posterior cingulate, however, it is very difficult to make a diagnosis at this stage since the patient has not yet manifested clinical symptoms (64).

For the diagnosis of AD, there are paraclinics such as: biomarkers for A $\beta$  and Tau in CSF, however it is a highly invasive technique (64), whereas structural imaging techniques are fundamental and non-invasive techniques that corroborate the diagnosis and assess the progression of the disease (6). In Magnetic Resonance Imaging (MRI), cortical and hippocampal atrophy can be observed in the temporal and parietal lobes compatible with AD (5,41) or in Positron Emission Tomography (PET) show hypometabolism in the posterior cingulate and the parietal region and in stages delays in the prefrontal and occipital cortex (7), however the American Federation of Drugs (FDA) emphasizes that a positive PET does not confirm the diagnosis, it is only a diagnostic tool (2), in addition to having a high cost, has a limited resolution and cannot be used as a screening test (24).

Single-photon emission computed tomography (SPECT) shows hypoperfusion in the parietal lobes and the posterior part of the temporal lobes, generally the hypoperfusion can be symmetrical but not with the same magnitude and severity (65). However, these abnormalities are also similar in other pathologies.

Therefore, new non-invasive diagnostic tools must be sought that allow an accurate diagnosis to be made in preclinical or early stages of the disease in order to offer efficient treatment or to prevent the presentation of symptoms in this disease that is still irreversible.

#### 2.1.6. Conclusion

The retina is an ideal site for the study of the brain and neurodegenerative diseases such as Alzheimer's disease. Both organs have the same embryological origin and the retina is an accessible organ allowing studies to be non-invasive and inexpensive to understand the brain and its pathologies, allowing the detection of the disease in preclinical stages.

They could also allow an accurate diagnosis of this disease and thereby monitor both the progression of the disease and the utility of the drugs. Furthermore, there is a growing need to identify new therapeutic targets and retinal biomarkers.

The retinal examination together with other ocular tests may be a specific biomarker for this disease, since the first manifestations that patients present are ocular. Therefore, having animal models that emulate Alzheimer's disease facilitates the study of this disease, as is the Tg344 rat model.

Recent advances show that the pathognomonic lesions of the disease in the retina precede brain lesions and can be assessed in vivo, therefore, it is proposed to characterize retinal dysfunctions in two models of AD; mouse APP/PS1dE9 and the rats TgF344-AD by identifying the presence and accumulation of different APP fragments in different compartments of the eye, measuring the morphological changes that the retina presented and measuring the cellular activity in the retina.



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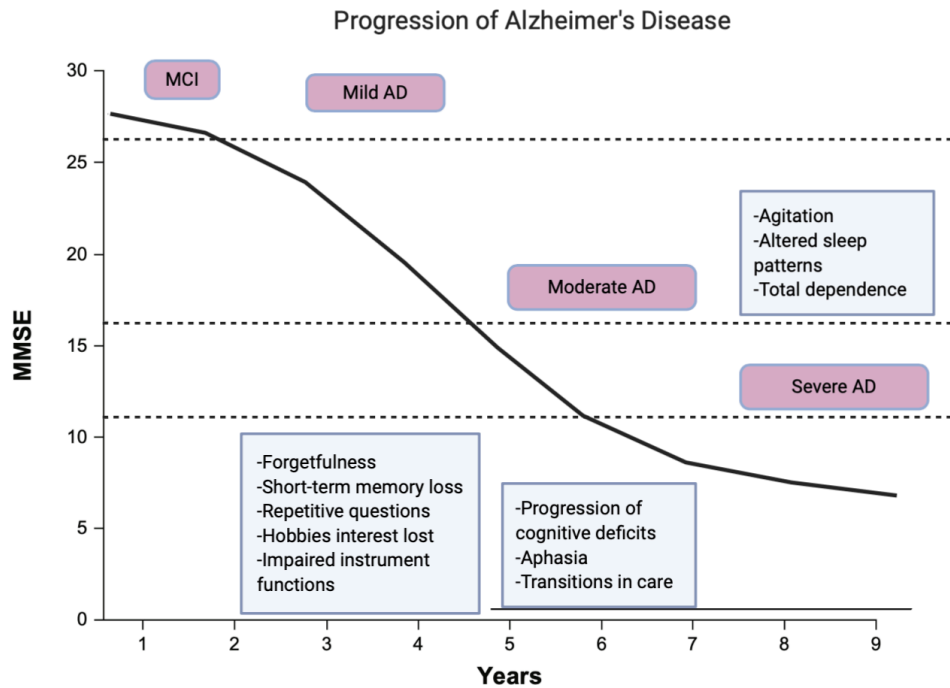
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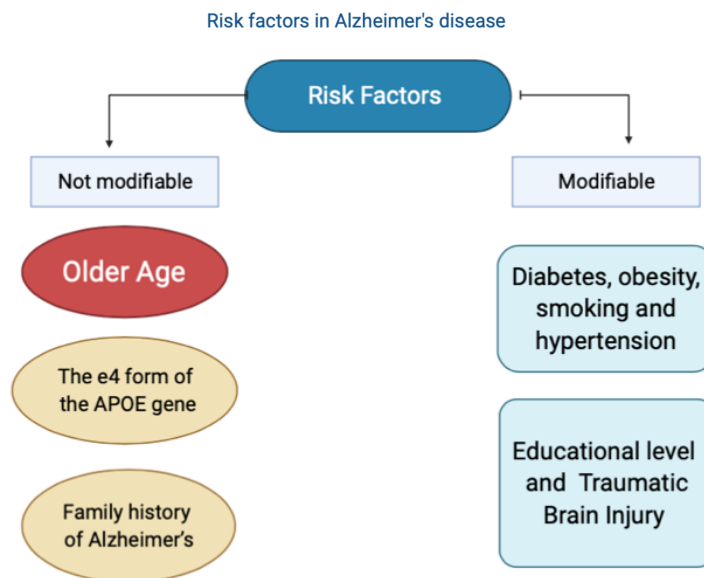
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## Supplementary Figures

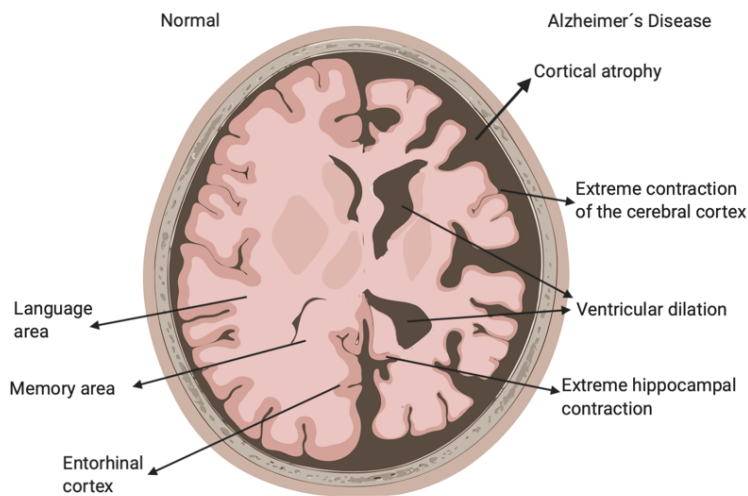


**Supplementary Figure 1. Progression of Alzheimer's disease.** It shows the different stages of Alzheimer's disease and its clinical manifestations as well as the correlation with the MMSE. Modified Ferris F 2013

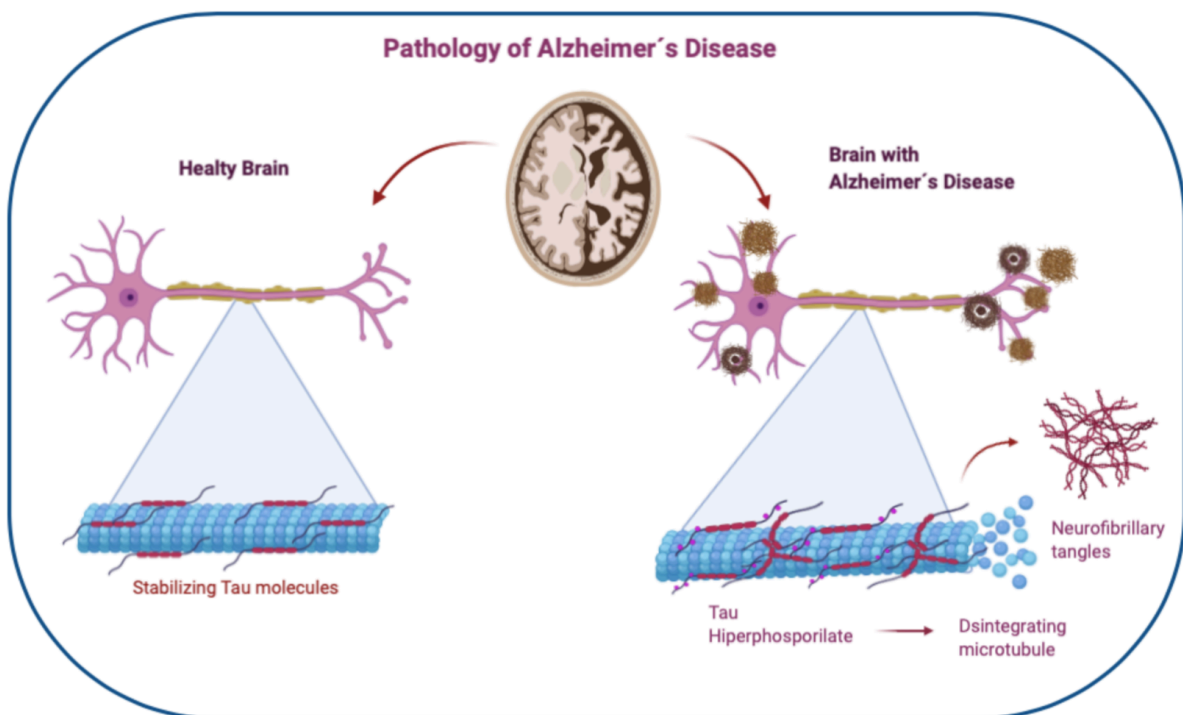


**Supplementary Figure 2. Risk factors in AD.** Shows the main risk factors associated with Alzheimer's Disease. By Jimenez P. 2020

## Macroscopic changes in Alzheimer's disease

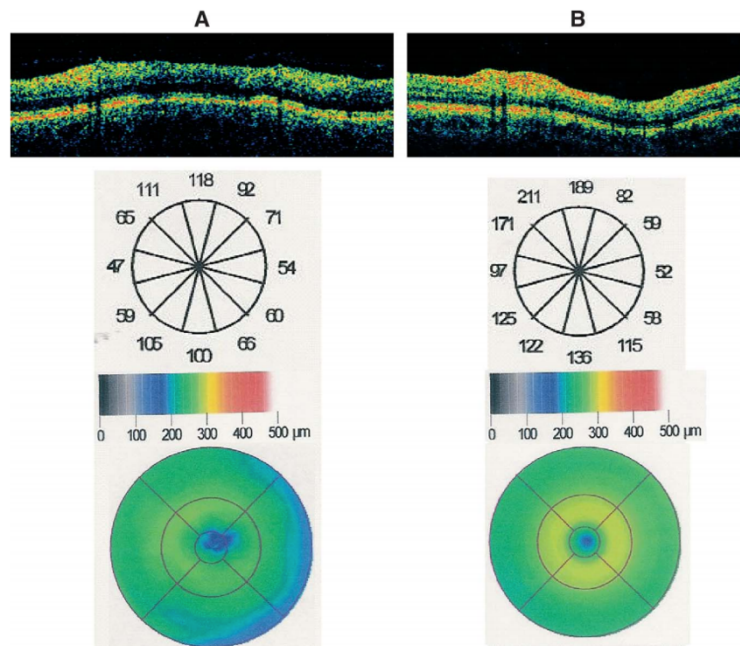


**Supplementary Figure 3. Macroscopic changes in AD.** Shows the main macroscopic alterations in the brain with AD, which are cortical atrophy, hippocampal and dilatation of lateral ventricles. By. Jimenez P, 2020.



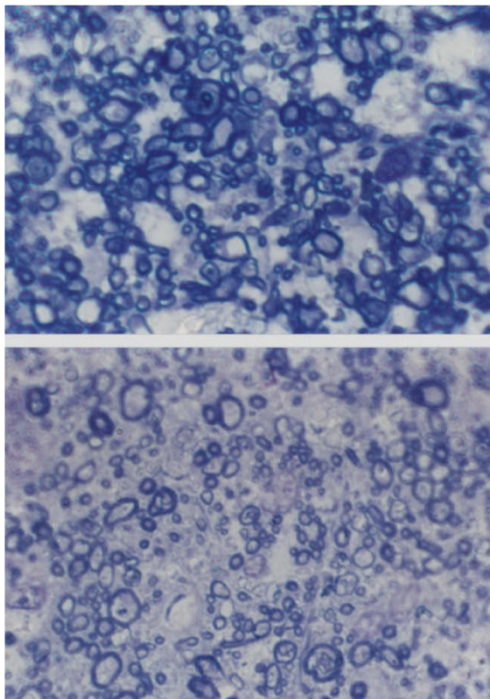
**Supplementary Figure 4. Schematic cartoon of pathology of AD.** In the left we can see a macroscopic view of a healthy brain and on the right the brain of a patient with Alzheimer's Disease is shown schematically, where neuronal tissue loss is observed and at the microscopic level, extracellular neuritic plaques formed by  $\beta$ -amyloid and intracellular neurofibrillary tangles are observed formed by filaments of the hyperphosphorylated Tau protein. By Jimenez P 2020.

## OCT and Alzheimer Disease



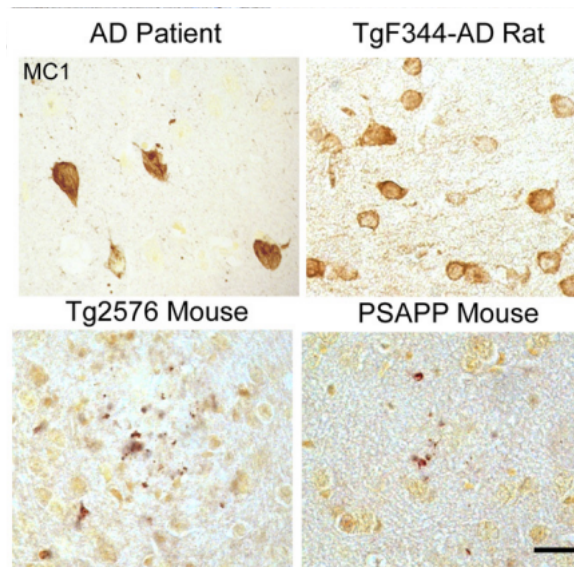
**Supplementary Figure 5. OCT and Alzheimer's disease.** The image A show a control patient and the image B is a patient with AD Circular optical coherence tomography (OCT) taken in cylindrical section of tissue surrounding the optic disc shows a marked decrease of the RNFL reflection Bottom: The RNFL thickness in each clock position and the macular thickness in each region are reduced in the AD eye By Iseri P, 2016

## Optic Nerve with Alzheimer's Disease



**Supplementary Figure 6. Optic Nerve with AD.** Cross-section of part of the optic nerve showing the axon profiles. The upper picture shows axon profile corresponding to an elderly control patient and the lower picture corresponds to a patient with AD. By Armstrong Richard 2009

## Animals models and Alzheimer's Disease



**Supplementary Figure 7. Comparison between different animal models.** Comparison of tau pathology among AD patients, TgF344-AD rats, Tg2576, and PSAPP mice By Cohen R. 2013.

## ABBREVIATION

ACh	Acetylcholine
AD	Alzheimer's Disease
Ad	Autosomal dominant
AICD	Amyloid Intracellular Domain
APP	Amyloid Precursor Protein
BDNF	Brain Derived Neurotrophic Factor
CIE-10	International Classification of Diseases
CNS	Central Nervous System
CSF	Cerebrospinal Fluid
ACE-1	Angiotensin-Converting Enzyme-1
EPR	Retinal Pigment Epithelium
ERG	Electroretinogram
ERO	Reactive Oxygen Species
FDA	Food and Drug Administration
GCL	Ganglion Cell Layer
IPL	Inner Plexiform Layer
iPSCs	Induced Pluripotent Stem Cells
INL	Inner Nuclear Layer
MMSE	Mini-Mental State Examination
MRI	Magnetic Resonance Imaging
mRGC	melanopsin Retinal Ganglion Cell
NIA-AA	National Institute on Aging and Alzheimer's Association
NFL	Retinal Nerve Fiber Layer
NFT	Neurofibrillary Tangles
OPL	Outer Plexiform Layer
OTC	Optical Coherence Tomography
PERG	Pattern Electroretinogram
PDT	Digital Perception Test
PET	Positron Emission Tomography
PHF	Matched Helical Filaments
PS1	Presenilin 1
PS2	Presenilin 2
RGC	Retinal Ganglion Cell
SF	Straight Filaments
SNP	Single Nucleotide Polymorphisms
SPECT	Single Photon Emission Computed Tomography
TBI	Traumatic Brain Injury
VA	Visual Acuity
WT	Wild Type
WHO	World Health Organization